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# Guidance for Industry

## **M4S: The CTD — Safety Appendices**

U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
Center for Biologics Evaluation and Research (CBER)

August 2001  
ICH

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# Guidance for Industry

## M4S: The CTD — Safety Appendices

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## **APPENDIX A: EXAMPLES OF TABLES AND FIGURES FOR WRITTEN SUMMARIES**

The tables and figures in Appendix A are presented merely as examples. Applicants should provide tables and figures using a format appropriate to the product.

Study references should be included in the table or text.

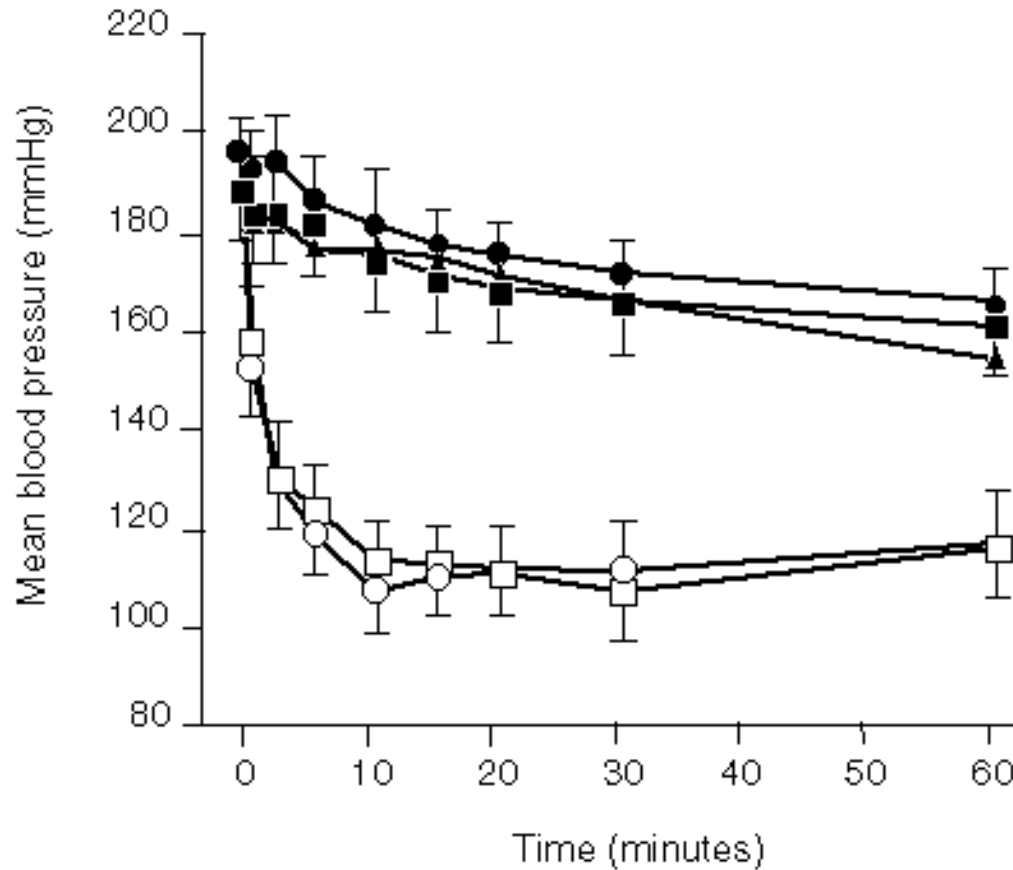
Tables should include statistics, if appropriate.

**Table X: Binding of X and Its Major Metabolites and Comparators to Human X<sub>2</sub> and X<sub>3</sub> Receptors**

Compound	X <sub>2</sub>	X <sub>2</sub>	X <sub>3</sub>	X <sub>3</sub>
	K <sub>i</sub> 1(nM)	K <sub>i</sub> 2(nM)	K <sub>i</sub> 1(nM)	K <sub>i</sub> 2(nM)
<b>1</b>	538	2730	691	4550
<b>2</b>	2699	1050	2.0	181
<b>3</b>	578	14.4	141	10400
<b>4</b>	20	100	10.7	7.9
<b>5</b>	2100	3.1	281	28
<b>6</b>	7.5	8.4	44	2.8
<b>7</b>	3.11	3.76	1.94	1.93

K<sub>i</sub>1 and K<sub>i</sub>2 represent the high and low affinity binding sites, respectively (Data from Study Number).

**Figure X: Blood Pressure Following Chronic Dosing With X to SHR<sup>a</sup>**



**Blood pressure following chronic dosing with X to SHR<sup>a</sup>[ref].** Hypotensive effect of saline i.v. infusion over 5 min (▲) compared to X, 3 mg/kg i.v. infusion to SHR pretreated twice daily with saline, 1 mL/kg p.o., for 7 (○) or 14 (□) days or X, 25 mg/kg p.o., for 7 (●) or 14 (■) days. Saline pretreated statistical significances:  $p < 0.05$ , all other points after challenge  $p < 0.01$ . Values represent mean  $\pm$  s.e.m.

<sup>a</sup>SHR= spontaneous hypertensive rat (n=5 per group).

**Table X: Model Independent Pharmacokinetic Parameters for X in Mice Following Single Oral Doses at 2, 10 and 30 mg/kg [ref]**

Parameter (units)	Parameter value					
	Males			Females		
Dose (mg/kg)	2	10	30	2	10	30
C <sub>max</sub> (ng/mL)	4.9	20.4	30.7	5.5	12.9	28.6
T <sub>max</sub> (h)	0.8	0.4	0.3	0.4	0.5	0.3
AUC <sub>0-t</sub> (ng.h/mL)	21.6	80.5	267	33.3	80	298
AUC <sub>0-inf</sub> (ng.h/mL)	28.3	112	297	40.2	90	327

Pharmacokinetic parameters were determined in pooled plasma from three animals at each time.

**Table X: Excretion of Radioactive Material Following Single Doses of [<sup>14</sup>C]X to Male Mice [ref]**

Dose (mg/kg)/ route	Percentage of administered dose		
	Urine*	Feces	Total <sup>+</sup>
2.8 i.v.	88.1 ± 7.4	5.5 ± 0.7	93.6 ± 6.9
8.8 p.o.	89.4 ± 4.7	6.9 ± 1.4	95.3 ± 3.4

Excretion was determined over 168 hours after dosing.

Values are means ± S.D. (n= 5 for p.o. and 5 for i.v.)

\* - includes radioactivity in cage wash (22.1% after p.o. and 21.7% after i.v.).

+ - includes radioactivity in the carcass.

**Table X: Concentrations of Radioactive Material in the Tissues of Male Rats After a Single Intravenous Dose of [<sup>14</sup>C]X at 1.75 mg/kg [refs]**

Tissue	Concentration (ng equiv.* /g)				
	1 h	6 h	24 h	48 h	72 h
Blood	105	96.6	2.34	2.34	3.65
Plasma	142	175	3.12	ND	ND
Adrenals	656	49.2	14.3	9.63	ND
Bone marrow	359	31.5	ND	ND	ND
Brain	116	9.37	ND	ND	ND
Eyes	124	28.9	4.69	ND	ND
Fat	490	44.0	10.2	6.25	5.47
Heart	105	26.6	ND	ND	ND
Kidneys	1280	651	21.6	13.3	9.63
Large intestine	570	2470	39.3	12.0	ND
Liver	875	380	133	87.7	64.6
Lungs	234	59.1	7.55	ND	ND

\* - ng of X free base equivalent/g.

N= 5 animals/time point.

ND - Not detected.

**Table X: Excretion of Radioactive Material Following Single Doses of [<sup>14</sup>C]X to Male Rats [refs]**

<b>Dose (mg/kg)/ route</b>		<b>Percentage of administered dose</b>			
		<b>Urine</b>	<b>Feces</b>	<b>Bile</b>	<b>Total</b>
1.75	i.v.	61.3 ± 9.3	30.3 ± 4.1	-	95.2 ± 5.0
1.75	p.o.	57.4 ± 3.8	37.0 ± 3.4	-	95.2 ± 1.5
2	p.o.	72.3 ± 0.8	26.9 ± 1.9	-	99.5 ± 1.1
20	p.o.	23.5 ± 6.3	0.5 ± 0.2	76.0 ± 5.9	100 ± 0.8
220	p.o.	67.1 ± 9.0	24.8 ± 5.0	-	93.3 ± 6.8

Excretion was determined over 168 h period in Wistar rats: Values are means ± S.D. (n=5); - not assayed; Total includes radioactivity in the carcass and cage washings.



**Table X: Comparative Pharmacokinetic Data and Systemic Exposure to X Following Oral Administration to Mice, Rats, Dogs, and Patients [ref]**

Species (formulation)	Dose (mg/kg/day)	Systemic (plasma) exposure		References
		C <sub>max</sub> (ng/mL)	AUC (ng.h/mL)#	
Man (tablet)	0.48 <sup>\$</sup>	36.7	557	X
Mouse (solution)	8.8	68.9 (1.9)*	72.7 (0.2)*	Y
	21.9	267 (7.3)*	207 (0.5)*	
	43.8	430 (11.7)*	325 (0.7)*	
Rat (solution)	50	479 (13.0)*	1580 (2.8)*	Z
Dogs (solution)	1.5	5.58 (0.2)*	15.9 (<0.1)*	V
	5	24.8 (0.7)*	69.3 (0.1)*	
	15	184 (5.0)*	511 (0.9)*	

Data presented are for male and female animals and are after daily repeated oral administration (at the end of the 60-day mouse study, 14-day rat study, and 1-year dog study). Data for man are extrapolated from dose normalized data obtained in male and female patients following t.i.d regimen.

# - AUC<sub>0-6</sub> in the mouse, AUC<sub>0-t</sub> in the rat and in the dog and dose normalized AUC<sub>0-τ</sub> x 24 in man.

\$ - calculated from the total daily dose assuming a body weight of 50 kg for man.

\* - Numbers in parentheses represent ratios of exposure in animals to those in patients.

**Table X: Incidence of Proliferative Interstitial (Leydig) Cell Lesions in Rats [ref]**

<b>Lesion</b>	<b>Dose Groups</b>			
	<b>Control</b>	<b>3 mg/kg</b>	<b>30 mg/kg</b>	<b>100 mg/kg</b>
Hyperplasia (only)	x/50 (%)	x/50 (%)	x/50 (%)	x/50 (%)
Adenoma (only)	x/50 (%)	x/50 (%)	x/50 (%)	x/50 (%)
Adenoma + Hyperplasia	x/50 (%)	x/50 (%)	x/50(%)	x/50 (%)
Total*	x/50 (%)	x/50 (%)	x/50 (%)	x/50 (%)

\* Adenoma and/or Hyperplasia.

## APPENDIX B: THE NONCLINICAL TABULATED SUMMARIES TEMPLATES

- 2.6.3 Pharmacology
  - 2.6.3.1 Pharmacology: Overview
  - 2.6.3.2 Primary Pharmacodynamics\*
  - 2.6.3.3 Secondary Pharmacodynamics\*
  - 2.6.3.4 Safety Pharmacology
  - 2.6.3.5 Pharmacodynamic Drug Interactions\*
  
- 2.6.5 Pharmacokinetics
  - 2.6.5.1 Pharmacokinetics: Overview
  - 2.6.5.2 Analytical Methods and Validation Reports\*
  - 2.6.5.3 Pharmacokinetics: Absorption After a Single Dose
  - 2.6.5.4 Pharmacokinetics: Absorption after Repeated Doses
  - 2.6.5.5 Pharmacokinetics: Organ Distribution
  - 2.6.5.6 Pharmacokinetics: Plasma Protein Binding
  - 2.6.5.7 Pharmacokinetics: Study in Pregnant or Nursing Animals
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  - 2.6.7.6 Repeat-Dose Toxicity: Nonpivotal Studies
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  - 2.6.7.9 Genotoxicity: In Vivo
  - 2.6.7.10 Carcinogenicity
  - 2.6.7.11 Reproductive and Developmental Toxicity: Nonpivotal Studies
  - 2.6.7.12 Reproductive and Developmental Toxicity: Fertility and Early Embryonic Development to Implantation (Pivotal)
  - 2.6.7.13 Reproductive and Developmental Toxicity: Effects on Embryofetal Development (Pivotal)
  - 2.6.7.14 Reproductive and Developmental Toxicity: Effects on Pre- and Postnatal Development, Including Maternal Function (Pivotal)

- 2.6.7.15 Studies in Juvenile Animals<sup>a</sup> (template not provided; see footnote a)
- 2.6.7.16 Local Tolerance
- 2.6.7.17 Other Toxicity Studies

\* : Tabulated summary is optional. It is preferable to include text tables and figures with the Nonclinical Written Summary.

<sup>a</sup> : When a juvenile animal study has been conducted, it should be tabulated using the template appropriate for the type of study and located in Section 2.6.7.15.

**2.6.3.1 Pharmacology**

**Overview**

**Test Article: (1)**

<b><u>Type of Study</u></b>	<b><u>Test System</u></b>	<b><u>Method of Administration</u></b>	<b><u>Testing Facility</u></b>	<b><u>Study Number(4)</u></b>	<b><u>Location Vol. Page</u></b>
<b>Primary Pharmacodynamics</b> (2)					(3)
<b>Secondary Pharmacodynamics</b>					
<b>Safety Pharmacology</b>					
<b>Pharmacodynamic Drug Interactions</b>					

- Notes: (1) International Nonproprietary Name (INN)  
 (2) There should be one line for each pharmacology report, in the same order as the CTD. Reports that contain a GLP Compliance Statement should be identified in a footnote.  
 (3) The location of the Technical Report in the CTD should be indicated.  
 (4) Or Report Number (on all tables).

**2.6.3.4 Safety Pharmacology(1)**

**Test Article: (2)**

<b><u>Organ Systems Evaluated</u></b>	<b><u>Species/ Strain</u></b>	<b><u>Method of Admin.</u></b>	<b><u>Doses<sup>a</sup> (mg/kg)</u></b>	<b><u>Gender and No. per Group</u></b>	<b><u>Noteworthy Findings</u></b>	<b><u>GLP Compliance</u></b>	<b><u>Study Number(3)</u></b>
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Notes: (1) All safety pharmacology studies should be summarized.

(2) International Nonproprietary Name (INN).

(3) Or Report Number (on all tables).

a - Single dose unless specified otherwise.

**2.6.5.1 Pharmacokinetics**

**Overview**

**Test Article: (1)**

<b><u>Type of Study</u></b>	<b><u>Test System</u></b>	<b><u>Method of Administration</u></b>	<b><u>Testing Facility</u></b>	<b><u>Study Number</u></b>	<b><u>Location Vol. Page</u></b>
<b>Absorption (2)</b>					<b>(3)</b>
<b>Distribution</b>					
<b>Metabolism</b>					
<b>Excretion</b>					
<b>Pharmacokinetic Drug Interactions</b>					
<b>Other</b>					

- Notes: (1) International Nonproprietary Name (INN).  
 (2) There should be one line for each pharmacokinetics report, in the same order as the CTD. Reports that contain a GLP Compliance Statement should be identified in a footnote.  
 (3) The location of the Technical Report in the CTD should be indicated.

