



**Employment & Training
Administration**

WIA Validation Handbook

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I. INTRODUCTION

The goal of the Workforce Investment Act (WIA) program is to help participants enter suitable employment or educational opportunities, and to be retained in those opportunities. States submit WIA Standardized Record Data (WIASRD) records to ETA on an annual basis, together with an annual performance report called the ETA 9091. This submission helps ETA determine the success of the WIA program in achieving its goal.

According to the Training and Employment Guidance Letter (TEGL) 3-03, states are required to validate their Program Year (PY) 2002 WIASRD records by April 1, 2004. States conduct data validation after final WIASRD records for the program year have been submitted to ETA. The data to conduct the PY 2002 validation should be available as of December 1st, 2003, when the WIASRD file and the annual ETA 9091 report for PY 2002 were due to ETA.

This WIA Data Validation Handbook describes the procedures that states may follow to review the accuracy of the ETA 9091 Annual Performance Report, the ETA 9090 Quarterly Summary Report, and a rolling four quarters report.

A. OVERVIEW OF DATA VALIDATION

The validation process is intended to accomplish the following goals:

- Detect and identify specific problems with a state's WIA reporting process, including software and data problems, so as to enable the state to correct the problems.
- Ensure that critical performance data used to direct incentives and sanctions and to meet ETA's GPRA responsibilities are reasonably accurate by calculating an error rate for selected data elements validated on the ETA 9091.
- Provide tools that help states and local areas analyze the causes of their performance successes and failures by displaying participant data organized by performance outcomes.
- Minimize the burden on states in conducting the validation by providing standardized software that reads records in the WIASRD format and performs all of the processing required to conduct the validation.
- Further minimize the burden on the states by selecting the smallest possible validation samples necessary to compute valid error rates.

Data validation consists of two separate functions: *report validation* and *data element validation*. Because there are two basic sources of reporting error, validation requires two methods. First, even if the data for each participant are correct, the state's reporting software could calculate the wrong performance outcomes. Second, if the software is correct, some of the data the software uses could be in error. Report validation checks the accuracy of the software used to calculate the ETA 9090, ETA 9091, and the rolling four quarters report. Data element validation checks the accuracy of the data used by the software to perform the calculations. TEGL 3-03 requires states to conduct both report validation and data validation for the PY 2002 annual 9091 report.

B. REPORT VALIDATION

Report validation is used to validate the accuracy of the values reported in each item of the ETA 9090 report, ETA 9091 report, and a rolling four quarters report. For example, if a state reports a numerator of 100 in the adult entered employment rate, the validation method involves using specified criteria to build and analyze a file of adult exiters who were employed in the first quarter after exit. If the state can produce 100 participant records that meet the prescribed criteria, the state software that calculates the performance reports will be determined to be accurate.

Report validation is conducted through the use of standardized software that analyzes the state's participant files to verify the accuracy of the state's reported values. The software processes this file for report validation. Therefore, the only burden that report validation imposes on the state is to assemble the correct participant records to validate the reports, to load the file into the software, to create a reported counts file, and to load the reported counts file into the software.

If states use the ETA provided validation software to produce their annual report, then states will be considered to have conducted report validation. The state can also use the report validation function of the software to generate a quarterly report for the rolling four quarters even though it is not currently required by ETA, and the state may not have reported counts to compare to the validation values.

One benefit of the report validation process for states and local areas is the ability to analyze performance outcomes and factors that may impact performance. For example, state or local area staff can view the individual wage records for participants who were employed in both the first and the third quarter after exit. Using the software's ability to sort and to export performance outcome groups, staff may be able to determine that changes in performance, particularly with regard to earnings change and replacement rates, are due to wage record patterns that may be unrelated to program performance. There are numerous other ways in

which viewing the files can help staff analyze changes in performance over time and across performance outcome groups.

Chapter II contains the procedures for conducting report validation. Appendix A describes the performance outcome groups to which the software assigns each participant record in order to analyze the accuracy of the ETA 9091, the ETA 9090, and a rolling four quarters report, as well as the specifications for the cohorts that should be included in the file. Appendix B includes the report element and performance measure specifications. Appendix C presents the report validation summary produced by the software, which compares the state's ETA 9090, ETA 9091, and rolling four quarters report with the validation values for those reports.

C. DATA ELEMENT VALIDATION

Data element validation evaluates the accuracy of the participant data used to generate the WIA Annual Report. The process compares selected information from a sample of exiter records to source documentation. Data element validation is critical to ensure that the performance results of the WIA program are reasonably accurate.

If a record is selected for validation, state staff compare the record against the source documentation for each data element and then record on a worksheet whether or not the information is accurate. The validator determines accuracy based on the federal definitions and source documentation requirements in this handbook. The validation software can generate data element validation samples and worksheets for the ETA 9091 annual report and the rolling four quarters report, but not for the ETA 9090 quarterly report.

The data element validation process is designed to compute a reliable error rate using the smallest possible sample to minimize the state's burden in performing the validation. To accomplish these objectives, two sampling techniques are used:

- 1) The software selects the sample of exiters from a relatively small number of locations within the state where source documentation is stored. This approach reduces the burden that onsite validation imposes on the state, by limiting the number of locations to visit. Because the process must cluster separately for each of the four funding streams, the total number of locations sampled may still be numerous in some states.
- 2) Cases that have a higher potential for error that will affect performance (positive employment outcomes) are sampled in greater proportion than cases with a reduced chance of error (negative employment outcomes).

These sampling methods ensure that the state examines as few records as possible, in as few locations as possible, while still achieving a reliable estimate of error.

Procedures for conducting data element validation are found in Chapter III, including a discussion of how states should prepare for validation based on where source documentation is stored. Appendix D contains the data element validation instructions for validators to follow. Appendix E contains an example of a data element validation worksheet generated by the software for the validator to use. Appendix F presents the summary and analytical reports generated by the software, showing the overall results of the data element validation process. Appendix G details the sampling and error rate estimation methodology.

D. PREPARING FOR VALIDATION

As indicated, Chapters II and III of this handbook describe each task that states should follow to complete data validation. Responsibility for completing these tasks will be divided among various staff.

Managers are responsible for assuring that programmers and validators have the resources needed to complete the validation as required by ETA. They are also responsible for keeping the data validation effort on schedule.

Programmers have the primary responsibility for building the validation extract file in the format specified in the record layout. Programming staff will also be responsible for loading the file into the validation software.

Validators conduct the data validation once the extract file has been imported into the software. Validators should also work closely with programming staff to determine which data elements best meet the requirements specified in the record layout.

Table I.1 summarizes the preparation tasks that states should complete before embarking on the validation effort, and the staff who will likely be responsible for completing each preparation task. All of these tasks can begin immediately, so that the state can maximize the time available to validate its sample of WIA participant records.

| Table I.1 | | |
|--|-----------------------------------|-------------------------------------|
| Preparing for Data Validation | | |
| Preparation Activity | Responsible Staff | Handbook Reference |
| Assemble validation team. | Managers | Not applicable |
| Review handbook. | Validators, programmers | All chapters and appendices |
| Develop a data validation schedule and make staff assignments. | Managers, validators, programmers | Chapter I |
| Build and test validation extract file of all PY 2002 WIA participants, based on record layout. Final file should be generated as soon as possible after the PY 2002 submission in December 2003, to maximize the time available to conduct the data validation. | Programmers, validators | Chapter II, Appendix A |
| Review report validation and data element validation tasks. Update state version of sources column in the data element validation instructions. | Validators, programmers | Chapters II and III, and Appendix D |

After reviewing this handbook, states should contact Mathematica Policy Research, Inc. by emailing WIATA@mathematica-mpr.com with any questions on validating their WIASRD submission.

II. REPORT VALIDATION

Report validation is designed to assess whether the state software used to generate the ETA 9090 report, ETA 9091 report, or a rolling four quarters quarterly report accurately calculates the performance measures. The process described in this chapter requires the state to create a file of data elements for each WIA participant and exiter included on the report being validated. Even though this file contains records that are not included in the WIASRD, the format of the file is identical to that required by ETA for the annual WIASRD submission with the addition of six fields.

A. PROCEDURES

Once the participant file has been created, the state imports the file into the WIA data validation software. The software then reads the participant records and assigns them to the appropriate group(s) (adult, dislocated worker, older youth, younger youth) and to a performance outcome group(s). Having determined the performance outcome(s) for each participant, the software calculates the numerators and denominators for each of the reported items on the 9090, 9091¹, and the rolling four quarters reports.

The state then imports into the validation software the values from the ETA 9090, ETA 9091, or rolling four quarters report being validated. Once the reported values have been imported, the software compares the validation values calculated from the participant file to the reported values and generates the report validation summary. The report validation summary shows the validation and reported values for each report element and the difference and the percent difference between the two values.

States may also choose to use the validation software to generate Tables B through M of the ETA 9090 and 9091 reports for submission to ETA.² If they do so, they do not need to import the values from the report being submitted or conduct report validation.

State automated data processing (ADP) staff are responsible for conducting report validation as described in the following tasks.

¹The 9091 report breaks out the performance measures by special populations such as public assistance recipients, veterans, and the disabled. The software calculates performance for these special populations.

²ETA's validation software produces the state level reports. The software can also produce local level reports although participants who were served by two or more local offices may not be included in every applicable office report. This is because the software rejects state level duplicates, which are participant records with the same SSN, Date of Exit, and funding stream.

Task 1: ADP Staff Produce Report Validation Extract File

State ADP staff produce an extract file containing the participant records for all participants and exiters included in the ETA 9090, ETA 9091, or the rolling four quarters reports. The extract is in the WIASRD format with the addition of six fields. These include Office Name, WIB Name, Sampling Unit, Case Manager and User Field. States should refer to Chapter III for important information about populating the sampling unit field. In addition, the extract file must contain social security numbers (SSNs) of the participants so that states can validate wage records when conducting data element validation. The record layout for the extract file is provided in the ETA validation software and the software user's guide.

Because databases are dynamic, it is essential to run the state programs that generate the validation files at the same time that the federal reporting programs are run. This will eliminate any differences caused by changes in the database over time.

Task 2: Install Software and Import Extract File

See the WIA Validation Software User's Guide for detailed instructions on installing the software and loading the extract files.

When the extract file is imported into the data validation software, the software processes each participant record and builds the performance outcome groups based on the performance outcomes specified in Appendix A. For example, participants in group 1.4 are adults who were unemployed at registration and designated as employed in the first and third quarters after the exit quarter from supplementary data; they did not have earnings in the UI wage records for these quarters.

Task 3: Examine Error Reports and Reload Extract If Necessary

When the extract file is loaded, the validation software reads each record to ensure that all fields are valid based on the record layout, the duplicate detection criteria, and the edit checks specified in Appendix A of the software user's guide. Any duplicate records or records that fail critical edit checks are rejected. If the extract file contains errors, the software will produce a duplicate detection report and an error report identifying the rejected records. The error report also identifies records that failed non-critical edit checks which did not cause them to be rejected.

After reviewing any error and duplicate reports generated by the software, state ADP staff should determine whether the extracts must be regenerated or reformatted and reloaded. If a very small number of records are rejected, it may not be necessary to re-import the file.

Task 4: Import Reported Values

To calculate the difference between report and validation values, the software must have the state's reported values. Therefore, ADP staff or the validator must import the item totals from the report being validated into the software.

This step is not necessary if states use the validation software to prepare the report.

Task 5: Report Validation Summary Report

After the reported values have been imported, the software calculates the difference between the validation and reported values and a percent difference. The software then produces the report validation summary displaying this information. Federal quality standards for determining acceptable error rates will be developed after sufficient data have been collected on the validation results. If states use the validation software to prepare the report, the report validation summary will show zeros for the reported values and will show percent differences of 100 percent.

Although ETA is currently developing policies for reporting on the validation results, it is likely that the state will submit the report validation summary via the Internet. The validation files, including the report validation summary, should be retained for three years for monitoring purposes.

B. EXAMPLES

The following figures are examples of:

- 1) Summary Table— Twenty Groups of Adult Participants Used to Calculate Entered Employment, Retention, and Earnings (the example table displays 15 groups) (Figure II.1)
- 2) Detail for Performance Outcome Group 1.1 – The user can select any performance outcome group to view the detailed records and data elements. (Figure II.2)
- 3) Sample Report Validation Summary – Once the extract file is imported into the validation software, the software displays each of the performance outcome groups and the number of participants in each group. (Figure II.3)

FIGURE II.1

SUMMARY TABLE EXAMPLE
 TWENTY GROUPS OF ADULT PARTICIPANTS USED TO CALCULATE ENTERED
 EMPLOYMENT, RETENTION, AND EARNINGS RATES

| Summary Exhibit A.1 | | | Summary Exhibit A.2 | | | | |
|------------------------|-------------------|-----------------|---------------------------------|--------------------------|---|-------------------|------------------|
| WIA Report Validation. | | | | | | | |
| | Participant Group | Number in Group | 1 Emp Status at Registration | 2 Emp Q+1 Exit Q+1 | 3 Exit Q+1 Wage Records/ Sup.Sources | 4 Exit Emp Q+3 | 5 E Q S |
| ▶ | 1.1 | 266 | Not Employed | Yes | Wage | Yes | W |
| | 1.2 | 0 | Not Employed | Yes | Wage | Yes | S |
| | 1.3 | 0 | Not Employed | Yes | Suppl. | Yes | W |
| | 1.4 | 0 | Not Employed | Yes | Suppl. | Yes | S |
| | 1.5 | 36 | Not Employed | Yes | Wage | No | N |
| | 1.6 | 0 | Not Employed | Yes | Suppl. | No | N |
| | 1.7 | 49 | Not Employed | Yes | Wage | PENDING | N |
| | 1.8 | 0 | Not Employed | Yes | Suppl. | PENDING | N |
| | 1.9 | 0 | Not Employed | PENDING | NA | PENDING | N |
| | 1.10 | 39 | Not Employed | No | NA | NA | N |
| | 1.11 | 159 | Employed | Yes | Wage | Yes | W |
| | 1.12 | 0 | Employed | Yes | Wage | Yes | S |
| | 1.13 | 0 | Employed | Yes | Suppl. | Yes | W |
| | 1.14 | 0 | Employed | Yes | Suppl. | Yes | S |
| | 1.15 | 13 | Employed | Yes | Wage | No | N |
| ◀ | 1.16 | 0 | Employed | Yes | Suppl. | No | N |

FIGURE II.2

SUBGROUP DETAIL
 DETAIL FOR PERFORMANCE OUTCOME GROUP 1.1

| Summary Exhibit A.1 | | | | | Summary Exhibit A.2 | | | | | | |
|---------------------|----------|----------|--------|------------|-----------------------------|------------|-------|-------------|--------------|-------|---|
| Detail for 1.1 | | | | | Total Number of Records: 25 | | | | | | |
| OBS | SSN | DOB | Gender | Disability | Hispanic | NativeAmer | Asian | AfricanArme | PacificIslan | White | ▲ |
| 1 | 10000001 | 19660220 | | | | | | | | | |
| 2 | 10000002 | 19660220 | | | | | | | | | |
| 3 | 10000003 | 19660220 | | | | | | | | | |
| 4 | 10000004 | 19660220 | | | | | | | | | |
| 5 | 10000005 | 19660220 | | | | | | | | | |
| 26 | 10000026 | 19660220 | | 1 | | | | | | | |
| 27 | 10000027 | 19660220 | | 1 | | | | | | | |
| 28 | 10000028 | 19660220 | | 1 | | | | | | | |
| 29 | 10000029 | 19660220 | | 1 | | | | | | | |
| 30 | 10000030 | 19660220 | | 1 | | | | | | | |
| 51 | 10000051 | 19350101 | | | | | | | | | |
| 52 | 10000052 | 19350101 | | | | | | | | | |
| 53 | 10000053 | 19350101 | | | | | | | | | |
| 54 | 10000054 | 19350101 | | | | | | | | | |
| 55 | 10000055 | 19350101 | | | | | | | | | |
| 76 | 10000076 | 19660220 | | | | | | | | | |
| 77 | 10000077 | 19660220 | | | | | | | | | |

FIGURE II.3

SAMPLE REPORT VALIDATION SUMMARY
ADULT PROGRAM RESULTS

Report Validation Summary- Adults
Period (7/1/01 - 6/30/02)

