

**Table TA_1: Number of 5500s and Schedules Filed with Burden Estimates, Current Rules
by Filer Type**

Filer Type	Total ⁴	5500	Sch A	Sch B	Sch C	Sch D	Sch E	Sch G	Sch H	Sch I	Sch P	Sch R	Sch SSA
Schedules	832,683	832,683	468,505	59,171	79,771	208,314	6,087	132,147	178,647	652,134	773,233	437,502	191,134
5500 Large Plans	188,620	188,620	234,214	21,273	78,920	176,724	2,642	131,844	177,463	538	120,816	127,558	156,622
DB, ME, 100-1,000	827	827	230	1,102	1,051	822	0	382	1,474	1	1,087	1,173	1,620
DB, ME, > 1,000	1,106	1,106	570	1,555	1,475	2,068	0	2,635	1,983	0	1,610	1,653	1,465
DB, SE, 100-1,000	8,889	8,889	2,281	12,424	8,478	15,711	0	2,483	15,848	7	10,704	13,555	17,093
DB, SE, > 1,000	4,190	4,190	1,094	5,892	4,453	10,262	2	3,056	7,479	0	5,369	6,367	2,690
DC, ME, non-403(b)	2,315	2,315	1,157	75	2,297	3,686	27	3,514	4,100	5	3,115	2,893	2,734
DC, ME, 403(b)	382	382	38	0	1	4	0	1	2	8	36	5	7
DC, SE, non-403(b)	70,043	70,043	33,482	199	35,292	140,240	2,611	106,563	124,283	99	93,126	101,709	130,816
DC, SE, 403(b)	8,557	8,557	844	7	12	93	1	33	56	174	804	117	153
Welfare, ME	5,718	5,718	11,225	2	4,833	686	0	2,903	6,488	10	1,663	6	4
Welfare, SE	86,594	86,594	183,293	17	21,029	3,152	1	10,274	15,749	234	3,303	80	40
5500 Small Eligible 3/	579,856	579,856	210,862	34,108	766	28,431	3,101	273	1,066	586,437	587,175	278,590	31,061
DB	30,838	30,838	8,149	33,511	204	483	4	2	43	30,806	32,378	23,298	833
DC, non-403(b)	533,021	533,021	196,560	589	308	27,841	3,094	268	931	548,479	553,326	255,571	30,216
DC, 403(b) 2/	8,773	8,773	1,026	6	9	5	1	1	32	225	687	62	11
Welfare1/	7,024	7,024	5,127	2	245	101	2	2	60	6,927	784	19	1
5500 Small Ineligible	64,406	64,406	23,429	3,790	85	3,159	345	30	118	65,160	65,242	30,994	3,451
DB	4,037	4,037	1,605	3,712	10	41	0	0	7	4,085	4,059	3,298	95
DC, non-403(b) 2/	60,225	60,225	21,715	78	55	3,116	344	30	101	60,952	61,152	27,693	3,356
DC, 403(b)	0	0	0	0	0	0	0	0	0	0	0	0	0
Welfare1/	145	145	109	0	20	1	0	0	10	123	31	4	0
Hours/Schedule	16.17												
5500 Large Plans	49.47	21.42	3.76	26.21	3.23	1.24	1.11	11.50	5.96	1.61	0.82	0.34	4.21
DB, ME, 100-1,000	117.51	43.92	1.91	26.22	3.14	0.94	1.11	17.53	6.60	2.84	1.00	1.00	5.40
DB, ME, > 1,000	151.46	43.92	1.91	26.22	3.14	0.94	1.11	17.53	6.60	2.84	1.00	1.00	5.40
DB, SE, 100-1,000	114.42	43.92	1.91	26.22	3.14	0.94	1.11	17.53	6.60	2.84	1.00	0.30	5.40
DB, SE, > 1,000	116.70	43.92	1.91	26.22	3.14	0.94	1.11	17.53	6.60	2.84	1.00	0.30	5.40
DC, ME, non-403(b)	79.79	39.85	2.47	26.22	2.06	1.20	1.11	11.09	5.64	2.83	0.79	1.00	4.01
DC, ME, 403(b)	0.52	0.52	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
DC, SE, non-403(b)	80.47	39.85	2.47	26.22	2.06	1.20	1.11	11.09	5.64	2.83	0.79	0.30	4.01
DC, SE, 403(b)	0.52	0.52	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Welfare, ME	31.94	5.33	4.05	26.22	4.97	4.82	1.11	10.99	7.09	2.24	0.83	1.00	4.27
Welfare, SE	17.93	5.33	4.05	26.22	4.97	4.82	1.11	10.99	7.09	2.24	0.83	0.30	4.27
5500 Small Eligible 3/	6.48	1.30	2.74	5.88	3.26	0.51	4.72	11.09	5.59	2.83	0.58	0.46	2.03
DB	12.21	1.30	2.72	5.88	3.14	0.38	4.72	17.53	6.60	2.84	0.50	0.46	2.03