**2.6.5.3 Pharmacokinetics: Absorption After a Single Dose**

**Test Article:** (1)

**Location in CTD:** Vol. Page  
**Study No.**

<b>Species</b>					
<b>Gender (M/F)/Number of animals</b>	(4)				
<b>Feeding condition</b>					
<b>Vehicle/Formulation</b>					
<b>Method of Administration</b>					
<b>Dose (mg/kg)</b>					
<b>Sample (e.g., whole blood, plasma, serum)</b>					
<b>Analyte</b>					
<b>Assay (2)</b>					
<b>PK parameters:</b>					

---

**Additional Information:** (3)

- Notes: (1) International Nonproprietary Name (INN).  
 (2) For example, HPLC, LSC with <sup>14</sup>C-labeled compound.  
 (3) For example, brief textual results, species differences, gender differences, dose dependency, or special comments.  
 (4) There should be one column for each study conducted. For comparison, representative information on humans at the maximum recommended dose should be included.
-



**2.6.5.4 Pharmacokinetics: Absorption after Repeated Doses**

**Test Article:**

[Data can be tabulated as in the format of 2.6.5.3 if applicable.]

**Format A**

**2.6.5.5 Pharmacokinetics: Organ Distribution**

**Test Article:**

**Location in CTD:** Vol.    Page  
**Study No.**

**Species:**

**Gender (M/F)/Number of animals:**

**Feeding condition:**

**Vehicle/Formulation:**

**Method of Administration:**

**Dose (mg/kg):**

**Radionuclide:**

**Specific Activity:**

**Sampling time:**

**Tissues/organs**

Concentration (unit)

T(1)    T(2)    T(3)    T(4)    T(5)    t<sub>1/2</sub>

---

**Additional information:**

---

**2.6.5.5 Pharmacokinetics: Organ Distribution**

**Alternate Format B**

**Test Article:**

**Location in CTD:** Vol. Page  
**Study No.**

**Species:**  
**Gender (M/F)/Number of animals:**  
**Feeding condition:**  
**Vehicle/Formulation:**  
**Method of Administration:**  
**Dose (mg/kg):**  
**Radionuclide:**  
**Specific Activity:**  
**Analyte/Assay (unit):**  
**Sampling time:**

**Tissues/organs**

	$C_t$	Last time point				
conc.	T/P <sup>1)</sup>	conc.	T/P <sup>1)</sup>	Time	AUC	t <sub>1/2</sub> <sup>2)</sup>

---

**Additional information:**

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<sup>1)</sup> [Tissue]/[Plasma]

**2.6.5.6 Pharmacokinetics: Plasma Protein Binding**

**Test Article:**

**Study system:**

**Target entity, Test system and method:**

Species

Conc. tested

% Bound

Study  
No.

Location in CTD  
Vol. Page

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**Additional Information:**

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**2.6.5.7 Pharmacokinetics: Study in Pregnant or Nursing Animals (1)**

**Test Article: (2)**

**Placental transfer**

**Location in CTD: Vol. Page  
Study No.**

**Species:**

**Gestation day/Number of animals:**

**Vehicle/Formulation:**

**Method of Administration:**

**Dose (mg/kg):**

**Analyte:**

**Assay:**

**Time (hr)**

**Concentration/Amount (% of dose)**

**Dam (3):**

**Fetus (3):**

\_\_\_\_\_

**Additional Information:**

---

**Location in CTD: Vol. Page**

**Excretion into milk**

**Study No.**

**Species:**

**Lactating date/Number of animals:**

**Feeding condition:**

**Vehicle/Formulation:**

**Method of Administration:**

**Dose (mg/kg):**

**Analyte:**

**Assay:**

**Time [hr]**

**Concentration:**

**Milk:**

**Plasma:**

**Milk/plasma:**

**Neonates:**

\_\_\_\_\_

**Additional Information:**

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Notes for Table 2.6.5.7

- (1) Even if the data are obtained in reproduction toxicology studies, they should be presented in this table.*
- (2) International Nonproprietary Name (INN).*
- (3) The tissue sampled should be described (e.g., plasma for dams, fetal concentrations).*

**2.6.5.8 Pharmacokinetics: Other Distribution Study**

**Test Article:**

**2.6.5.9 Pharmacokinetics: Metabolism In Vivo**

**Test Article:**

**Gender(M/F)/Number of animals:**

**Feeding condition:**

**Vehicle/Formulation:**

**Method of Administration:**

**Dose (mg/kg):**

**Radionuclide:**

**Specific Activity:**

<u>Species</u>	<u>Sample</u>	<u>Sampling Time or Period</u>	<u>% of Dose in Sample</u>	<u>% of Compound in Sample</u>			<u>Study No.</u>	<u>Location in CTD</u>	
				<u>Parent</u>	<u>M1</u>	<u>M2</u>		<u>Vol</u>	<u>Page</u>
	Plasma								
	Urine								
	Bile								
	Feces								
	Plasma								
	Urine								
	Bile								
	Feces								
	Plasma								
	Urine								
	Bile								
	Feces								

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**Additional Information:**

Note: *Human data should be included for comparison if available.*

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**2.6.5.10 Pharmacokinetics: Metabolism In Vitro**

**Test Article:**

**Location in CTD:** Vol. Page  
**Study No.**

**Study system:**

**Time**

**Concentration:**

**Compounds**

**Parent**

**M-1**

**M-2**

\_\_\_\_\_

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**Additional Information:**

*Note: Human data should be included for comparison if available.*

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**2.6.5.11 Pharmacokinetics: Possible Metabolic Pathways**

**Test Article:**

*(Illustrate possible metabolic map indicating species in which metabolic reactions occur.)*

**2.6.5.12 Pharmacokinetics: Induction/Inhibition of Drug-Metabolizing Enzymes**

**Test Article:**

**Location in CTD:** Vol.    Page  
**Study No.**

*Note: Nonclinical studies only.*

**Type of study:**

**Method:**

**Tabulated results:**

**Additional Information:**

**2.6.5.13 Pharmacokinetics: Excretion**

**Test Article: (1)**

**Species**

**Gender (M/F)/Number of animals**

**Feeding condition**

**Vehicle/Formulation**

**Method of Administration**

**Dose (mg/kg)**

**Analyte**

**Assay**

**Excretion route (4)**

**Time**

**0 - T hr**

	<b>(3)</b>											
	<u>Urine</u>	<u>Feces</u>	<u>Total</u>	<u>Urine</u>	<u>Feces</u>	<u>Total</u>	<u>Urine</u>	<u>Feces</u>	<u>Total</u>	<u>Urine</u>	<u>Feces</u>	<u>Total</u>

**Study number**

**Location in CTD**

**Additional Information: (2)**

*Notes: (1) International Nonproprietary Name (INN).*

*(2) For example, brief textual results, species differences, gender differences, dose dependency, or special comments.*

*(3) There should be one column for each study conducted. For comparison, representative information on humans at the maximum recommended dose should be included. Can be combined with the Absorption Table if appropriate.*

*(4) Other routes (e.g., biliary, respiratory) should be added, if performed.*

---

**2.6.5.14 Pharmacokinetics: Excretion into Bile**

**Test Article:**

**[Data can be tabulated as in the format of 2.6.5.13 if applicable.]**

**2.6.5.15 Pharmacokinetics: Drug-Drug Interactions**

**Test Article:**

**Location in CTD:** Vol.    Page  
**Study No.**

**Type of study:**

**Method:**

**Tabulated results:**

**Additional Information:**

**2.6.5.16 Pharmacokinetics: Other**

**Test Article:**  
**Location in CTD:** Vol. Page  
**Study No.**

**Type of study:**

**Method:**

**Tabulated results:**

**Additional Information:**

**2.6.7.1 Toxicology**

**Overview**

**Test Article: (1)**

<u>Type of Study</u>	<u>Species and Strain</u>	<u>Method of Administration</u>	<u>Duration of Dosing</u>	<u>Doses (mg/kg<sup>a</sup>)</u>	<u>GLP Compliance</u>	<u>Testing Facility</u>	<u>Study Number</u>	<u>Location Vol. Page</u>
Single-Dose Toxicity	(2)							(3)
Repeat-Dose Toxicity								
Genotoxicity								
Carcinogenicity								
Reproductive and Developmental Toxicity								
Local Tolerance								
Other Toxicity Studies								

Notes: (1) International Nonproprietary Name (INN).  
 (2) There should be one line for each toxicology report, in the same order as the CTD.  
 (3) The location of the Technical Report in the CTD should be indicated.

a - Unless otherwise specified. For Repeat-Dose Toxicity, the highest No Observed Adverse Effect Level (NOAEL) is underlined.



2.6.7.2 Toxicokinetics

Overview of Toxicokinetics Studies

Test Article: (1)

<u>Type of Study</u>	<u>Test System</u>	<u>Method of Administration</u>	<u>Doses (mg/kg)</u>	<u>GLP Compliance</u>	<u>Study Number</u>	<u>Location Vol. Page</u>
(2)						(3)

Notes: (1) International Nonproprietary Name (INN).

(2) There should be one line for each toxicokinetics report, in the same order as the CTD (Section 3, Toxicology).

(3) The location of the Technical Report in the CTD should be indicated.

**2.6.7.3 Toxicokinetics**

**Overview of Toxicokinetics Data**

**Test Article: (1)**

(2)

*Notes: (1) International Nonproprietary Name (INN).*

*(2) A one- to three-page summary (tables and/or figures) of steady state toxicokinetic data should be prepared in a format that facilitates comparisons across species, including humans.*

**2.6.7.4 Toxicology      Drug Substance      Test Article: (1)**

<b><u>Batch No.</u></b>	<b><u>Purity (%)</u></b>	<b><u>Specified Impurities ( )</u></b>	<b><u>Study Number</u></b>	<b><u>Type of Study</u></b>
PROPOSED <u>SPECIFICATION:</u>				
(2)				(3)

- Notes: (1) *International Nonproprietary Name (INN).*  
(2) *All batches used in the Toxicology studies should be listed in approximate chronological order.*  
(3) *The Toxicology studies in which each batch was used should be identified.*

**2.6.7.5 Single-Dose Toxicity (1)**

**Test Article: (2)**

<b><u>Species/ Strain</u></b>	<b><u>Method of Administration (Vehicle/ Formulation)</u></b>	<b><u>Doses (mg/kg)</u></b>	<b><u>Gender and No. per Group</u></b>	<b><u>Observed Maximum Nonlethal Dose (mg/kg)</u></b>	<b><u>Approximate Lethal Dose (mg/kg)</u></b>	<b><u>Noteworthy Findings</u></b>	<b><u>Study Number</u></b>
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Notes: (1) All single-dose toxicity studies should be summarized, in the same order as the CTD. Footnotes should be used to indicate special features, such as unusual duration, infusion rate, or age of test subjects.  
(2) International Nonproprietary Name (INN).

**2.6.7.6 Repeat-Dose Toxicity**

**Nonpivotal Studies (1)**

**Test Article: (2)**

<b><u>Species/ Strain</u></b>	<b><u>Method of Administration (Vehicle/ Formulation)</u></b>	<b><u>Duration of Dosing</u></b>	<b><u>Doses (mg/kg)</u></b>	<b><u>Gender and No. per Group</u></b>	<b><u>NOAEL<sup>a</sup> (mg/kg)</u></b>	<b><u>Noteworthy Findings</u></b>	<b><u>Study Number</u></b>
-----------------------------------	---	--------------------------------------	---------------------------------	--	---	-----------------------------------	--------------------------------

- Notes: (1) All repeat-dose toxicity studies (including all range-finding toxicity studies), other than the definitive GLP studies specified by ICH Guidance M3 Nonclinical Safety Studies for the Conduct of Human Clinical Trials for Pharmaceuticals (November 1997), should be summarized in the same order as the CTD. Footnotes should be used to indicate special features, such as unusual age of test subjects.
- (2) International Nonproprietary Name (INN).

---

a - No Observed Adverse Effect Level.

**2.6.7.7 (1) Repeat-Dose Toxicity (2) Report Title:** **Test Article: (3)**

**Species/Strain:** **Duration of Dosing:** **Study No.**  
**Initial Age:** **Duration of Postdose:** **Location in CTD: Vol. Page**  
**Date of First Dose:** **Method of Administration:** **GLP Compliance:**  
**Vehicle/Formulation:**

**Special Features:**  
**No Observed Adverse Effect Level:**

<b>Daily Dose (mg/kg)</b>	<u>0 (Control)</u>							
<b>Number of Animals</b>	<u>M:</u>	<u>F:</u>	<u>M:</u>	<u>F:</u>	<u>M:</u>	<u>F:</u>	<u>M:</u>	<u>F:</u>
<b>Toxicokinetics: AUC ( ) (4)</b>	(5)							
<b><u>Noteworthy Findings</u></b>								
<b>Died or Sacrificed Moribund</b>								
<b>Body Weight (%<sup>a</sup>)</b>								
<b>Food Consumption (%<sup>a</sup>)</b>	(5)							
<b>Water Consumption ( )</b>	(5)							
<b>Clinical Observations</b>								
<b>Ophthalmoscopy</b>								
<b>Electrocardiography</b>								

- No noteworthy findings.      + Mild      ++ Moderate      +++ Marked      (6)

(7) \* - p<0.05      \*\* - p<0.01

a - At end of dosing period. For controls, group means are shown. For treated groups, percent differences from controls are shown. Statistical significance is based on actual data (not on the percent differences).

(Continued)

**2.6.7.7 (1) Repeat-Dose Toxicity**

**Study No. (Continued)**

<b>Daily Dose (mg/kg)</b>	<u>0 (Control)</u>							
<b>Number of Animals</b>	<u>M:</u>	<u>F:</u>	<u>M:</u>	<u>F:</u>	<u>M:</u>	<u>F:</u>	<u>M:</u>	<u>F:</u>
<b>Hematology</b>								
<b>Serum Chemistry</b>								
<b>Urinalysis</b>								
<b>Organ Weights<sup>a</sup> (%)</b>								
<b>Gross Pathology</b>								
<b>Histopathology</b>								
<b>Additional Examinations</b>								
<b>Postdose Evaluation:</b>								
<b>Number Evaluated</b>								
(8) (9)								

- No noteworthy findings.

(7) \* - p<0.05      \*\* - p<0.01

a - Both absolute and relative weights differed from controls in the direction indicated. Number indicates percent difference for the absolute organ weights.

---

Notes for Table 2.6.7.7

- (1) *The tables should be numbered consecutively (e.g., 2.6.7.7A, 2.6.7.7B, 2.6.7.7C).*
- (2) *There should be one table for each of the repeat-dose toxicity studies specified by ICH Guidance M3 Nonclinical Safety Studies for the Conduct of Human Clinical Trials for Pharmaceutics (November 1997), as well as any other repeat-dose toxicity studies that could be considered pivotal.*
- (3) *International Nonproprietary Name (INN).*
- (4) *Steady state AUC, C<sub>max</sub>, C<sub>ss</sub>, or other toxicokinetic information supporting the study. If from a separate study, the study number should be given in a footnote.*
- (5) *ONLY NOTEWORTHY FINDINGS SHOULD BE PRESENTED. If additional parameters (other than those in the template) showed noteworthy changes, these should be added to the tables. In general, data at end of dosing period can be shown; however, if there were additional noteworthy findings at earlier timepoints, these should be included. Footnotes should be used as needed to provide additional information about the tests or the results.*
- (6) *Or other scale, as appropriate.*
- (7) *Methods of statistical analyses should be indicated.*
- (8) *All parameters that still show drug-related changes should be listed. This section should be deleted if the study does not include a postdose evaluation.*
- (9) *When appropriate, information on animals that were necropsied early should be presented separately.*



**2.6.7.8 (1) Genotoxicity: In Vitro**

**Report Title:**

**Test Article: (2)**

**Test for Induction of:**

**No. of Independent Assays:**

**Study No.**

**Strains:**

**No. of Replicate Cultures:**

**Location in CTD: Vol. Page**

**Metabolizing System:**

**No. of Cells Analyzed/Culture:**

**Vehicles: For Test Article:**

**For Positive Controls:**

**GLP Compliance:**

**Treatment:**

**Date of Treatment:**

**Cytotoxic Effects:**

**Genotoxic Effects:**

Metabolic Activation	Test Article	Concentration or Dose Level (3)					
Without Activation							
		(4)					
With Activation							

- Notes: (1) The tables should be numbered consecutively (e.g., 2.6.7.8A, 2.6.7.8B). Results of replicate assays should be shown on subsequent pages.  
 (2) International Nonproprietary Name (INN).  
 (3) Units should be inserted.  
 (4) If precipitation is observed, this should be indicated in a footnote.  
 (5) Methods of statistical analyses should be indicated.