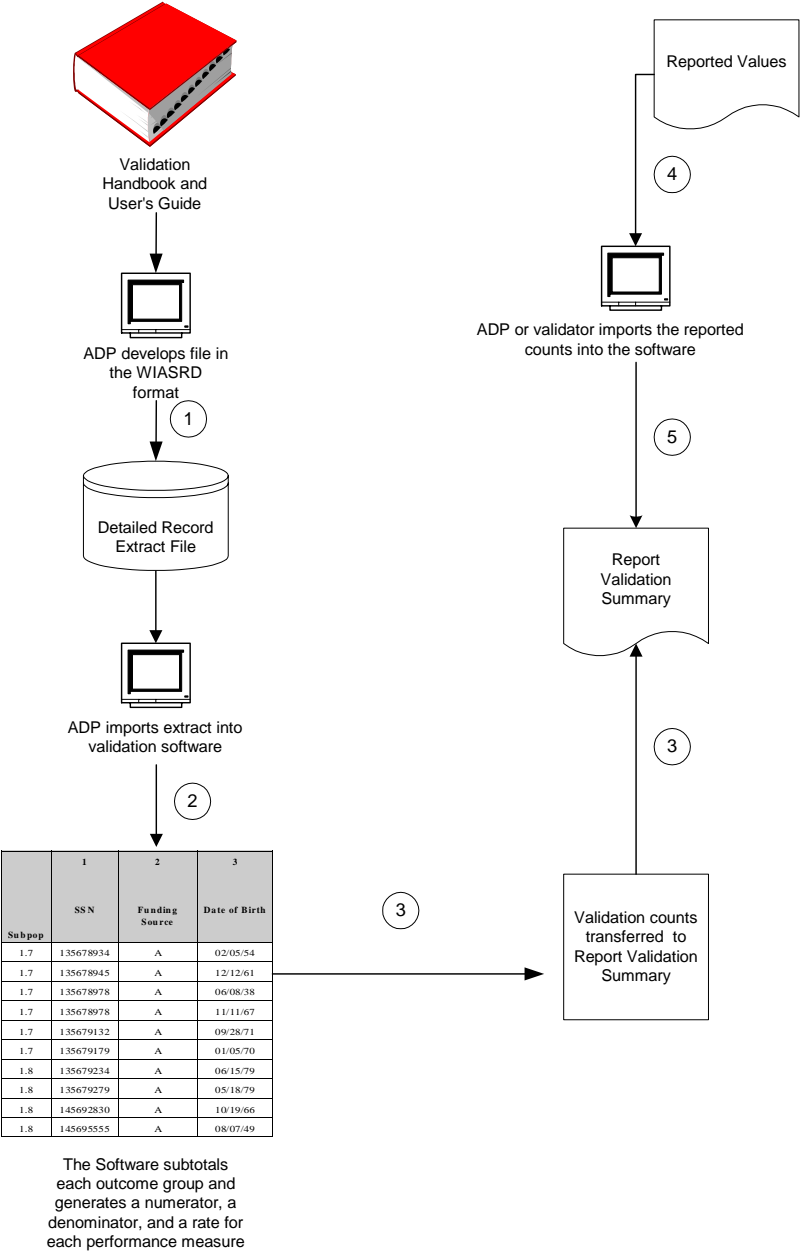
| State: US | | Program Year : 2001 | | | |
|------------|---------------------------------|---------------------|----------------|------------|--------|
| RptCell | Description | Validation Value | Reported Value | Difference | % Diff |
| 9091/B-1B1 | EER Numerator | 205 | 205 | 0 | 0.0% |
| 9091/B-1B2 | EER Denominator | 241 | 241 | 0 | 0.0% |
| 9091/B-1B3 | EER Rate | 85.1 | 85.3 | 0.2 | 0.3% |
| 9091/B-2B1 | Retention Numerator | 217 | 215 | 2 | 0.9% |
| 9091/B-2B2 | Retention Denominator | 248 | 246 | 2 | 0.8% |
| 9091/B-2B3 | Retention Rate | 87.5 | 87.0 | 0.5 | 0.6% |
| 9091/B-3B1 | Earnings Change Num | 683,050 | 695,050 | 12,000 | 1.8% |
| 9091/B-3B2 | Earnings Change Denom | 173 | 168 | 5 | 2.9% |
| 9091/B-3B3 | Earnings Change Rate | 3,948.3 | 3,948.0 | 0.3 | 0.0% |
| 9091/B-4B1 | Credential Num | 140 | 162 | 22 | 15.7% |
| 9091/B-4B2 | Credential Denom | 235 | 275 | 40 | 17.0% |
| 9091/B-4B3 | Credential Rate | 59.6 | 58.9 | 0.7 | 1.1% |
| 9091/C-1A1 | Public Assistance EER Num | 17 | 20 | 3 | 17.6% |
| 9091/C-1A2 | Public Assistance EER Denom | 23 | 26 | 3 | 13.0% |
| 9091/C-1A3 | Public Assistance Rate | 73.9 | 76.9 | 3.0 | 4.0% |
| 9091/C-2A1 | Public Assistance Retention Num | 16 | 16 | 0 | 0.0% |

TABLE II.1

OVERVIEW OF REPORT VALIDATION (FIGURE II.4)

| Figure II.4 Step No. | Description of Step | Who Performs Step |
|-------------------------|---|---------------------------|
| 1 | ADP staff develop a file in the WIASRD format containing the participant records for each cohort included in the report being validated. See the explanation of the required cohorts in Appendix A. | ADP Staff |
| 2 | The validation software processes the extract file into the performance outcome groups and subgroups specified in Appendix A. | Validation Software |
| 3 | From the subgroups, the software calculates the numerator, the denominator, and the rate for each performance measure. The software then displays the validation counts on the report validation summary. | Validation Software |
| 4 | Either the ADP staff or the validator imports the reported values for the report being validated. If the software is being used to calculate the report, this step is not necessary. | ADP Staff or Validator |
| 5 | Once the reported values have been imported, the software calculates the difference between the validation and reported values. The validator can then print the report validation summary or export it to a .txt file. | Validation Software |

**FIGURE II.4
OVERVIEW OF REPORT VALIDATION PROCESS**



III. DATA ELEMENT VALIDATION

Data element validation involves examining a sample of exiter records to determine the accuracy of the data elements used to calculate the ETA 9091 and the rolling four quarters reports. The validation software provides the capability for states to conduct data element validation for these two reports, but not for the ETA 9090 report.

Data element validation results in an estimate of the error rate for each data element that has been selected for validation. The error rate is computed by examining a sample of participant records to determine whether the accuracy of the selected data elements is supported by evidence in the case file or by other sources (such as wage record files).

Because of the time lag for obtaining wage records, the outcomes reported on the ETA 9091 and the rolling four quarters reports cannot be validated until approximately one year after exit. Therefore, data element validation of exiters must occur after the data for the report have been compiled.

Not all data elements are subject to validation. Data elements are selected for validation based on three factors:

- Feasibility – ETA can validate data elements only when it is practical and efficient to locate and examine supporting evidence within the state records. Therefore, such items as race, ethnicity, and gender will not be validated because these data elements are self-reported by participants, and it is not efficient to locate the participant to document these characteristics. It is also not practical to validate for data entry errors.
- Risk – The process for validating data elements is based partly on the likelihood that the data element may be inaccurate. Data elements involving human judgment are more prone to error than data elements that do not involve human judgment. For example, determination of employment based on supplemental sources is more likely to be in error than determination of employment from wage records.
- Importance – Data elements are selected for validation based primarily on their importance to the integrity of the ETA 9091 and the rolling four quarters reports.

The validation software automatically selects samples from the records for each group—adults, dislocated workers, older youth, and younger youth—and displays the sampled data on a validation worksheet. Records are over sampled if they are important for the calculation of performance measures and if they have a higher risk of error.

In addition, because it is impractical for state staff to conduct the validation for a small number of cases in a large number of locations, the data element validation sample for each group is selected from a sample of offices within the state, rather than from every office. Because the sample for each group is selected from a relatively small number of locations, it is more practical for state staff to conduct the validation on-site. This sampling approach ensures that the results represent the overall quality of the state's data. The software weights the results of the validation to correct for oversampling and for clustering so that the final results are representative of the state's files.

The software automatically selects the sampled cases from the extract file based on the total number of exiters from the performance year for each group—adults, dislocated workers, older youth, and younger youth. To reduce the relative burden on smaller states as much as possible, the sample size for smaller states is set to yield a less precise error rate than that for larger states.

In the data element validation process, the state validator applies a series of validity criteria to each sampled record. These validity criteria, which are based on federal requirements that determine how data are reported, instruct the validator to locate specified source documentation and to verify that the state's data record is correct as compared to the source documentation.

Appendix D contains the data element validation instructions, and Appendix E contains the worksheets the validator uses to record the results of the validation for each sampled case. The instructions and worksheets include a structure for recording comments and definitional problems so that states can document federal report and data validation issues that may affect validation and explain inconsistencies. Appendix G includes a description of the sampling specifications.

A. PROCEDURES

Task 1: Develop a Data Element Validation Strategy

One of the key issues in conducting the validation is locating source documentation. The term "source documentation" does not imply paper case files. Source documentation could include automated records in state systems and image files. For example, wage records must be reviewed using state files. States may also have educational records, automated records documenting participation in other programs, and other types of automated records that they can access to validate WIA data.

Some state WIA systems import automated case files from the state's TANF data bases. These detailed case files constitute valid source documentation and make it unnecessary for such states to validate this data element in local offices. It is not sufficient, however, to simply maintain a code or indicator in the state WIA system that the participant was enrolled in TANF.

Unless a state has a comprehensive imaging system it will still be necessary to review case files stored at the local level. Therefore the validation will be conducted in two stages: state staff will validate some of the data elements against data files at the state level and will validate other data elements using case files stored at the local level.

Case files should be reviewed where they are stored if at all possible. Storage of case files will vary across states and local areas. Files may be stored at the administrative offices of the WIB, at One-Stop offices, or at the offices of providers. In the worst case, case files for a single participant may be stored in multiple locations.

All wage and earnings information must be validated no matter what the source—UI wage records, WRIS, federal wages, or other sources. However, states only need to validate that the wages on the worksheet match the wages in the source documentation; they do not need to validate the accuracy of the source documentation. Wage record information must be checked against the state's wage record files. The state needs to include the participant's SSN in the Individual Identifier field in order to obtain the wage data and validate it. This is done as a separate step before or after the visit to the One-Stop center. There may be other data elements that the state can validate centrally. States have the flexibility to determine the most efficient source to use to validate the information when more than one source is specified.

There are several reasons why it is important to review the documents at the location where they are stored rather than moving the files prior to the review:

- If the files are not moved, the files will be readily available to local staff.
- Reviewing the files onsite ensures the integrity of the validation process.
- If the files are incomplete, it will be easier to obtain the missing information if the validator is onsite.
- Questions pertaining to the information in the case files can be efficiently resolved in an onsite review.
- State and local staff will both benefit from face to face discussions about local data collection procedures, federal requirements and the validation process.

In some cases, it may not be feasible to perform an onsite review in all locations. This would occur in a state such as Alaska where it is impractical to travel to each location. There may be isolated examples in other large states where it is impractical to visit a small and very remote office. In these situations state staff must contact their regional office to discuss shipping selected files to a more convenient location for review.

State staff should notify local staff well in advance of when the onsite validation will occur, to ensure that local staff are available to assist in the validation. State staff should also tell local staff which records have been sampled, no more than 1 to 2 days in advance of the onsite review. This will make the review more efficient, while minimizing changes to the case files.

Although state staff do not perform the sampling, they can control to some extent the degree of clustering done by the software. The approach that states use will depend on:

- Whether the state maintains a field for each participant record containing the location (office or provider or WIB) of the case files.
- Whether all or some WIBs within the state store case files centrally in the WIB's administrative offices.

The record layout for the validation software contains fields to facilitate the data element validation process. These fields include:

- Sampling Unit
- WIB Name
- Office Name

Sampling Unit is a unique numeric value assigned to each physical location where case files are stored within the state. WIB Name and Office Name are needed to guide the validator to the location where the files are stored. States assign the sampling unit based on their knowledge of where the files are stored. The software uses the sampling unit field to create a clustered sample for data element validation. This numeric indicator does not have to begin with a 1 or be consecutive. If states leave the sampling unit field blank, the software will select the sample randomly from all exiters in the performance year.

The possible variations in state circumstances will result in the following typical scenarios:

- Scenario 1—States have data indicating the physical location where the source documentation is kept for each record (i.e., local office, training provider, WIB administrative office). States then assign a unique numeric value to each location and populate the sampling unit field with the appropriate value for each exiter.
- Scenario 2—States do not have data indicating the physical location where the source files are kept. In this case, the WIB is the closest that the state can come to pinpointing the location of the records. These states should populate the sampling unit field with a numeric identifier for each WIB. Because the number of physical locations where case files are stored is unknown the software cannot cluster efficiently. A larger number of locations will be sampled and the WIB staff will be responsible for identifying the location of the files for the state validation staff.
- Scenario 3—It is the state's understanding that case files are stored centrally within each WIB. The WIB's numeric value will be used to populate the sampling unit field, but the process will be efficient because the files will be available centrally at each WIB.

- Scenario 4—It is the state’s understanding that some WIBs store files centrally and some do not, and the state has an office identifier for the WIBs that do not. The state will assign a unique numeric value in the sampling unit field to each office within WIBs that store files locally, and a unique numeric value in the sampling unit field to each WIB that stores files centrally.
- Scenario 5—The state is comprised of a single WIB and there is no office code available to the state. In this case, the sampling unit field can be left blank or the state can populate the field with the same value for each record. The software will not cluster the sample.

Task 2: Select Sample and Generate Worksheets

As described in Chapter II and Task 1 above, the state builds an extract file in the WIASRD format which includes the participant and exit records used to generate the WIA Annual Report. The data validation software selects the sample of records from the WIA exit records following the sampling specifications in Appendix G of this handbook.³

The software also generates worksheets containing all of the information to be validated for each record sampled. The state’s role is to complete the worksheet by indicating if the information on the file is correct (pass) or incorrect (fail) based on the federal definitions and source document requirements.

Task 3: Assemble Worksheets and Arrange Visits to Offices

Users should assemble the worksheets and identify the offices that must be validated. Appendix E provides formats for the worksheets that are generated by the validation software. Each worksheet contains the data for the sampled observations and columns to indicate if the element passes or fails validation.

The worksheets are sorted by WIB and then by office within the WIB. This organization provides the validator with a set of worksheets for each WIB and for each office within the WIB. The worksheets can be completed online on a laptop computer, or they can be printed and completed by hand. The validation software may also be loaded onto the state’s central server; this allows multiple validators to complete the worksheets on workstations or computers connected to the server. The validation software user’s guide contains more information on this functionality. If validators use paper worksheets, the information must be data entered onto the automated worksheet later.

³ The validation software does not produce local level samples from the state file, even if the state uses the software filters to view subsets of the state’s data. To select a statistically valid local level sample, states need to load just the records for a single local office. However, TEGL 3-03 only requires states to conduct state level validation.

The Trade Adjustment Assistance program validation also requires the state to validate records on-site and organizes their worksheets by WIB and by office within WIB. If the software selects records from the same office for both programs the validator's burden may be reduced. This reduction in burden, however, may be limited. For the samples to be statistically valid, the programs must sample offices and records independently of each other.

Task 4: Assemble Supporting Documentation and Complete the Worksheets

When the validator arrives at the local office, the validator requests the sampled case files for that office. The validator reviews the case file for each data element present on the worksheet. Because some data elements do not apply to each record, many of the data elements will be blank and may not require validation. For example, if employment was established through wage records, the data element for supplemental employment will be blank on the worksheet.

The validator then validates all of the data elements present on the worksheet, using the validation instructions in Appendix D. The only exception is elements that are validated against central computer files, such as wage records. There may be other data elements where the absence of data must be validated. There is a separate instruction for each data element; data elements are presented in the order in which they appear on the worksheet. Each instruction specifies the recommended sources for validating the data element. If the validator locates equivalent sources that are not specified in the instructions, the validator should record the source on the worksheet.

After reviewing the source documentation and following the validation instructions, the validator records the result in the checkbox for each element. The two possible validation outcomes for each data element are:

1. The element was supported by/matched the source documentation (checkmark pass)
2. The source documentation showed that the data element was incorrect or that no source documentation was available (checkmark fail)

Task 5: Examine Summary and Analytical Reports

Appendix F contains the format of the summary and analytical reports that summarize and evaluate errors identified through the data element validation process. The software calculates two error rates for each element, which are displayed on a separate summary and analytical report for each group.

Although ETA is currently developing policies for reporting on the validation results, it is likely that the state will submit the summary and analytical reports via the Internet. The validation files, including the completed worksheets, summary and analytical reports, and copies of supporting documentation, should be retained for three years for monitoring purposes. In retaining these documents, the state must ensure that records are available for audit. Therefore,

the state must decide whether to require that local offices/WIBs retain the records, or whether copies should be made and stored centrally.

Federal quality standards for determining unacceptable error rates will be developed after sufficient data have been collected on the validation results. Because of the sampling process, however, the results of the validation are not precise; they represent only an estimate of the error.

B. EXAMPLES

The following figures are examples of:

- 1) Data Element Validation Worksheet (Figure III.1)
- 2) Data Element Validation Summary and Analytical Report (Figure III.2)

FIGURE III.1
DATA ELEMENT VALIDATION WORKSHEET

WIA Data Validation System - [U015 - Data Validation Sampling.]

File Import Data Sample Change Reporting Options Report Validation Data Element Validation Utilities Window Help

OBS Sampling Unit WIA Data Element Validation (Adults).

