

Element Performance Inspection (EPI) Data Collection Tool

7.1.1 Director of Maintenance (AW)

ELEMENT SUMMARY INFORMATION

Purpose of this Element (certificate holder's responsibility):

- To ensure the certificate holder's system contains the qualifications, duties, responsibilities, and the authority necessary so that the individual serving as the Director of Maintenance (or equivalent) may discharge those duties in a manner that ensures the highest degree of safety in the certificate holder's operations.

Objective (FAA oversight):

- To determine if an individual is serving in the capacity of the Director of Maintenance (or equivalent) full time who meets the certificate holder's qualifications for the position, performs those duties and responsibilities, and exercises the authority in a manner that ensures the highest degree of safety in the certificate holder's operations.

Specific Instructions:

- To determine eligibility for the Director of Maintenance position, accomplish section 2, tasks 3-6, using FAA Order 8900.1, Vol 2 Ch 4 Sec 2, as guidance. For information regarding the use of fewer, combined, or different categories of management positions, refer to FAA Order 8900.1, Vol 2 Ch 4 Sec 2.

Related EPIs:

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SUPPLEMENTAL INFORMATION

Specific Regulatory Requirements (SRRs):

- SRRs:
 - 119.39(b)(3)
 - 119.43(b)
 - 119.43(b)(1)
 - 119.43(b)(2)
 - 119.43(c)
 - 119.65(a)(4)
 - 119.65(b)
 - 119.65(c)
 - 119.65(d)(1)
 - 119.65(d)(2)
 - 119.65(d)(2)(i)
 - 119.65(d)(2)(ii)
 - 119.65(d)(2)(iii)
 - 119.65(d)(2)(iv)
 - 119.65(d)(2)(v)
 - 119.65(d)(3)
 - 119.65(e)(1)
 - 119.65(e)(2)
 - 119.65(e)(3)
 - 119.67(c)

- SRRs:
 - 119.67(c)(1)
 - 119.67(c)(2)
 - 119.67(c)(3)
 - 119.67(c)(4)(i)
 - 119.67(c)(4)(ii)
 - 121.135(a)(1)
 - 121.135(b)(1)
 - 121.135(b)(2)
 - 121.135(b)(3)
 - A.006

Related CFRs & FAA Policy/Guidance:

- Related CFRs:
 - Intentionally left blank
- FAA Policy/Guidance:
 - FAA Order 8900.1, Vol 2, Ch 4, Sec 2
 - FAA Order 8900.1, Vol 3, Ch 18, Sec 3

EPI Section 1 - Performance Observables

Objective: The tasks and questions in this section of the data collection tool (DCT) are designed to assist the inspector in determining if the certificate holder follows its written procedures and controls and meets the established performance measures of the process. To accomplish this, questions have been generated to test both the outputs of the process as well as the process itself. Question 1 and its following subquestions are directed at the output(s) of the process, whereas questions 2-6, when answered, should be directed at the process itself.

Tasks

	To meet this objective, the inspector must accomplish the following tasks:
1.	Review the information listed in the Supplemental Information section of this DCT.
2.	Review the certificate holder's policies, qualifications, duties, responsibilities, and the authority granted to the Director of Maintenance (or equivalent).
3.	Interview the individual serving as the Director of Maintenance (or equivalent).

Questions

	To meet this objective, the inspector must answer the following questions:	
1.	Determine whether the following performance measures met:	
1.1.	Is the Director of Maintenance (or equivalent) position currently occupied by the individual identified in operations specifications paragraph A006?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain
1.2.	Does the Director of Maintenance (or equivalent) meet the qualifications for the position? <i>Related Performance JTIs:</i> 1. Check at the FAA location that the person listed as the DOM in paragraph A006 of the operations specifications, holds a mechanics certificate with airframe and powerplant ratings that is valid. (A certificate check via SPAS, ISIS and EIS system) <i>Sources: 119.67(c)(1)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain
1.3.	Does the Director of Maintenance (or equivalent) satisfactorily fulfill the duties of the position, serving full time?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain
2.	Were the certificate holder's policies, for the Director of Maintenance (or equivalent), followed?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain
3.	Were the Director of Maintenance (or equivalent) controls followed?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain
4.	Did the records for the Director of Maintenance (or equivalent) comply with the instructions provided by the certificate holder?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain

EPI Section 1 - Performance Observables Drop-Down Menu	
1.	Personnel.
2.	Tools and Equipment.
3.	Technical Data.
4.	Procedures, policies or instructions or information.
5.	Materials.
6.	Facilities.
7.	Controls.
8.	Process Measures.
9.	Interfaces.
10.	Desired Outcome.
11.	Other.

EPI Section 2 - Management Responsibility & Authority Observables

Objective: To determine if the person identified by the certificate holder as having responsibility and/or authority for the Director of Maintenance process is qualified, knowledgeable, and recognizes that responsibility and/or authority. (The person with the authority may or may not be the person with the responsibility.)

Tasks

	To meet this objective, the inspector must accomplish the following tasks:
	NOTE: If no personnel or major program changes (as defined by the principal inspector (PI)) affecting the responsibility or authority attributes for this element have occurred since the last SAI and/or EPI was accomplished, then do not perform tasks 3-6, below. Answer questions 1 and 2, below, and provide the name/title.
1.	Identify the person who performs the duties and responsibilities of the Director of Maintenance (or equivalent) position for the certificate holder.
2.	Identify the person who exercises the authority of the Director of Maintenance (or equivalent) position for the certificate holder.
3.	Review the duties and responsibilities of the Director of Maintenance.
4.	Evaluate the qualifications and work experience of the person(s) above (or resume, if appropriate).
5.	Review the appropriate organizational chart.
6.	Discuss the Director of Maintenance (or equivalent) position with the person(s) serving in that capacity.

Questions

	To meet this objective, the inspector must answer the following questions:	
1.	Does the certificate holder have a clearly identifiable person performing the duties and responsibilities of the Director of Maintenance (or equivalent) position full time?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain Name/Title:
2.	Does the certificate holder have a clearly identifiable person exercising the authority of the Director of Maintenance (or equivalent) position full time?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain Name/Title:
3.	Does the individual serving as the certificate holder's full time Director of Maintenance (or equivalent) acknowledge the duties and responsibilities of this position?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain <input type="checkbox"/> No Change
4.	Does the individual serving as the certificate holder's full time Director of Maintenance (or equivalent) acknowledge his/her duty to exercise the authority of this position?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain <input type="checkbox"/> No Change

EPI Section 2 - Management Responsibility & Authority Observables Drop-Down Menu	
1.	Assignment of responsibility.
2.	Assignment of authority.
3.	Does not understand procedures, policies or instructions and information.
4.	Does not understand controls.
5.	Does not understand process measurements.
6.	Does not understand interfaces.
7.	Span of control.
8.	Position vacant.
9.	Other.