(5) \* - p<0.05      \*\* - p<0.01

**2.6.7.9 (1) Genotoxicity: In Vivo**

**Report Title:**

**Test Article: (2)**

**Test for Induction of:**  
**Species/Strain:**  
**Age:**  
**Cells Evaluated:**  
**No. of Cells Analyzed/Animal:**  
**Special Features:**  
**Toxic/Cytotoxic Effects:**  
**Genotoxic Effects:**  
**Evidence of Exposure:**

**Treatment Schedule:**  
**Sampling Time:**  
**Method of Administration:**  
**Vehicle/Formulation:**

**Study No.**  
**Location in CTD: Vol. Page**  
  
**GLP Compliance:**  
**Date of Dosing:**

<u>Test Article</u>	<u>Dose (mg/kg)</u>	<u>No. of Animals</u>	_____	_____	_____	_____
---------------------	---------------------	-----------------------	-------	-------	-------	-------

*Notes: (1) The tables should be numbered consecutively (e.g., 2.6.7.9A, 2.6.7.9B).  
 (2) International Nonproprietary Name (INN).  
 (3) Methods of statistical analysis should be indicated.*

(3) \* - p<0.05      \*\* - p<0.01).

**2.6.7.10 (1) Carcinogenicity**

**Report Title:**

**Test Article: (2)**

**Species/Strain:**  
**Initial Age:**  
**Date of First Dose:**

**Duration of Dosing:**  
**Method of Administration:**  
**Vehicle/Formulation:**  
**Treatment of Controls:**

**Study No.**  
**Location in CTD: Vol. Page**

**Basis for High-Dose Selection: (3)**  
**Special Features:**

**GLP Compliance:**

<b>Daily Dose (mg/kg)</b>	<u>0 (Control)</u>						
<b>Gender</b>	<u>M</u>	<u>F</u>	<u>M</u>	<u>F</u>	<u>M</u>	<u>F</u>	<u>M</u> <u>F</u>
<b>Toxicokinetics: AUC ( ) (4)</b>							
<b>Number of Animals</b>							
<b>At Start</b>							
<b>Died/Sacrificed Moribund</b>							
<b>Terminal Sacrifice</b>							
<b>Survival (%)</b>	(5)						
<b>Body Weight (%<sup>a</sup>)</b>							
<b>Food Consumption (%<sup>a</sup>)</b>							

(6) \* - p<0.05      \*\* - p<0.01

a - At 6 months. For controls, group means are shown. For treated groups, percent differences from controls are shown. Statistical significance is based on actual data (not on the percent differences). (Continued)

**2.6.7.10 (I) Carcinogenicity**

**Study No. (Continued)**

<b>Daily Dose (mg/kg)</b>	<u>          </u> (Control)		<u>          </u> 0 (Control)							
<b>Number Evaluated</b>	<u>M:</u>	<u>F:</u>	<u>M:</u>	<u>F:</u>	<u>M:</u>	<u>F:</u>	<u>M:</u>	<u>F:</u>	<u>M:</u>	<u>F:</u>
<b>Number of Animals</b>										
<b>with Neoplastic Lesions :</b>										
(7)										
<b>Noteworthy Findings:</b>										
<b>Gross Pathology</b>										
<b>Histopathology - Non-Neoplastic</b>										
<b>Lesions</b>										

- No noteworthy findings.  
 \* - p<0.05      \*\* - p<0.01

Notes for Table 2.6.7.10

- (1) Tables should be numbered consecutively (e.g., 2.6.7.10A, 2.6.7.10B). There should be one table for each carcinogenicity study.
- (2) International Nonproprietary Name (INN).
- (3) From ICH Guidance S1C Dose Selection for Carcinogenicity Studies of Pharmaceuticals (March 1995).
- (4) Steady state AUC, C<sub>max</sub>, C<sub>ss</sub>, or other toxicokinetic information supporting the study. If the information is from a separate study, the Study Number should be given in a footnote.
- (5) If additional parameters showed drug-related changes, these should be added to the tables. Footnotes should be used as needed to provide additional information about the tests or the results.
- (6) Methods of statistical analysis should be indicated.
- (7) Drug-related lesions should be listed first. Then other lesions should be listed by alphabetically ordered organs and/or tissues.

**2.6.7.11 Reproductive and Developmental Toxicity**

**Nonpivotal Studies (1)**

**Test Article: (2)**

<b><u>Species/ Strain</u></b>	<b><u>Method of Administration (Vehicle/ Formulation)</u></b>	<b><u>Dosing Period</u></b>	<b><u>Doses mg/kg</u></b>	<b><u>No. per Group</u></b>	<b><u>Noteworthy Findings</u></b>	<b><u>Study Number</u></b>
-----------------------------------	---	---------------------------------	-------------------------------	-----------------------------	-----------------------------------	--------------------------------

*Notes: (1) All reproduction toxicity studies (including all relevant range-finding studies), other than the definitive GLP studies specified by M3 Nonclinical Safety Studies for the Conduct of Human Clinical Trials for Pharmaceuticals, November 1997, should be summarized in the same order as the CTD. However, investigative studies should be summarized using a more detailed template.*

*(2) International Nonproprietary Name (INN).*

**2.6.7.12 (1) Reproductive and Developmental Toxicity -**

**Report Title:**

**Test Article: (2)**

**Fertility and Early Embryonic  
Development to Implantation (3)**

**Design similar to ICH 4.1.1.1?**

**Duration of Dosing:M:**

**Study No.**

**Species/Strain: Day of Mating: (8)F:**

**Location in CTD: Vol. Page**

**Initial Age:**

**Day of C-Section:**

**Date of First Dose:**

**Method of Administration:**

**GLP Compliance:**

**Special Features:**

**Vehicle/Formulation:**

**No Observed Adverse Effect Level:**

**F<sub>0</sub> Males:**

**F<sub>0</sub> Females:**

**F<sub>1</sub> Litters:**

**Daily Dose (mg/kg)**

**0 (Control)**

**Males Toxicokinetics: AUC ( ) (4)**

No. Evaluated

No. Died or Sacrificed Moribund

Clinical Observations

Necropsy Observations

Body Weight (%<sup>a</sup>)

Food Consumption (%<sup>a</sup>)

Mean No. Days Prior to Mating

No. of Males that Mated

No. of Fertile Males

(5)

-No noteworthy findings.      + Mild      ++Moderate      +++Marked (6)

(7) \*- p<0.05      \*\* - p<0.01

a - After 4 weeks of dosing. For controls, group means are shown. For treated groups, percent differences from controls are shown. Statistical significance is based on actual data (not on the percent differences). (Continued)

**2.6.7.12 (I) Reproductive and Developmental Toxicity**

**Study No. (Continued)**

**Daily Dose (mg/kg)**

**0 (Control)**

**Females** Toxicokinetics: AUC ( ) (4)

- No. Evaluated
- No. Died or Sacrificed Moribund
- Clinical Observations
- Necropsy Observations
- Premating Body Weight (%<sup>a</sup>)
- Gestation Body Weight (%<sup>a</sup>)
- Premating Food Consumption (%<sup>a</sup>)
- Gestation Food Consumption (%<sup>a</sup>)
- Mean No. Estrous Cycles/14 days
- Mean No. Days Prior to Mating
- No. of Females Sperm Positive
- No. of Pregnant Females
- No. Aborted or with Total Resorption of Litter
- Mean No. Corpora Lutea
- Mean No. Implantations
- Mean % Preimplantation Loss
- Mean No. Live Conceptuses
- Mean No. Resorptions
- No. Dead Conceptuses
- Mean % Postimplantation Loss

-No noteworthy findings.      + Mild      ++Moderate      +++Marked (6)

(7)\* - p<0.05      \*\* - p<0.01

a - At end of pre mating or gestation period. For controls, group means are shown. For treated groups, percent differences from controls are shown. Statistical significance is based on actual data (not on the percent differences).



Notes for Tables 2.6.7.12, 2.6.7.13, and 2.6.7.14

- (1) *If there are multiple studies of this type, the tables should be numbered consecutively (e.g., 2.6.7.12A, 2.6.7.12B, 2.6.7.13A, 2.6.7.13B).*
- (2) *International Nonproprietary Name (INN).*
- (3) *If a modified study design is used, tables should be modified accordingly.*
- (4) *Steady state AUC, C<sub>max</sub>, or other toxicokinetic information supporting the study. If the information is from a separate study, the study number should be given in a footnote.*
- (5) *POSSIBLE PRESENTATIONS OF THE RESULTS ARE SHOWN IN THESE TEMPLATES. DATA PRESENTATION SHOULD BE FLEXIBLE AND APPROPRIATE ACCORDING TO OPTIMAL STATISTICAL ANALYSIS AND THE DESIGN OF THE STUDY. If additional parameters showed drug-related changes, these should be added to the tables. Footnotes should be used as needed to provide additional information about the tests or the results.*
- (6) *Or other scale as appropriate.*
- (7) *Methods of statistical analysis should be indicated.*
- (8) *Day of mating should be indicated (e.g., Day 0 or Day 1).*

**2.6.7.13 (1) Reproductive and Developmental Toxicity -  
Effects on Embryofetal  
Development (3)**

**Report Title:**

**Test Article: (2)**

**Design similar to ICH 4.1.3?**

**Duration of Dosing:**

**Study No.**

**Day of Mating: (8)**

**Species/Strain:**

**Day of C-Section:**

**Location in CTD: Vol. Page**

**Initial Age:**

**Method of Administration:**

**Date of First Dose:**

**Vehicle/Formulation:**

**GLP Compliance:**

**Special Features:**

**No Observed Adverse Effect Level:**

**F<sub>0</sub> Females:**

**F<sub>1</sub> Litters:**

**Daily Dose (mg/kg)**

**0 (Control)**

**Dams/Does: Toxicokinetics: AUC () (4)**

No. Pregnant

No. Died or Sacrificed Moribund

(5)

No. Aborted or with Total Resorption of Litter

Clinical Observations

Necropsy Observations

Body Weight (%<sup>a</sup>)

Food Consumption (%<sup>a</sup>)

Mean No. Corpora Lutea

Mean No. Implantations

Mean % Preimplantation Loss

- No noteworthy findings.      + Mild      ++Moderate      +++Marked (6)      G = Gestation day

(7) \* - p<0.05      \*\* - p<0.01

a- At end of dosing period. For controls, group means are shown. For treated groups, percent differences from controls are shown. Statistical significance is based on actual data (not on the percent differences). (Continued)

**2.6.7.13 (1) Reproductive and Developmental Toxicity**

**Study No. (Continued)**

**Daily Dose (mg/kg)**

**0 (Control)**

Litters: No. Litters Evaluated  
No. Live Fetuses  
Mean No. Resorptions  
No. of Litters with Dead Fetuses  
Mean % Postimplantation Loss  
Mean Fetal Body Weight (g)  
Fetal Sex Ratios  
Fetal Anomalies:  
    Gross External  
    Visceral Anomalies  
    Skeletal Anomalies  
    Total Affected Fetuses (Litters)

- No noteworthy findings.  
\* - p<0.05   \*\* - p<0.01

**2.6.7.14 (1) Reproductive and Developmental Toxicity -  
Effects on Pre- and Postnatal  
Development, Including Maternal Function (3)**

**Report Title:**

**Test Article: (2)**

**Design similar to ICH 4.1.2?**

**Duration of Dosing:**

**Study No.**

**Day of Mating: (8)**

**Method of Administration:**

**Location in CTD: Vol. Page**

**Vehicle/Formulation:**

**Litters Culled/Not Culled:**

**GLP Compliance:**

**Species/Strain:**

**Initial Age**

**Date of First Dose:**

**Special Features:**

**No Observed Adverse Effect Level:**

**F<sub>0</sub> Females:**

**F<sub>1</sub> Males:**

**F<sub>1</sub> Females:**

**Daily Dose (mg/kg)**

**0 (Control)**

F<sub>0</sub> Females: Toxicokinetics: AUC ( ) (4)

No. Pregnant

No. Died or Sacrificed Moribund

No. Aborted or with Total Res. of Litter

Clinical Observations

Necropsy Observations

Gestation Body Weight (%<sup>a</sup>) (5)

Lactation Body Weight (%<sup>a</sup>)

Gestation Food Consumption (%<sup>a</sup>)

Lactation Food Consumption (%<sup>a</sup>)

Mean Duration of Gestation (days)

Abnormal Parturition

- No noteworthy findings. + Mild ++Moderate +++Marked (6) G = Gestation day L = Lactation day

(7) \* - p<0.05 \*\* - p<0.01

a -At end of gestation or lactation. For controls, group means are shown. For treated groups, percent differences from controls are shown.  
Statistical significance is based on actual data (not on the percent differences). (Continued)

**2.6.7.14 (I) Reproductive and Developmental Toxicity**

**Study No. (Continued)**

**Daily Dose (mg/kg)**

**0 (Control)**

F<sub>1</sub> Litters:  
 (Preweaning) No. Litters Evaluated  
 Mean No. of Implantations  
 Mean No. Pups/Litter  
 Mean No. Liveborn Pups/Litter  
 No. of Litters with Stillborn Pups  
 Postnatal Survival to Day 4  
 Postnatal Survival to Weaning  
 No. of Total Litter Losses  
 Change in Pup Body Weights<sup>a</sup> (g)  
 Pup Sex Ratios  
 Pup Clinical Signs  
 Pup Necropsy Observations

F<sub>1</sub> Males:  
 (Postweaning) No. Evaluated Postweaning  
 Per Litter  
 No. Died or Sacrificed Moribund  
 Clinical Observations  
 Necropsy Observations  
 Body Weight Change<sup>b</sup> (g)  
 Food Consumption (%<sup>c</sup>)  
 Preputial Separation  
 Sensory Function  
 Motor Activity  
 Learning and Memory  
 Mean No. Days Prior to Mating  
 No. of Males that Mated  
 No. of Fertile Males

- No noteworthy findings. + Mild ++Moderate +++Marked (6)

(7)\* - p<0.05 \*\* - p<0.01

a - From birth to weaning.

b - From weaning to mating.

c - At end of postweaning period. For controls, group means are shown. For treated groups, percent differences from controls are shown. Statistical significance is based on actual data (not on the percent differences).