SSN Office

User Id WIB Name

2 - 28 29 - 56 57

| Data Elements | Reported Value | Pass | Fail | Data Elements | Reported Value | Pass | Fail |
|------------------------|---------------------------------------|-------------------------------------|-------------------------------------|-------------------------------|---------------------------------------|-------------------------------------|-------------------------------------|
| 2. DOB | <input type="text" value="19790803"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | 19. WIAIBRegDate | <input type="text" value="20010601"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 3. Disability | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> | 20. WIAExitDate | <input type="text" value="20020628"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 4. Vet | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> | 21. Supp Service | <input type="text" value="1"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 5. Emp Status | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> | 22. NeedsPayments | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 6. UCClaimant | <input type="text" value="2"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | 23. Intensive ServDate | <input type="text" value="20010601"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 7. LowIncome | <input type="text" value="1"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | 24. Train.ServDate | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 8. TANF | <input type="text" value="1"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | 25. EstabIIA | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 9. CashAssist | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> | 26. RecvdBasic Skills Service | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 10. Grade Comp | <input type="text" value="12"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | 27. OJT | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 11. DisplacedHomemaker | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> | 28. RecvdSkillsTrain | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Loading Form... Program Year - 2002 (Report Due - 02/15/2004) DB: wia.wia 01/14/2004 Filter (OFF)

FIGURE III.2

DATA ELEMENT VALIDATION SUMMARY AND ANALYTICAL REPORT

| WIA Data Element Validation Summary and Analytical Report | | | | |
|--|----------------------|-------------|--------------------|--------------------------|
| Period: 07/01/2002 - 06/30/2003 | | | | |
| Group: Adults | Number of Cases: 147 | | Validated Cases: 1 | |
| Data Element | Ref. # | # of Errors | Overall Error Rate | Reported Data Error Rate |
| DOB | 2 | 0.00 | 0.00% | 0.00% |
| Disability | 3 | 0.00 | 0.00% | 0.00% |
| Vet | 4 | 0.00 | 0.00% | 0.00% |
| EmpStatus | 5 | 0.00 | 0.00% | 0.00% |
| UCClaimant | 6 | 1.00 | 0.70% | 100.00% |
| LowIncome | 7 | 1.00 | 0.70% | 100.00% |
| TANF | 8 | 1.00 | 0.70% | 100.00% |
| CashAssist | 9 | 0.00 | 0.00% | 0.00% |
| GradeComp | 10 | 1.00 | 0.70% | 100.00% |
| DisplacedHomemaker | 11 | 0.00 | 0.00% | 0.00% |
| WIAIBRegDate | 19 | 1.00 | 0.70% | 100.00% |
| WIAExitDate | 20 | 0.00 | 0.00% | 0.00% |
| SuppService | 21 | 1.00 | 0.70% | 100.00% |
| NeedsPayments | 22 | 0.00 | 0.00% | 0.00% |
| IntensiveServDate | 23 | 0.00 | 0.00% | 0.00% |
| TrainServDate | 24 | 0.00 | 0.00% | 0.00% |
| EstablITA | 25 | 0.00 | 0.00% | 0.00% |
| RecvdBasicSkillsService | 26 | 0.00 | 0.00% | 0.00% |
| OJT | 27 | 0.00 | 0.00% | 0.00% |
| RecvdSkillsTrain | 28 | 0.00 | 0.00% | 0.00% |

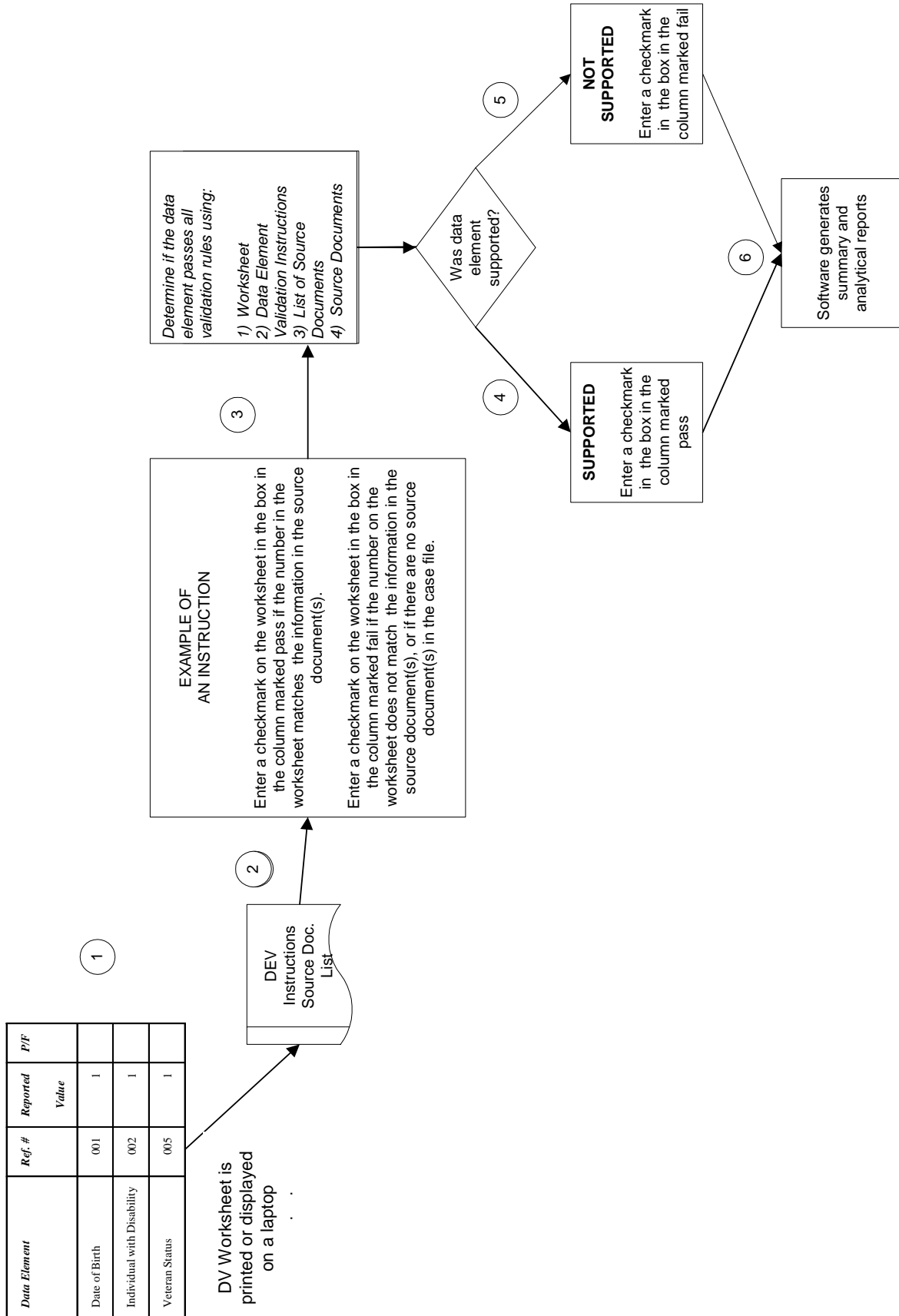
TABLE III.1

OVERVIEW OF DATA ELEMENT VALIDATION (FIGURE III.3)

| Figure III.7 Step No. | Step Description | Who Performs Step |
|--------------------------|--|-------------------------|
| 1 | <p>The validator identifies the offices and arranges to visit them. Once at the office, the validator requests the case records for the sampled participants.</p> <p>Once the case records are assembled, the validator uses the worksheet to guide the validation process. For each sampled record, certain data elements will be printed on the worksheet. Fields for data that are not relevant to a particular record will be blank. For elements where data are present or where the absence of data requires validation, the validator locates the reference number on the worksheet. The reference numbers refer to the location of the instruction to validate that data element in Appendix D. The validator proceeds through each data element for each sampled record in the same manner. Each data element in Appendix D provides acceptable source documentation and validation instructions. In addition, each data element includes the definition from the WIASRD. The “Comments” field on the worksheet can be used by the validator to take notes or to document issues that may be helpful in future validations.</p> | Validator |
| 2 | The validator obtains one or more of the source documents listed as an acceptable source to validate the element. | Validator |
| 3 | Following the Appendix D instructions for validating the element, the validator determines whether the data element meets the validation criteria, based on the information in the source document(s). | Validator |
| 4 | If the data element is supported by/matches the source document(s), then the validator enters a checkmark in the box in the pass column on the worksheet for that data element. | Validator |
| 5 | If the source document(s) does not support/match the data element or no source document(s) for the data element is in the case file, then the validator enters a checkmark in the box in the fail column on the worksheet for that data element, to indicate an error. | Validator |
| 6 | After each of the data elements has been validated for every sampled record, the validator reviews the summary and analytical reports generated by the software. | Validator |

FIGURE III.3
OVERVIEW OF DATA ELEMENT VALIDATION

Method for validating each data element for each sampled record on the worksheet



APPENDIX A

REPORT VALIDATION SPECIFICATIONS

APPENDIX A

REPORT VALIDATION SPECIFICATIONS

This appendix provides the specifications used by the software to calculate the WIA performance measures. The programming specifications show in tabular form how participants are counted in the numerator or denominator of each performance measure, based on the operational definitions of the performance measures. Section I explains how to categorize participant records into funding streams. Section II provides information on the additional cohorts used to calculate the performance measures for the ETA 9091 report, the ETA 9090, and a rolling four-quarters report. Section III provides specifications for calculating performance for the Adult Program. Section IV provides specifications for calculating performance for the Dislocated Worker Program. Section V provides specifications for calculating performance for the Older Youth Program. Section VI provides specifications for calculating performance for the Younger Youth Program. Finally, section VII gives information on the specific timelines used to calculate the ETA 9091 report, ETA 9090 report, and a rolling four-quarters report.

I. FUNDING STREAMS

Table 1 explains how records are classified as adults, dislocated workers, and youth. These classifications are based upon several WIASRD elements. Column A lists the funding streams and column B lists the WIASRD elements and values applicable to that funding stream designation. For a record to be included in a particular funding stream's performance measures, at least one of the corresponding conditions in column B needs to be true. For example, as shown in Row 7 of Column B, if Rapid Response Additional Assistance (WIASRD Element 312) = 1, the participant is included in the dislocated worker performance measures.

Categorizing Records Into Funding Streams
Table 1

| A. FUNDING STREAM | B. WIASRD ELEMENTS |
|--------------------------|---|
| Adult | (1) Adult (local) (WIASRD Element 304) = 1 (2) Other Statewide (WIASRD Element 310) = 1 and there is no Date of Dislocation (WIASRD Element 125) (3) Other Statewide (WIASRD Element 310) = 2 and Statewide Displaced Homemaker (WIASRD Element 308) = 1 and age is >= 22 (4) Other Statewide (WIASRD Element 310 = 2) and Statewide Displaced Homemaker (WIASRD Element 308 = 1) and age is between 19 and 21 and not receiving Youth Services (WIASRD Elements 340 to 345 are 2's) |
| Dislocated Worker | (5) Dislocated Worker (local) (WIASRD Element 304) = 1 (6) Other Statewide (WIASRD Element 310) = 1 and there is a Date of Dislocation (WIASRD Element 125) (7) Rapid Response Additional Assistance (WIASRD Element 312) = 1 |
| Youth | (8) Youth local (WIASRD Element 306) = 1 (9) Youth statewide 15% (WIASRD Element 307) = 1 |

For performance measure calculations, the youth funding stream is divided into older and younger youth. Older youth are youth who were between the ages of 19 and 21, inclusive, on the date of registration. Younger youth are youth who were between the ages of 14 and 18, inclusive, on the date of registration.

Participant records can be included in more than one funding stream. Participants who are co-enrolled in multiple funding streams are included in the calculations of the performance measures for all funding streams in which they are enrolled. For example, a participant record could have Youth statewide 15% (WIASRD Element 307) = 1 and Adult (local) (WIASRD Element 304) = 1. In this case, the participant record is used to calculate performance for youth and adults.

II. ADDITIONAL COHORTS FOR ETA REPORTS

In addition to the cohorts needed to calculate the performance measures for the ETA 9091, the ETA 9090, and a rolling four-quarters report, states must also report on participation levels—that is, the number of participants and exiters—for adults, dislocated workers, older youth and younger youth. Consequently, if the state is using the validation software to calculate a complete annual, quarterly, or rolling four-quarters report, the file must include the records for all individuals who participated and exited during the appropriate time periods.

III. ADULT PROGRAM

These specifications explain how the software logically groups an exit cohort of adult participants into three separate categories based on: (1) their pre- and post-service employment status; (2) their employment retention status; and (3) their attainment of credentials within three quarters after exit. Using the validation import file, the software determines the number of exiters who fit each category and computes the adult measures.

A. ENTERED EMPLOYMENT, RETENTION, AND EARNINGS

Exhibit A.1 shows the 20 possible outcomes for WIA participants for the combination of the entered employment, retention, and earnings change measures for the adult program. These outcomes are based on the participant's employment status prior to registration, the participant's employment status one quarter and three quarters after exit, and the change from pre-program to post-program earnings.

Wage records are the primary data source for determining employment in the first and third quarters after exit. If participants are not found in the wage records, supplemental sources can be used to establish employment. However, participants whose employment is established from supplemental sources are excluded from the earnings calculations. If states do not have supplementary sources of information on employment with which to calculate WIA performance, performance outcome groups 1.2, 1.3, 1.4, 1.6, 1.8 1.12, 1.13, 1.14, 1.16, and 1.18 will not contain any records. In such situations, estimates of performance will be based solely on wage record data. Additionally, some participants may have pending employment and earnings information; they are included in the performance outcome groups 1.7, 1.8, 1.9, 1.17, 1.18, and 1.19.

EXHIBIT A.1

TWENTY GROUPS OF ADULT PARTICIPANTS USED TO CALCULATE ENTERED EMPLOYMENT, RETENTION, AND EARNINGS CHANGE

| Participant Characteristics | | Use of Each Group in Performance Measures | | | | | | | | | | |
|-----------------------------|-----------------------------------|---|--|---|--|---|---|---|---|---|---|---|
| | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | |
| Performance outcome group | Employment Status at Registration | Employed after Exit Quarter | If Employed in First Quarter, Information from Wage Records or Other Sources | Employed Third Quarter After Exit Quarter | If Employed in Third Quarter, Information from Wage Records or Other Sources | Count of Participants Included in Numerator | Count of Participants Included in Denominator | Count of Participants Included in Numerator | Count of Participants Included in Denominator | Count of Participants Included in Numerator | Count of Participants Included in Denominator | Participant Earnings Included in Calculation of Measure |
| 1.1 | Not Employed | YES | Wage Records | YES | Wage Records | YES | YES | YES | YES | YES | YES | YES |
| 1.2 | Not Employed | YES | Wage Records | YES | Supplementary Source | YES | YES | YES | YES | YES | YES | NO |
| 1.3 | Not Employed | YES | Supplementary Source | YES | Wage Records | YES | YES | YES | YES | YES | YES | NO |
| 1.4 | Not Employed | YES | Supplementary Source | YES | Supplementary Source | YES | YES | YES | YES | YES | YES | NO |
| 1.5 | Not Employed | YES | Wage Records | NO | NA | YES | YES | YES | YES | NO | YES | YES |
| 1.6 | Not Employed | YES | Supplementary Source | NO | NA | YES | YES | YES | YES | NO | YES | NO |
| 1.7 | Not Employed | YES | Wage Records | PENDING | NA | YES | YES | YES | YES | NO | NO | NO |
| 1.8 | Not Employed | YES | Supplementary Sources | PENDING | NA | YES | YES | YES | YES | NO | NO | NO |
| 1.9 | Not Employed | PENDING | NA | PENDING | NA | NO | NO | NO | NO | NO | NO | NO |
| 1.10 | Not Employed | NO | NA | NA | NA | NO | YES | YES | YES | NO | NO | NO |
| 1.11 | Employed | YES | Wage Records | YES | Wage Records | NO | NO | NO | NO | YES | YES | YES |
| 1.12 | Employed | YES | Wage Records | YES | Supplementary Source | NO | NO | NO | NO | YES | YES | NO |
| 1.13 | Employed | YES | Supplementary Source | YES | Wage Records | NO | NO | NO | NO | YES | YES | NO |
| 1.14 | Employed | YES | Supplementary Source | YES | Supplementary Source | NO | NO | NO | NO | YES | YES | NO |
| 1.15 | Employed | YES | Wage Records | NO | NA | NO | NO | NO | NO | NO | YES | YES |
| 1.16 | Employed | YES | Supplementary Source | NO | NA | NO | NO | NO | NO | NO | YES | NO |

EXHIBIT A.1 (continued)

| | | Participant Characteristics | | | | Use of Each Group in Performance Measures | | | | | |
|---------------------------|-----------------------------------|---|---|---|--|---|---|---|--|--|--|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | | |
| Performance outcome group | Employment Status at Registration | Employed First Quarter after Exit Quarter | Employed in First Quarter, Information from Wage Records or Other Sources | Employed Third Quarter After Exit Quarter | If Employed in Third Quarter, Information from Wage Records or Other Sources | Entered Employment | Retention | Retention | Earnings Change | | |
| | | | | | | Count of Participants Included in Numerator | Count of Participants Included in Denominator | Count of Participants Included in Denominator | Count of Participants Included in Calculation of Measure | | |
| 1.17 | Employed | YES | Wage Records | PENDING | NA | NO | NO | NO | NO | | |
| 1.18 | Employed | YES | Supplementary Sources | PENDING | NA | NO | NO | NO | NO | | |
| 1.19 | Employed | PENDING | NA | PENDING | NA | NO | NO | NO | NO | | |
| 1.20 | Employed | NO | NA | NA | NA | NO | NO | NO | NO | | |

Columns 6 through 10 show how the software uses the count of participants in each group (or the sum of their earnings for the earnings change measure) to calculate each performance measure. For example, participants in subgroup 1.1 appear in the numerators and denominators of the entered employment rate and the retention rate, and they are included in the earnings change measure.