DC, non-403(b)	6.26	1.31	2.74	5.88	2.06	0.51	4.72	11.09	5.64	2.83	0.58	0.46	2.03
DC, 403(b) 2/	0.52	0.52	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Welfare1/	6.12	1.23	3.24	5.88	4.97	0.95	4.72	10.99	7.09	2.24	0.58	0.46	2.03
5500 Small Ineligible	6.49	1.30	2.74	5.88	2.88	0.51	4.72	11.09	5.82	2.83	0.58	0.46	2.03
DB	11.61	1.30	2.72	5.88	3.14	0.38	4.72	17.53	6.60	2.84	0.50	0.46	2.03
DC, non-403(b) 2/	6.15	1.30	2.74	5.88	2.06	0.51	4.72	11.09	5.64	2.83	0.58	0.46	2.03
DC, 403(b)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Welfare1/	6.89	1.23	3.24	5.88	4.97	0.95	4.72	10.99	7.09	2.24	0.58	0.46	2.03
Total Burden Hours	13,508,400	4,875,477	1,523,111	780,395	257,479	234,933	19,183	1,519,328	1,064,531	1,843,543	477,039	183,915	729,465
5500 Large Plans	9,331,368	4,039,469	881,758	557,588	254,740	218,791	2,932	1,515,965	1,057,888	865	99,121	42,763	659,488
DB, ME, 100-1,000	97,156	36,311	439	28,900	3,304	775	0	6,697	9,727	3	1,086	1,173	8,742
DB, ME, > 1,000	167,463	48,557	1,088	40,770	4,636	1,949	0	46,211	13,085	0	1,609	1,653	7,904
DB, SE, 100-1,000	1,017,096	390,382	4,351	325,751	26,648	14,811	0	43,532	104,549	20	10,695	4,125	92,233
DB, SE, > 1,000	489,006	184,014	2,086	154,498	13,996	9,674	2	53,578	49,342	0	5,364	1,938	14,514
DC, ME, non-403(b)	184,711	92,258	2,854	1,963	4,739	4,433	30	38,979	23,109	14	2,468	2,893	10,972
DC, ME, 403(b)	199	199	0	0	0	0	0	0	0	0	0	0	0
DC, SE, non-403(b)	5,636,220	2,791,511	82,618	5,205	72,814	168,646	2,899	1,182,091	700,497	281	73,772	30,952	524,934
DC, SE, 403(b)	4,450	4,450	0	0	0	0	0	0	0	0	0	0	0
Welfare, ME	182,663	30,464	45,492	46	24,034	3,309	0	31,915	45,973	22	1,382	6	19
Welfare, SE	1,552,404	461,323	742,828	457	104,570	15,195	1	112,961	111,605	525	2,745	24	171
5500 Small Eligible 3/	3,758,721	752,279	577,159	200,523	2,494	14,531	14,626	3,027	5,954	1,657,997	340,122	127,034	62,978
DB	376,501	40,120	22,139	197,048	641	185	17	35	282	87,605	16,126	10,612	1,690
DC, non-403(b)	3,334,647	698,991	538,401	3,461	636	14,249	14,597	2,969	5,245	1,554,857	323,541	116,413	61,287
DC, 403(b) 2/	4,562	4,562	0	0	0	0	0	0	0	0	0	0	0
Welfare1/	43,011	8,605	16,619	14	1,217	97	12	22	426	15,535	455	9	2
5500 Small Ineligible	418,311	83,728	64,194	22,284	245	1,612	1,626	336	689	184,682	37,796	14,118	7,000
DB	46,865	5,251	4,361	21,825	32	16	0	0	47	11,616	2,021	1,502	194
DC, non-403(b) 2/	370,448	78,300	59,479	459	113	1,595	1,625	336	571	172,791	35,757	12,614	6,806
DC, 403(b)	0	0	0	0	0	0	0	0	0	0	0	0	0
Welfare1/	999	177	354	0	101	1	0	0	70	275	18	2	0

1/ Small welfare plan counts are restricted to those that "must file", that is, indicated "trust" as method of funding or payment of benefits, or attached Schedule I.
2/ All small 403(b) plans are eligible for the Short Form. Therefore, some 403(b)'s that were flagged as ineligible were moved up to the "Eligible" block.
3/ Short Form eligible plans are fixed at 90% of all small plans.
4/ Total column is based on Number of Filers rather than Number of Forms Filed
From "Burden Model 20050901 r1.xls" sheet "2002 Controlled to MPR"