**2.6.7.14 (I) Reproductive and Developmental Toxicity**

**Study No. (Continued)**

**Daily Dose (mg/kg)**

**0 (Control)**

F<sub>1</sub> Females:  
 (Postweaning) No. Evaluated Postweaning  
 No. Died or Sacrificed Moribund  
 Clinical Observations  
 Necropsy Observations  
 Premating Body Weight Change<sup>a</sup> (g)  
 Gestation Body Weight Change (g)  
 Premating Food Consumption (%<sup>b</sup>)  
 Gestation Food Consumption (%<sup>b</sup>)  
 Mean Age of Vaginal Patency (days)  
 Sensory Function  
 Motor Activity  
 Learning and Memory  
 Mean No. Days Prior to Mating  
 No. of Females Sperm-Positive  
 No. of Pregnant Females  
 Mean No. Corpora Lutea  
 Mean No. Implantations  
 Mean % Preimplantation Loss

F<sub>2</sub> Litters:  
 Mean No. Live Conceptuses/Litter  
 Mean No. Resorptions  
 No. of Litter with Dead Conceptuses  
 No. Dead Conceptuses  
 Mean % Postimplantation Loss  
 Fetal Body Weights (g)  
 Fetal Sex Ratios (% males)  
 Fetal Anomalies

- No noteworthy findings.      + Mild      ++Moderate      +++Marked      (6)  
 (7)\* - p<0.05      \*\* - p<0.01

a - From weaning to mating

b - At end of premating or gestation period. For controls, group means are shown. For treated groups, percent differences from controls are shown. Statistical significance is based on actual data (not on the percent differences).

**2.6.7.14 (I) Reproductive and Developmental Toxicity**

**Study No. (Continued)**

**Daily Dose (mg/kg)**

**0 (Control)**

F<sub>1</sub> Females:  
 (Postweaning) No. Evaluated Postweaning  
 No. Died or Sacrificed Moribund  
 Clinical Observations  
 Necropsy Observations  
 Premating Body Weight Change<sup>a</sup> (g)  
 Gestation Body Weight Change (g)  
 Premating Food Consumption (%<sup>b</sup>)  
 Gestation Food Consumption (%<sup>ab</sup>)  
 Mean Age of Vaginal Patency (days)  
 Sensory Function  
 Motor Activity  
 Learning and Memory  
 Mean No. Days Prior to Mating  
 No. of Females Sperm Positive  
 No. of Pregnant Females  
 Mean Duration of Gestation  
 Abnormal Parturition

*Note: Alternate  
 Format for  
 Natural  
 Parturition.*

F<sub>2</sub> Litters:  
 No. Litters Evaluated  
 Mean No. of Implantations  
 Mean No. Pups/Litter  
 Mean No. Liveborn Pups/Litter  
 Mean No. Stillborn Pups/Litter  
 Postnatal Survival to Day 4  
 Postnatal Survival to Weaning  
 Change in Pup Body Weights<sup>a</sup> (g)  
 Pup Sex Ratios  
 Pup Clinical Signs  
 Pup Necropsy Observations

- No noteworthy findings.      + Mild      ++Moderate      +++Marked      (6)  
 (7)\* - p<0.05      \*\* - p<0.01

a - From birth to mating.

b - At end of premating or gestation period. For controls, group means are shown. For treated groups, percent differences from controls are shown. Statistical significance is based on actual data (not on the percent differences).

**2.6.7.16 Local Tolerance (1)**

**Test Article: (2)**

<b><u>Species/ Strain</u></b>	<b><u>Method of Administration</u></b>	<b><u>Doses (mg/kg)</u></b>	<b><u>Gender and No. per Group</u></b>	<b><u>Noteworthy Findings</u></b>	<b><u>Study Number</u></b>
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*Notes: (1) All local tolerance studies should be summarized.  
(2) International Nonproprietary Name (INN).*



**2.6.7.17 Other Toxicity Studies (1)**

**Test Article: (2)**

<u>Species/ Strain</u>	<u>Method of Administration</u>	<u>Duration of Dosing</u>	<u>Doses (mg/kg)</u>	<u>Gender and No. per Group</u>	<u>Noteworthy Findings</u>	<u>Study Number</u>
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*Notes:* (1) All supplementary toxicity studies should be summarized.  
(2) International Nonproprietary Name (INN)

**APPENDIX C: THE NONCLINICAL TABULATED SUMMARIES — EXAMPLES**

(The following examples correspond to the templates in Appendix B; examples are not provided for the templates Studies in Juvenile Animals or Local Tolerance)

EXAMPLE

**2.6.3.1 Pharmacology**

**Overview**

**Test Article:** Curitol Sodium

<b><u>Type of Study</u></b>	<b><u>Test System</u></b>	<b><u>Method of Administration</u></b>	<b><u>Testing Facility</u></b>	<b><u>Study Number</u></b>	<b><u>Location Vol.</u></b>	<b><u>Page</u></b>
<b>Primary Pharmacodynamics</b>						
Antiviral activity vs. VZV	Human embryonic lung fibroblasts	In vitro	Sponsor Inc.	95401	1	1
Antiviral activity vs. VZV	Clinical isolates	In vitro	Sponsor Inc.	95402	1	20
Antiviral activity vs. HSV	Human embryonic lung fibroblasts	In vitro	Sponsor Inc.	95406	1	30
Antiviral activity vs. CMV	Human embryonic lung fibroblasts	In vitro	Sponsor Inc.	95408	1	45
Antiviral activity vs. VZV	ICR mice	Gavage	Sponsor Inc.	95411	1	55
Antiviral activity vs. SVV	African Green monkeys	Nasogastric Intubation	Sponsor Inc.	95420	1	100
<b>Secondary Pharmacodynamics</b>						
Antimicrobial activity	Gram positive and gram negative bacteria; yeasts	In vitro	Sponsor Inc.	95602	1	200
<b>Safety Pharmacology</b>						
Effects on central nervous system <sup>a</sup>	Mice, rats, rabbits, and cats	Gavage	Sponsor Inc.	95703	2	1
Effects on cardiovascular system	Dogs	Gavage, i.v.	Sponsor Inc.	95706	2	75
<b>Pharmacodynamic Drug Interactions</b>						
Interactions with anti-HIV activity of AZT	Human T lymphocytes	In vitro	Sponsor Inc.	95425	2	200

a - Report contains a GLP Compliance Statement.

EXAMPLE

2.6.3.4 Safety Pharmacology

Test Article: Curitol Sodium

<b><u>Organ Systems Evaluated</u></b>	<b><u>Species/ Strain</u></b>	<b><u>Method of Admin.</u></b>	<b><u>Doses<sup>a</sup> (mg/kg)</u></b>	<b><u>Gender and No. per Group</u></b>	<b><u>Noteworthy Findings</u></b>	<b><u>GLP Compliance</u></b>	<b><u>Study Number</u></b>
CNS	CD-1 Mice	Gavage	0, 10, 50, 250	10M	Slight prolongation of hexobarbital anesthesia ( $\geq 10$ mg/kg). No analgesic, anticonvulsive, or cataleptic properties. No effects on coordination, traction, or spontaneous motility.	Yes	92201
Renal, GI, CNS, and Hemostasis	CD-1 Mice	Gavage	0, 10, 50, 250	6M	Slight increases in urinary excretion of sodium and potassium ( $\geq 50$ mg/kg). No effects on GI transit time (charcoal meal), pupillary diameter, blood coagulation time, or urine volume.	No	92205
Cardiovascular	Mongrel Dogs	Intravenous	0, 3, 10, 30	3M	Dose-related transient decreases in blood pressure and increases in heart rate and respiratory rate (all doses). Minor ECG changes at 30 mg/kg. No effects on cardiac output, stroke volume, or total peripheral resistance.	Yes	92210

a - Single dose unless specified otherwise.

		EXAMPLE				
2.6.5.1 Pharmacokinetics				<u>Overview</u>	Test Article: Curitol Sodium	
<u>Type of Study</u>	<u>Test System</u>	<u>Method of Administration</u>	<u>Testing Facility</u>	<u>Study Number</u>	<u>Location Vol.</u>	<u>Page</u>
<b>Absorption</b>						
Absorption and excretion	Rats	Gavage, i.v.	Sponsor Inc.	93302	1	1
Absorption and excretion	Dogs	Gavage, i.v.	Sponsor Inc.	93304	1	25
Absorption and excretion	Monkeys	Gavage, i.v.	Sponsor Inc.	93306	1	50
<b>Distribution</b>						
Single-dose tissue distribution	Rats	Gavage	Sponsor Inc.	93307	1	100
Repeat-dose tissue distribution	Rats	Gavage	Sponsor Inc.	93308	1	125
Plasma protein binding	Mice, rats, dogs,	In vitro	Sponsor Inc.	93311	1	150
Plasma protein binding	monkeys, Humans, rats, dogs	Tablets/Gavage/ Capsules	Sponsor Inc.	93312	1	200
<b>Metabolism</b>						
Metabolites in blood, urine, and feces	Rats	Gavage	Sponsor Inc.	93402	1	250
Metabolites in blood, urine, and feces	Dogs	Gavage	Sponsor Inc.	93407	1	300
<b>Excretion</b>						
Absorption and excretion	Rats	Gavage, i.v.	Sponsor Inc.	93302	1	1
Absorption and excretion	Dogs	Gavage, i.v.	Sponsor Inc.	93304	1	25
Absorption and excretion	Monkeys	Gavage, i.v.	Sponsor Inc.	93306	1	50
<b>Pharmacokinetic Drug Interactions</b>						
Interaction with AZT <sup>a</sup>	Rats	Gavage	Sponsor Inc.	94051	1	350

a - Report contains a GLP Compliance Statement.

EXAMPLE

2.6.5.3 Pharmacokinetics: Absorption After a Single Dose

Test Article: Curitol Sodium

Location in CTD Volume 1, Page 258

Study number 95104

	<u>Mouse</u>	<u>Rat</u>	<u>Dog</u>	<u>Monkey</u>	<u>Human</u>
Species	4M	3M	4F	2M	6M
Gender (M/F)/Number of animals	Fed	Fasted	Fasted	Fed	Fasted
Feeding condition	Suspension	Suspension	Capsule	Suspension	Tablet
Vehicle/Formulation	10% acacia	10% acacia		10% acacia	
Method of Administration	Gavage	Gavage	Capsule	Gavage	Oral
Dose (mg/kg)	15	8	5	5	4 mg
Sample (e.g., whole blood, plasma, serum)	Plasma	Plasma	Plasma	Plasma	Plasma
Analyte	TRA <sup>a</sup>	MM-180801	MM-180801	MM-180801	MM-180801
Assay	LSC	HPLC	HPLC	HPLC	HPLC
<b>PK parameters:</b>					
T <sub>max</sub> (hr)	4.0	1.0	3.3	1.0	6.8
C <sub>max</sub> (ng/ml or ng-eq/ml)	2,260	609	172	72	8.2
AUC (ng or ng-eq x hr/ml)	15,201	2,579	1,923	582	135
(Time for calculation – hr)	(0-72)	(0-24)	(0.5-48)	(0-12)	(0-24)
T 1/2 (hr)	10.6	3.3	9.2	3.2	30.9
(Time for calculation – hr)	(7-48)	(1-24)	(24-96)	(1-12)	(24-120)

**Additional Information:**

A single oral dose was well absorbed in mice, rats, dogs, and monkeys.

In a study examining the concentration of compound in the portal vein and inferior vena cava, 30 minutes after a dose to rats, the concentration of compound was approximately 15-fold higher in the portal circulation compared to systemic circulation. This result indicated extensive metabolism and/or biliary secretion of compound in the rat.

a - Total radioactivity, <sup>14</sup>C

EXAMPLE

Format A

2.6.5.5 Pharmacokinetics: Organ Distribution

**Test Article:** Curitol Sodium  
**Location in CTD:** Vol.21 Page 1  
**Study No.** 95207

**Species:** Rat  
**Gender (M/F)/Number of animals:** 3M/each time point  
**Feeding condition:** Fasted  
**Vehicle/Formulation:** Solution/Water  
**Method of Administration:** Oral Gavage  
**Dose (mg/kg):** 10  
**Radionuclide:** <sup>14</sup>C  
**Specific Activity:** 2x10<sup>5</sup> Bq/mg  
**Sampling time:** 0.25, 0.5, 2, 6, 24, 96, and 192 hr

Tissues/organs	Concentration (mcg/mL)					t <sub>1/2</sub>
	0.25	0.5	2	6	24	
Blood	9.2	3.7	1.8	0.9	0.1	
Plasma	16.5	7.1	3.2	1.6	0.2	
Brain	0.3	0.3	0.2	0.1	nd	
Lung	9.6	14.1	7.3	2.9	0.1	
Liver	73.0	54.5	19.9	12.4	3.2	
Kidney	9.6	13.2	4.9	3.8	0.6	
Testis	0.3	0.5	0.6	0.5	0.1	
Muscle	1.0	1.2	0.8	0.3	nd	

**Additional information:**

Tissues and organs such as the heart, thymus, adrenal, spleen, stomach, intestine.....are examined but not shown.

nd = Not detected.

EXAMPLE

Alternate Format B

2.6.5.5 Pharmacokinetics: Organ Distribution

**Test Article:** Curitol Sodium  
**Location in CTD:** Vol. 21 Page 1  
**Study No.** 95207

**Species:** Rat  
**Gender (M/F) / Number of animals:** 3M/each time point  
**Feeding condition:** Fed  
**Vehicle/Formulation:** Solution/Saline  
**Method of Administration:** Intravenous  
**Dose (mg/kg):** 1  
**Radionuclide:** Nonlabeled compound  
**Specific Activity:** -  
**Analyte/Assay:** Unchanged compound (mcg/mL)/HPLC  
**Sampling time:** 10 min, 1, 4, 8, 24, 48, 96, and 168 hr

Tissues/organs	conc.	C <sub>1hr</sub> T/P <sup>1)</sup>	Last time point conc.	T/P <sup>1)</sup>	Time	AUC	t <sub>1/2</sub>
Heart	1.4	0.08	0.44	22	48	57.3	37.3
Liver	4.5	6	1.85	92.5	48	290	51.7
Kidney	2.8	0.20	1.07	53.5	48	126	36.3
Spleen	6.5	8.6	3.5	175	48	410	46.9

---

**Additional information:**

---

<sup>1)</sup> [Tissue]/[Plasma]

EXAMPLE

**2.6.5.6 Pharmacokinetics: Plasma Protein Binding**

**Test Article:** Curitol Sodium

**Study system:** In vitro

**Target entity, Test system and method:** Plasma, Ultrafiltration

<u>Species</u>	<u>Conc. tested</u>	<u>% Bound</u>	<u>Study</u>	<u>Location in CTD</u>	
			<u>No.</u>	<u>Vol.</u>	<u>Page</u>
<b>Rat</b>	1 - 100uM	82.1 - 85.4	95301	21	150
<b>Dog</b>	1 - 100uM	83.5 - 88.2	95301	21	150
<b>Human</b>	1 - 100uM	75.2 - 79.4	96-103-03	45	1

---

**Additional Information:**

---



EXAMPLE

**2.6.5.7 Pharmacokinetics: Study in Pregnant or Nursing Animals**

**Test Article:** Curitol Sodium  
**Location in CTD:** Vol. 22 Page 1  
**Study No.** 95702

**Placental transfer**

**Species:** Rat

**Gestation day/Number of animals:** 14 and 19 days gestation/3 animals at each time point

**Vehicle/Formulation:** Solution/Water

**Method of Administration:** Oral gavage

**Dose (mg/kg):** 5

**Analyte:** Total radioactivity, <sup>14</sup>C

**Assay:** LSC

<b>Time (hr.)</b>	<b>14 days/30 min.</b>	<b>14 days/24 hr.</b>	<b>19 days/30 min.</b>	<b>19 days/24 hr.</b>
<b>Concentration/Amount (% of dose)</b>				
Maternal plasma	12.4	0.32	13.9	0.32
Placenta	3.8	0.14	3.3	0.32
Amniotic fluid	0.07	0.04	0.04	0.13
Whole fetus	0.54	0.03	0.39	0.10

**Additional Information:**

Maternal blood, liver, kidney, ovary, uterus were also examined but not shown.