For the annual report, the same cohort of exiters are used to calculate the count of participants in the three performance measures. For the quarterly and rolling-four quarters reports, two different cohorts of exiters are used to calculate the counts in the entered employment rate, the retention and earnings change measures. The same cohort of exiters are used in retention and the earnings measures and a different one is used in the entered employment measure.

B. EMPLOYMENT AND CREDENTIAL RATE

Exhibit A.2 shows how the software logically groups an exit cohort of adults into five separate categories based on whether the adults received training services, whether they were employed in the first quarter after the exit quarter, and whether they received a credential within three quarters after the exit quarter. The software selects a subset of those adults from performance outcome group 1 who received WIA or WIA partner-funded training services while registered in WIA. The software also determines the number of participants who fit each of the five categories and computes this measure. For the annual report, the same cohort of exiters are used to calculate all the measures including the employment and credential rate. For the quarterly and rolling-four quarters reports, the same cohorts of exiters are used to calculate the counts in the entered employment and the employment and credential measure.

The primary data source for determining employment will be the UI wage records. Supplemental sources will be used if an individual is not found in the UI wage records. The primary source for determining whether an individual has received a credential is through ongoing contact and follow-up with a participant to determine if the individual has received a credential, and then obtaining written documentation of that credential. In addition, states may use record-sharing and automated record matching with administrative and/or other databases to determine and document that the participant has received a credential.

C. ADDITIONAL TABLES

The ETA 9091 requires states to report the performance measures for special adult populations: public assistance recipients receiving intensive or training services, veterans, individuals with disabilities, older individuals, individuals who received training services, and individuals who received only core and intensive services. The software organizes the adult participant records for each of these categories. For example, the software categorizes adult individuals with disabilities into the performance outcome subgroups shown in Exhibit A.1, and uses those numbers to calculate the entered employment rate, retention rate, and the earnings change for that special population.

EXHIBIT A.2

FIVE GROUPS OF ADULT PARTICIPANTS USED TO CALCULATE EMPLOYMENT AND CREDENTIAL RATE

| Performance outcome group | Participant Characteristics | | | Use of Each Group in Performance Measure | |
|---------------------------|-----------------------------|---|--|---|---|
| | 1 | 2 | 3 | 4 | 5 |
| | Received Training Services | Employed First Quarter After Exit Quarter | Attained State-Recognized Educational Certificate/Credential/Diploma/Degree Within Three Quarters After Exit Quarter | Count of Participants Included in Numerator | Count of Participants Included in Denominator |
| 2.1 | YES | YES | YES | YES | YES |
| 2.2 | YES | NO | YES | NO | YES |
| 2.3 | YES | NO | NO | NO | YES |
| 2.4 | YES | YES | NO | NO | YES |
| 2.5 | YES | PENDING | NA | NO | NO |

IV. DISLOCATED WORKER PROGRAM

This section explains how the software calculates performance for dislocated workers who exit from WIA for the entered employment, retention, and earnings replacement measures and for the employment and credential measure. Participants who are co-enrolled in multiple funding streams are included in the calculations of the performance measures for all funding streams in which they are enrolled.

A. ENTERED EMPLOYMENT, RETENTION, AND EARNINGS

Exhibit A.3 shows how the software logically groups an exit cohort of dislocated workers into ten possible measurement outcomes based on the worker's post-service employment status and their employment retention status.

Using a formatted state source file, the software determines the number of participants who fit each category and computes the entered employment, retention, and earnings replacement rates.

There are two differences between the adult specifications and those for dislocated workers:

1. Dislocated workers, by definition, are not employed at registration; therefore the participant categories equivalent to the adult categories 1.11 through 1.20 do not apply.
2. Instead of subtracting preprogram earnings from post-program earnings to calculate an earnings change, post-program earnings are divided by pre-dislocation earnings to calculate an earnings replacement rate.

Columns 6 through 10 show how the count of participants in each group (or the sum of their earnings for the earnings replacement rate measure) is used to calculate each measure. For example, participants in category 3.1 appear in the numerator and denominator of the entered employment rate, the numerator and denominator of the retention rate, and are included in the calculation for the earnings replacement measure.

Similar to the adults, for the annual report, the same cohort of exiters are used to calculate the three performance measures for the dislocated workers. For the quarterly and rolling-four quarters reports, two different cohorts of exiters are used to calculate the entered employment rate, the retention rate, and earnings replacement rate. The retention and earnings replacement rates use the same cohort of exiters, whereas the entered employment rate uses a separate cohort of exiters.

EXHIBIT A.3
TEN GROUPS OF DISLOCATED WORKERS USED TO CALCULATE
ENTERED EMPLOYMENT, RETENTION AND EARNINGS REPLACEMENT RATE

| Performance outcome group | Participant Characteristics | | | | Use of Each Group in Performance Measures | | | | | | Earnings Replacement Rate |
|---------------------------|-----------------------------|---|--|---|--|---|---|---|---|---|---------------------------|
| | 1 | 2 | 3 | 4 | 5 | Entered Employment | | Retention | | Participant Earnings Included in Calculation of Measure | |
| | | | | | | Count of Participants Included in Numerator | Count of Participants Included in Denominator | Count of Participants Included in Numerator | Count of Participants Included in Denominator | | |
| 3.1 | Dislocated Worker | Employed First Quarter after Exit Quarter | If Employed in First Quarter, Information from Wage Records or Other Sources | Employed Third Quarter After Exit Quarter | If Employed in Third Quarter, Information from Wage Records or Other Sources | Count of Participants Included in Numerator | Count of Participants Included in Denominator | Count of Participants Included in Numerator | Count of Participants Included in Denominator | Participant Earnings Included in Calculation of Measure | |
| 3.2 | Dislocated Worker | YES | Wage Records | YES | Wage Records | YES | YES | YES | YES | YES | |
| 3.3 | Dislocated Worker | YES | Supplementary Source | YES | Supplementary Source | YES | YES | YES | YES | NO | |
| 3.4 | Dislocated Worker | YES | Supplementary Source | YES | Supplementary Source | YES | YES | YES | YES | NO | |
| 3.5 | Dislocated Worker | YES | Wage Records | NO | NA | YES | YES | NO | YES | YES | |
| 3.6 | Dislocated Worker | YES | Supplementary Source | NO | NA | YES | YES | NO | YES | NO | |
| 3.7 | Dislocated Worker | YES | Wage Records | PENDING | NA | YES | YES | NO | NO | NO | |
| 3.8 | Dislocated Worker | YES | Supplementary Source | PENDING | NA | YES | YES | NO | NO | NO | |
| 3.9 | Dislocated Worker | PENDING | NA | PENDING | NA | NO | NO | NO | NO | NO | |
| 3.10 | Dislocated Worker | NO | NA | NA | NA | NO | YES | NO | NO | NO | |

B. EMPLOYMENT AND CREDENTIAL RATE

Exhibit A.4 shows how the software logically groups an exit cohort of dislocated workers into five separate categories, based on whether the dislocated workers received training services, whether they were employed in the first quarter after the exit quarter, and whether they received a credential within three quarters after the exit quarter. The software determines the number of participants who fit each category and computes this measure.

The dislocated worker exit cohort included in this measure is a subset of performance outcome group 3, Exhibit A.3, which is the group of dislocated workers who exited during the quarter. From performance outcome group 3, the software selects those dislocated workers who received WIA or WIA partner-funded training services while registered in WIA. The same data sources described in the adult employment and credential rate specifications are used.

C. ADDITIONAL TABLES

The ETA 9091 requires states to report the performance measures for special dislocated worker populations: veterans, individuals with disabilities, older individuals, displaced homemakers, individuals who received training services, and individuals who received only core and intensive services. The software organizes the dislocated worker performance outcome groups for each of these categories. For example, the software categorizes dislocated workers with disabilities into the performance outcome subgroups shown in Exhibit A.3, and uses those numbers to calculate the entered employment rate, retention rate, and the earnings replacement rate for this special population.

EXHIBIT A.4

FIVE GROUPS OF DISLOCATED WORKER PARTICIPANTS USED TO CALCULATE EMPLOYMENT AND CREDENTIAL RATE

| | Participant Characteristics | | | Use of Each Group in Performance Measure | |
|---------------------------|-----------------------------|---|---|---|---|
| | 1 | 2 | 3 | 4 | 5 |
| Performance outcome group | Received Training Services | Employed First Quarter After Exit Quarter | Attained State-Recognized Educational/Occupational Certificate/Credential/Diploma/Degree Within Three Quarters After Exit Quarter | Count of Participants Included in Numerator | Count of Participants Included in Denominator |
| 4.1 | YES | YES | YES | YES | YES |
| 4.2 | YES | NO | YES | NO | YES |
| 4.3 | YES | NO | NO | NO | YES |
| 4.4 | YES | YES | NO | NO | YES |
| 4.5 | YES | PENDING | NA | NO | NO |

V. YOUTH PROGRAM: OLDER YOUTH (AGED 19 - 21)

The specifications provided in this section explain how the software calculates performance for older youth exiters for the entered employment, retention, earnings change, and credential rates. Participants who are co-enrolled in multiple funding streams are included in the calculations of the performance measures for all funding streams in which they are enrolled.

A. ENTERED EMPLOYMENT, RETENTION, AND EARNINGS CHANGE

As shown in Exhibit A.5, the software logically groups the records of the older youth in the source file into 25 possible measurement outcomes based on their pre- and post-service employment status, their employment retention status, and whether the participant was in post-secondary education or advanced training in the first or third quarters after exit. The software determines the number of participants who fit each category and computes these three measures.

The methodology to calculate performance for older youth is similar to that used to calculate performance for adults and dislocated workers. Because older youth who are in post-secondary education or advanced training in the first quarter after the exit quarter are exempt from the measures, there are five additional outcomes for the older youth measure compared to the adult measure. The participants in subgroup 5.12, 5.13, 5.18, 5.19, 5.21, 5.23, 5.24, and 5.25 are exempt from the performance measures; that is, they are not included in either the numerator or denominator of any performance measure. Older youth who are not in post-secondary education or advanced training in the first quarter after the exit quarter, and are not employed in the third quarter after the exit quarter, but are in post-secondary education or advanced training in the third quarter after the exit quarter are exempt from the retention and earnings change measures. These participants fall into groups 5.5, 5.6, 5.8, 5.10, 5.11, 5.21, and 5.23.

Columns 8 through 11 of Exhibit A.5 show how the count of participants in each subgroup (or the sum of their earnings for the earnings change measure) is used to calculate each measure. For example, participants in subgroup 5.1 appear in the numerators and denominators of the entered employment rate and the retention rate; they are also included in the earnings change measure.

Similar to the adult and dislocated workers, for the annual report, the same cohort of older youth exiters are used to calculate the three performance measures. For the quarterly and rolling-four quarters reports, two different cohorts of exiters are used to calculate these measures. The retention rate and earnings change use the same cohort of exiters, whereas the entered employment rate uses a separate cohort of exiters.

EXHIBIT A.5

TWENTY-FIVE GROUPS OF OLDER YOUTH PARTICIPANTS USED TO CALCULATE ENTERED EMPLOYMENT, RETENTION, AND EARNINGS CHANGE

| Performance outcome group | Participant Characteristics | | | | | | | | | | | | Use of Each Group in Performance Measures | | | | | |
|---------------------------|-----------------------------|---------|----------------------|---------|----------------------|-----|-----|-----|-----|-----|-----|-----|---|-----|-----|-----------|-----------------|--|
| | | | | | | | | | | | | | Entered Employment | | | Retention | Earnings Change | |
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 8 | 9 | 10 | 11 | 12 | |
| 5.1 | Not Employed | YES | Wage Records | YES | Wage Records | NA | NA | YES | YES | YES | YES | YES | YES | YES | YES | YES | YES | |
| 5.2 | Not Employed | YES | Wage Records | YES | Supplementary Source | NA | NA | YES | YES | YES | YES | YES | YES | YES | YES | YES | NO | |
| 5.3 | Not Employed | YES | Supplementary Source | YES | Wage Records | NA | NA | YES | YES | YES | YES | YES | YES | YES | YES | YES | NO | |
| 5.4 | Not Employed | YES | Supplementary Source | YES | Supplementary Source | NA | NA | YES | YES | YES | YES | YES | YES | YES | YES | YES | NO | |
| 5.5 | Not Employed | YES | Wage Records | PENDING | NA | NA | NA | YES | YES | YES | YES | YES | YES | NO | NO | NO | NO | |
| 5.6 | Not Employed | YES | Supplementary Source | PENDING | NA | NA | NA | YES | YES | YES | YES | YES | YES | NO | NO | NO | NO | |
| 5.7 | Not Employed | YES | Wage Records | NO | NA | NA | NO | YES | YES | YES | YES | YES | YES | NO | NO | YES | YES | |
| 5.8 | Not Employed | YES | Wage Records | NO | NA | NA | YES | YES | YES | YES | YES | YES | YES | NO | NO | NO | NO | |
| 5.9 | Not Employed | YES | Supplementary Source | NO | NA | NA | NO | YES | YES | YES | YES | YES | YES | NO | NO | YES | NO | |
| 5.10 | Not Employed | YES | Supplementary Source | NO | NA | NA | YES | YES | YES | YES | YES | YES | YES | NO | NO | NO | NO | |
| 5.11 | Not Employed | NO | NA | NA | NA | NO | NA | NO | NO | YES | YES | YES | YES | NO | NO | NO | NO | |
| 5.12 | Not Employed | NO | NA | NA | NA | YES | NA | NO | NO | NO | NO | NO | NO | NO | NO | NO | NO | |
| 5.13 | Not Employed | Pending | NA | NA | NA | NA | NA | NO | NO | NO | NO | NO | NO | NO | NO | NO | NO | |
| 5.14 | Employed | YES | Wage Records | YES | Wage Records | NA | NA | NO | NO | NO | NO | NO | NO | YES | YES | YES | YES | |

EXHIBIT A.5 (continued)

| Performance outcome group | Participant Characteristics | | | | | | | | | | Use of Each Group in Performance Measures | | |
|---------------------------|-----------------------------------|-----------------------------------|---|-----------------------------------|---|---|---|---|---|---|---|-----------|-----------------|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | |
| | Employment Status at Registration | Employed First Quarter after Exit | If Employed in First Quarter, Information From Wage Records or Other Source | Employed Third Quarter After Exit | If Employed in Third Quarter, Information from Wage Records or Other Source | In Post Secondary Education or Training In First Quarter after Exit | In Post Secondary Education or Training In Third Quarter after Exit | Count of Participants Included in Numerator | Count of Participants Included in Denominator | Count of Participants Included in Numerator | Count of Participants Included in Denominator | Retention | Earnings Change |
| 5.15 | Employed | YES | Wage Records | YES | Supplementary Source | NA | NA | NO | NO | YES | YES | NO | NO |
| 5.16 | Employed | YES | Supplementary Source | YES | Wage Records | NA | NA | NO | NO | YES | YES | NO | NO |
| 5.17 | Employed | YES | Supplementary Source | YES | Supplementary Source | NA | NA | NO | NO | YES | YES | NO | NO |
| 5.18 | Employed | YES | Wage Records | PENDING | NA | NA | NA | NO | NO | NO | NO | NO | NO |
| 5.19 | Employed | YES | Supplementary Source | PENDING | NA | NA | NA | NO | NO | NO | NO | NO | NO |
| 5.20 | Employed | YES | Wage Records | NO | NA | NA | NO | NO | NO | NO | YES | YES | YES |
| 5.21 | Employed | YES | Wage Records | NO | NA | NA | YES | NO | NO | NO | NO | NO | NO |
| 5.22 | Employed | YES | Supplementary Source | NO | NA | NA | NO | NO | NO | NO | YES | NO | NO |
| 5.23 | Employed | YES | Supplementary Source | NO | NA | NA | YES | NO | NO | NO | NO | NO | NO |
| 5.24 | Employed | NO | NA | NA | NA | NA | NA | NO | NO | NO | NO | NO | NO |
| 5.25 | Employed | PENDING | NA | NA | NA | NA | NA | NO | NO | NO | NO | NO | NO |

B. CREDENTIAL RATE

Exhibit A.6 illustrates how the software logically groups an exit cohort of older youth into seven separate categories. This is based on whether the older youth were employed in the first quarter after the exit quarter, whether they were in post-secondary education or advanced training in the first quarter after the exit quarter, and whether they received a credential within three quarters after the exit quarter. The software determines the number of participants who fit each category and then computes this measure.

The older youth exit cohort included in this measure is identical to performance outcome group 5, Exhibit A.5. Unlike the adult and dislocated worker employment and credential measures, all older youth exiters are included in this measure, whether or not they received training services.

