



... for a brighter future

Can Coherent X-ray Diffraction Imaging Reach Atomic Resolution?

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Argonne National Laboratory***

Outline

- ***Yes*** ■ ***Signal vs. resolution***
- ***No*** ■ ***Radiation damage***
- ***Maybe so ...*** ■ ***Optimized experiments***

Source like NSLS-II will be critical !



U.S. Department
of Energy

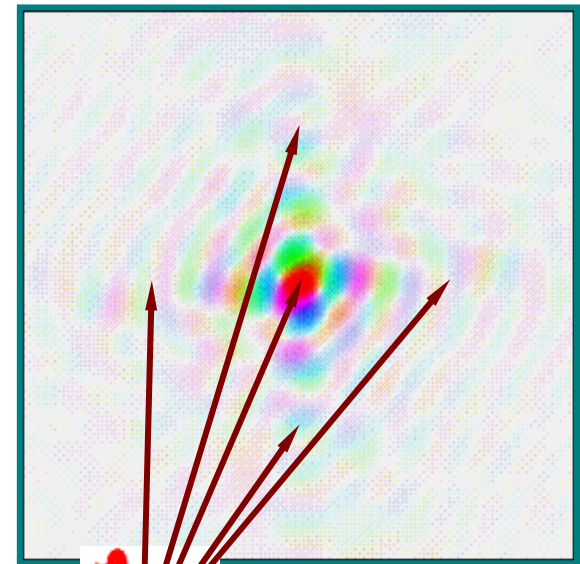
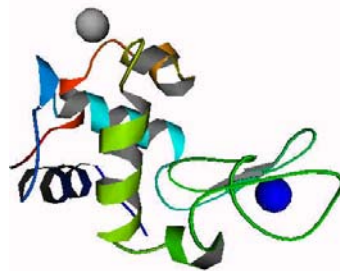
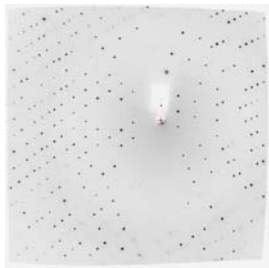
UChicago ►
Argonne_{LLC}



Coherent X-ray Diffraction Imaging (CDI)

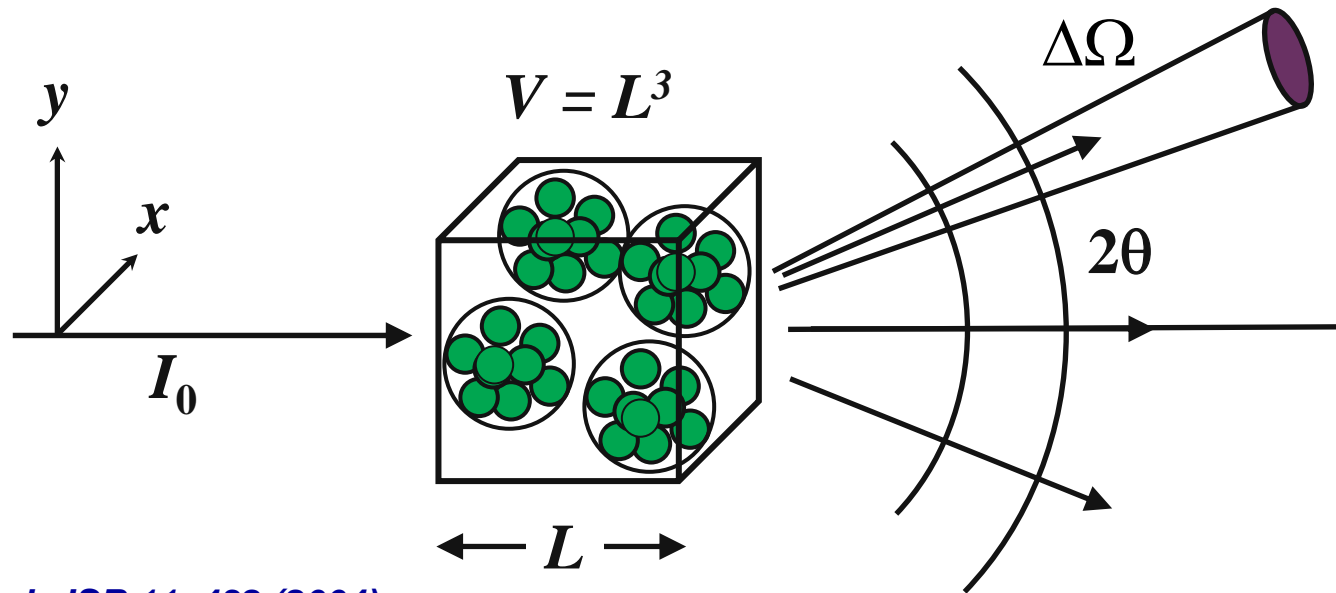
- Coherent diffraction imaging or microscopy is much like crystallography but applied to **noncrystalline** materials
- First proposed by David Sayre in 1980, and first experimental demonstration in 1999 using soft x-rays [Miao, Charalambous, Kirz, Sayre (1999) Nature 400, 342–344]
- Requires a **fully coherent** x-ray beam and iterative phase retrieval

Analogous to crystallography



Coherent X-rays

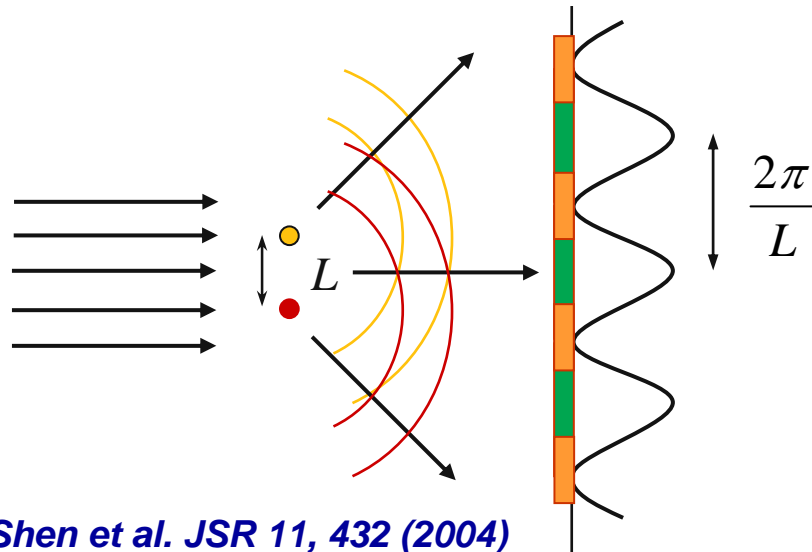
Signal Strength in Coherent Diffraction



Shen et al. JSR 11, 432 (2004)

- Required number of photons (dose) needed incident on sample in order to achieve a given resolution d ?
- Does required dose exceed radiation damage limit for that resolution ?
- Is there any way to deal with damage problem ?

Oversampling in Coherent Diffraction Imaging



Shen et al. JSR 11, 432 (2004)

=> Sampling at frequency $2\pi/L$ in Fourier space is not fine enough to resolve interference fringes!

=> Additional measurements *in-between* $2\pi/L$ are necessary to tell us some interference is going on.

=> Minimum oversampling ratio is 2, regardless whether it is 1D, 2D or 3D.

$$\Delta\Omega = \Delta(2\theta)\Delta\phi = \left(\frac{\lambda}{2\pi}\right)^2 \frac{\Delta Q_x \Delta Q_y}{\cos\theta} = \frac{\lambda^2}{2L^2 \cos\theta}$$

$$I(Q) = I_0 r_e^2 \cdot N |S(Q)|^2 \cdot \Delta\Omega$$

$$I = I_0 r_e^2 L \cdot \frac{n_0^2 f^2 d^3 \lambda^2}{4\pi^2}$$

$$\Delta Q_{\max}^{1D} = \frac{2\pi}{L} \cdot \frac{1}{2} = \frac{\pi}{L}$$

$$\Delta Q_{\max}^{2D} = \frac{2\pi}{L} \cdot \frac{1}{\sqrt{2}} = \frac{\sqrt{2}\pi}{L}$$

$$\Delta Q_{\max}^{3D} = \frac{2\pi}{L} \cdot \frac{1}{\sqrt[3]{2}}$$

An Example: Gold Particles

NLSLS-II: doubly focused U20 undulator beam

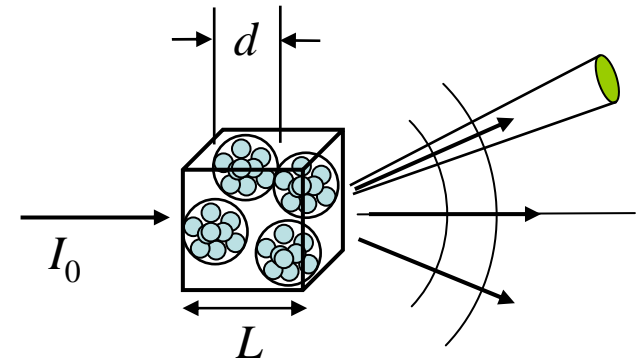
Coherent flux: $I_0 = 1.4 \times 10^{13}$ phs/s/ μm^2 , $\lambda = 1.7 \text{ \AA}$