**Location in CTD:** Vol. 22 Page 102

**Excretion into milk** Study No. 95703

**Species:** Rat

**Lactating date/Number of animals:** day 7/3

**Feeding condition:** Fed

**Vehicle/Formulation:** Solution/Water

**Method of Administration:** Oral gavage

**Dose (mg/kg):** 5

**Analyte:** Total radioactivity, <sup>14</sup>C

**Assay:** LSC

<b>Time [hr]</b>	<b>1</b>	<b>2</b>	<b>4</b>	<b>6</b>	<b>8</b>	<b>24</b>
<b>Concentration:</b>						
Milk:	0.6	0.8	1.0	1.1	1.3	0.4
Plasma:	1.5	1.4	1.2	0.8	0.6	0.1
Milk/plasma:	0.40	0.57	0.83	1.4	2.2	4.0
Neonates						

**Additional Information:**

EXAMPLE

2.6.5.9 Pharmacokinetics: Metabolism In Vivo

Test Article: Curitol Sodium

Gender (M/F)/Number of animals: Rats: 4M Dogs: 3F Humans: 8M  
 Feeding condition: Fed  
 Vehicle/Formulation: Rats: Solution/water Dogs: Capsules Humans: 75 mg tablets  
 Method of Administration: Rats: Gavage\* Dogs: Oral Capsule\* Humans: Oral Tablet  
 Dose (mg/kg): Rats: 5 mg/kg Dogs: 5 mg/kg Humans: 75 mg  
 Radionuclide: <sup>14</sup>C  
 Specific Activity: 2 x 10<sup>5</sup> Bq/mg

Species	Sample	Sampling Time or Period	% of Dose in Sample	% of Compound in Sample			Study Number	Location in CTD	
				Parent	M1	M2		Vol.	Page
Rats	Plasma	0.5 hr	-	87.2	6.1	3.4	95076	26	101
	Urine	0-24 hr	2.1	0.6	n.d.	0.2			
	Bile	0-4 hr	28.0	15.5	7.2	5.1			
	Feces	-	-	-	-	-			
Dogs	Plasma	0.5 hr	-	92.8	n.d.	7.2	95082	26	301
	Urine	0-24 hr	6.6	6.4	n.d.	n.d.			
	Bile	0-4 hr	32.0	28.5	2.8	n.d.			
	Feces	-	-	-	-	-			
Humans	Plasma	1 hr	-	87.5	trace	12.5	CD-102	42	1
	Urine	0-24 hr	5.5	2.4	2.9	n.d.			
	Bile	-	-	-	-	-			
	Feces	-	-	-	-	-			

Additional Information

\* - Intraduodenal administration for collection of bile.  
 n.d. - None detected.

EXAMPLE

2.6.5.13 Pharmacokinetics: Excretion

Test Article: Curitol Sodium

Species	Rat			Rat			Dog			Dog		
	Gender (M/F)/Number of animals	4M	4M	Gender (M/F)/Number of animals	4M	4M	Gender (M/F)/Number of animals	3M	3M	Gender (M/F)/Number of animals	3M	3M
Feeding condition	Fasted	Fasted	Fasted	Fasted	Fasted	Fasted	Fasted	Fasted	Fasted	Fasted	Fasted	Fasted
Vehicle/Formulation	Solution	Solution	Solution	Solution	Solution	Solution	Capsule	Capsule	Capsule	Solution	Solution	Solution
Method of Administration	Water	Water	Water	Saline	Saline	Saline	Oral	Oral	Oral	Saline	Saline	Saline
Dose (mg/kg)	Oral	Oral	Oral	Intravenous	Intravenous	Intravenous	Oral	Oral	Oral	Intravenous	Intravenous	Intravenous
Analyte	10	10	10	5	5	5	10	10	10	5	5	5
Assay	TRA <sup>a</sup>	TRA <sup>a</sup>	TRA <sup>a</sup>	TRA <sup>a</sup>	TRA <sup>a</sup>	TRA <sup>a</sup>	TRA <sup>a</sup>	TRA <sup>a</sup>	TRA <sup>a</sup>	TRA <sup>a</sup>	TRA <sup>a</sup>	TRA <sup>a</sup>
Excretion route	LSC	LSC	LSC	LSC	LSC	LSC	LSC	LSC	LSC	LSC	LSC	LSC
Time	Urine	Feces	Total	Urine	Feces	Total	Urine	Feces	Total	Urine	Feces	Total
0 - 24 hr	26	57	83	22	63	85	20	29	49	23	42	65
0 - 48 hr	30	65	95	27	69	96	25	65	90	28	78	96
0 - 72 hr	31	65	97	28	70	98	26	73	99	29	72	101
0 - 96 hr	31	67	98	29	70	99	26	74	100	29	73	102

Study number

95102

95156

Location in CTD

Volume 20, Page 75

Volume 20, Page 150

Additional Information:

a - Total radioactivity; percent recovery, <sup>14</sup>C

EXAMPLE

2.6.5.14 Pharmacokinetics: Excretion into Bile

Test Article: Curitol Sodium

	<u>Rat</u>			<u>Rat</u>		
	4M			4M		
	Fasted			Fasted		
	Solution			Solution		
	Water			Saline		
	Oral			Intravenous		
	10			5		
	TRA <sup>a</sup>			TRA <sup>a</sup>		
	LSC			LSC		
Excretion route	<u>Bile</u>	<u>Urine</u>	<u>Total</u>	<u>Bile</u>	<u>Urine</u>	<u>Total</u>
Time						
0 - 2 hr	37	-	37	75	-	75
0 - 4 hr	50	-	50	82	-	82
0 - 8 hr	62	-	62	86	-	86
0 - 24 hr	79	9	86	87	11	98
0 - 48 hr	83	10	93	88	11	99

Study number 95106

Location in CTD Volume 20, Page 150

a - Total radioactivity; percent recovery, <sup>14</sup>C

EXAMPLE

2.6.7.1 Toxicology

Overview

Test Article: Curitol Sodium

<u>Type of Study</u>	<u>Species and Strain</u>	<u>Method of Administration</u>	<u>Duration of Dosing</u>	<u>Doses (mg/kg<sup>a</sup>)</u>	<u>GLP Compliance</u>	<u>Testing Facility</u>	<u>Study Number</u>	<u>Location Vol.</u>	<u>Page</u>
<b>Single-Dose Toxicity</b>	CD-1 Mice	Gavage	-	0, 1000, <u>2000</u> , 5000	Yes	Sponsor Inc.	96046	1	1
		Intravenous	-	0, <u>100</u> , 250, 500	Yes	CRO Co.	96047	1	100
	Wistar Rats	Gavage	-	0, <u>1000</u> , 2000, 5000	Yes	Sponsor Inc.	96050	1	200
		Intravenous	-	0, 100, <u>250</u> , 500	Yes	CRO Co.	96051	1	300
<b>Repeat-Dose Toxicity</b>	CD-1 Mice	Diet	3 Months	0, 62.5, <u>250</u> , 1000, 4000, 7000	Yes	CRO Co.	94018	2	1
	Wistar Rats	Diet	2 Weeks	0, <u>1000</u> , 2000, 4000	No	Sponsor Inc.	94019	3	1
		Gavage	2 Weeks	0, <u>500</u> , 1000, 2000	No	Sponsor Inc.	94007	3	200
		Gavage	3 Months	0, <u>200</u> , 600, 1800	Yes	Sponsor Inc.	94214	4	1
		Gavage	6 Months	0, 100, <u>300</u> , 900	Yes	Sponsor Inc.	95001	5	1
	Beagle Dogs	Capsules	1 Month	0, 10, <u>40</u> , 100	Yes	Sponsor Inc.	94020	6	1
		Capsules	9 Months	0, <u>5</u> , 20, 50	Yes	Sponsor Inc.	96041	7	1
	Cynomolgus Monkeys	Gavage	5 Days	0, <u>500</u> , 1000	No	CRO Co.	94008	8	1
<b>Genotoxicity</b>	S. typhimurium and E. coli	In Vitro	-	0, 500, 1000, 2500, and/or 5000 mcg/plate	Yes	Sponsor Inc.	96718	9	1
	Human Lymphocytes	In Vitro	-	0, 2.5, 5, 10, 20, and 40 mcg/ml	Yes	CRO Co.	97634	9	100
	Wistar Rats	Gavage	3 Days	0, 1000, 2000	Yes	Sponsor Inc.	96037	9	200

a - Unless otherwise specified. For Single-Dose Toxicity and Repeat-Dose Toxicity, the highest No Observed Adverse Effect Level (NOAEL) is underlined.

(Continued)

EXAMPLE

2.6.7.1 Toxicology

Overview (Continued)    Test Article: Curitol Sodium

<u>Type of Study</u>	<u>Species and Strain</u>	<u>Method of Administration</u>	<u>Duration of Dosing</u>	<u>Doses (mg/kg)</u>	<u>GLP Compliance</u>	<u>Testing Facility</u>	<u>Study Number</u>	<u>Location Vol.</u>	<u>Page</u>
<b>Carcinogenicity</b>	CD-1 Mice	Diet	21 Months	0, 0, 25, 100, 400	Yes	CRO Co.	95012	10	1
	Wistar Rats	Gavage	24 Months	0, 0, 25, 100, 400	Yes	Sponsor Inc.	95013	12	1
<b>Reproduction Toxicity</b>	Wistar Rats	Gavage	a	0, 5, 30, 180	Yes	CRO Co.	96208	14	1
	Wistar Rats	Gavage	F: G6 - G15 <sup>b</sup>	0, 10, 100, 1000	Yes	Sponsor Inc.	94211	15	1
	NZW Rabbits	Gavage	F: G6 - G18 <sup>b</sup>	0, 1, 5, 25	Yes	CRO Co.	97028	16	1
	Wistar Rats	Gavage	F: G6 - L21 <sup>b</sup>	0, 7.5, 75, 750	Yes	Sponsor Inc.	95201	17	1
<b>Local Tolerance</b>	NZW Rabbits	Dermal	1 Hour	0, 15 mg	No	Sponsor Inc.	95015	18	1
<b>Other Toxicity Studies</b>									
<b>Antigenicity</b>	Guinea Pigs	Subcutaneous	Weekly for 3 weeks	0, 5 mg	No	CRO Co.	97012	18	20
<b>Impurities</b>	Wistar Rats	Gavage	2 Weeks	0, 1000, 2000	Yes	Sponsor Inc.	97025	18	200

a - Males: 4 weeks prior to mating. Females - 2 weeks prior to mating through Gestation Day 7.

b - G = Gestation Day    L = Lactation Day

EXAMPLE

**2.6.7.2 Toxicokinetics**

**Overview of Toxicokinetics Studies**

**Test Article:** Curitol Sodium

<b><u>Type of Study</u></b>	<b><u>Test System</u></b>	<b><u>Method of Administration</u></b>	<b><u>Doses (mg/kg)</u></b>	<b><u>GLP Compliance</u></b>	<b><u>Study Number</u></b>	<b><u>Location Vol.</u></b>	<b><u>Page</u></b>
Three-month range-finding study	Mice	Diet	62.5, 250, 1000, 4000, 7000	Yes	94018	2	1
Two-week toxicity study	Rats	Gavage	500, 1000, 2000	No	94007	3	200
Six-month toxicity study	Rats	Gavage	100, 300, 900	Yes	95001	5	1
One-month toxicity study	Dogs	Capsules	10, 40, 100	Yes	94020	6	1
Nine-month toxicity study	Dogs	Capsules	5, 20, 50	Yes	96041	7	1
Carcinogenicity study	Mice	Diet	25, 100, 400	Yes	95012	10	1
Carcinogenicity study	Rats	Gavage	25, 100, 400	Yes	95013	12	1
Toxicokinetics study	Rabbits	Gavage	1, 5, 25	No	97231	16	1

EXAMPLE

2.6.7.3 Toxicokinetics

Overview of Toxicokinetics Data

Test Article: Curitol Sodium

Daily Dose (mg/kg)	Steady State AUC (mcg-hr/ml)						
	Mice <sup>a</sup>		Rats <sup>b</sup>		Dogs <sup>c</sup>	Female Rabbits <sup>b</sup>	Humans <sup>f</sup>
	M	F	M	F			
1						9	3
5					3	25	
10					4		
20					10		
25	10	12	6	8		273	
40					10		
50					12		
62.5	35	40					
100	40	48	25 <sup>d</sup> , 20 <sup>e</sup>	27 <sup>d</sup> , 22 <sup>e</sup>	40		
250	120	135					
300			68	72			
400	815	570	90	85			
500			125	120			
900			200	190			
1000	2,103	1,870	250	240			
2000			327	321			
4000	4,975	3,987					
7000	8,241	7,680					

a - In diet.

b - By gavage.

c - In capsules. Males and females combined.

d - Six-month toxicity study.

e - Carcinogenicity study.

f - Protocol 147-007.

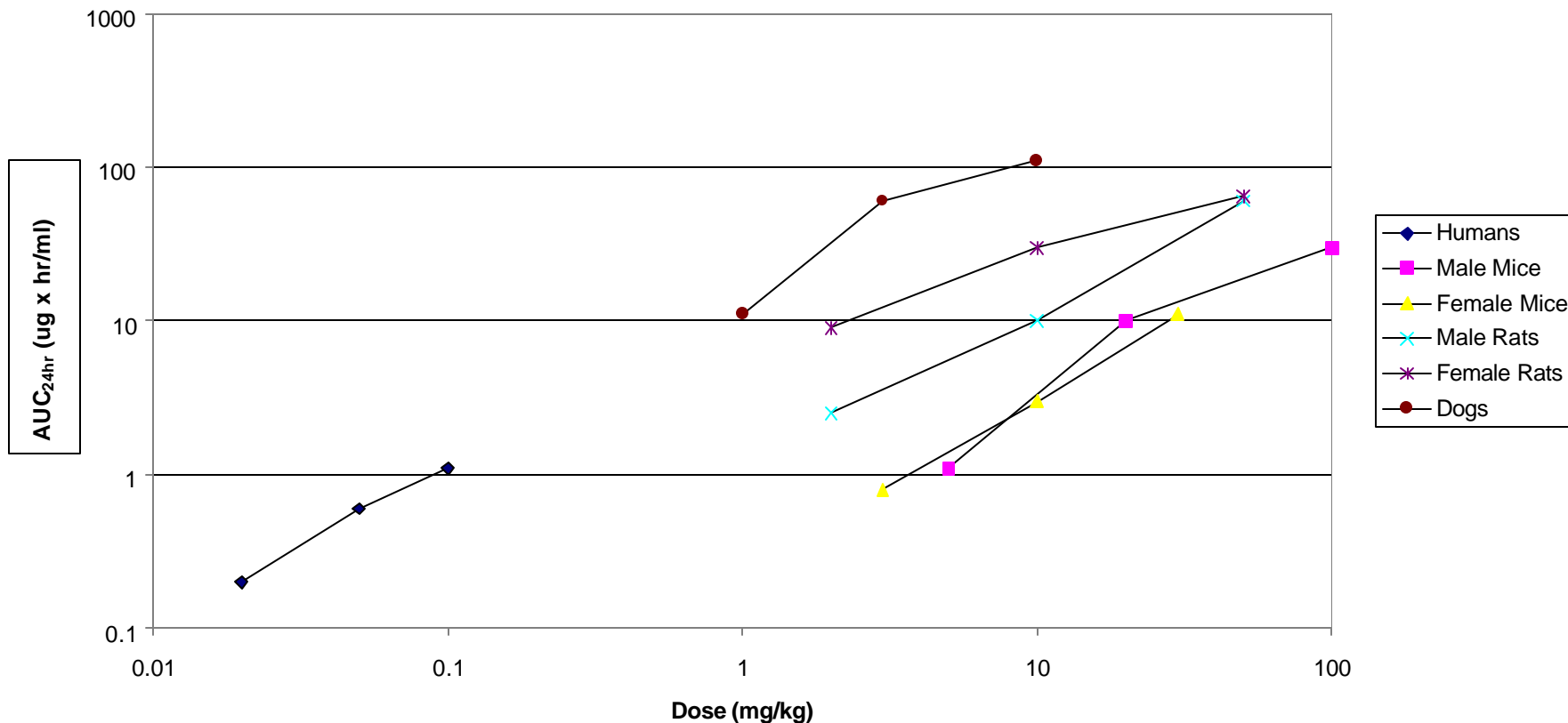


**EXAMPLE**

**2.6.7.3 Toxicokinetics**

**Overview of Toxicokinetics Data**

**Test Article : Curitol Sodium**



Steady state AUC<sub>24hr</sub> values of unchanged MM-180801 in humans after repeated oral administration of 1, 2.5, and 5 mg OD, in comparison with those in mice in the carcinogenicity study, rats in the 6-month toxicity study, and dogs in the 9-month toxicity study.