C. ADDITIONAL TABLES

The ETA 9091 requires states to report the performance measures for special older youth participant categories: public assistance recipients, veterans, individuals with disabilities, and out-of-school youth. The software organizes the older youth performance outcome groups for each of these categories. For example, the software categorizes older youth with disabilities into the performance outcome subgroups shown in Exhibit A.5 and uses those numbers to calculate the entered employment rate, retention rate, and the earnings change for this special population.

EXHIBIT A.6

SEVEN GROUPS OF OLDER YOUTH PARTICIPANTS USED TO CALCULATE CREDENTIAL RATE

| Performance outcome groups | Participant Characteristics | | | Use of Each Group in Performance Measure | |
|----------------------------|--|---|--|--|--|
| | 1 Employed First Quarter After Exit Quarter | 2 In Post-Secondary Education or Advanced Training in Quarter After Exit Quarter | 3 Attained State-Recognized Educational/Occupational Certificate/Credential/Diploma/Degree Within Three Quarters After Exit Quarter | 4 Count of Participants Included in Numerator | 5 Count of Participants Included in Denominator |
| 6.1 | YES | NA | YES | YES | YES |
| 6.2 | YES | NA | NO | NO | YES |
| 6.3 | NO | YES | YES | YES | YES |
| 6.4 | NO | NO | YES | NO | YES |
| 6.5 | NO | YES | NO | NO | YES |
| 6.6 | NO | NO | NO | NO | YES |
| 6.7 | PENDING | NA | NA | NO | NO |

VI. YOUTH PROGRAM: YOUNGER YOUTH (AGED 14 - 18)

The specifications provided in this section explain how the software calculates performance for the younger youth diploma or equivalent rate, and for the younger youth retention rate. Participants who are co-enrolled in multiple funding streams are included in the calculations of the performance measures for all funding streams in which they are enrolled. The software calculates the skill attainment rate, however, specifications and performance outcome groups for skill attainment are not provided at this point.

A. DIPLOMA OR EQUIVALENT ATTAINMENT

Exhibit A.7 shows how an exit cohort of younger youth is logically grouped by the software into five separate categories based on whether the younger youth obtained a diploma or equivalent prior to WIA registration, whether they received a diploma or equivalent while enrolled, and whether they were in secondary education at exit. The software determines the number of participants who fit each category and computes this measure.

B. YOUNGER YOUTH RETENTION

Exhibit A.8 shows how the software logically groups an exit cohort of younger youth into four separate categories based on whether the younger youth were in post-secondary education, advanced training, employment, military service, or qualified apprenticeships in the third quarter after exit; and whether they were in secondary school at exit. Four outcomes are possible for younger youth: (1) if the participant is enrolled in secondary school at exit, the participant record is excluded from the measure; (2) if the participant participated in at least one of the five activities in the third quarter after exit, the participant record is included in the numerator and the denominator; (3) if the participant participated in none of the five, the participant record is included only in the denominator; and (4) if the participant has not been out of the program long enough to obtain information about the individual's retention status, the participant record is included in the pending group.

C. ADDITIONAL TABLES

The ETA 9091 requires states to report the performance measures for special populations of younger youth participants: public assistance recipients, individuals with disabilities, and out-of-school youth. The software organizes the younger youth performance outcome groups for each of these categories. For example, the software categorizes younger youth with disabilities into the performance outcome groups shown in Exhibit A.7 and uses those numbers to calculate the diploma or equivalent attainment rate for this special population.

For the annual, quarterly and rolling four-quarters reports, records for three cohorts of younger youth participants and exiters are included in the younger youth measures. Each report uses a separate cohort of participants and exiters in each measure.

EXHIBIT A.7

FIVE GROUPS OF YOUNGER YOUTH PARTICIPANTS USED TO CALCULATE
DIPLOMA OR EQUIVALENT ATTAINMENT RATE

| Performance outcome groups | Participant Characteristics | | | Use of Each Group in Performance Measure | |
|----------------------------|--|---|---------------------------------------|--|--|
| | 1 Entered Program with High School Diploma/Equivalent | 2 Attained a Secondary School (High School), GED or High School Equivalency Diploma By the End of the First Quarter after the Exit Quarter | 3 In Secondary School on Exit Date | 4 Count of Participants Included in Numerator | 5 Count of Participants Included in Denominator |
| 8.1 | YES | NA | NA | NO | NO |
| 8.2 | NO | YES | NA | YES | YES |
| 8.3 | NO | NO | YES | NO | NO |
| 8.4 | NO | NO | NO | NO | YES |
| 8.5 | NO | PENDING | NO | NO | NO |

EXHIBIT A.8

FOUR GROUPS OF YOUNGER YOUTH PARTICIPANTS USED TO CALCULATE
THE RETENTION RATE

| | Participant Characteristics | | Use of Each Group in Performance Measure | |
|---------------------------|----------------------------------|---|---|---|
| | 1 | 2 | 3 | 4 |
| | | Active in the Third Quarter Following the Exit Quarter in any of the Following Activities: Employment Military Service Post-Secondary Education Advanced Training Qualified Apprenticeship | Count of Participants Included in Numerator | Count of Participants Included in Denominator |
| Performance outcome group | In Secondary School on Exit Date | | | |
| 9.1 | NO | YES | YES | YES |
| 9.2 | NO | NO | NO | YES |
| 9.3 | YES | NA | NO | NO |
| 9.4 | NO | PENDING | NO | NO |

VII. REPORTING GUIDELINES

Each state is required to submit an ETA 9091 and ETA 9090 report according to specific guidelines. Included in these guidelines are the cohorts used to calculate performance measures. Section A outlines the cohorts used to calculate the performance measures for the annual report, and Section B outlines the cohorts used to calculate the performance measures for the quarterly report.

Although ETA does not require states to calculate performance measures for a rolling four quarters, some states might find it useful. For this reason, the software has been programmed to calculate a quarterly report using a rolling four quarters time period. Section C outlines the cohorts used to calculate this type of report.

A. ANNUAL REPORT

The ETA 9091 report reflects performance outcome information—including wage record information—that is available by the time the ETA 9091 report is due. To calculate the elements and performance measures for the annual report, states must create an extract file that contains the records for four cohorts of individuals. First, the file should include the records for all participants for the program year—July 1 to June 30. Program year 2002, for example, runs from July 1, 2002 through June 30, 2003. Second, the file should contain the records for all exiters for the program year. Third, the file should include exiters for the performance year—October 1st of the year prior to the program year to September 30th of the program year. Fourth, the file should contain exiters from the prior performance year—October 1st two years prior to the program year to September 30th of the prior program year—to calculate the 12 month performance measures associated with table L of the ETA 9091.

The records for adults, dislocated workers and older youth who exited between October 1st of the prior program year and September 30th of the program year are included in the performance measure calculations of adults, dislocated workers and older youth. Records for three cohorts of younger youth participants are included in the younger youth measures. The skill attainment rate includes the records of all younger youth participants for the current and prior program years; the diploma rate includes records of all younger youth exiters for the program year; and the retention rate includes records of all younger youth who exited between October 1st of the prior program year and September 30th of the program year.

B. QUARTERLY REPORT

States submit the WIA Quarterly Summary Reports. Exhibit A.9 outlines the date ranges for the cohorts of participants and exiters used to calculate the report items for the ETA 9090 WIA Quarterly Summary Reports. Exhibit A.9 shows that the Entered Employment Rate and Employment and Credential rate for the first quarter report, due on November 14th of the current program year (11/14/PY), uses a cohort of participants who exited from October 1st of the prior program year (10/1/PY-1) through December 31st of the prior program year (12/31/PY-1).

The retention and earnings rate uses a cohort of participants who exited from October 1st of the program year prior to the prior program year (10/1/PY-2) through June 30th of the prior program year (6/30/PY-1).

Definitions:

1. PY = Current program year
2. PY-1 = Prior program year
3. PY-2 = Program year prior to the prior program year
4. PY+1 = Program year following the current program year

EXHIBIT A.9

PARTICIPANT AND EXIT COHORTS FOR THE ETA 9090 QUARTERLY REPORTS

| Report Elements and Performance Measures | First Quarter Report Due Date | Second Quarter Report Due Date | Third Quarter Report Due Date | Fourth Quarter Report Due Date |
|--|--------------------------------------|---------------------------------------|--------------------------------------|-------------------------------------|
| | 1 | 2 | 3 | 4 |
| A. Participants | July 1, PY to September 30, PY | July 1, PY to December 31, PY | July 1, PY to March 31, PY+1 | July 1, PY to June 30, PY+1 |
| B. Total Exiters | July 1, PY-1 to June 30, PY | July 1, PY to September 30, PY | July 1, PY to December 31, PY | July 1, PY to March 31, PY+1 |
| C. Entered Employment and Employment-Credential Rate | October 1, PY-1 to December 31, PY-1 | October 1, PY-1 to March 31, PY | October 1, PY-1 to June 30, PY | October 1, PY-1 to September 30, PY |
| D. Retention and Earnings Rates | October 1, PY-2 to June 30, PY-1 | October 1, PY-2 to September 30, PY-1 | October 1, PY-1 to December 31, PY-1 | October 1, PY-1 to March 31, PY |
| E. Youth Diploma Rate | July 1, PY-1 to June 30, PY | July 1, PY to September 30, PY | July 1, PY to December 31, PY | July 1, PY to March 31, PY+1 |
| F. Youth Skill Attainment | July 1, PY to September 30, PY | July 1, PY to December 31, PY | July 1, PY to March 31, PY+1 | July 1, PY to June 30, PY+1 |

C. ROLLING FOUR QUARTERS REPORT

The software also calculates a quarterly report for a rolling four quarters period. A rolling four quarter report includes records for the most recently available four quarter cohort for each report element and for each performance measure. For example, a four quarter cohort of exiters is used to calculate the entered employment rate, and a different, but overlapping, four quarter cohort of exiters is used to calculate the retention rate. Exhibit A.10 outlines the timeline used to calculate each report element and performance measure for each rolling four quarters period.

Definitions:

1. PY = Current program year
2. PY-1 = Prior program year
3. PY-2 = Program year prior to the prior program year
4. PY+1 = Program year following the current program year

EXHIBIT A.10

PARTICIPANT AND EXIT COHORTS FOR ROLLING FOUR QUARTERS QUARTERLY REPORTS

| Report Elements and Performance Measures | First Quarter Report Due Date 11/15/PY | Second Quarter Report Due Date 2/15/PY+1 | Third Quarter Report Due Date 5/15/PY+1 | Fourth Quarter Report Due Date 8/15/PY+1 |
|---|--|--|---|--|
| | 1 | 2 | 3 | 4 |
| A. Participants | October 1, PY-1 to September 30, PY | January 1, PY to December 31, PY | April 1, PY to March 31, PY+1 | July 1, PY to June 30, PY+1 |
| B. Total Exiters | July 1, PY-1 to June 30, PY | October 1, PY-1 to September 30, PY | January 1, PY to December 31, PY | April 1, PY to March 31, PY+1 |
| C. Entered Employment and Employment- Credentialed Rate | January 1, PY-1 to December 31, PY-1 | April 1, PY-1 to March 31, PY | July 1, PY-1 to June 30, PY | October 1, PY-1 to September 30, PY |
| D. Retention and Earnings Rates | July 1, PY-2 to June 30, PY-1 | October 1, PY-2 to September 30, PY-1 | January 1, PY-1 to December 31, PY-1 | April 1, PY-1 to March 31, PY |
| E. Youth Diploma Rate | July 1, PY-1 to June 30, PY | October 1, PY-1 to September 30, PY | January 1, PY to December 31, PY | April 1, PY to March 31, PY+1 |
| F. Youth Skill Attainment | July 1, PY-1 to June 30, PY | October 1, PY-1 to September 30, PY | January 1, PY to December 31, PY | April 1, PY to March 31, PY+1 |

APPENDIX B

REPORT ELEMENT AND PERFORMANCE MEASURE SPECIFICATIONS

REPORT ELEMENT AND PERFORMANCE MEASURE SPECIFICATIONS

Appendix B contains report element and performance measure specifications for the ETA 9091 and ETA 9090 reports. They are arranged according to the following order within the appendix:

1. Specifications for ETA 9091 report elements
2. Specifications for ETA 9090 report elements
3. Specifications for ETA 9091 performance measures
4. Specifications for ETA 9090 performance measures

Office of Workforce Investment

Workforce Investment Act Report Elements

ETA-9091 WIA Title IB Annual Report (Report Elements)

| Number | Element Name | Definition | Specification |
|---------------|--|--|---|
| Table A - 1 | Number Included in the Sample for Customer Satisfaction Survey | The representative subset of participants/employers eligible for the surveys that were selected for interviews. If no sampling is used by the State, the sample size equals the number eligible for the survey. | |
| Table A-2 | Number Eligible for Customer Satisfaction Survey | The number of employers in the group (sample frame) from which the customer sample was drawn. For employers, it is the number of employers whose service ended. This information is needed to aggregate customer satisfaction over States. | |
| Table M - 1 | Total Participants Served - Adults | The total number of adults who received WIA services during the program year. This reflects the number of new WIA adult registrants who received WIA-funded services in the program year and carry-in participants from the previous year. For PY 2000, total adult participants includes both individuals who registered during the program year and carry-in JTPA. For adults, individuals who receive core services (other than informational and self-service activities), intensive services or training services must be registered. | Count of INDIVIDUAL IDENTIFIERS where ADULT FUNDING STREAM and DATE OF WIA TITLE I-B REGISTRATION <= end of the reporting period and DATE OF WIA EXIT >= beginning of the reporting period or is null. |
| Table M - 2 | Total Participants Served - Dislocated Workers | The number of individuals who meet the definition of a dislocated worker in WIA section 101(9) and who received services funded by WIA Title I-B funds during the program year from the local area. This should not include individuals who only participated in National Emergency Grant services or only participated in self-service and informational activities. | Count of INDIVIDUAL IDENTIFIERS where DISLOCATED WORKER FUNDING STREAM and DATE OF WIA TITLE I-B REGISTRATION <= end of the reporting period and DATE OF WIA EXIT >= beginning of the reporting period or is null. |
| Table M - 3 | Total Participants Served - Older Youth (19-21) | The total number of older youth who received WIA services during the program year. This reflects the number of new WIA older youth registrants who received WIA-funded services in the program year and carry-in participants from the previous year. For PY 2000, total older youth participants includes both individuals who registered during the program year and carry-in JTPA. All youth who receive youth activities must be registered. | Count of INDIVIDUAL IDENTIFIERS where YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 years and <= 21 years) and DATE OF WIA TITLE I-B REGISTRATION <= the end of the reporting period and DATE OF WIA EXIT >= start of the reporting period or is null. |
| Table M - 4 | Total Participants Served - Younger Youth (14-18) | The total number of youth who received WIA services during the program year. This reflects the number of new WIA youth registrants who received WIA-funded services in the program year and carry-in participants from the previous year. For PY 2000, total youth participants includes both individuals who registered during the program year and carry-in JTPA. All youth who receive youth activities must be registered. | Count of INDIVIDUAL IDENTIFIERS where YOUTH FUNDING STREAM and (DATE OF WIA I-B TITLE REGISTRATION minus DATE OF BIRTH >= 14 years and <= 18 years) and DATE OF WIA I-B TITLE REGISTRATION <= the end of the reporting period and DATE OF WIA EXIT >= the beginning of the reporting period or is null. |

| Number | Element Name | Definition | Specification |
|---------------|------------------------|---|--|
| Table M - 5 | Total Exiters - Adults | <p>The total number of WIA adult registrants who exited WIA during a program year. Note that information on all exiters in a quarter is not available until 90 days after the end of the quarter because some exiters may not be identified for up to 90 days after the exit date. Thus, total exiters for the four quarters of PY2000 will be reported in the 1st quarter PY 2001 report.</p> <p>Exiters. Each individual becomes part of an exit cohort, a group who are determined to be "exiters" within a particular quarter and are looked at together for measurement purposes. There are two ways to determine exit:</p> | <p>Count of INDIVIDUAL IDENTIFIERS where ADULT FUNDING STREAM and DATE OF WIA EXIT is within the reporting period.</p> |
| | | <ol style="list-style-type: none"> 1. A registrant who has a date of closure, completion or known exit from WIA-funded or non-WIA funded partner service within the quarter (hard exit); or 2. A registrant who does not receive any WIA-funded or non-WIA funded partner service for 90 days and is not scheduled for future services except follow-up services (soft exit). | |
| | | <p>Registrants who have a planned gap in service of greater than 90 days should not be considered as exited if the gap in service is due to a delay before the beginning of training or a health/medical condition that prevents an individual from participating in services. Service providers should document any gap in service that occurs and provide reason for such a gap in service. Registrants who exit from services because they are incarcerated, deceased or have a health/medical condition that prevents the individual from participating in services, should be excluded from the measures. Once a registrant has not received any WIA-funded or partner services, except for follow-up services, for 90 days, and there is no planned gap in service, then that participant has exited WIA for the purposes of measurement in 15 of the 17 core measures (the younger youth skill attainment rate and employer customer satisfaction measures are not based on exit).</p> | |
| | | <p>The exit date will be the last date of WIA-funded or partner-funded service received (except follow-up services). For a soft exit, the date of exit cannot be determined until 90 days have elapsed from the last date of service. At that point, the exit date recorded is the last date of service. The exit quarter (referred to throughout the definition of the measures) is the quarter in which the last date of service (except follow-up services) takes place. If a registrant exits WIA and receives future WIA services after exiting, that registrant is treated as a new registrant for the purposes of the core measures and will be included in the appropriate measures.</p> | |