Gold particles: $d = 1 \text{ nm}$, $f \sim Z = 79$, $L = 1 \mu\text{m}$

Atom density $n_0 = \rho N_0 / A$, $\rho = 19.3 \text{ g/cm}^3$,

$N_0 = 6.022 \times 10^{23} / \text{mol}$, $A = 197 \text{ g/mol}$

Classical radius of electron: $r_e = 2.82 \times 10^{-13} \text{ cm}$



$$I = I_0 r_e^2 L \cdot \frac{n_0^2 d^3 f^2 \lambda^2}{4\pi^2}$$

$$I = 1.4 \times 10^{13} \times 10^8 \times (2.82 \times 10^{-13})^2 \times 10^{-4} \cdot \frac{(19.3 \times 6.022 \times 10^{23} / 197)^2 \times (10^{-7})^3 \times 79^2 (1.7 \times 10^{-8})^2}{4\pi^2}$$



$$I = 1.8 \times 10^3 \text{ cts/s/pixel} \quad @ \text{ d} = 1 \text{ nm}$$

Scaling Laws in Coherent Diffraction Imaging

$$\Delta\Omega = \Delta(2\theta)\Delta\phi = \left(\frac{\lambda}{2\pi}\right)^2 \frac{\Delta Q_x \Delta Q_y}{\cos\theta} = \frac{\lambda^2}{2L^2 \cos\theta}$$

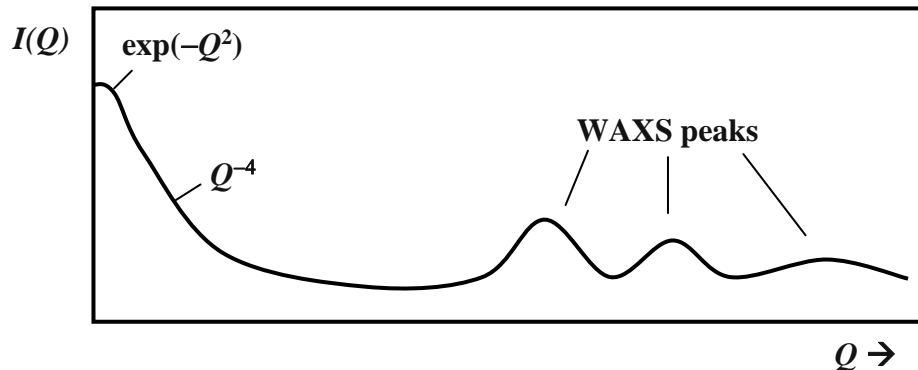
$$I(Q) = I_0 r_e^2 \cdot N |S(Q)|^2 \cdot \Delta\Omega$$

$$I \sim I_0 \cdot t \lambda^2 d^3$$

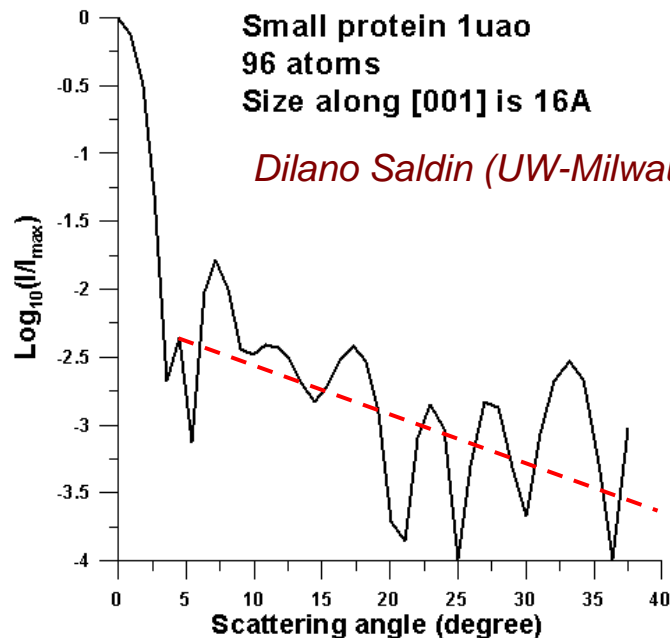
Shen et al. JSR 11, 432 (2004)

Marchesini, Howells, et al.
Optics Express (2003)

$$I \sim d^4 \lambda^2$$



Energy 12.4(keV)



Required Flux for Time-Integrated Signal $I\Delta t$

Consider fixed 3D volume case:

$$I = I_0 r_e^2 L \cdot \frac{n_0^2 d^3 f^2 \lambda^2}{4\pi^2}$$

Let 's say $I\Delta t = 5$ cts/pixel is the minimum time-integrated signal at resolution d , then required incident flux is given by

➔ Accumulated incident flux:

$$I_0 \Delta t = I \Delta t \cdot \frac{4\pi^2}{r_e^2 L n_0^2 d^3 f^2 \lambda^2}$$

$$I_0 \Delta t = \frac{20\pi^2}{r_e^2 L n_0^2 d^3 f^2 \lambda^2}$$

Example: carbon (protein) @ $d = 1$ nm

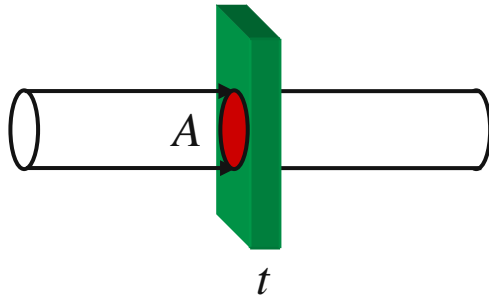
$r_e = 2.82 \times 10^{-13}$ cm, $f \sim Z = 6.6$, $L = 0.1$ μ m

$n_0 = \rho N_0 / A$, $\rho = 1.35$ g/cm³, $A = 13$ g/mol, $N_0 = 6.022 \times 10^{23}$ /mol

$$I_0 \Delta t = \frac{20\pi^2}{(2.82 \times 10^{-13})^2 \times 10^{-5} \times (1.35 \times 6.022 \times 10^{23} / 13)^2 \times (10^{-7})^3 \times 6.6^2 (1.5 \times 10^{-8})^2}$$

$$= 6.48 \times 10^{21} \text{ phs/cm}^2 = 6.48 \times 10^{13} \text{ phs}/\mu\text{m}^2$$

Converting Flux to Dose



Dose = Energy / Mass (Gy = J/kg)

$$Dose = \frac{(I_0 \Delta t) \mu t \cdot E \cdot A}{\rho \cdot A t} = \frac{(I_0 \Delta t) \mu \cdot E}{\rho}$$

Absorbed photon intensity:

$$I_{abs} = I_0 (1 - e^{-\mu t}) \approx I_0 \mu t$$

Absorbed power:

$$P_{abs} = I_{abs} \cdot E \cdot A = I_0 \mu t \cdot E \cdot A$$

Mass in volume At : $M = \rho \cdot A t$

Previous Example:

Carbon (protein) @ $d = 1$ nm

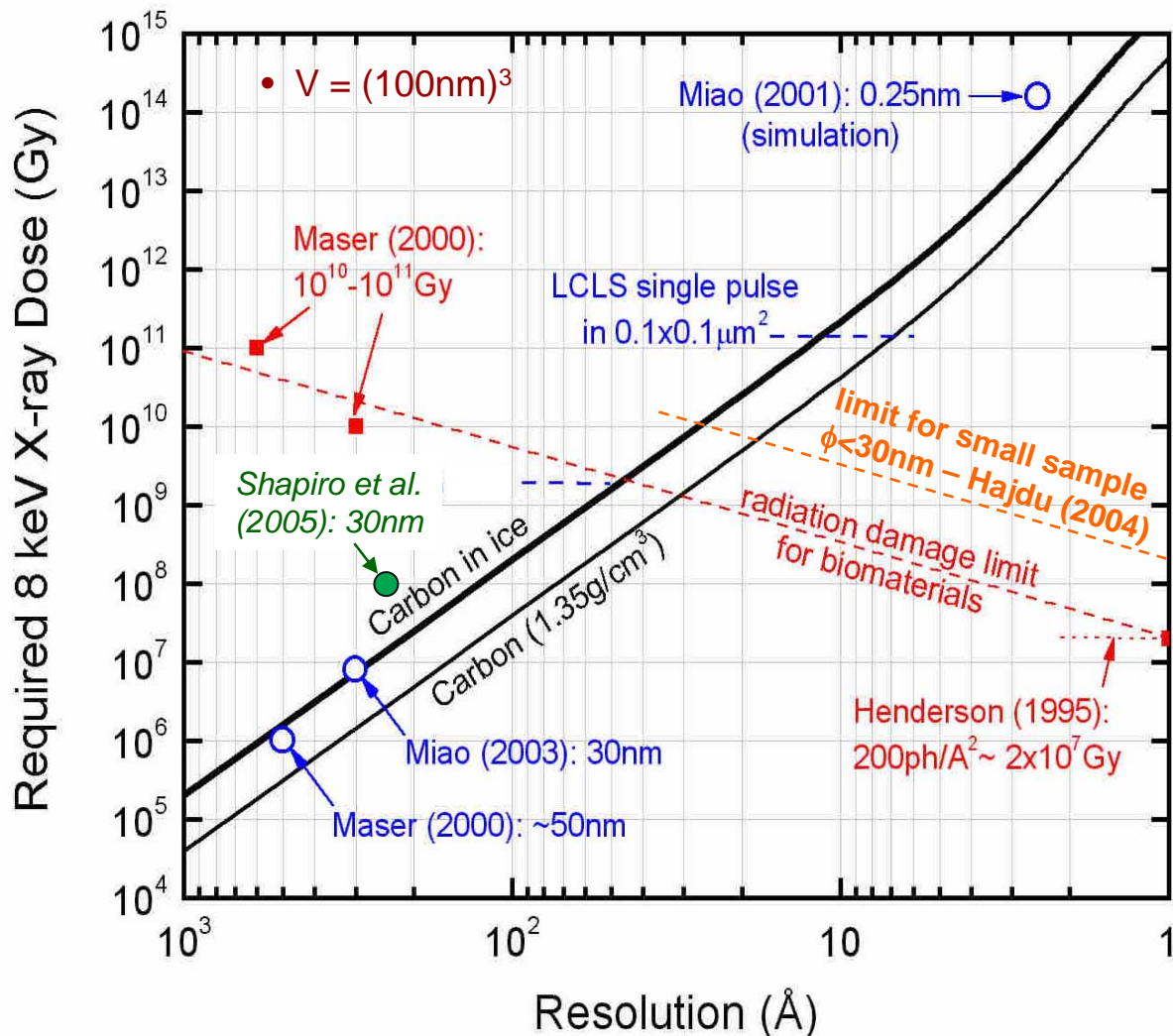
$\mu/\rho = 4.26$ cm²/g for C, $\rho = 1.35$ g/cm³,