2.6.7.4 Toxicology		EXAMPLE Drug Substance			Test Article: Curitol Sodium	
Batch No.	Purity (%)	Specified Impurities <sup>a</sup>			Study Number	Type of Study
		A	B	C		
PROPOSED SPECIFICATION:	>95	≤ 0.1	≤ 0.2	≤ 0.3	-	-
LN125	98.2	0.1	0.1	0.2	94007 94008 96718	Two-Week Oral Range-Finding Study in Rats Five-Day Oral Range-Finding Study in Monkeys Ames Test
94NA103	99.1	0.2	0.1	0.2	96046 96050 94214 94020 97634	Single-Dose Oral Study in Mice Single-Dose Oral Study in Rats Three-Month Oral Study in Rats One-Month Oral Study in Dogs Human Lymphocytes Assay In Vitro
95NA215	97.3	0.1	0.3	0.1	96047 96051 96037 94211 97028	Single-Dose Intravenous Study in Mice Single-Dose Intravenous Study in Rats Micronucleus Test in Rats Embryofetal Development Study in Rats Embryofetal Development Study in Rabbits
95NB003	94.6	0.2	0.3	0.4	94019 97012	Two-Week Palatability Study in Rats Antigenicity Study in Hamsters
96NB101	99.0	0.4	0.1	0.0	94018 95001 95002 95012 95013 96208 95015	Three-Month Dietary Range-Finding Study in Mice Six-Month Oral Study in Rats One-Year Oral Study in Dogs Dietary Carcinogenicity Study in Mice Oral Carcinogenicity Study in Rats Fertility and Early Embryonic Development Study in Rats Dermal Irritation Study in Rabbits

a - Area percent.

EXAMPLE

2.6.7.5 Single-Dose Toxicity

Test Article: Curitol Sodium

<u>Species/ Strain</u>	<u>Method of Administration (Vehicle/ Formulation)</u>	<u>Doses (mg/kg)</u>	<u>Gender and No. per Group</u>	<u>Observed Maximum Nonlethal Dose (mg/kg)</u>	<u>Approximate Lethal Dose (mg/kg)</u>	<u>Noteworthy Findings</u>	<u>Study Number</u>
CD-1 Mice	Gavage (Water)	0, 1000, 2000, 5000	10M 10F	≥5000 ≥5000	>5000	≥2000: Transient body weight losses.  5000: Decreased activity, convulsions, collapse.	96046
	Intravenous (Saline)	0, 100, 250, 500	10M 10F	250 250	>250 <500	≥250: Body-weight losses. 500: 3M and 2F died.	96047
Wistar Rats	Gavage (CMC Suspension)	0, 1000, 2000, 5000	5M 5F	2000 ≥5000	>2000 <5000	≥2000: Transient body weight losses; inactivity; chromorhinorrhea. 5000: 2M died.	96050
	Intravenous (5% Dextrose)	0, 100, 250, 500	5M 5F	250 ≥500	>250 <500	≥250: Body weight losses in males. 500: 3M died.	96051

EXAMPLE

2.6.7.6 Repeat-Dose Toxicity

Nonpivotal Studies

**Test Article:** Curitol Sodium

<u>Species/ Strain</u>	<u>Method of Administration (Vehicle/ Formulation)</u>	<u>Duration of Dosing</u>	<u>Doses (mg/kg)</u>	<u>Gender and No. per Group</u>	<u>NOAEL<sup>a</sup> (mg/kg)</u>	<u>Noteworthy Findings</u>	<u>Study Number</u>
CD-1 Mice	Diet	3 Months	0, 62.5, 250, 1000, 4000, and 7000	10M, 10F	M:4000 F: 1000	≥4000: Lower body weights; gastric erosions/ulcers in some mice. 7000: 4M and 6F died/ sacrificed; lower body weights; single-cell necrosis in liver.	94018
Wistar Rats	Diet	2 Weeks	0, 1000, 2000, and 4000	5M, 5F	1000	≥2000: Lower body weights. 4000: 2M and 1F sacrificed moribund.	94019
	Gavage (Water)	2 Weeks	0, 500, 1000, and 2000	5M, 5F	1000	2000: Lower body weights; single-cell necrosis in liver.	94007
Beagle Dogs	Gavage (CMC Suspension)	5 Days	0, 500, and 1000	1M, 1F	<500	≥500: Weight losses, inappetence.	94008

<sup>a</sup> - No Observed Adverse Effect Level.

EXAMPLE #1

2.6.7.7A Repeat-Dose Toxicity

Report Title : MM-180801: Three--Month Oral Toxicity Study in Rats Test Article: Curitol Sodium

Species/Strain: Wistar Rats

Duration of Dosing: 3 Months

Study No. 94214

Initial Age: 5 Weeks

Duration of Postdose: 1 Month

Location in CTD: Vol. 4 Page 1

Date of First Dose: 15 Jan 94

Method of Administration: Gavage

Vehicle/Formulation: Aqueous Solution

GLP Compliance: Yes

Special Features: None

No Observed Adverse Effect Level: 200 mg/kg

Daily Dose (mg/kg)	0 (Control)		200		600		1800	
	M:30	F:30	M:20	F:20	M:20	F:20	M:30	F:30
<b>Number of Animals</b>								
<b>Toxicokinetics: AUC (mcg-hr/ml):</b>								
Day 1	-	-	30	28	130	125	328	302
Day 28	-	-	52	47	145	140	400	380
Day 90	-	-	50	51	160	148	511	475
<b>Noteworthy Findings</b>								
Died or Sacrificed Moribund	0	0	0	0	0	0	0	0
Body Weight (% <sup>a</sup> )	394 g	244 g	0	-1	-10*	-11*	-25**	-45**
Food Consumption (% <sup>a</sup> )	20.4 g	17.2 g	0	-1	-1	-8*	-30**	-50**
<b>Clinical Observations</b>								
Hyperactivity	-	-	-	-	-	+	-	++
Chromorhinorrhea, reddish-stained coat, white feces	-	-	-	-	-	-	++	++
Emaciated, piloerection, stilted gait	-	-	-	-	-	-	-	++
Ophthalmoscopy	-	-	-	-	-	-	-	-

- No noteworthy findings. + Mild ++ Moderate +++ Marked

Dunnett's Test: \*- p<0.05 \*\* - p<0.01

a - At end of dosing period. For controls, group means are shown. For treated groups, percent differences from controls are shown. Statistical significance is based on actual data (not on the percent differences).

(Continued)

EXAMPLE #1

2.6.7.7A Repeat-Dose Toxicity

Study No. 94214 (Continued)

Daily Dose (mg/kg)	0 (Control)		200		600		1800	
	<u>M:30</u>	<u>F:30</u>	<u>M:20</u>	<u>F:20</u>	<u>M:20</u>	<u>F:20</u>	<u>M:30</u>	<u>F:30</u>
<b>Number of Animals</b>								
<b>Hematology</b>								
Hemoglobin (g/dl)	15.8	15.0	15.7	14.9	15.8	14.6	14.0*	13.1*
Erythrocyte Count (x10 <sup>6</sup> /mm <sup>3</sup> )	8.1	-	7.9	-	8.1	-	7.4*	-
MCH	-	22	-	21	-	22	-	19*
MCHC	-	34	-	34	-	34	-	30*
Platelet Count (x10 <sup>3</sup> /mm <sup>3</sup> )	846	799	825	814	914	856	931*	911*
<b>Serum Chemistry</b>								
Creatinine (IU/L)	0.7	0.7	0.7	0.7	0.7	0.7	1.1*	1.1*
Proteins g/dl	-	6.7	-	6.6	-	6.6	-	5.0**
Cholesterol (mg/dl)	96	-	86	-	90	-	105*	-
ALT (IU/L)	67	56	60*	52	55*	47*	53*	58
AST (IU/L)	88	92	96	90	87*	84*	85*	93
Bilirubin (mg/dl)	0.18	0.20	0.17	0.20	0.18	0.20	0.22**	0.26**
Calcium (mEq/L)	-	10.7	-	10.8	-	10.8	-	9.8**
Phosphorus (mEq/L)	9.3	-	9.3	-	9.3	-	8.2*	-
<b>Urinalysis</b>								
Protein Conc. (mg/dl)	260	49	102	34	123	54	126*	22*
pH	7.5	-	7.5	-	7.2	-	6.3**	-
Glucose (mg/dl)	-	0	-	0	-	20	-	98**
Urine Volume (ml)	-	18	-	18	-	16	-	12*

- No noteworthy findings.

Dunnett's Test: \*- p<0.05      \*\*- p<0.01

(Continued)

2.6.7.7A Repeat-Dose Toxicity

Study No. 94214 (Continued)

Daily Dose (mg/kg)	0 (Control)		200		600		1800	
	M:30	F:30	M:20	F:20	M:20	F:20	M:30	F:30
<b>Number of Animals</b>								
<b>Organ Weights<sup>b</sup> (%)</b>								
<b>Kidney</b>	3.01 g	1.75 g	0	+5*	+1	+8**	+12**	+20**
<b>Liver</b>	15.9 g	8.01 g	0	+1	+10*	+12*	+12*	+20**
<b>Gross Pathology</b>								
<b>Number examined</b>	20	20	20	20	20	20	20	20
<b>Kidneys: Pallor</b>	0	0	0	0	0	5	1	2
<b>Glandular Stomach: Discoloration</b>	0	0	0	0	0	1	1	4
<b>Histopathology</b>								
<b>Number examined</b>	20	20	20	20	20	20	20	20
<b>Kidneys: Tubular dilatation</b>	0	0	0	0	0	6	3	4
<b>Mild</b>	0	0	0	0	0	6	1	0
<b>Moderate</b>	0	0	0	0	0	0	2	4
<b>Glandular Stomach: Erosions</b>	0	0	0	0	0	2	2	9
<b>Additional Examinations</b>	-	-	-	-	-	-	-	-
<b>Postdose Evaluation:</b>								
<b>Number Evaluated</b>	10	10	0	0	0	0	10	10
<b>Body Weight<sup>a</sup> (%)</b>	422 g	265 g	-1	-2	-3	-4	-10*	-20**
<b>Kidney Weight<sup>b</sup> (%)</b>	3.24 g	1.81 g	0	-1	-1	0	+8*	+10

- No noteworthy findings.

Dunnett's Test: \* - p<0.05      \*\* - p<0.01

a - At end of postdose recovery period. For controls, group means are shown. For treated groups, percent differences from controls are shown. Statistical significance is based on actual data (not on the percent differences).

b - Both absolute and relative weights differed from controls in the direction indicated. Number indicates percent difference for the absolute organ weights.

**2.6.7.7B Repeat-Dose Toxicity**

**Report Title:** MM-180801: One-Month Oral Toxicity Study in Dogs **Test Article:** Curitol Sodium

**Species/Strain:** Beagle Dogs  
**Initial Age:** 5-6 Months  
**Date of First Dose:** 2 Feb 94

**Duration of Dosing:** 1 Month  
**Duration of Postdose:** None  
**Method of Administration:** Oral  
**Vehicle/Formulation:** Gelatin Capsules

**Study No.** 94020  
**Location in CTD:** Vol. 6 Page 1  
**GLP Compliance:** Yes

**Special Features:** Hepatic enzyme induction evaluated at termination.

**No Observed Adverse Effect Level:** 10 mg/kg

Daily Dose (mg/kg)	0 (Control)		10		40		100	
	M:3	F:3	M:3	F:3	M:3	F:3	M:3	F:3
<b>Toxicokinetics: AUC (mcg-hr/ml):</b>								
<b>Day 1</b>	-	-	5	6	10	12	40	48
<b>Day 28</b>	-	-	4	5	8	11	35	45

**Noteworthy Findings**

<b>No. Died or Sacrificed Moribund</b>								
<b>Body Weight (%<sup>a</sup>)</b>	0	0	0	0	0	0	0	0
<b>Clinical Observations:</b>	9.8 kg	9.2 kg	0	0	-1	-19**	0	-18**
<b>Hypoactivity (after dosing)</b>								
<b>Ophthalmoscopy</b>	-	-	-	-	-	-	+	++
<b>Electrocardiography</b>	-	-	-	-	-	-	-	-
<b>Hematology</b>	-	-	-	-	-	-	-	-
<b>Serum Chemistry</b>	-	-	-	-	-	-	-	-
<b>ALT (IU/L): Week 2</b>								
<b>Week 4</b>	22	25	24	27	21	24	48*	69**
	25	27	26	25	23	25	54*	84**

- No noteworthy findings.      + Mild      ++ Moderate      +++ Marked

Dunnett's Test: \* - p<0.05      \*\* - p<0.01

a - At end of dosing period. For controls, group means are shown. For treated groups, percent differences from controls are shown. Statistical significance is based on actual data (not on the percent differences). (Continued)



2.6.7.7B Repeat-Dose Toxicity

Study No. 94020 (Continued)

Daily Dose (mg/kg)	0 (Control)		10		40		100	
	<u>M:3</u>	<u>F:3</u>	<u>M:3</u>	<u>F:3</u>	<u>M:3</u>	<u>F:3</u>	<u>M:3</u>	<u>F:3</u>
<b>Number of Animals</b>								
<b>Organ Weights<sup>a</sup> (%)</b>								
<b>Liver</b>	339 g	337 g	+1	-1	+17**	+16**	+23**	+21**
<b>Gross Pathology</b>	-	-	-	-	-	-	-	-
<b>Histopathology</b>								
<b>Number Examined</b>	3	3	3	3	3	3	3	3
<b>Liver: Centrilobular hypertrophy</b>	0	0	0	0	0	0	2	3
<b>Additional Examinations</b>								
<b>Hepatic Enzyme Induction</b>	-	-	-	-	-	-	-	-

- No noteworthy findings.

Dunnett's Test: \* - p<0.05      \*\* - p<0.01

a - Both absolute and relative weights differed from controls in the direction indicated. Number indicates percent difference for the absolute organ weights.