| <i>Number</i> | <i>Element Name</i> | <i>Definition</i> | <i>Specification</i> |
|---------------|---------------------------------------|---|--|
| Table M - 6 | Total Exiters - Dislocated Workers | <p>The total number of WIA dislocated worker registrants who exited WIA during a program year. Note that information on all exiters in a quarter is not available until 90 days after the end of the quarter because some exiters may not be identified for up to 90 days after the exit date. Thus, total exiters for the four quarters of PY2000 will be reported in the 1st quarter PY 2001 report.</p> <p>Exiters. Each individual becomes part of an exit cohort, a group who are determined to be "exiters" within a particular quarter and are looked at together for measurement purposes. There are two ways to determine exit:</p> <ol style="list-style-type: none"> 1. A registrant who has a date of closure, completion or known exit from WIA-funded or non-WIA funded partner service within the quarter (hard exit); or 2. A registrant who does not receive any WIA-funded or non-WIA funded partner service for 90 days and is not scheduled for future services except follow-up services (soft exit). <p>Registrants who have a planned gap in service of greater than 90 days should not be considered as exited if the gap in service is due to a delay before the beginning of training or a health/medical condition that prevents an individual from participating in services. Service providers should document any gap in service that occurs and provide reason for such a gap in service. Registrants who exit from services because they are incarcerated, deceased or have a health/medical condition that prevents the individual from participating in services, should be excluded from the measures. Once a registrant has not received any WIA-funded or partner services, except for follow-up services, for 90 days, and there is no planned gap in service, then that participant has exited WIA for the purposes of measurement in 15 of the 17 core measures (the younger youth skill attainment rate and employer customer satisfaction measures are not based on exit).</p> <p>The exit date will be the last date of WIA-funded or partner-funded service received (except follow-up services). For a soft exit, the date of exit cannot be determined until 90 days have elapsed from the last date of service. At that point, the exit date recorded is the last date of service. The exit quarter (referred to throughout the definition of the measures) is the quarter in which the last date of service (except follow-up services) takes place. If a registrant exits WIA and receives future WIA services after exiting, that registrant is treated as a new registrant for the purposes of the core measures and will be included in the appropriate measures.</p> | <p>Count of INDIVIDUAL IDENTIFIERS where DISLOCATED WORKER FUNDING STREAM and DATE OF WIA EXIT is within the reporting period.</p> |

| <i>Number</i> | <i>Element Name</i> | <i>Definition</i> | <i>Specification</i> |
|---------------|-------------------------------------|---|--|
| Table M - 7 | Total Exiters - Older Youth (19-21) | <p>The total number of WIA older youth registrants who exited WIA during a program year. Note that information on all exiters in a quarter is not available until 90 days after the end of the quarter because some exiters may not be identified for up to 90 days after the exit date. Thus, total exiters for the four quarters of PY2000 will be reported in the 1st quarter PY 2001 report.</p> <p>Exiters. Each individual becomes part of an exit cohort, a group who are determined to be "exiters" within a particular quarter and are looked at together for measurement purposes. There are two ways to determine exit:</p> <ol style="list-style-type: none"> 1. A registrant who has a date of closure, completion or known exit from WIA-funded or non-WIA funded partner service within the quarter (hard exit); or 2. A registrant who does not receive any WIA-funded or non-WIA funded partner service for 90 days and is not scheduled for future services except follow-up services (soft exit). <p>Registrants who have a planned gap in service of greater than 90 days should not be considered as exited if the gap in service is due to a delay before the beginning of training or a health/medical condition that prevents an individual from participating in services. Service providers should document any gap in service that occurs and provide reason for such a gap in service. Registrants who exit from services because they are incarcerated, deceased or have a health/medical condition that prevents the individual from participating in services, should be excluded from the measures. Once a registrant has not received any WIA-funded or partner services, except for follow-up services, for 90 days, and there is no planned gap in service, then that participant has exited WIA for the purposes of measurement in 15 of the 17 core measures (the younger youth skill attainment rate and employer customer satisfaction measures are not based on exit).</p> <p>The exit date will be the last date of WIA-funded or partner-funded service received (except follow-up services). For a soft exit, the date of exit cannot be determined until 90 days have elapsed from the last date of service. At that point, the exit date recorded is the last date of service. The exit quarter (referred to throughout the definition of the measures) is the quarter in which the last date of service (except follow-up services) takes place. If a registrant exits WIA and receives future WIA services after exiting, that registrant is treated as a new registrant for the purposes of the core measures and will be included in the appropriate measures.</p> | <p>Count of INDIVIDUAL IDENTIFIERS where YOUTH FUNDING STREAM and DATE OF EXIT is within the reporting period and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 years and <= 21 years)</p> |

| Number | Element Name | Definition | Specification |
|---------------|---------------------------------------|---|--|
| Table M - 8 | Total Exiters - Younger Youth (14-18) | <p>The total number of WIA younger youth registrants who exited WIA during a program year. Note that information on all exiters in a quarter is not available until 90 days after the end of the quarter because some exiters may not be identified for up to 90 days after the exit date. Thus, total exiters for the four quarters of PY2000 will be reported in the 1st quarter PY 2001 report.</p> <p>Exiters. Each individual becomes part of an exit cohort, a group who are determined to be "exiters" within a particular quarter and are looked at together for measurement purposes. There are two ways to determine exit:</p> <ol style="list-style-type: none"> 1. A registrant who has a date of closure, completion or known exit from WIA-funded or non-WIA funded partner service within the quarter (hard exit); or 2. A registrant who does not receive any WIA-funded or non-WIA funded partner service for 90 days and is not scheduled for future services except follow-up services (soft exit). <p>Registrants who have a planned gap in service of greater than 90 days should not be considered as exited if the gap in service is due to a delay before the beginning of training or a health/medical condition that prevents an individual from participating in services. Service providers should document any gap in service that occurs and provide reason for such a gap in service. Registrants who exit from services because they are incarcerated, deceased or have a health/medical condition that prevents the individual from participating in services, should be excluded from the measures. Once a registrant has not received any WIA-funded or partner services, except for follow-up services, for 90 days, and there is no planned gap in service, then that participant has exited WIA for the purposes of measurement in 15 of the 17 core measures (the younger youth skill attainment rate and employer customer satisfaction measures are not based on exit).</p> <p>The exit date will be the last date of WIA-funded or partner-funded service received (except follow-up services). For a soft exit, the date of exit cannot be determined until 90 days have elapsed from the last date of service. At that point, the exit date recorded is the last date of service. The exit quarter (referred to throughout the definition of the measures) is the quarter in which the last date of service (except follow-up services) takes place. If a registrant exits WIA and receives future WIA services after exiting, that registrant is treated as a new registrant for the purposes of the core measures and will be included in the appropriate measures.</p> | <p>Count of INDIVIDUAL IDENTIFIERS where YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >=14 years and <= 18 years) and DATE OF WIA EXIT is within the reporting period.</p> |

Office of Workforce Investment

Workforce Investment Act Report Elements

ETA-9090 WIA Quarterly Summary Report

| Number | Element Name | Definition | Specification |
|---------------|--|--|---|
| 001 | Total Participants - Adults | The total number of adults who received WIA services during the program year. This reflects the number of new WIA adult registrants who received WIA-funded services in the program year and carry-in participants from the previous year. For PY 2000, total adult participants includes both individuals who registered during the program year and carry-in JTPA. For adults, individuals who receive core services (other than informational and self-service activities), intensive services or training services must be registered. | Count of INDIVIDUAL IDENTIFIERS where ADULT FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 18 years) and DATE OF WIA TITLE I-B REGISTRATION <= end of the reporting period and DATE OF WIA EXIT >= beginning of the reporting period or is null. |
| 002 | Total Participants - Dislocated Workers | The total number of dislocated workers who received WIA services during the program year. This reflects the number of new WIA dislocated worker registrants who received WIA-funded services in the program year and carry-in participants from the previous year. For PY 2000, total dislocated worker participants includes both individuals who registered during the program year and carry-in JTPA. For dislocated workers, individuals who receive core services (other than informational and self-service activities), intensive services or training services must be registered. | Count of INDIVIDUAL IDENTIFIERS where DISLOCATED WORKER FUNDING STREAM and DATE OF WIA TITLE I-B REGISTRATION <= end of the reporting period and DATE OF WIA EXIT >= beginning of the reporting period or is null. |
| 003 | Total Participants - Younger Youth (14-18) | The total number of youth who received WIA services during the program year. This reflects the number of new WIA youth registrants who received WIA-funded services in the program year and carry-in participants from the previous year. For PY 2000, total youth participants includes both individuals who registered during the program year and carry-in JTPA. All youth who receive youth activities must be registered. | Count of INDIVIDUAL IDENTIFIERS where YOUTH FUNDING STREAM and DATE OF WIA TITLE I-B REGISTRATION <= end of the reporting period and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 years and <= 18 years) and DATE OF WIA EXIT >= start of the reporting period or is null. |
| 004 | Total Participants - Older Youth (19-21) | The total number of older youth who received WIA services during the program year. This reflects the number of new WIA older youth registrants who received WIA-funded services in the program year and carry-in participants from the previous year. For PY 2000, total older youth participants includes both individuals who registered during the program year and carry-in JTPA. All youth who receive youth activities must be registered. | Count of INDIVIDUAL IDENTIFIERS where YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 years and <= 21 years) and DATE OF WIA TITLE I-B REGISTRATION <= the end of the reporting period and DATE OF WIA EXIT >= start of the reporting period or is null. |

| <i>Number</i> | <i>Element Name</i> | <i>Definition</i> | <i>Specification</i> |
|---------------|------------------------|---|--|
| 005 | Total Exiters - Adults | <p>The total number of WIA adult registrants who exited WIA during a program year. Note that information on all exiters in a quarter is not available until 90 days after the end of the quarter because some exiters may not be identified for up to 90 days after the exit date. Thus, total exiters for the four quarters of PY2000 will be reported in the 1st quarter PY 2001 report.</p> <p>Exiters. Each individual becomes part of an exit cohort, a group who are determined to be "exiters" within a particular quarter and are looked at together for measurement purposes. There are two ways to determine exit:</p> <ol style="list-style-type: none"> 1. A registrant who has a date of closure, completion or known exit from WIA-funded or non-WIA funded partner service within the quarter (hard exit); or 2. A registrant who does not receive any WIA-funded or non-WIA funded partner service for 90 days and is not scheduled for future services except follow-up services (soft exit). <p>Registrants who have a planned gap in service of greater than 90 days should not be considered as exited if the gap in service is due to a delay before the beginning of training or a health/medical condition that prevents an individual from participating in services. Service providers should document any gap in service that occurs and provide reason for such a gap in service. Registrants who exit from services because they are incarcerated, deceased or have a health/medical condition that prevents the individual from participating in services, should be excluded from the measures. Once a registrant has not received any WIA-funded or partner services, except for follow-up services, for 90 days, and there is no planned gap in service, then that participant has exited WIA for the purposes of measurement in 15 of the 17 core measures (the younger youth skill attainment rate and employer customer satisfaction measures are not based on exit).</p> <p>The exit date will be the last date of WIA-funded or partner-funded service received (except follow-up services). For a soft exit, the date of exit cannot be determined until 90 days have elapsed from the last date of service. At that point, the exit date recorded is the last date of service. The exit quarter (referred to throughout the definition of the measures) is the quarter in which the last date of service (except follow-up services) takes place. If a registrant exits WIA and receives future WIA services after exiting, that registrant is treated as a new registrant for the purposes of the core measures and will be included in the appropriate measures.</p> | <p>Count of INDIVIDUAL IDENTIFIERS where ADULT FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH <= 18 years) and DATE OF WIA EXIT is within the reporting period.</p> |

| <i>Number</i> | <i>Element Name</i> | <i>Definition</i> | <i>Specification</i> |
|---------------|---------------------------------------|---|--|
| 006 | Total Exiters - Dislocated Workers | <p>The total number of WIA dislocated worker registrants who exited WIA during a program year. Note that information on all exiters in a quarter is not available until 90 days after the end of the quarter because some exiters may not be identified for up to 90 days after the exit date. Thus, total exiters for the four quarters of PY2000 will be reported in the 1st quarter PY 2001 report.</p> <p>Exiters. Each individual becomes part of an exit cohort, a group who are determined to be "exiters" within a particular quarter and are looked at together for measurement purposes. There are two ways to determine exit:</p> <ol style="list-style-type: none"> 1. A registrant who has a date of closure, completion or known exit from WIA-funded or non-WIA funded partner service within the quarter (hard exit); or 2. A registrant who does not receive any WIA-funded or non-WIA funded partner service for 90 days and is not scheduled for future services except follow-up services (soft exit). <p>Registrants who have a planned gap in service of greater than 90 days should not be considered as exited if the gap in service is due to a delay before the beginning of training or a health/medical condition that prevents an individual from participating in services. Service providers should document any gap in service that occurs and provide reason for such a gap in service. Registrants who exit from services because they are incarcerated, deceased or have a health/medical condition that prevents the individual from participating in services, should be excluded from the measures. Once a registrant has not received any WIA-funded or partner services, except for follow-up services, for 90 days, and there is no planned gap in service, then that participant has exited WIA for the purposes of measurement in 15 of the 17 core measures (the younger youth skill attainment rate and employer customer satisfaction measures are not based on exit).</p> <p>The exit date will be the last date of WIA-funded or partner-funded service received (except follow-up services). For a soft exit, the date of exit cannot be determined until 90 days have elapsed from the last date of service. At that point, the exit date recorded is the last date of service. The exit quarter (referred to throughout the definition of the measures) is the quarter in which the last date of service (except follow-up services) takes place. If a registrant exits WIA and receives future WIA services after exiting, that registrant is treated as a new registrant for the purposes of the core measures and will be included in the appropriate measures.</p> | <p>Count of INDIVIDUAL IDENTIFIERS where DISLOCATED WORKER FUNDING STREAM and DATE OF WIA EXIT is within the reporting period.</p> |

| Number | Element Name | Definition | Specification |
|---------------|---------------------------------------|---|---|
| 007 | Total Exiters - Younger Youth (14-18) | <p>The total number of WIA younger youth registrants who exited WIA during a program year. Note that information on all exiters in a quarter is not available until 90 days after the end of the quarter because some exiters may not be identified for up to 90 days after the exit date. Thus, total exiters for the four quarters of PY2000 will be reported in the 1st quarter PY 2001 report.</p> <p>Exiters. Each individual becomes part of an exit cohort, a group who are determined to be "exiters" within a particular quarter and are looked at together for measurement purposes. There are two ways to determine exit:</p> <ol style="list-style-type: none"> 1. A registrant who has a date of closure, completion or known exit from WIA-funded or non-WIA funded partner service within the quarter (hard exit); or 2. A registrant who does not receive any WIA-funded or non-WIA funded partner service for 90 days and is not scheduled for future services except follow-up services (soft exit). <p>Registrants who have a planned gap in service of greater than 90 days should not be considered as exited if the gap in service is due to a delay before the beginning of training or a health/medical condition that prevents an individual from participating in services. Service providers should document any gap in service that occurs and provide reason for such a gap in service. Registrants who exit from services because they are incarcerated, deceased or have a health/medical condition that prevents the individual from participating in services, should be excluded from the measures. Once a registrant has not received any WIA-funded or partner services, except for follow-up services, for 90 days, and there is no planned gap in service, then that participant has exited WIA for the purposes of measurement in 15 of the 17 core measures (the younger youth skill attainment rate and employer customer satisfaction measures are not based on exit).</p> <p>The exit date will be the last date of WIA-funded or partner-funded service received (except follow-up services). For a soft exit, the date of exit cannot be determined until 90 days have elapsed from the last date of service. At that point, the exit date recorded is the last date of service. The exit quarter (referred to throughout the definition of the measures) is the quarter in which the last date of service (except follow-up services) takes place. If a registrant exits WIA and receives future WIA services after exiting, that registrant is treated as a new registrant for the purposes of the core measures and will be included in the appropriate measures.</p> | <p>Count of INDIVIDUAL IDENTIFIERS where YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >=14 years and <= 18 years) and DATE OF WIA EXIT is within the reporting period .</p> |

| <i>Number</i> | <i>Element Name</i> | <i>Definition</i> | <i>Specification</i> |
|---------------|-------------------------------------|---|---|
| 008 | Total Exiters - Older Youth (19-21) | <p>The total number of WIA older youth registrants who exited WIA during a program year. Note that information on all exiters in a quarter is not available until 90 days after the end of the quarter because some exiters may not be identified for up to 90 days after the exit date. Thus, total exiters for the four quarters of PY2000 will be reported in the 1st quarter PY 2001 report.</p> <p>Exiters. Each individual becomes part of an exit cohort, a group who are determined to be "exiters" within a particular quarter and are looked at together for measurement purposes. There are two ways to determine exit:</p> <ol style="list-style-type: none"> 1. A registrant who has a date of closure, completion or known exit from WIA-funded or non-WIA funded partner service within the quarter (hard exit); or 2. A registrant who does not receive any WIA-funded or non-WIA funded partner service for 90 days and is not scheduled for future services except follow-up services (soft exit). <p>Registrants who have a planned gap in service of greater than 90 days should not be considered as exited if the gap in service is due to a delay before the beginning of training or a health/medical condition that prevents an individual from participating in services. Service providers should document any gap in service that occurs and provide reason for such a gap in service. Registrants who exit from services because they are incarcerated, deceased or have a health/medical condition that prevents the individual from participating in services, should be excluded from the measures. Once a registrant has not received any WIA-funded or partner services, except for follow-up services, for 90 days, and there is no planned gap in service, then that participant has exited WIA for the purposes of measurement in 15 of the 17 core measures (the younger youth skill attainment rate and employer customer satisfaction measures are not based on exit).</p> <p>The exit date will be the last date of WIA-funded or partner-funded service received (except follow-up services). For a soft exit, the date of exit cannot be determined until 90 days have elapsed from the last date of service. At that point, the exit date recorded is the last date of service. The exit quarter (referred to throughout the definition of the measures) is the quarter in which the last date of service (except follow-up services) takes place. If a registrant exits WIA and receives future WIA services after exiting, that registrant is treated as a new registrant for the purposes of the core measures and will be included in the appropriate measures.</p> | <p>Count of INDIVIDUAL IDENTIFIERS where YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 years and <= 21 years) and DATE OF WIA EXIT is within the reporting period.</p> |

| <i>Number</i> | <i>Element Name</i> | <i>Definition</i> | <i>Specification</i> |
|---------------|--|--|----------------------|
| 009 | Number of Completed Surveys | The number of completed surveys (employers and participants) with valid answers to each of the three required questions. Please note the response rate is computed by dividing the number of surveys by the number included in the sample. | |
| 013 | Number Eligible for Customer Satisfaction Survey | The number of employers in the group (sample frame) from which the customer sample was drawn. For employers, it is the number of employers whose service ended. This information is needed to aggregate customer satisfaction over States. | |
| 015 | Number Included in the Sample for Customer Satisfaction Survey | The representative subset of participants/employers eligible for the surveys that were selected for interviews. If no sampling is used by the State, the sample size equals the number eligible for the survey. | |