@ $E = 8000$ eV,

$$I_0 \Delta t = 6.48 \times 10^{21} \text{ phs/cm}^2$$

$$Dose = 6.48 \times 10^{21} \times 4.26 \times 8000 \times 1.6 \times 10^{-19} \times 10^{-3} \\ = 3.5 \times 10^{10} \text{ Gy}$$

Radiation Dose vs. Resolution & Damage (biomaterials)



Shen et al. J. Synch. Rad. 11, 432 (2004)

See also: *Marchesini et al. Opt. Express (2003)*

To go beyond damage limit:

- Femtosecond diffraction with XFEL pulses [Hajdu 2000]
- Self-assembled macromolecule array
- Continuous stream of molecules oriented by laser [Spence 2004]
- Small specimen limits [Hajdu 2004]

Radiation Damage in Hard Non-biological Materials

*Work by I.C. Noyan group at
Columbia University (2008):*

*Si (008) on SiO₂ peak intensity
decreased to 50% upon
microbeam irradiation at APS
2-ID-D, with estimated dose of
2x10¹⁰ Gy.*

*No degradation was observed
for SiGe thin-film on Si
substrate.*

*=> Oxygen diffusion plays a
crucial role in the degradation
process.*

*=> Radiation damage is a complex problem
and is highly sample dependent.*

Argonne LDRD for Coherent Diffraction Imaging of Nonperiodic Materials

Subtask 1 – Optimization of coherent diffraction experiments

I. McNulty, Q. Shen, J. Maser, R. Harder

Research and develop optimized arrangements for nanoscale coherent diffraction experiments. The objective is to develop the methods and instrumentation necessary to design and build a future dedicated coherent diffraction user facility at APS.

Subtask 2 – Feasibility of single-particle structural imaging

P. Fuoss, L. Young, J. Maser, E.D. Isaacs

Focus on fundamental experimental and algorithmic issues in single particle imaging by coherent diffraction, including use of nanofocused x-ray beams, sorting of random particle orientations, imaging of laser-aligned nano-objects, radiation effects and resolution limits. The objective is to determine the feasibility of single-particle imaging at the upgraded APS, and to help develop optimized strategies at future coherent sources.

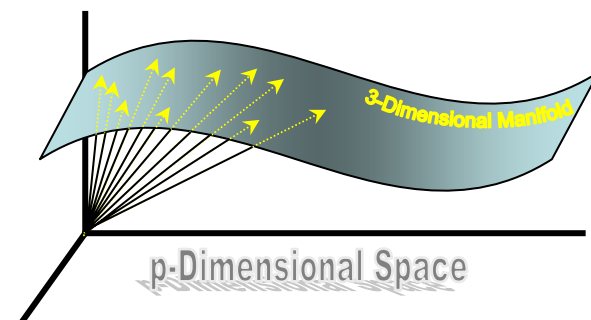
Subtask 3 – Novel applications with coherent diffraction

Q. Shen, I. McNulty, S. Streiffer, E.D. Isaacs

Perform feasibility coherent x-ray diffraction imaging experiments on selected specimens in materials science and biology. The objective is to demonstrate nm-scale resolution and high-impact applications in coherent diffraction and develop a strong user community for the coherent diffraction program at APS.

Key Collaborators/Users:

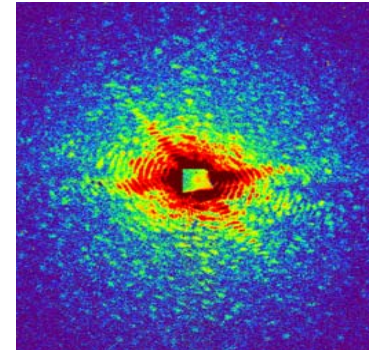
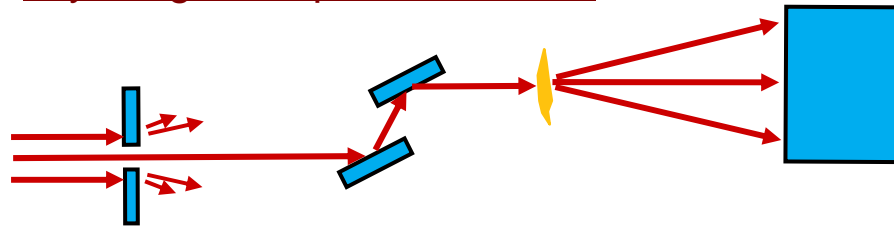
*K.A. Nugent (Melbourne)
I.K. Robinson (UCL)
J. Miao (UCLA)
R. Harder (APS)
A. Ourmazd (Milwaukee)
D. Saldin (Milwaukee)
D. Dunand (Northwestern)
S. Vogt (APS)
B. Palmer (Vermont)*



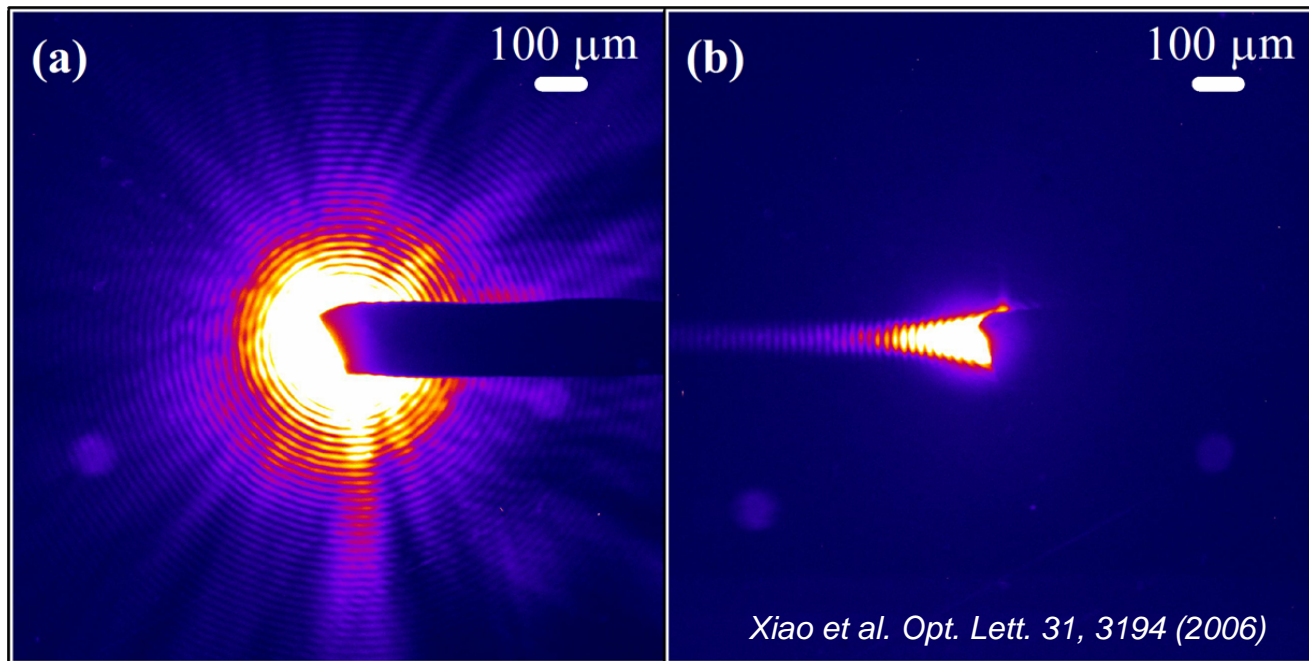
*Advanced algorithms
(A. Ourmazd)*

Improving S/N in CDI by Crystal Guard Aperture

- Crystal guard aperture for CDI:



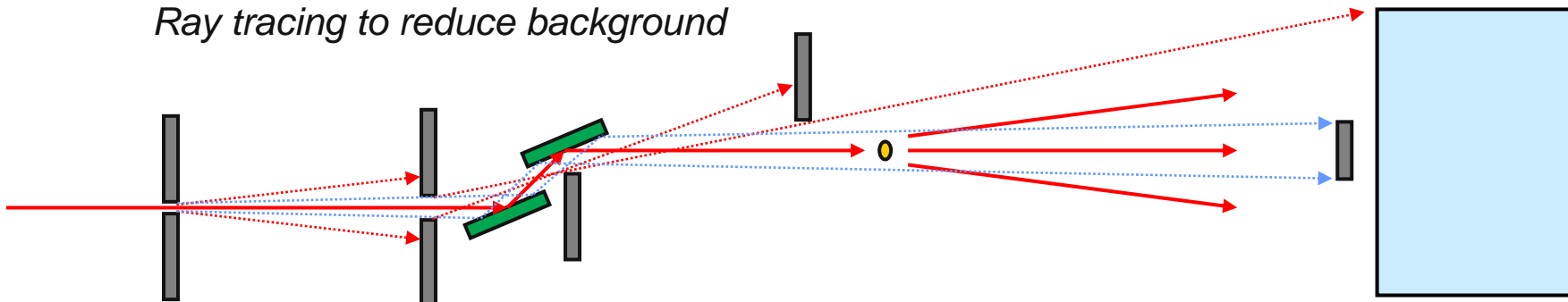
*Xiao, de Jonge, Chu, Shen
(APS) Opt. Lett. (2006)*



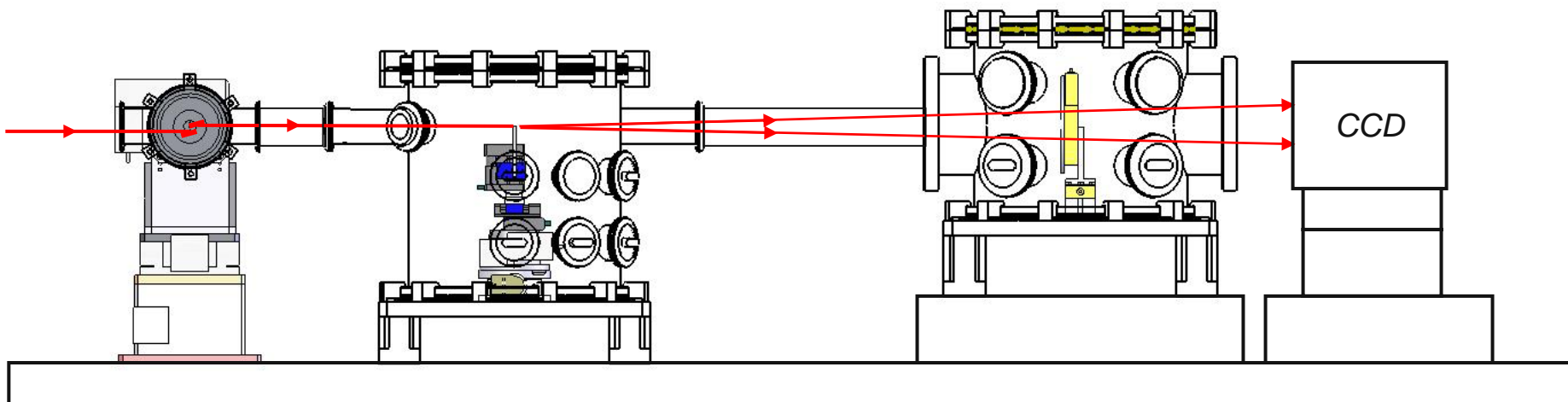
Xiao et al. Opt. Lett. 31, 3194 (2006)

Coherent Diffraction Imaging Experiment Setup

Ray tracing to reduce background



Engineering design by SoonHong Lee (AES-MED)

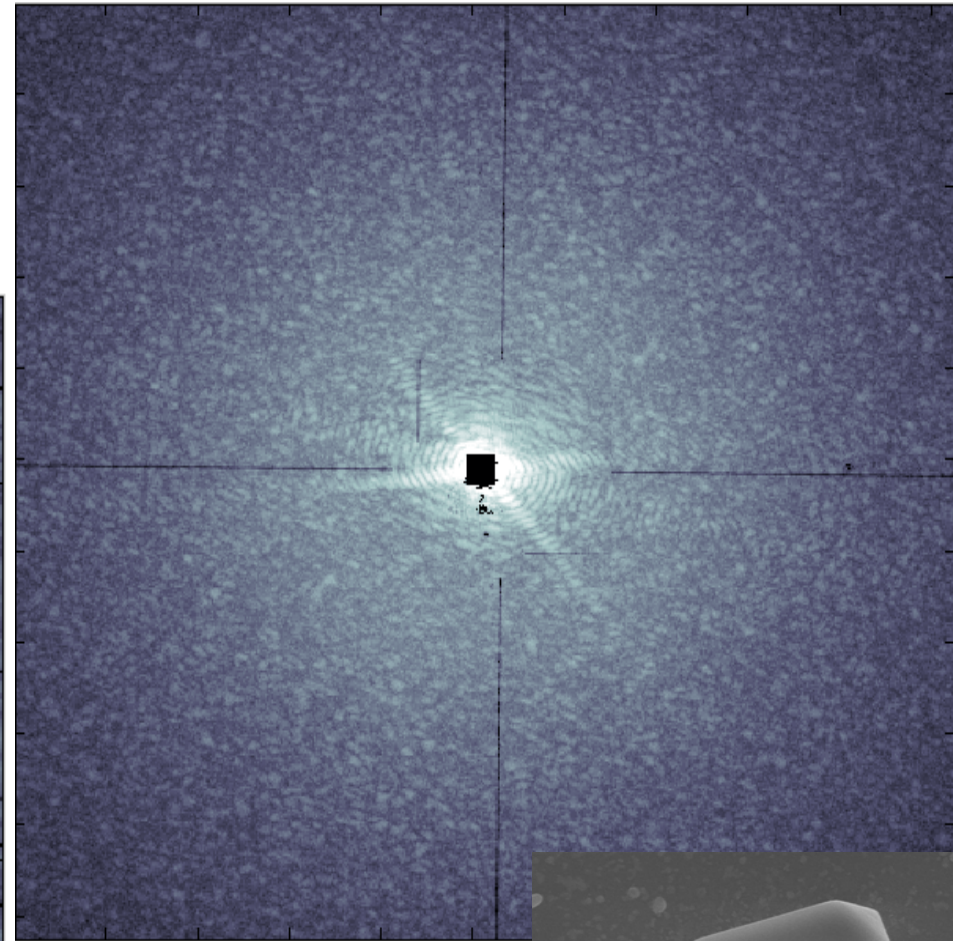
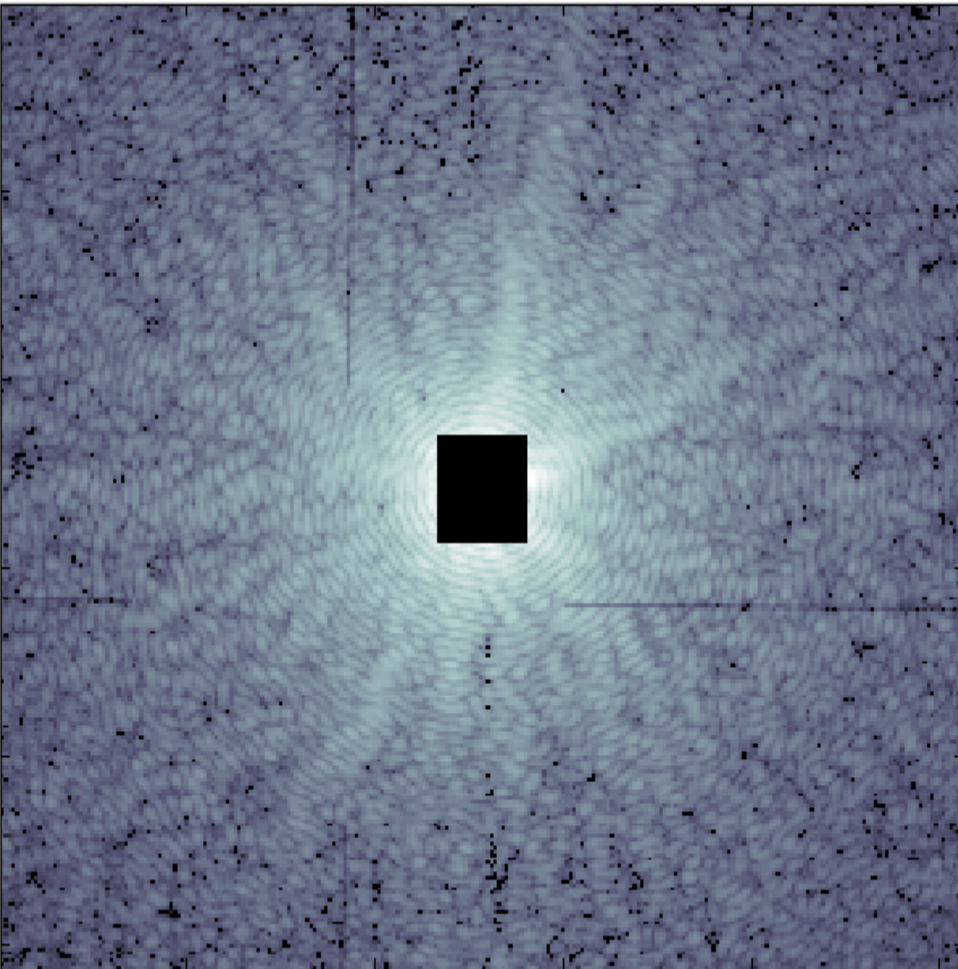