**2.6.7.8A Genotoxicity: In Vitro**

**Report Title:** MM-180801: Ames Reverse Mutation Study in Salmonella and E. Coli

**Test Article:** Curitol Sodium

**Test for Induction of:** Reverse mutation in bacterial cells

**No. of Independent Assays:** 2

**Study No.** 96669

**Strains:** S. typhimurium and E. coli

**No. of Replicate Cultures:** 3

**Location in CTD:** Vol. 10 Page211

**Metabolizing System:** Aroclor-induced rat liver S9, 7.1%

**No. of Cells Analyzed/Culture:** -

**Vehicles:** Test Article: DMSO

**Positive Controls:** DMSO

**GLP Compliance:** Yes

**Treatment:** Plate incorporation for 48 hr.

**Date of Treatment:** Feb. 1996

**Cytotoxic Effects:** None.

**Genotoxic Effects:** None.

Metabolic Activation	Test Article	Dose Level (mcg/plate)	Assay #1 Revertant Colony Counts (Mean ±SD)					
			TA 98	TA 100	TA 1535	TA 1537	WP2 uvrA	
Without Activation	DMSO	100 mcl/plate	24 ± 9	129 ± 4	15 ± 4	4 ± 2	17 ± 3	
		MM-180801	312.5	24 ± 6	128 ± 11	12 ± 4	4 ± 2	14 ± 2
		625	32 ± 9	153 ± 9	9 ± 2	8 ± 2	17 ± 5	
		1250	30 ± 4	152 ± 12	9 ± 3	9 ± 2	18 ± 4	
		2500	27 ± 5	140 ± 6	9 ± 3	5 ± 1	19 ± 1	
		5000 <sup>a</sup>	30 ± 3	137 ± 21	15 ± 1	7 ± 2	13 ± 4	
	2-Nitrofluorene Sodium azide 9-Aminoacridine MMS	2	696					
		1		542	468			
		100				515		
		2.5 mcl/plate					573	
With Activation	DMSO	100 mcl/plate	27 ± 6	161 ± 12	12 ± 5	5 ± 1	21 ± 8	
		MM-180801	312.5	31 ± 4	142 ± 8	12 ± 5	4 ± 2	17 ± 3
		625	30 ± 1	156 ± 15	17 ± 2	9 ± 5	23 3	
		1250	33 ± 2	153 ± 13	13 ± 3	8 ± 2	18 ± 3	
		2500	35 ± 8	160 ± 4	10 ± 2	8 ± 2	19 ± 5	
		5000 <sup>a</sup>	31 ± 4	153 ± 5	9 ± 4	7 ± 1	17 ± 4	
	2-Aminoanthracene	2.5	1552	1487	214	61		
		10					366	

a - Precipitation.

**2.6.7.8B Genotoxicity: In Vitro**

**Report Title:** MM-180801: Cytogenetics Study in Primary Human Lymphocytes

**Test Article:** Curitol Sodium

**Test for Induction of:** Chromosome aberrations

**No. of Independent Assays:** 1

**Study No.** 96668

**Strains:** Primary human lymphocytes

**No. of Replicate Cultures:** 2

**Location in CTD:** Vol. 10 Page245

**Metabolizing System:** Aroclor-induced rat liver S9, 5%

**No. of Cells Analyzed/Culture:** 100

**Vehicles:** Test Article: DMSO

**Positive Controls:** DMSO

**GLP Compliance:** Yes

**Treatment:** Continuous treatment for 24 hrs. without S9; pulse treatment 5 hrs. and recovery time 24 hrs. with and without S9.

**Date of Treatment:** Aug. 1996

**Cytotoxic Effects:** Dose-related decreases in mitotic indices.

**Genotoxic Effects:** Chromosome aberrations without S9 at 10 and 20 µg/ml, and with S9 at 50 and 200 µg/ml.

Metabolic Activation	Test Article	Concentration (mcg/ml)	Cytotoxicity <sup>a</sup> (% of control)	Aberrant Cells Mean %	Abs/Cell	Total polyploid cells
Without Activation	DMSO	-	100	2.0	0.02	4
	MM-180801	2.5	78	3.0	0.03	3
		5	59	4.0	0.05	4
		10	36	16.5**	0.20	2
		20	32	35.0**	0.55	3
	Mitomycin	0.10	52	38.5**	0.64	5
With Activation	DMSO	-	100	4.0	0.04	3
	MM-180801	2.5	91	4.5	0.05	3
		10	88	4.5	0.05	2
		50	80	9.5*	0.10	4
		200	43	34.0**	0.66	3
	Cyclophosphamide	4	68	36.5**	0.63	6

Dunnett's Test: \* - p<0.05      \*\* - p<0.01  
a - Based on mitotic indices.

**2.6.7.9A Genotoxicity: In Vivo**

**Report Title:** MM-180801: Oral Micronucleus Study in Rats

**Test Article:** Curitol Solution

**Test for Induction of:** Bone marrow micronuclei

**Treatment Schedule:** Three daily doses.

**Study No:** 96683

**Species/Strain:** Wistar Rats

**Sampling Time:** 24 hrs. after last dose.

**Location in CTD:** Vol. 10 Page502

**Age:** 5 Weeks

**Method of Administration:** Gavage.

**Cells Evaluated:** Polychromatic erythrocytes

**Vehicle/Formulation:** Aqueous solution.

**GLP Compliance:** Yes

**No. of Cells Analyzed/Animal:** 2000

**Date of Dosing:** July 1996

**Special Features:** None.

**Toxic/Cytotoxic Effects:** At 2000 mg/kg, clinical signs, two deaths, and decreases in bone marrow PCEs.

**Genotoxic Effects:** None.

**Evidence of Exposure:** Overt toxicity at 2000 mg/kg.

<u>Test Article</u>	<u>Dose (mg/kg)</u>	<u>No. of Animals</u>	<u>Mean % PCEs (±SD)</u>	<u>Mean % MN-PCEs (±SD)</u>
Vehicle	0	5M	52 ± 1.9	0.20 ± 0.12
MM-180801	2	5M	54 ± 3.7	0.25 ± 0.16
	20	5M	49 ± 3.1	0.20 ± 0.07
	200	5M	50 ± 2.1	0.26 ± 0.08
	2000	3M	31 ± 2.5	0.12 ± 0.03
Cyclophosphamide	7	5M	51 ± 2.3	2.49 ± 0.30**

Dunnett's Test: \* - p<0.05

\*\* - p<0.01

**2.6.7.9B Genotoxicity: In Vivo**

**Report Title:** MM-180801: Oral DNA Repair Study in Rats

**Test Article:** Curitol Solution

**Test for Induction of:** Unscheduled DNA synthesis

**Species/Strain:** Wistar Rats

**Age:** 5 Weeks

**Cells Evaluated:** Hepatocytes.

**No. of Cells Analyzed/Animal:** 100

**Special Features:** None.

**Toxic/Cytotoxic Effects:** None.

**Genotoxic Effects:** None.

**Evidence of Exposure:** Toxicokinetics - See Study No. 94007, Two-Week Oral Toxicity Study in Rats.

**Treatment Schedule:** Single dose.

**Sampling Time:** 2 and 16 hr.

**Method of Administration:** Gavage.

**Vehicle/Formulation:** Aqueous solution.

**Study No:** 51970

**Location in CTD:** Vol. 11 Page 2

**GLP Compliance:** Yes

**Date of Dosing:** Jan. 1997

<u>Test Article</u>	<u>Dose (mg/kg)</u>	<u>No. of Animals</u>	<u>Time hrs.</u>	<u>Nuclear Mean ± SD</u>	<u>Cytoplasm Mean ± SD</u>	<u>NG Mean ± SD</u>	<u>% IR Mean ± SD</u>	<u>NGIR Mean ± SD</u>
Vehicle	0	3M	16	3.5 ± 0.2	7.3 ± 0.3	-3.8 ± 0.4	0 ± 0	-
MM-180801	2	3M	2	3.0 ± 1.1	5.5 ± 1.4	-2.6 ± 0.4	0 ± 0	-
	2	3M	16	4.1 ± 0.5	6.5 ± 0.8	-2.4 ± 0.2	0 ± 0	-
	20	3M	2	3.9 ± 0.2	6.9 ± 0.3	-3.0 ± 0.1	1 ± 0	5.7 ± 0.4
	20	3M	16	3.6 ± 0.3	6.3 ± 0.4	-2.7 ± 0.2	0 ± 0	-
	200	3M	2	4.2 ± 0.2	7.5 ± 0.3	-3.4 ± 0.2	0 ± 0	-
	200	3M	16	3.1 ± 0.3	5.3 ± 0.3	-2.2 ± 0.1	0 ± 0	-
	2000	3M	2	4.8 ± 0.4	8.2 ± 0.7	-3.4 ± 0.4	0 ± 0	-
	2000	3M	16	2.7 ± 0.1	4.8 0.3	-2.1 ± 0.3	0 ± 0	-
DMN	10	3M	2	10.7 ± 3.0	5.8 ± 1.0	4.9 ± 2.1	41 ± 15	11.4 ± 0.4

Nuclear = Nuclear grain count; the number of grains over the nucleus.

Cytoplasm = Cytoplasmic grain count; the highest grain count from 2 nuclear-sized areas adjacent to the nucleus.

NG = Net grains/nucleus; the nuclear count minus the cytoplasmic count.

% IR = Percentage of cells with at least 5 NG.

NGIR = Average net grains/nucleus of cells in repair.

**2.6.7.10 Carcinogenicity**

**Report Title:** MM-180801: Dietary Carcinogenicity Study in Mice

**Test Article:** Curitol Sodium

**Species/Strain:** CD-1 Mice  
**Initial Age:** 6 Weeks  
**Date of First Dose:** 20 Sep 95

**Duration of Dosing:** 21 months  
**Method of Administration:** Diet  
**Vehicle/Formulation:** In Diet  
**Treatment of Controls:** Drug-Free Diet

**Study No.** 95012  
**Location in CTD:** Vol. 4 Page 1  
**GLP Compliance:** Yes

**Basis for High-Dose Selection:** Toxicity-based endpoint.

**Special Features:** 12 additional males and 12 additional females per drug-treated group bled at 6 months for toxicokinetic monitoring and then removed from the study.

Daily Dose (mg/kg)	0 (Control)		25		100		400	
	M	F	M	F	M	F	M	F
<b>Gender</b>								
<b>Toxicokinetics:</b>								
<b>AUC on Day 28 (mcg-hr/ml<sup>a</sup>)</b>	-	-	10	12	40	48	815	570
<b>Css on Day 180 (mcg/ml)</b>	-	-	0.4	0.5	1.7	0.3	34	24
<b>Number of Animals:</b>								
<b>At Start</b>	60	60	60 <sup>c</sup>	60	60	60	60	60
<b>Died/Sacrificed Moribund</b>	16	16	15	13	18	20	27	25
<b>Terminal Sacrifice</b>	44	44	44 <sup>c</sup>	47	42	40	33	35
<b>Survival (%)</b>	67	73	75	80	71	68	56	59
<b>Body Weight (%<sup>b</sup>)</b>	33g	31g	0	0	-7*	0	-13**	-19**
<b>Food consumption (%<sup>b</sup>)</b>	6g/day	5g/day	0	0	-9*	-8*	-17**	-15**

Dunnett's Test: \* - p<0.05      \*\* - p<0.01

a - From Study No. 95013.

b - At 6 months. For controls, group means are shown. For treated groups, percent differences from controls are shown. Statistical significance is based on actual data (not on the percent differences)

c - One missing mouse could not be evaluated.

(Continued)

2.6.7.10 Carcinogenicity

Study No. 95012 (Continued)

Daily Dose (mg/kg)	0 (Control)		25		100		400	
	M: 60	F: 60	M: 59	F: 60	M: 60	F: 60	M: 60	F: 60
<b>Number of Animals</b>								
<b>with Neoplastic Lesions :</b>								
<b>Skin: Hemangioma</b>	0	1	1	0	6 <sup>b</sup>	1	13 <sup>b</sup>	0
<b>Hemangiosarcoma</b>	1	3	2	2	9	11	18 <sup>a</sup>	24 <sup>a</sup>
<b>Adrenal: Adrenocortical adenoma</b>	4	1	2	0	4	3	3	1
<b>Adrenocortical adenocarcinoma</b>	0	0	0	0	0	1	0	0
<b>Adenoma + Adenocarcinoma</b>	4	1	2	0	4	3	3	1
<b>Pheochromocytoma</b>	0	0	0	0	1	1	0	1
<b>Bone: Osteochondrosarcoma</b>	0	1	0	1	0	0	0	0
<b>Osteoma</b>	0	1	0	0	0	0	0	0
<b>Epididymis: Sarcoma, undifferentiated</b>	0	0	1	0	0	0	1	0
<b>Gallbladder: Adenoma</b>	0	0	1	0	0	0	0	0
<b>Harderian gland: Adenoma</b>	4	2	3	1	3	4	3	1
<b>Kidney: Renal cell adenoma</b>	1	2	0	0	2	0	0	0
<b>Liver: Hepatocellular adenoma</b>	3	1	4	2	3	1	4	1
<b>Hepatocellular carcinoma</b>	2	1	1	2	3	1	0	1
<b>Hepatocellular adenoma + carcinoma</b>	3	2	4	3	5	2	4	1
<b>Lung: Alveolar/bronchiolar adenoma</b>	13	10	11	11	14	7	13	4
<b>Alveolar/bronchiolar carcinoma</b>	4	0	1	1	2	2	1	1
<b>Adenoma + carcinoma</b>	15	10	11	12	15	9	13	5

a - Trend analysis, p<0.005

b - Trend analysis, p<0.025

(Continued)

2.6.7.10 Carcinogenicity

Study No. 95012 (Continued)

Daily Dose (mg/kg)	0 (Control)		25		100		400	
	M: 60	F: 60	M: 59	F: 60	M: 60	F: 60	M: 60	F: 60
<b>Mediastinum: Sarcoma, undifferentiated</b>								
<b>Oviduct: Adenoma</b>	0	1	0	0	0	1	0	0
<b>Pancreas: Islet cell adenoma</b>		1		1		0		0
<b>Peritoneum: Osteosarcoma</b>	1	0	0	0	0	0	0	0
<b>Seminal vesicle: Adenoma</b>	1	0	0	0	1	0	0	1
<b>Stomach: Osteochondrosarcoma</b>	0		1		0		0	
<b>Thymus: Thymoma</b>	0	0	0	1	0	0	0	0
<b>Thyroid: Follicular cell adenoma</b>	0	1	0	0	0	0	0	0
<b>Uterus: Papillary cystadenoma</b>	0	1	0	0	0	1	0	0
<b>Whole animal: Lymphosarcoma</b>		1		0		2		0
<b>Whole animal: Histiocytic sarcoma</b>	6	13	4	11	3	12	5	11
	1	0	0	0	0	1	0	0
<b><u>Noteworthy Findings:</u></b>								
<b>Gross Pathology</b>	-	-	-	-	-	-	-	-
<b>Histopathology - Non-Neoplastic Lesions</b>								
<b>Liver: Hepatocellular hypertrophy</b>	4	2	3	2	4	1	40**	45**
<b>Testes: Hypospermatogenesis</b>	1		2		15*		30**	

- No noteworthy findings.