Office of Workforce Investment

Workforce Investment Act Performance Measures

ETA-9091 WIA Title IB Annual Report (Performance Measures)

| Number | Report Element | Definition | Report Specifications |
|-------------|--|--|--|
| 1 | Adult Funding Stream | Used to determine adult funding stream in the performance measure. | ADULT (LOCAL) = 1 or (OTHER [STATEWIDE (15%) ACTIVITIES] = 1 and DATE OF ACTUAL QUALIFYING DISLOCATION is null) or (OTHER [STATEWIDE (15%) ACTIVITIES] = 2 and DISPLACED HOME MAKER [STATEWIDE (15%) ACTIVITIES] = 1 and (DATE OF WIA TITLE I-B REGISTRATION - DATE OF BIRTH) >= 22) or (OTHER [STATEWIDE (15%) ACTIVITIES] = 2 and DISPLACED HOME MAKER [STATEWIDE (15%) ACTIVITIES] = 1 and (DATE OF WIA TITLE I-B REGISTRATION - DATE OF BIRTH) >= 19 and <= 21 and EDUCATIONAL ACHIEVEMENT SERVICES = 2 or is null and EMPLOYMENT SERVICES = 2 or is null and RECEIVED Summer YOUTH EMPLOYMENT SERVICES = 2 or is null and ADDITIONAL SUPPORT FOR YOUTH SERVICES = 2 or is null and LEADERSHIP DEVELOPMENT OPPORTUNITIES = 2 or is null and RECEIVED FOLLOW-UP SERVICES = 2 or is null)) |
| 2 | Dislocated Worker Funding Stream | Used to determine dislocated worker funding stream in the performance measure. | DISLOCATED WORKER (LOCAL) = 1 or (OTHER [STATEWIDE (15%) ACTIVITIES] = 1 and DATE OF ACTUAL QUALIFYING DISLOCATION is not null) or (RAPID RESPONSE - ADDITIONAL ASSISTANCE = 1) |
| 3 | Youth Funding Stream | Used to determine youth funding stream in the performance measure | YOUTH (LOCAL) = 1 or YOUTH [STATEWIDE (15%) ACTIVITIES] = 1 |
| Table B.1.B | Adult Entered Employment Rate | Of those individuals age 18 and over who received services funded with adult program funds who are not employed at registration: Number of adults who have entered employment by the end of the first quarter after exit divided by the number of adults who exited during the quarter. | Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1. Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2. |
| Table B.2.B | Adult Six Months - Retention Rate | Of those individuals age 18 and over who received services funded with adult program funds who are employed in the first quarter after exit: Number of adults who are employed in the third quarter after exit divided by the number of adults who exited during the quarter. | Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT = 1. Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1. |
| Table B.3.B | Adult Average Six Months - Earnings Change | Of those individuals age 18 and over who received services funded with adult program funds who are employed in the first quarter after exit: [Total post-program earnings (earnings in quarter | Numerator: Sum of (THIRD QUARTER FOLLOWING THE EXIT QUARTER and SECOND QUARTER FOLLOWING THE EXIT QUARTER) minus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING |

2 + quarter 3 after exit) minus pre-program earnings (earnings in quarter 2 + quarter 3 prior to registration)) divided by the number of adults who exited during the quarter.

STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <-> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <-> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <-> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <-> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999).

Table B.4.B Adult Credential and Employment Rate

Of those individuals age 18 and over who received services funded with adult program funds who received training services: Number of adults who were employed in the first quarter after exit and received a credential by the end of the third quarter after exit divided by the number of adults who exited services during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and EMPLOYED QUARTER AFTER EXIT QUARTER = 1 and TYPE OF RECOGNIZED EDUCATIONAL/OCCUPATIONAL CERTIFICATE/ CREDENTIAL / DIPLOMA/ DEGREE ATTAINED <= 6.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null.

Table C.1.A Entered Employment Rate - Adult Public Assistance Recipients Receiving Intensive or Training Services

Of those individuals who received services for adults and dislocated workers described in WIA section 134(d)(4)(D) and are individuals who receive Federal, State, or local government cash payments for which eligibility is determined by a needs or income test (WIA section 101(37) age 18 and over who received services funded with adult program funds who are not employed at registration : Number of adults who have entered employment by the end of the first quarter after exit divided by the number of adults who exited during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1) and (DATE OF FIRST INTENSIVE SERVICE is not null or (DATE OF FIRST TRAINING SERVICE is not null and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1))).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and in ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and (TEMPORARY ASSISTANCE TO NEEDY

FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1 and (DATE OF FIRST INTENSIVE SERVICE is not null or (DATE OF FIRST TRAINING SERVICE is not null and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE-JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1))).

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and VETERAN STATUS <= 2.
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and VETERAN STATUS <= 2.

Of those individuals age 18 and over who served in the active U.S. military, naval, or air service and who were discharged or released from such service under conditions other than dishonorable who received services funded with adult program funds who are not employed at registration:
Number of adults who have entered employment by the end of the first quarter after exit divided by the number of adults who exited during the quarter.

Table C.1.B
Entered Employment Rate - Adult Veterans

Of those individuals age 18 and over with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102) who received services funded with adult program funds who are not employed at registration:
Number of adults who have entered employment by the end of the first quarter after exit divided by the number of adults who exited during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and INDIVIDUAL WITH A DISABILITY <= 2.
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and INDIVIDUAL WITH A DISABILITY <= 2.

Table C.1.C
Entered Employment Rate - Adult Individuals with Disabilities

Of those individuals aged 55 years or older at the time of registration who received services funded with adult program funds who are not employed at registration:
Number of adults who have entered employment by the end of the first quarter after exit divided by the number of adults who exited during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55).
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55).

Table C.1.D
Entered Employment Rate - Adult Older Individuals

Of those individuals who receive services for adults and dislocated workers described in WIA section 134(d)(4)(D) and are individuals who receive Federal, State, or local government cash payments for which eligibility is determined by a needs or income test (WIA section 101(37) age 18 and over who are employed in the first quarter after exit:
Number of adults who are employed in the third quarter after exit divided by the number of adults who exited during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1 and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA), REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1) and (DATE OF FIRST INTENSIVE SERVICE is not null or (DATE OF FIRST TRAINING SERVICE is not null and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE-JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS

UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1))).
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1) and (DATE OF FIRST INTENSIVE SERVICE is not null or (DATE OF FIRST TRAINING SERVICE is not null and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE-JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1))).

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1 and VETERAN STATUS <= 2.
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and VETERAN STATUS <= 2.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1 and INDIVIDUAL WITH A DISABILITY <= 2.
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and INDIVIDUAL WITH A DISABILITY <= 2.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1 and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55).
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55).

Numerator: Sum of (THIRD QUARTER FOLLOWING THE EXIT QUARTER and SECOND QUARTER FOLLOWING THE EXIT QUARTER) minus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING

Of those individuals age 18 and over who served in the active U.S. military, naval, or air service and who were discharged or released from such service under conditions other than dishonorable who received services funded with adult program funds who are employed in the first quarter after exit:
 Number of adults who are employed in the third quarter after exit divided by the number of adults who exited during the quarter.

Of those individuals age 18 and over with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102) who received services funded with adult program funds who are employed in the first quarter after exit:
 Number of adults who are employed in the third quarter after exit divided by the number of adults who exited during the quarter.

Of those individuals aged 55 years or older at the time of registration who received services funded with adult program funds who are employed in the first quarter after exit:
 Number of adults who are employed in the third quarter after exit divided by the number of adults who exited during the quarter.

Of those individuals who receive services for adults and dislocated workers described in WIA section 134(d)(4)(D) and are individuals who receive Federal, State, or local government cash payments for which eligibility is determined by a

Table C.2.B Employment Retention Rate at Six Months - Adult Veterans

Table C.2.C Employment Retention Rate - Adult Individuals with Disabilities

Table C.2.D Employment Retention Rate - Adult Older Individuals

Table C.3.A Earnings Change in Six Months - Adult Public Assistance Recipients Receiving Intensive or Training Services

needs or income test (WIA section 101(37) age 18 and over who are employed in the first quarter after exit:
 [Total post-program earnings (earnings in quarter 2 + quarter 3 after exit) minus pre-program earnings (earnings in quarter 2 + quarter 3 prior to registration)] divided by the number of adults who exited during the quarter.

STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1) and (DATE OF FIRST INTENSIVE SERVICE is not null or (DATE OF FIRST TRAINING SERVICE is not null and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1))).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1) and (DATE OF FIRST INTENSIVE SERVICE is not null or (DATE OF FIRST TRAINING SERVICE is not null and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1))).

Of those individuals age 18 and over who served in the active U.S. military, naval, or air service and who were discharged or released from such service under conditions other than dishonorable who received services funded with adult program funds who are employed in the first quarter after exit:
 [Total post-program earnings (earnings in quarter 2 + quarter 3 after exit) minus pre-program earnings (earnings in quarter 2 + quarter 3 prior to registration)] divided by the number of adults who exited during the quarter.

Table C.3.B Earnings Change in Six Months - Adult Veterans

Numerator: Sum of (THIRD QUARTER FOLLOWING THE EXIT QUARTER and SECOND QUARTER FOLLOWING THE EXIT QUARTER) minus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and VETERAN STATUS <= 2.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS and DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD

ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and VETERAN STATUS <= 2.

Table C.3.C Earnings Change in Six Months - Adult Individuals with Disabilities
 Of those individuals age 18 and over with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102) who received services funded with adult program funds who are employed in the first quarter after exit:
 [Total post-program earnings (earnings in quarter 2 + quarter 3 after exit) minus pre-program earnings (earnings in quarter 2 + quarter 3 prior to registration)] divided by the number of adults who exited during the quarter.

Numerator: Sum of (THIRD QUARTER FOLLOWING THE EXIT QUARTER and SECOND QUARTER FOLLOWING THE EXIT QUARTER) minus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and INDIVIDUAL WITH A DISABILITY <= 2.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and INDIVIDUAL WITH A DISABILITY <= 2.

Table C.3.D Earnings Change in Six Months - Adult Older Individuals
 Of those individuals aged 55 years or older at the time of registration who received services funded with adult program funds who are employed in the first quarter after exit:
 [Total post-program earnings (earnings in quarter 2 + quarter 3 after exit) minus pre-program earnings (earnings in quarter 2 + quarter 3 prior to registration)] divided by the number of adults who exited during the quarter.

Numerator: Sum of (THIRD QUARTER FOLLOWING THE EXIT QUARTER and SECOND QUARTER FOLLOWING THE EXIT QUARTER) minus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55).

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> 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55).

Table C.4.A
 Employment and
 Credential Rate - Adult
 Public Assistance
 Recipients Receiving
 Intensive or Training
 Services

Of those individuals who received services for adults and dislocated workers described in WIA section 134(d)(4)(D) and are individuals who receive Federal, State, or local government cash payments for which eligibility is determined by a needs or income test (WIA section 101(37) age 18 and over who received services funded with adult program funds :
 Number of adults who were employed in the first quarter after exit and received a credential by the end of the third quarter after exit divided by the number of adults who exited services during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and EMPLOYED QUARTER AFTER EXIT QUARTER = 1 and TYPE OF RECOGNIZED EDUCATIONAL/OCCUPATIONAL CERTIFICATE/ CREDENTIAL / DIPLOMA/ DEGREE ATTAINED <= 6 and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1).
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1).

Table C.4.B
 Employment and
 Credential Rate - Adult
 Veterans

Of those individuals age 18 and over who served in the active U.S. military, naval, or air service and who were discharged or released from such service under conditions other than dishonorable and who received services funded with adult program funds:
 Number of adults who were employed in the first quarter after exit and received a credential by the end of the third quarter after exit divided by the number of adults who exited services during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and ADULT FUNDING STREAM and OTHER REASONS FOR EXIT is null and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and EMPLOYED QUARTER AFTER EXIT QUARTER = 1 and TYPE OF RECOGNIZED EDUCATIONAL/OCCUPATIONAL CERTIFICATE/ CREDENTIAL / DIPLOMA/ DEGREE ATTAINED <= 6 and VETERAN STATUS <= 2.
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and VETERAN STATUS <= 2.

Table
 C.4.C
 Employment and
 Credential Rate - Adult
 Individuals with Disabilities

Of those individuals age 18 and over with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102) who received services funded with adult program funds:
 Number of adults who were employed in the first

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST

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quarter after exit and received a credential by the end of the third quarter after exit divided by the number of adults who exited services during the quarter.

Table C.4.D
Employment and Credential Rate - Adult Older Individuals

Of those individuals aged 55 years or older at the time of registration who received services funded with adult program funds who received training services:
Number of adults who were employed in the first quarter after exit and received a credential by the end of the third quarter after exit divided by the number of adults who exited services during the quarter.

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TRAINING SERVICE is not null and EMPLOYED QUARTER AFTER EXIT QUARTER = 1 and TYPE OF RECOGNIZED EDUCATIONAL/OCCUPATIONAL CERTIFICATE/CREDENTIAL / DIPLOMA/ DEGREE ATTAINED <= 6 and INDIVIDUAL WITH A DISABILITY <= 2.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and INDIVIDUAL WITH A DISABILITY <= 2.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and EMPLOYED QUARTER AFTER EXIT QUARTER = 1 and TYPE OF RECOGNIZED EDUCATIONAL/OCCUPATIONAL CERTIFICATE/CREDENTIAL / DIPLOMA/ DEGREE ATTAINED <= 6 and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55).

Table D.1.A
Entered Employment Rate - Adult Individuals Who Received Training Services

Of those individuals age 18 and over who received services for adults described in WIA section 134(d)(4)(D) funded with adult program funds who are not employed at registration:
Number of adults who have entered employment by the end of the first quarter after exit divided by the number of adults who exited during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null.

Table D.1.B
Entered Employment

Of those individuals age 18 and over who

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF

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|--|---|---|
| <p>Rate -Adult Individuals Who Received Only Core and Intensive Services</p> | <p>received only core and intensive services funded with adult program funds who are not employed at registration: Number of adults who have entered employment by the end of the first quarter after exit divided by the number of adults who exited during the quarter.</p> | <p>WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and ((ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES <=> 1 and ON-THE- JOB TRAINING <=> 1 and OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING <=> 1) or DATE OF FIRST TRAINING SERVICE is null). Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and EMPLOYMENT STATUS AT REGISTRATION = 2 and ADULT FUNDING STREAM and ((ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES <=> 1 and ON-THE- JOB TRAINING <=> 1 and OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING <=> 1) or DATE OF FIRST TRAINING SERVICE is null).</p> |
| <p>Table D.2.A Employment Retention Rate - Adult Individuals Who Received Training Services</p> | <p>Of those individuals age 18 and over who received services for adults described in WIA section 134(d)(4)(D) funded with adult program funds who are employed in the first quarter after exit: Number of adults who are employed in the third quarter after exit divided by the number of adults who exited during the quarter.</p> | <p>Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1 and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null. Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null.</p> |
| <p>Table D.2.B Employment Retention Rate - Adult Individuals Who Received Only Core and Intensive Services</p> | <p>Of those individuals age 18 and over who received only core and intensive services funded with adult program funds who are employed in the first quarter after exit: Number of adults who are employed in the third quarter after exit divided by the number of adults who exited during the quarter.</p> | <p>Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1 and ((ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES <=> 1 and ON-THE- JOB TRAINING <=> 1 and OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING <=> 1) or DATE OF FIRST TRAINING SERVICE is null). Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and ((ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES <=> 1 and ON-THE- JOB TRAINING <=> 1 and OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING <=> 1) or DATE OF FIRST TRAINING SERVICE is null).</p> |

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TRAINING SERVICE is null).