Experiment at 8-ID with Direct Detection CCD

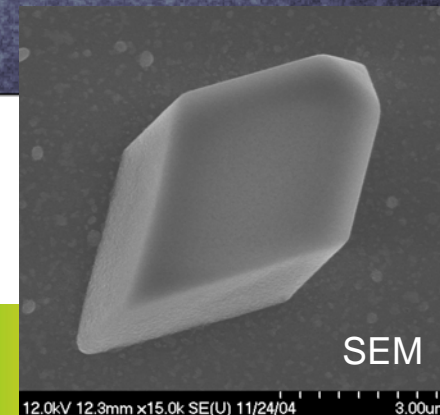
X-ray energy: 7.35 keV

→ Highest angle signal \Leftrightarrow ~20nm resolution,
limited by counting statistics and size of CCD

→ Parasitic scattering background < 0.1 ph/s

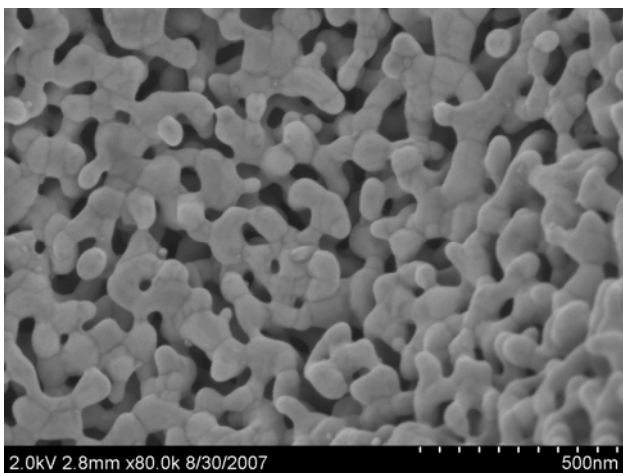
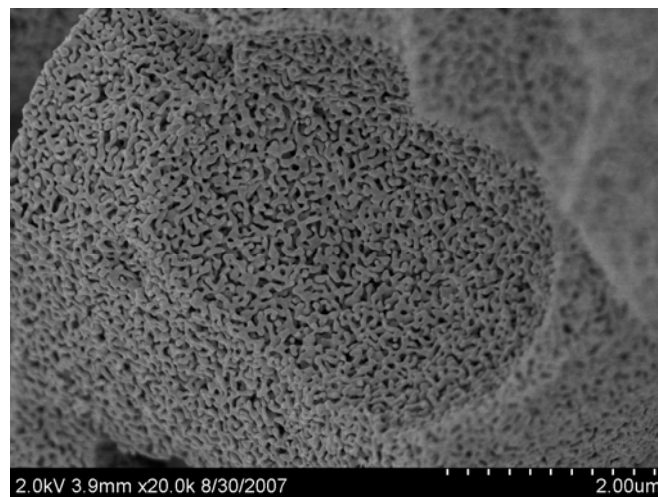
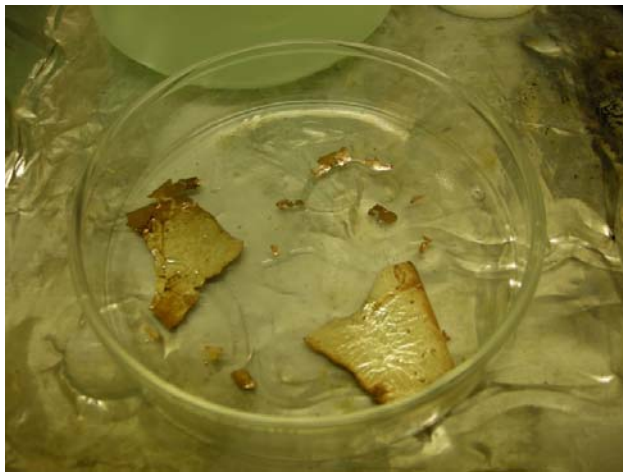


Problem: missing data
behind beam-stop

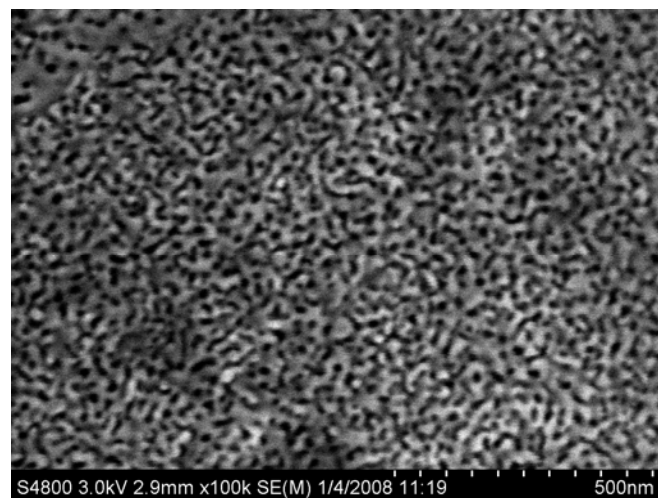


Application Example: Dealloyed Au Nanofoam

Chen, Cox, Dunand (NU)



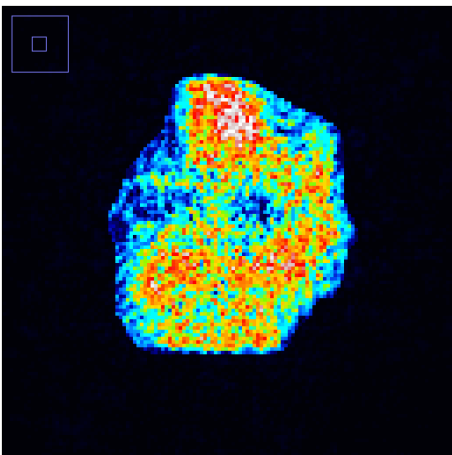
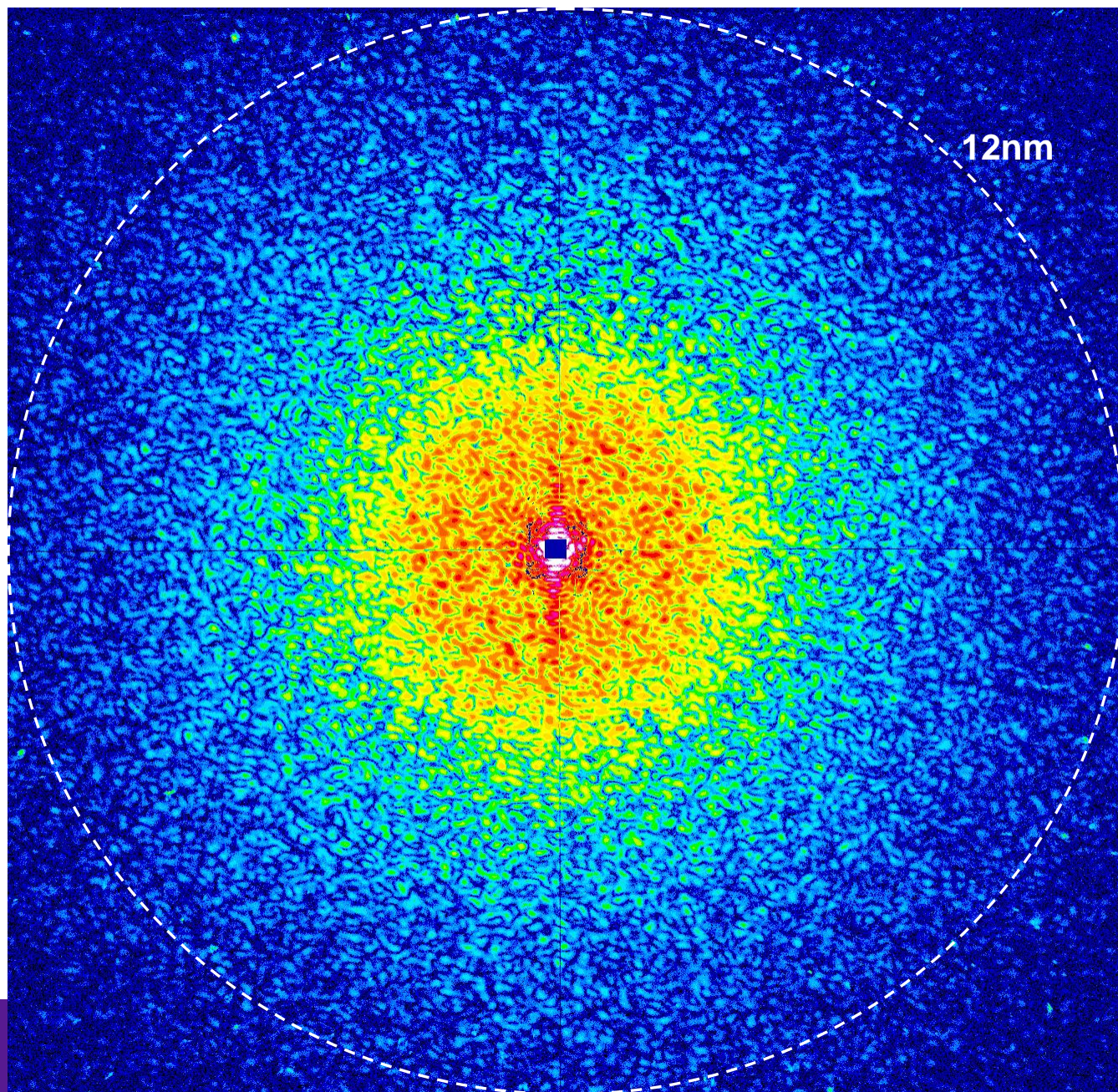
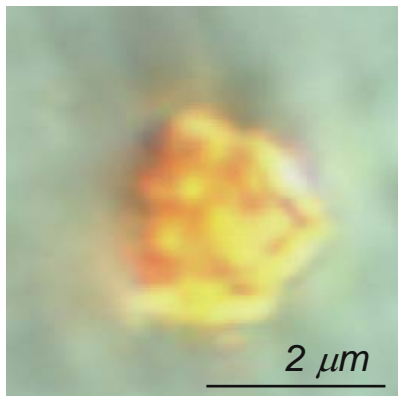
Ag-Au foil, dealloyed 48 hours