Fisher Exact Test: \* - p<0.05

\*\* - p<0.01



EXAMPLE

2.6.7.11 Reproductive and Developmental Toxicity

Nonpivotal Studies

Test Article: Curitol Sodium

<u>Species/ Strain</u>	<u>Method of Administration (Vehicle/ Formulation)</u>	<u>Dosing Period</u>	<u>Doses mg/kg</u>	<u>No. per Group</u>	<u>Noteworthy Findings</u>	<u>Study Number</u>
Wistar Rats	Gavage (Water)	G6 through G15	0, 500, 1000, 2000	8 Pregnant Females	≥1000: Deaths; weight losses; decreased food consumption; clinical signs; resorptions.	94201
NZW Rabbits	Gavage (CMC Suspension)	13 Days	0, 5,15, 45	6 Nonpregnant Females	≥15: Decreased weight gain and food consumption. 45: Four does died.	97020

G – Gestation day

**2.6.7.12 Reproductive and Developmental Toxicity Report Title:** MM-180801: Oral Study of Effects on Fertility **Test Article:** Curitol Sodium and Early Embryonic Development in Rats

**Fertility and Early Embryonic Development to Implantation**

**Design similar to ICH 4.1.1?** Yes

**Species/Strain:** Wistar Rats

**Initial Age:** 10 Weeks

**Date of First Dose:** 3 Mar 97

**Special Features:** None

**No Observed Adverse Effect Level:**

**F<sub>0</sub> Males:** 100 mg/kg

**F<sub>0</sub> Females:** 100 mg/kg

**F<sub>1</sub> Litters:** 1000 mg/kg

**Duration of Dosing:** M: 4 weeks prior to mating  
F: 2 weeks prior to mating, through day 7 of gestation

**Day of Mating:** Day 0

**Day of C-Section:** Day 16 of gestation

**Method of Administration:** Gavage

**Vehicle/Formulation:** Aqueous solution.

**Study No.** 97072

**Location in CTD:** Vol. 6 Page 1

**GLP Compliance:** Yes

<u>Daily Dose (mg/kg)</u>	<u>0 (Control)</u>	<u>10</u>	<u>100</u>	<u>1000</u>
<u>Males</u> Toxicokinetics: AUC <sup>b</sup> (mcg-hr/ml)	-	1.8	25	320
No. Evaluated	22	22	22	22
No. Died or Sacrificed Moribund	0	0	0	0
Clinical Observations:				
Salivation	-	-	+	++
Necropsy Observations	-	-	-	-
Body Weight (% <sup>a</sup> )	452 g	0	0	-12*
Mean No. Days Prior to Mating	2.7	2.5	2.3	2.8
No. of Males that Mated	22	21	22	22
No. of Fertile Males	21	21	21	21

- No noteworthy findings.      + Mild      ++Moderate      +++Marked

Dunnett's Test    \* - p<0.05    \*\* - p<0.01

a -After 4 weeks of dosing. For controls, group means are shown. For treated groups, percent differences from controls are shown. Statistical significance is based on actual data (not on the percent differences).

b -From Study No. 94220. (Continued)

## 2.6.7.12 Reproductive and Developmental Toxicity

Study No. 97072

(Continued)

<u>Daily Dose (mg/kg)</u>	<u>0 (Control)</u>	<u>10</u>	<u>100</u>	<u>1000</u>
<u>Females</u> Toxicokinetics: AUC <sup>b</sup> (mcg-hr/ml)	-	2.1	27	310
No. Evaluated	22	22	22	22
No. Died or Sacrificed Moribund	0	1	0	0
Clinical Observations				
Salivation	-	-	-	+
Necropsy Observations	-	-	-	-
Premating Body Weight (% <sup>a</sup> )	175 g	0	0	-5*
Gestation Body Weight (% <sup>a</sup> )	225 g	0	0	-12**
Premating Food Consumption (% <sup>a</sup> )	14 g	0	0	-6*
Gestation Food Consumption (% <sup>a</sup> )	15 g	0	0	-15**
Mean No. Estrous Cycles/14 days	3.9	3.8	3.8	3.9
Mean No. Days Prior to Mating	2.1	2.3	2.5	2.2
No. of Females Sperm Positive	21	22	22	21
No. of Pregnant Females	21	21	22	20
Mean No. Corpora Lutea	15.9	15.8	16.8	15.3
Mean No. Implantations	14.5	14.0	15.3	13.8
Mean % Preimplantation Loss	8.8	11.4	8.9	9.8
Mean No. Live Conceptuses	13.3	13.3	14.3	12.8
Mean No. Resorptions	1.2	0.7	1.0	1.0
No. Dead Conceptuses	0	0	0	0
Mean % Postimplantation Loss	8.3	5.0	6.5	7.2

- No noteworthy findings.    + Mild    ++Moderate    +++Marked

Dunnett's Test    \* - p<0.05    \*\* - p<0.01

a - At end of pre mating or gestation period. For controls, group means are shown. For treated groups, percent differences from controls are shown. Statistical significance is based on actual data (not on the percent differences).

b - From Study No. 94220.

EXAMPLE

**2.6.7.13 Reproductive and Developmental Toxicity - Effects on Embryofetal Development** - **Report Title:** MM-180801: Oral Study of Effects on Embryofetal Development in Rabbits **Test Article:** Curitol Sodium

**Design similar to ICH 4.1.3?** Yes

**Duration of Dosing:** G6-G18

**Study No.** 97028

**Species/Strain:** NZW Rabbits

**Day of Mating:** Day 0

**Location in CTD:** Vol. 6 Page 200

**Initial Age:** 5 months

**Day of C-Section:** G29

**Date of First Dose:** 7 Aug 97

**Method of Administration:** Gavage

**Special Features:** None.

**Vehicle/Formulation:** Aqueous Solution

**GLP Compliance:** Yes

**No Observed Adverse Effect Level:**

**F<sub>0</sub> Females:** 1 mg/kg

**F<sub>1</sub> Litters:** 5 mg/kg

<u>Daily Dose (mg/kg)</u>	<u>0 (Control)</u>	<u>1</u>	<u>5</u>	<u>25</u>
<u>Dams/Does:</u> Toxicokinetics: AUC <sup>b</sup> (mcg-hr/ml)	-	2.6	31	345
No. Pregnant	20	19	20	20
No. Died or Sacrificed Moribund	0	1	1	0
No. Aborted or with Total Resorption of Litter	0	0	0	3
Clinical Observations	-	-	-	++
Necropsy Observations	-	-	-	-
Body Weight (% <sup>a</sup> )	3.2 kg	0	-15*	-20**
Food Consumption (% <sup>a</sup> )	60 g/day	0	-9*	-16**
Mean No. Corpora Lutea	9.4	9.3	9.4	10.4
Mean No. Implantations	7.9	8.1	9.1	9.4
Mean % Preimplantation Loss	15.8	13.1	4.0	8.9

- No noteworthy findings. + Mild ++Moderate +++Marked G = Gestation day

Dunnett's Test \* - p<0.05 \*\* - p<0.01

a - At end of dosing period. For controls, group means are shown. For treated groups, percent differences from controls are shown. Statistical significance is based on actual data (not on the percent differences).

b - From Study No. 97231.

(Continued)

2.6.7.13 Reproductive and Developmental Toxicity

Study No. 97028

(Continued)

<u>Daily Dose (mg/kg)</u>	<u>0 (Control)</u>	<u>1</u>	<u>5</u>	<u>25</u>
<u>Litters:</u> No. Litters Evaluated	18	16	17	18
No. Live Fetuses	140	126	148	86*
Mean No. Resorptions	0.2	0.3	0.4	4.7**
No. Dead Fetuses	1	0	0	0
Mean % Postimplantation Loss	4.3	2.8	5.4	49.0**
Mean Fetal Body Weight (g)	44.82	42.44	42.14	42.39
Fetal Sex Ratios (% males)	46.3	57.7	57.4	52.8
Fetal Anomalies:				
Gross External				
Lower jaw: Short				
No. Fetuses (%)	0	0	0	7 (8.0)*
No. Litters (%)	0	0	0	5 (27.8)**
Visceral Anomalies				
Tongue: Absent				
No. Fetuses (%)	0	0	0	6 (6.9)*
No. Litters (%)	0	0	0	6 (33.3)**
Skeletal Anomalies				
Mandible: Cleft				
No. Fetuses (%)	0	0	0	10 (11.5)**
No. Litters (%)	0	0	0	8 (44.4)**
Ribs: Cervical				
No. Fetuses (%)	2 (1.4)	0	1 (0.7)	0
No. Litters (%)	1 (5.6)	0	1 (5.9)	0
Sternebrae: Misshapen				
No. Fetuses (%)	2 (1.4)	1 (0.8)	0	1 (1.2)
No. Litters (%)	2 (11.1)	1 (6.3)	0	1 (5.6)
Total Affected Fetuses (Litters)	2 (2)	1 (1)	0	15 (10)

- No noteworthy findings.

Fisher Exact Test \* - p<0.05 \*\* - p<0.01

**2.6.7.14 Reproductive and Developmental Toxicity - Effects on Pre- and Postnatal Development, Including Maternal Function**      **Report Title:** MM-180801: Oral Study of Effects on Pre- and Postnatal Development in Rats      **Test Article:** Curitol Sodium

**Design similar to ICH 4.1.2?** Yes

**Duration of Dosing:** G6 - L21

**Study No.** 95201

**Species/Strain:** Wistar Rats

**Day of Mating:** Day 0

**Location in CTD:** Vol. 10 Page 1

**Initial Age:** 9-10 Weeks

**Method of Administration:** Gavage

**Date of First Dose:** 8 Oct 95

**Vehicle/Formulation:** Water

**Special Features:** None

**Litters Culled/Not Culled:** Culled to 4/sex/litter

**GLP Compliance:** Yes

**No Observed Adverse Effect Level:**

**F<sub>0</sub> Females:** 7.5 mg/kg

**F<sub>1</sub> Males:** 75 mg/kg

**F<sub>1</sub> Females:** 75 mg/kg

<u>Daily Dose (mg/kg)</u>	<u>0 (Control)</u>	<u>7.5</u>	<u>75</u>	<u>750</u>
<u>F<sub>0</sub> Females:</u> Toxicokinetics: AUC <sup>b</sup> (mcg-hr/ml)	-	2.4	21	150
No. Pregnant	23	21	22	23
No. Died or Sacrificed Moribund	0	0	0	8
Clinical Observations	-	-	++	+++
Necropsy Observations	-	-	-	-
Gestation Body Weight (% <sup>a</sup> )	225 g	0	0	-25**
Lactation Body Weight (% <sup>a</sup> )	210 g	0	0	0
Gestation Food Consumption (% <sup>a</sup> )	15 g	0	0	-12*
Lactation Food Consumption (% <sup>a</sup> )	16 g	0	0	0
Mean Duration of Gestation (days)	22.1	22.2	22.1	23.5 <sup>+</sup>
Abnormal Parturition	-	-	-	-

- No noteworthy findings.      + Mild      ++Moderate      +++Marked      G = Gestation day  
 Dunnett's Test \* - p<0.05      \*\* - p<0.01      L = Lactation day  
 Kruskal-Wallis with Dunn's procedure + - p<0.05

a -At end of gestation or lactation. For controls, group means are shown. For treated groups, percent differences from controls are shown. Statistical significance is based on actual data (not on the percent differences).

b -From Study No. 97227 (Continued)

2.6.7.14 Reproductive and Developmental Toxicity

Study No. 95201

(Continued)

<u>Daily Dose (mg/kg)</u>		<u>0 (Control)</u>	<u>7.5</u>	<u>75</u>	<u>750</u>
<u>F<sub>1</sub> Litters:</u> (Preweaning)	No. Litters Evaluated	23	21	22	15
	Mean No. Pups/Litter	13.6	13.8	14.9	11.2 <sup>++</sup>
	Mean No. Liveborn Pups/Litter	13.5	13.8	14.6	9.4 <sup>+++</sup>
	Mean No. Stillborn Pups/Litter	0.1	0.0	0.3	1.8 <sup>+</sup>
	Postnatal Survival to Day 4	-	-	-	-
	Postnatal Survival to Weaning	-	-	-	-
	Change in Pup Body Weights <sup>a</sup> (g)	60	58	62	53*
	Pup Sex Ratios (% males)	51	53	49	51
	Pup Clinical Signs	-	-	-	-
	Pup Necropsy Observations	-	-	-	-
<u>F<sub>1</sub> Males:</u> (Postweaning)	No. Evaluated Postweaning	23	21	22	15
	No. Died or Sacrificed Moribund	-	-	-	-
	Clinical Observations	-	-	-	-
	Necropsy Observations	-	-	-	-
	Body Weight Change <sup>b</sup> (g)	200	195	195	186*
	Food Consumption (% <sup>b</sup> )	15 g	0	0	-11*
	Preputial Separation	-	-	-	-
	Sensory Function	-	-	-	-
	Motor Activity	-	-	-	-
	Learning and Memory	-	-	-	-
	Mean No. Days Prior to Mating	2.4	3.3	2.9	3.5
	No. of Males that Mated	23	21	21	23
No. of Fertile Males	23	21	19	20	

- No noteworthy findings.      + Mild      ++Moderate      +++Marked

Dunnett's Test \* - p<0.05      \*\* - p<0.01

Kruskal-Wallis with Dunn's procedure      + - p<0.05      ++ - p<0.01

a - From birth to weaning.

b - From weaning to mating. For controls, group means are shown. For treated groups, percent differences from controls are shown.

Statistical significance is based on actual data (not on the percent differences)

(Continued)

2.6.7.14 Reproductive and Developmental Toxicity

Study No. 95201

(Continued)

<u>Daily Dose (mg/kg)</u>		<u>0 (Control)</u>	<u>7.5</u>	<u>75</u>	<u>750</u>
<u>F<sub>1</sub> Females:</u> (Postweaning)	No. Evaluated Postweaning	23	21	22	23
	No. Died or Sacrificed Moribund	0	1	0	0
	Clinical Observations	-	-	-	-
	Necropsy Observations	-	-	-	-
	Premating Body-Weight Change <sup>a</sup> (g)	226	230	235	196*
	Gestation Body-Weight Change (g)	153	160	144	158
	Premating Food Consumption (% <sup>b</sup> )	15 g	0	0	-13*
	Gestation Food Consumption (% <sup>b</sup> )	16 g	0	0	0
	Mean Age of Vaginal Patency (days)	-	-	-	-
	Sensory Function	-	-	-	-
	Motor Activity	-	-	-	-
	Learning and Memory	-	-	-	-
	Mean No. Days Prior to Mating	2.4	3.3	3.1	3.5
	No. of Females Sperm Positive	23	21	21	23
	No. of Pregnant Females	23	21	20	21
	Mean No. Corpora Lutea	16.4	16.2	15.8	15.5
	Mean No. Implantations	15.8	15.2	14.4	14.9
Mean % Preimplantation Loss	3.8	6.3	12.3	3.7	
<u>F<sub>2</sub> Litters:</u>	Mean No. Live Conceptuses/Litter	15.0	14.9	13.6	14.4
	Mean No. Resorptions	0.8	0.3	0.8	0.5
	No. Dead Conceptuses	0	0	0	0
	Mean % Postimplantation Loss	5.1	2.2	5.2	3.4
	Fetal Body Weights (g)	3.69	3.65	3.75	3.81
	Fetal Sex Ratios (% males)	53	49	54	54
	Fetal Anomalies	-	-	-	-

-No noteworthy findings. + Mild ++Moderate +++Marked

Dunnett's Test \* - p<0.05 \*\* - p<0.01

a - From weaning to mating.

b - During postweaning period. For controls, group means are shown. For treated groups, percent differences from controls are shown. Statistical significance is based on actual data (not on the percent differences).



EXAMPLE

2.6.7.17 Other Toxicity Studies

Test Article: Curitol Sodium

<u>Species/ Strain</u>	<u>Method of Administration</u>	<u>Duration of Dosing</u>	<u>Doses (mg/kg)</u>	<u>Gender and No. per Group</u>	<u>Noteworthy Findings</u>	<u>Study Number</u>
<b>Antigenicity</b>						
Guinea Pigs	Subcutaneous	Weekly for 3 weeks; challenge 1 week later.	0, 5 mg	5M, 5F	Mildly positive delayed hypersensitivity reaction. No evidence of passive cutaneous anaphylaxis or systemic anaphylaxis.	97012
<b>Impurities</b>						
WISTAR Rats	Gavage	2 Weeks	0, 1000, 2000	10M, 10F	MM-180801 fortified with 2% of the Z- isomer impurity; toxicologic effects comparable to MM-180801 without impurity.	97025