Multiscale porosity, from μm to nm

CDI Experiment at 8-ID on Gold Nanofoam

Xiao, Shen,
Sandy et al.
(APS)
Chen, Dunand
(NU)



Missing-data Problem in CDI

$$\eta_i = \frac{D_i - 1}{2\sigma_i}, \quad i = x, y, z,$$

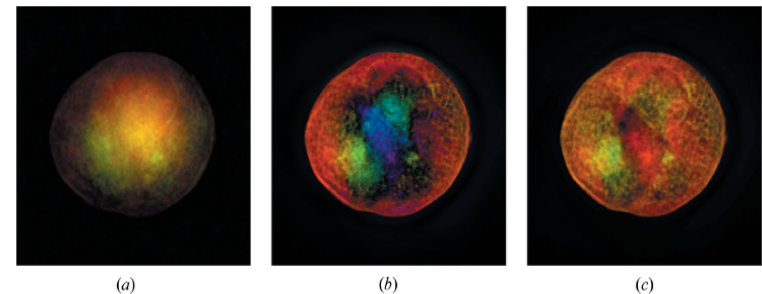
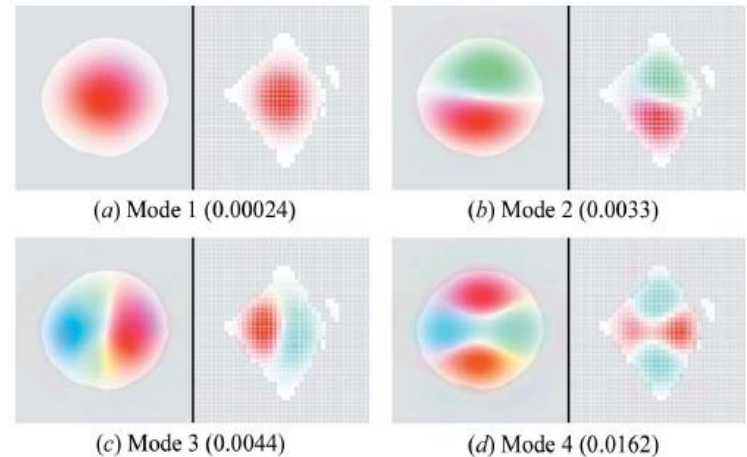
$$M = \frac{N_C}{\sigma}.$$



FIG. 1. Image reconstruction of oversampled diffraction patterns as a function of the number of missing waves. (a) $\eta_x, \eta_y = 1$. (b) $\eta_x, \eta_y = 2$. (c) $\eta_x, \eta_y = 4$.

in the reconstructed images. The missing structural information cannot be recovered by iterative algorithms no matter whether a tight support is used [7] or the center pixel in the reciprocal-space array is fixed [15]. To reliably solve the missing data problem for general samples, one needs to obtain oversampled diffraction patterns with the missing data confined within the centrospeckle.

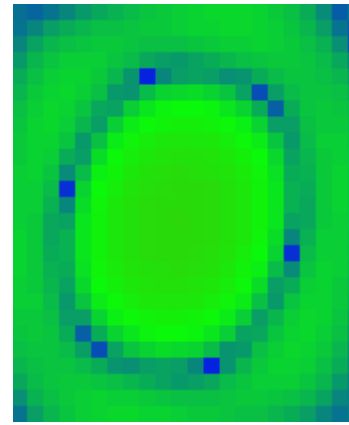
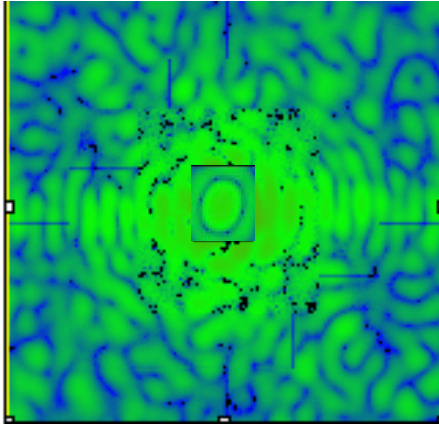
J. Miao et al, PRL, 2005



restoration of the modes using the *ad hoc* variance minimization rule.

V. Elser et al, Acta Cryst., 2006

Solving the CDI Missing-data Problem ...



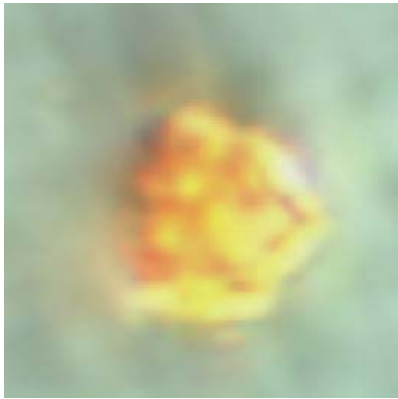
**Our data has missing-data region larger than the central speckle.
Is the data still usable?**

**We are developing an algorithm to determine the correct low-Q
signal in the missing-data region. The algorithm aims to minimize
the following errors:**

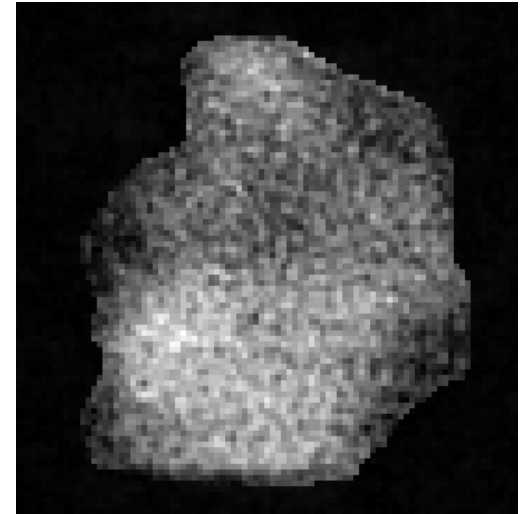
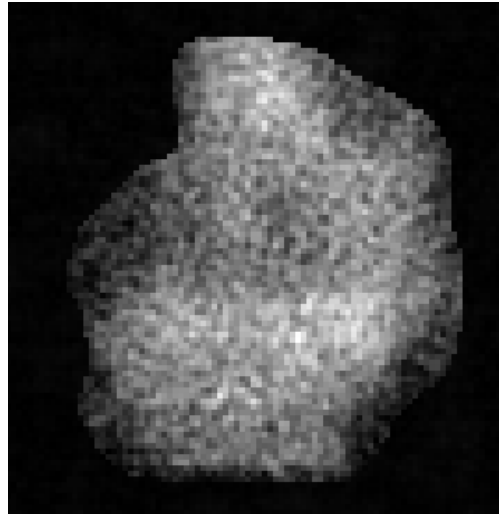
- *Global error in real space*
- *Global error in reciprocal space*
- *Local error in a vicinity around the missing-data region*

Xiao & Shen, in preparation (2008)

Two Adjacent Projections



DIC optical micrograph

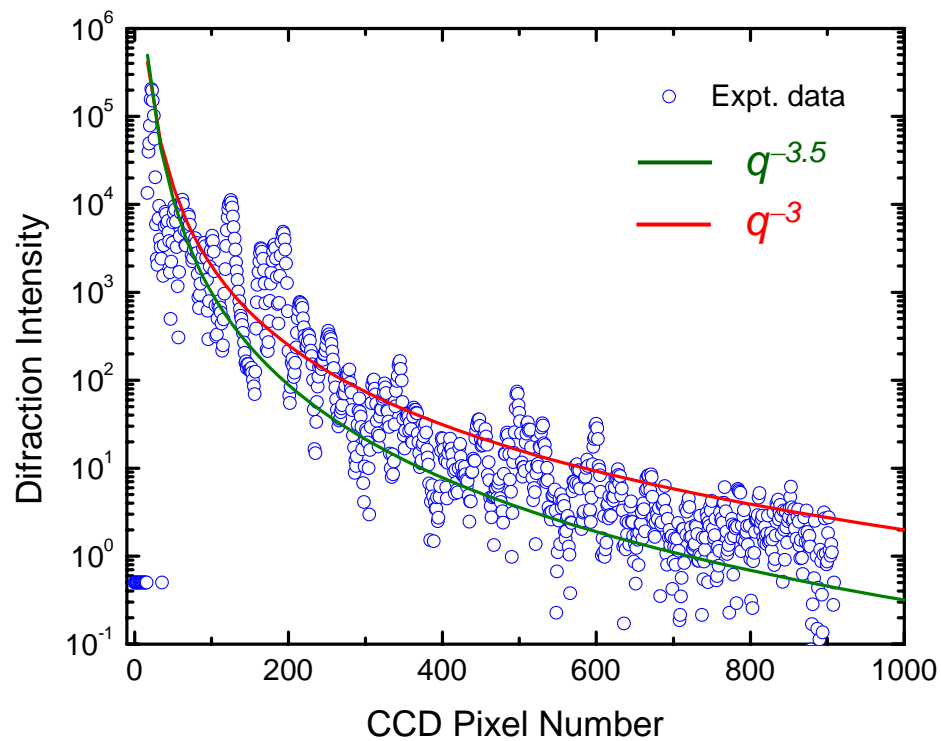
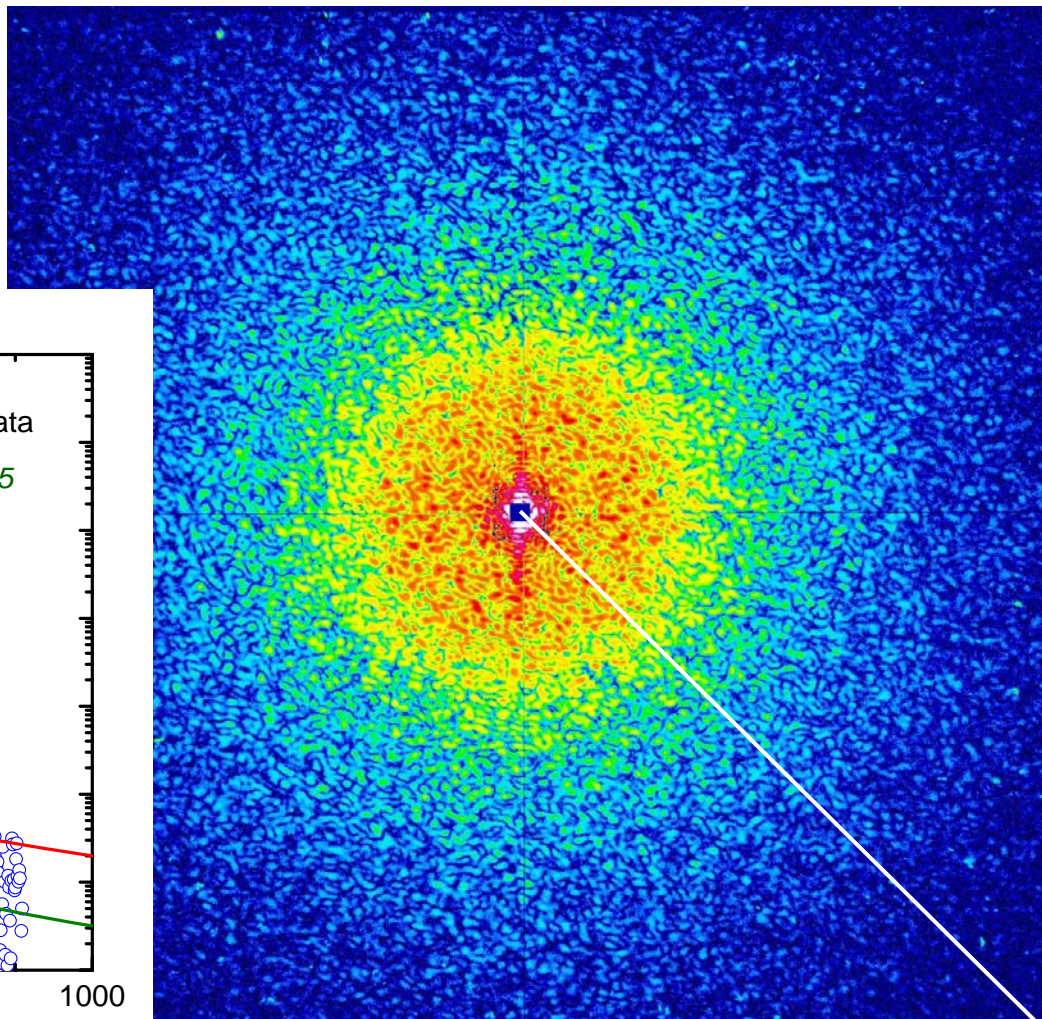


Reconstructed images from projections 5° apart.
Sample size is about $2.1(\text{H}) \times 2.4(\text{V}) \mu\text{m}^2$.

q-dependence of Coherently Scattered X-Rays

Experiment results:

Gold nanofoam specimen
CDI data to ~ 8 nm



Is Atomic Resolution Possible ?

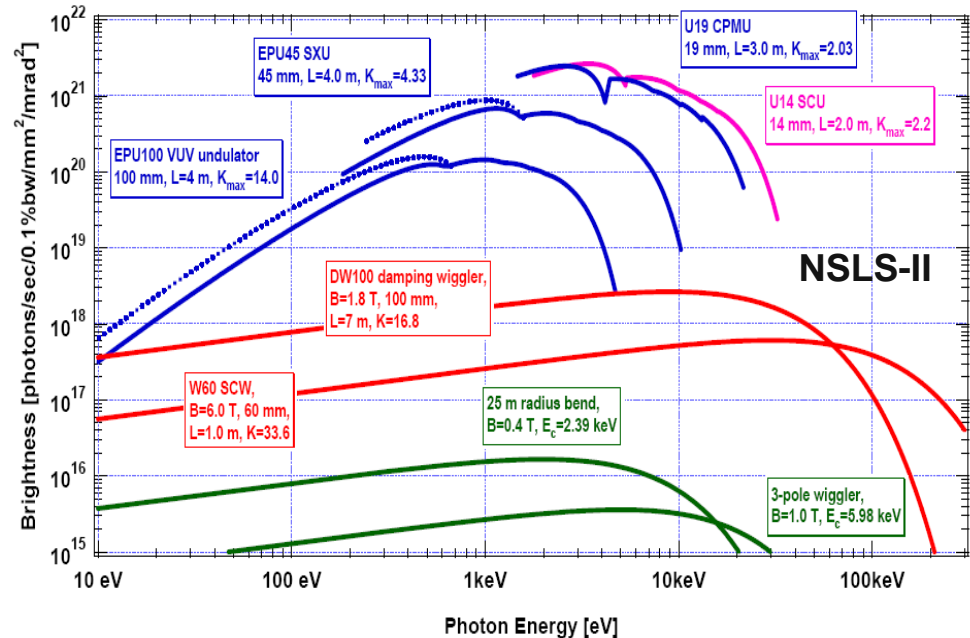
Results at current APS:

Specimen: Au nanofoam
 CDI data: to ~ 7 nm, in total of 2 hrs.
 CCD detector: PI direct detection
 Optics: , Si (111) mono
 10 μm pinhole
 crystal guard aperture
 X-ray wavelength: 1.7 Å

APS: $B = 5 \times 10^{19}$ ph/s/0.1%/mm²/mr²
 $\sigma_x = 270 \mu\text{m}$, $\sigma_y = 12 \mu\text{m}$
 Coh. area @ 65m: 17 μm x 390 μm

If full coherence area is focused:
 gain of $1.7 \times 39 = 66x$
 $\Rightarrow 2 \text{ hrs} \rightarrow 1.8 \text{ min.}$

Scaling to 3.5Å = 0.35nm resolution:
 need $x20^3 = x8000$ more photons
 $\rightarrow x8000$ longer collection time
 $\rightarrow 1.8\text{min} \times 8000 = 14400 \text{ min}$
 $= 240 \text{ hrs} !$



NSLS-II: $B = 2 \times 10^{21}$ ph/s/0.1%/mm²/mr²
 @ 7 keV with U20 undulator

\Rightarrow gain of 40x in coherent flux $\rightarrow 6 \text{ hrs}$

\Rightarrow dual U20 in long straight $\rightarrow 3 \text{ hrs.}$

\Rightarrow Ge (111) mono. $\rightarrow 1.5 \text{ hrs.}$

\rightarrow A bright source like NSLS-II is essential to atomic resolution CDI

Detector Improvements



PI•LCX:1300

1340 x 1300 imaging array | 20 x 20- μ m pixels

Princeton Instruments exclusive; front-illuminated, scientific-grade, non-MPP, deep-depletion device

1340 x 1300 imaging pixels
 20 x 20- μ m pixels
 100% fill factor
 26.8 x 26.0-mm imaging area (optically centered)

Grade 1

	Minimum		Typical	
CCD read noise			low noise 2 e- rms	high capacity 6 e- rms
System read noise				
@ 50-kHz digitization			4 e- rms	6 e- rms
@ 100-kHz digitization			5 e- rms	10 e- rms
@ 1-MHz digitization			8 e- rms	18 e- rms
Single-pixel full well	200 ke-		400 ke-	
Output amplifier	low noise 200 ke-	high capacity 800 ke-	low noise 250 ke-	high capacity 1 Me-
Dark current				
@ -40°C operation			100 e-/p/s	
@ -110°C operation			11 e-/p/hr	
Deepest cooling temperature				
thermoelectric (air)	-35°C		-40°C	
thermoelectric (chilled water)	-40°C		-45°C	
cryogenic (liquid nitrogen)	-100°C		-110°C	



Pilatus 6M detector
(from Dectris)

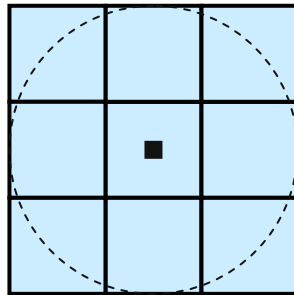
More Detectors !!

10 nm



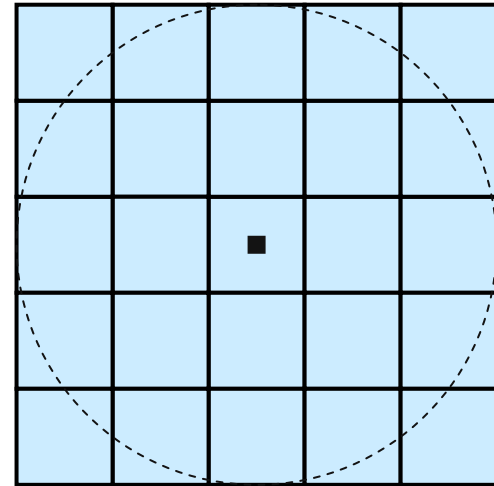
\$60k

3.3 nm



\$540k

2 nm

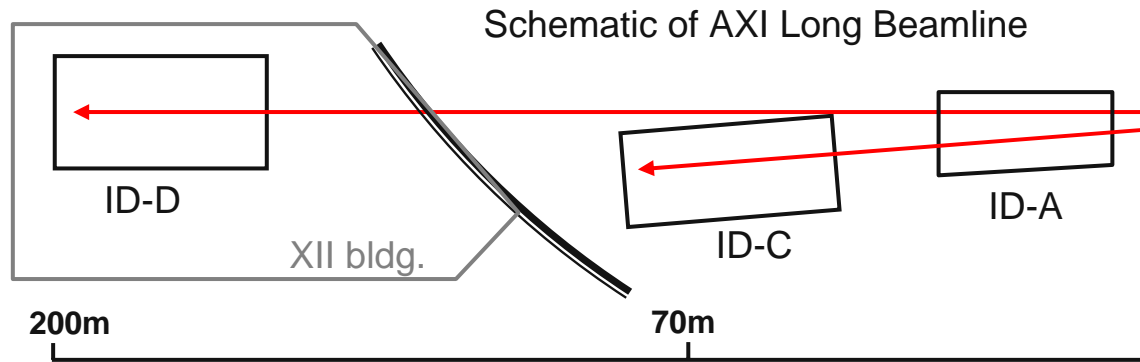


\$1.5M

Proposed Advanced X-ray Imaging (AXI) Long Beamline

→ X-ray Imaging Institute (XII) proposed by APS; Building may be funded by State of Illinois

→ Could enclose a 150-200m long imaging beamline, and provide office & lab (optics, detectors, etc.) spaces to staff and visitors

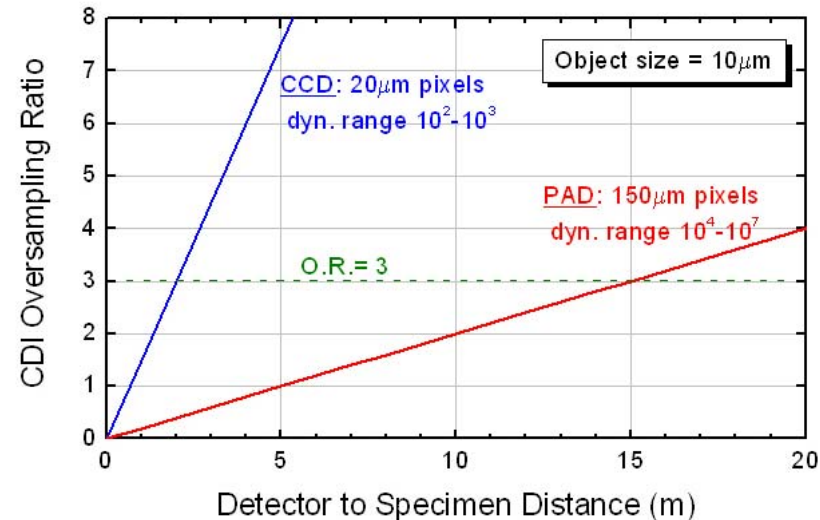


New Imaging Capabilities at Long BL

- High phase sensitivity:
 - internal defect & crack propagations in **low-Z materials** e.g. polymer foams & nanocomposites
- 100x field of view:
 - opens up **comparative biology** to larger animals – essential for understanding of evolutionary transitions
 - **biomedical** imaging and physiological studies on **small animals** such as mouse, critical for medical applications
- 20m-long CDI hut:
 - unique at APS, allows the use of high-dynamic-range area detectors such as Pilatus pixel arrays for CDI → crucial in reaching <10nm resolution

Advanced X-ray Imaging (AXI-CDT)

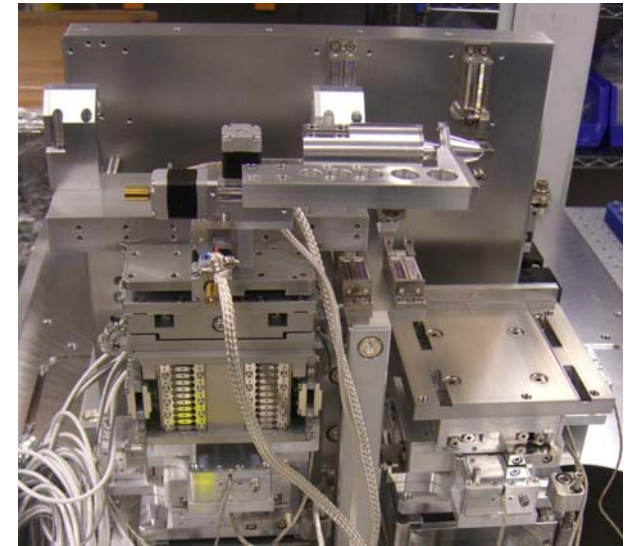
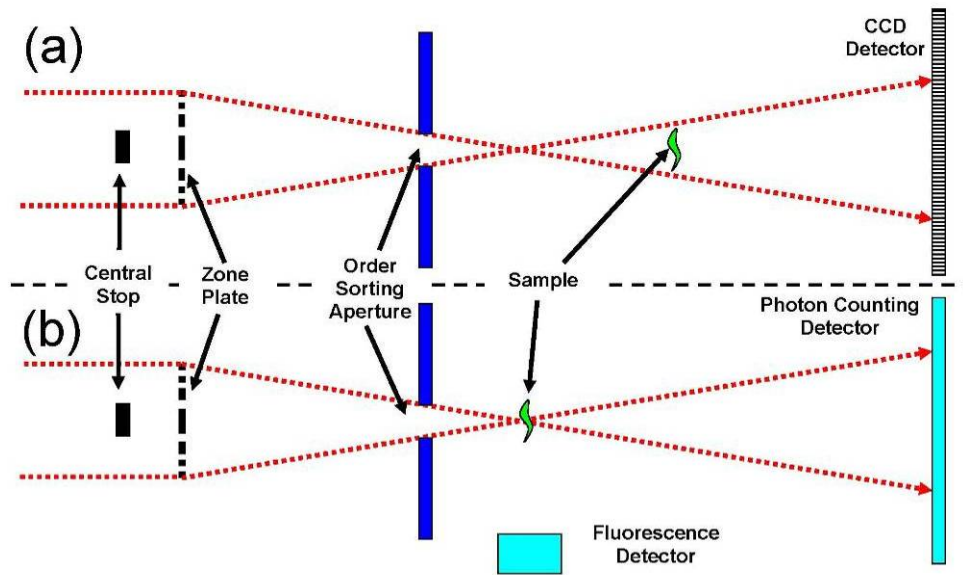
- CDT Co-Directors: Jon Harrison (ASU)
John Miao (UCLA)
Ian McNulty (APS)
- Letter of Intent submitted to APS, Nov. 2007



Hybrid Coherent Diffraction Imaging Microscopes

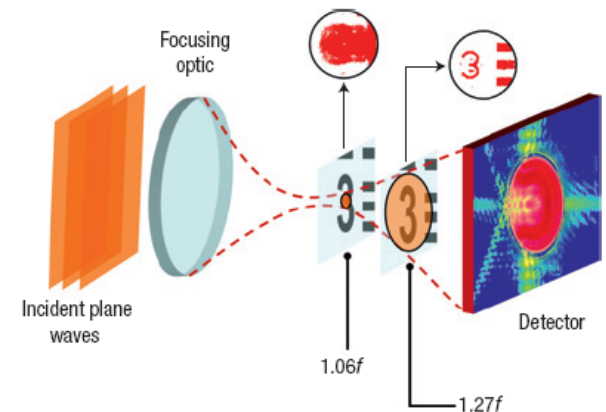
- Combining Fresnel CDI with STXM:

Andrew Peele & Keith Nugent



- Combining Ptychography CDI with STXM:

X-ray ptychography using a <10nm nanoprobe may present the best opportunity to reach atomic resolution.



Summary

- ❖ **X-ray coherent diffraction imaging applications** are presently limited to ~ 7nm in hard materials and ~ 30nm in biological specimens.
- ❖ **Radiation damage** is the primary concern to reaching atomic resolution imaging of biological specimens. The ultimate resolution may very well be limited to a few nm.
- ❖ **For hard radiation resistant materials** atomic resolution may be achievable, but it requires optimized experiment conditions, including sources, optics, detectors, algorithms, and combining CDI with SXM.
- ❖ **Advanced data analysis algorithms** may allow optimized data treatment in low S/N experiments and thus substantially improve the achievable resolution by CDI technique.
- ❖ **NSLS-II** should play a **leading role** in developing the atomic resolution CDI potential since it will offer brightest x-rays and highest coherence in the next 5-10 years.

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