Numerator: Sum of (THIRD QUARTER FOLLOWING THE EXIT QUARTER and SECOND QUARTER FOLLOWING THE EXIT QUARTER) minus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <-> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <-> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and ((ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <-> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <-> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and ((ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null.

Numerator: Sum of (THIRD QUARTER FOLLOWING THE EXIT QUARTER and SECOND QUARTER FOLLOWING THE EXIT QUARTER) minus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <-> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <-> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and ((ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is null).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER

Of those individuals age 18 and over who received services for adults described in WIA section 134(d)(4)(D) funded with adult program funds who are employed in the first quarter after exit:
 [Total post-program earnings (earnings in quarter 2 + quarter 3 after exit) minus pre-program earnings (earnings in quarter 2 + quarter 3 prior to registration)] divided by the number of adults who exited during the quarter.

Table D.3.A Earnings Change in Six Months - Adult Individuals Who Received Training Services

Of those individuals age 18 and over who received only core and intensive services funded with adult program funds who are employed in the first quarter after exit:
 [Total post-program earnings (earnings in quarter 2 + quarter 3 after exit) minus pre-program earnings (earnings in quarter 2 + quarter 3 prior to registration)] divided by the number of adults who exited during the quarter.

Table D.3.B Earnings Change in Six Months - Adult Individuals Who Received Only Core and Intensive Services

EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and ((ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES <> 1 and ON-THE- JOB TRAINING <> 1 and OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING <> 1) or DATE OF FIRST TRAINING SERVICE is null).

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT = 1.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1.

Numerator: Sum of (SECOND QUARTER FOLLOWING EXIT QUARTER and THIRD QUARTER FOLLOWING EXIT QUARTER) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and ((SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999 and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION) or (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999 and (DATE OF ACTUAL QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null))).

Denominator: Sum of SECOND QUARTER PRIOR TO DISLOCATION and THIRD QUARTER PRIOR TO DISLOCATION where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF

Table E.1.B Dislocated Worker Entered Employment Rate
 Number of dislocated workers who have entered employment by the first quarter after exit divided by the number of dislocated workers who exited during the quarter.

Table E.2.B Dislocated Worker Six Months - Retention Rate
 Of those dislocated workers who are employed in the first quarter after exit:
 Number of dislocated workers who are employed in the third quarter after exit divided by the number of dislocated workers who exited during the quarter.

Table E.3.B Dislocated Worker Six Months - Earnings Replacement
 Of those dislocated workers who are employed in the first quarter after exit:
 Total Post-Program Earnings (earnings in quarter 2 + quarter 3 after exit) divided by Pre-Dislocation Earnings (earnings in quarter 2 and 3 prior to dislocation).
 For dislocated workers with no date of dislocation or if the date of dislocation is after registration, quarters 2 and 3 prior to registration will be used.

SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999) and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION plus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (DATE OF ACTUAL QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null).

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and TYPE OF RECOGNIZED EDUCATIONAL/OCCUPATIONAL CERTIFICATE/ CREDENTIAL / DIPLOMA/ DEGREE ATTAINED <= 6.
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and DISLOCATED WORKER FUNDING STREAM and OTHER REASONS FOR EXIT is null and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and VETERAN STATUS <= 2.
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and VETERAN STATUS <= 2.
 Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and INDIVIDUAL WITH A DISABILITY <= 2.
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF

Of those dislocated workers who received training services:
 Number of dislocated workers who were employed in the first quarter after exit and received a credential by the end of the third quarter after exit divided by the number of dislocated workers who exited during the quarter.

Table E.4.B Dislocated Worker Credential and Employment Rate

Number of dislocated workers who are veterans who have entered employment by the first quarter after exit divided by the number of dislocated workers who exited during the quarter.

Table F.1.A Entered Employment Rate - Dislocated Worker Veterans

Number of dislocated workers with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102) who have entered employment by the first quarter after exit divided by the number of dislocated workers who exited during the quarter.

Table F.1.B Entered Employment Rate - Dislocated Worker Individuals With Disabilities

WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and INDIVIDUAL WITH A DISABILITY <= 2.

Table F.1.C Entered Employment Rate - Dislocated Worker Older Individuals

Number of dislocated workers with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102) who have entered employment by the first quarter after exit divided by the number of dislocated workers who exited during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55).
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55).

Table F.1.D Entered Employment Rate - Dislocated Worker Displaced Homemakers

Number of dislocated workers who are displaced homemakers and have entered employment by the first quarter after exit divided by the number of dislocated workers who exited during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and DISPLACED HOMEMAKER = 1.
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and DISPLACED HOMEMAKER = 1.

Table F.2.A Employment Retention Rate - Dislocated Worker Veterans

Of those dislocated workers who are veterans who are employed in the first quarter after exit: Number of dislocated workers who are employed in the third quarter after exit divided by the number of dislocated workers who exited during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1 and VETERAN STATUS <= 2.
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and VETERAN STATUS <= 2.

Table F.2.B Employment Retention Rate - Dislocated Worker Individuals With Disabilities

Of those dislocated workers with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102) who are employed in the first quarter after exit: Number of dislocated workers who are employed in the third quarter after exit divided by the number of dislocated workers who exited during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1 and INDIVIDUAL WITH A DISABILITY <= 2.
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and INDIVIDUAL WITH A DISABILITY <= 2.

Table F.2.C Employment Retention Rate - Dislocated Worker Older Individuals

Of those dislocated workers aged 55 years or older at the time of registration with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102) who are employed in the first quarter after exit: Number of dislocated workers who are employed in the third quarter after exit divided by the

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1 and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55).
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and INDIVIDUAL WITH A DISABILITY <= 2.

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number of dislocated workers who exited during the quarter.

Table F.2.D Employment Retention Rate - Dislocated Worker Displaced Homemakers

Of those dislocated workers who are displaced homemakers and are employed in the first quarter after exit:
Number of dislocated workers who are employed in the third quarter after exit divided by the number of dislocated workers who exited during the quarter.

Table F.3.A Earnings Replacement Rate in Six Months - Dislocated Worker Veterans

Of those dislocated workers who are veterans who are employed in the first quarter after exit:
Total Post-Program Earnings (earnings in quarter 2 + quarter 3 after exit) divided by Pre-Dislocation Earnings (earnings in quarter 2 and 3 prior to dislocation).
For dislocated workers with no date of dislocation or if the date of dislocation is after registration, quarters 2 and 3 prior to registration will be used.

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WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55).

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1 and DISPLACED HOME MAKER = 1.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and DISPLACED HOME MAKER = 1.

Numerator: Sum of (SECOND QUARTER FOLLOWING EXIT QUARTER and THIRD QUARTER FOLLOWING EXIT QUARTER) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and ((SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999 and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION) or (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999 and (DATE OF ACTUAL QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null))) and VETERAN STATUS <=

2.
Denominator: Sum of SECOND QUARTER PRIOR TO DISLOCATION and THIRD QUARTER PRIOR TO DISLOCATION where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999) and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION and VETERAN STATUS <=2 plus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF

SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (DATE OF ACTUAL QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null) and VETERAN STATUS <= 2.

Table F.3.B Earnings Replacement Rate- Dislocated Worker Individuals With Disabilities

Of those dislocated workers with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102) who are employed in the first quarter after exit:
 Total Post-Program Earnings (earnings in quarter 2 + quarter 3 after exit) divided by Pre-Dislocation Earnings (earnings in quarter 2 and 3 prior to dislocation).
 For dislocated workers with no date of dislocation or if the date of dislocation is after registration, quarters 2 and 3 prior to registration will be used.

Numerator: Sum of (SECOND QUARTER FOLLOWING EXIT QUARTER and THIRD QUARTER FOLLOWING EXIT QUARTER) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and ((SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999) and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION) or (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (DATE OF ACTUAL QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null)) and INDIVIDUAL WITH A DISABILITY <= 2.

Denominator: Sum of SECOND QUARTER PRIOR TO DISLOCATION and THIRD QUARTER PRIOR TO DISLOCATION where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999) and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION and INDIVIDUAL WITH A DISABILITY <=2 plus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (DATE OF ACTUAL QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null)) and INDIVIDUAL WITH A DISABILITY <= 2.

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QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null) and INDIVIDUAL WITH A DISABILITY <= 2.

Numerator: Sum of (SECOND QUARTER FOLLOWING EXIT QUARTER and THIRD QUARTER FOLLOWING EXIT QUARTER) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <= 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <= 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and ((SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999 and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION) or (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999 and (DATE OF ACTUAL QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null))) and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55).

Denominator: Sum of SECOND QUARTER PRIOR TO DISLOCATION and THIRD QUARTER PRIOR TO DISLOCATION where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <= 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <= 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999) and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55) plus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <= 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <= 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (DATE OF ACTUAL QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null) and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55).

Table F.3.C Earnings Replacement Rate - Dislocated Worker Older Individuals

Of those dislocated workers aged 55 years or older at the time of registration who are employed in the first quarter after exit:

Total Post-Program Earnings (earnings in quarter 2 + quarter 3 after exit) divided by Pre-Dislocation Earnings (earnings in quarter 2 and 3 prior to dislocation).

For dislocated workers with no date of dislocation or if the date of dislocation is after registration, quarters 2 and 3 prior to registration will be used.

Table F.3.D Earnings Replacement Rate - Dislocated Worker

Of those dislocated workers who are displaced homemakers and are employed in the first

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Definition

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Displaced Homemakers

quarter after exit:
Total Post-Program Earnings (earnings in quarter 2 + quarter 3 after exit) divided by Pre-Dislocation Earnings (earnings in quarter 2 and 3 prior to dislocation).
For dislocated workers with no date of dislocation or if the date of dislocation is after registration, quarters 2 and 3 prior to registration will be used.

WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <= 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <= 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and ((SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999 and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION) or (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999 and (DATE OF ACTUAL QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null))) and DISPLACED HOME MAKER = 1 .

Denominator: Sum of SECOND QUARTER PRIOR TO DISLOCATION and THIRD QUARTER PRIOR TO DISLOCATION where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <= 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <= 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999 and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION and DISPLACED HOME MAKER = 1 and plus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <= 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <= 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999 and (DATE OF ACTUAL QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null))) and DISPLACED HOME MAKER = 1.

Table F.4.A Employment and Credential Rate - Dislocated Worker Veterans

Of those dislocated workers who are veterans who received training services:
Number of dislocated workers who were employed in the first quarter after exit and received a credential by the end of the third quarter after exit divided by the number of dislocated workers who exited during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and EMPLOYED QUARTER AFTER EXIT QUARTER = 1 and TYPE OF RECOGNIZED

EDUCATIONAL/OCCUPATIONAL CERTIFICATE/ CREDENTIAL / DIPLOMA/ DEGREE ATTAINED <= 6 and VETERAN STATUS <= 2.
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and VETERAN STATUS <= 2.

Table F.4.B Employment and Credential Rate - Dislocated Worker Individuals With Disabilities
 Of those dislocated workers with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102) who received training services:
 Number of dislocated workers who were employed in the first quarter after exit and received a credential by the end of the third quarter after exit divided by the number of dislocated workers who exited during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and EMPLOYED QUARTER AFTER EXIT QUARTER = 1 and TYPE OF RECOGNIZED EDUCATIONAL/OCCUPATIONAL CERTIFICATE/ CREDENTIAL / DIPLOMA/ DEGREE ATTAINED <= 6 and INDIVIDUAL WITH A DISABILITY <= 2.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and INDIVIDUAL WITH A DISABILITY <= 2.

Table F.4.C Employment and Credential Rate - Dislocated Worker Older Individuals
 Of those dislocated workers aged 55 years or older at the time of registration who received training services:
 Number of dislocated workers who were employed in the first quarter after exit and received a credential by the end of the third quarter after exit divided by the number of dislocated workers who exited during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and EMPLOYED QUARTER AFTER EXIT QUARTER = 1 and TYPE OF RECOGNIZED EDUCATIONAL/OCCUPATIONAL CERTIFICATE/ CREDENTIAL / DIPLOMA/ DEGREE ATTAINED <= 6 and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 55).

Table F.4.D Employment and

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF

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Definition

Credential Rate -
Dislocated Worker
Displaced Homemakers

services for dislocated workers described in WIA section 134(d)(4)(D) and who are displaced homemakers and who received training services: Number of dislocated workers who were employed in the first quarter after exit and received a credential by the end of the third quarter after exit divided by the number of dislocated workers who exited during the quarter.

Table
G.1.A
Entered Employment
Rate - Dislocated Worker
Individuals Who Received
Training Services

Number of dislocated workers who received services for dislocated workers described in WIA section 134(d)(4)(D) and who have entered employment by the first quarter after exit divided by the number of dislocated workers who exited during the quarter.

Table
G.1.B
Entered Employment
Rate - Dislocated Worker
Individuals Who Received
Only Core and Intensive
Services

Number of dislocated workers who received services for dislocated workers described in WIA section 134(d)(4)(D) and have entered employment by the first quarter after exit divided by the number of dislocated workers who exited during the quarter.

Table
G.2.A
Employment Retention
Rate - Dislocated Worker

Of those dislocated workers who received services for dislocated workers described in WIA

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WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and EMPLOYED QUARTER AFTER EXIT QUARTER = 1 and TYPE OF RECOGNIZED EDUCATIONAL/OCCUPATIONAL CERTIFICATE/ CREDENTIAL / DIPLOMA/ DEGREE ATTAINED <= 6 and DISPLACED HOME/MAKER = 1. Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and DISLOCATED WORKER FUNDING STREAM and OTHER REASONS FOR EXIT is null and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and DISPLACED HOME/MAKER = 1.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES <-> 1 and ON-THE- JOB TRAINING <-> 1 and OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING <-> 1) or DATE OF FIRST TRAINING SERVICE is null).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES <-> 1 and ON-THE- JOB TRAINING <-> 1 and OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING <-> 1) or DATE OF FIRST TRAINING SERVICE is null).

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is

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Definition

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Individuals Who Received Training Services
 section 134(d)(4)(D) and are employed in the first quarter after exit:
 Number of dislocated workers who are employed in the third quarter after exit divided by the number of dislocated workers who exited during the quarter.

Employment Retention Rate - Dislocated Worker
 Individuals Who Received Only Core and Intensive Services
 Of those dislocated workers who received services for dislocated workers described in WIA section 134(d)(4)(D) and are employed in the first quarter after exit:
 Number of dislocated workers who are employed in the third quarter after exit divided by the number of dislocated workers who exited during the quarter.

Earnings Replacement Rate - Dislocated Worker
 Individuals Who Received Training Services
 Of those dislocated workers who received services for dislocated workers described in WIA section 134(d)(4)(D) and are employed in the first quarter after exit:
 Total Post-Program Earnings (earnings in quarter 2 + quarter 3 after exit) divided by Pre-Dislocation Earnings (earnings in quarter 2 and 3 prior to dislocation).
 For dislocated workers with no date of dislocation or if the date of dislocation is after registration, quarters 2 and 3 prior to registration will be used.

num and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1 and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null.
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null.
 Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1 and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES <= 1 and ON-THE- JOB TRAINING <= 1 and OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING <= 1) or DATE OF FIRST TRAINING SERVICE is null).
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and ((ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES <= 1 and ON-THE- JOB TRAINING <= 1 and OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING <= 1) or DATE OF FIRST TRAINING SERVICE is null).
 Numerator: Sum of (SECOND QUARTER FOLLOWING EXIT QUARTER and THIRD QUARTER FOLLOWING EXIT QUARTER) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <= 1 or is null or FIRST SUPPLEMENTAL DATA (WIASRD ITEM 609) <= 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and ((SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999 and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION) or (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999 and (DATE OF ACTUAL QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null))) and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB

TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null.
 Denominator: Sum of SECOND QUARTER PRIOR TO DISLOCATION and THIRD QUARTER PRIOR TO DISLOCATION where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <=> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <=> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999) and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE-JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null plus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <=> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <=> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (DATE OF ACTUAL QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null) and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE-JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null.

Numerator: Sum of (SECOND QUARTER FOLLOWING EXIT QUARTER and THIRD QUARTER FOLLOWING EXIT QUARTER) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <=> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <=> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and ((SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999) and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION) or (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER

Table G.3.B Earnings Replacement Rate - Dislocated Worker Individuals Who Received Only Core and Intensive Services
 Of those dislocated workers who received services for dislocated workers described in WIA section 134(d)(4)(D) and are employed in the first quarter after exit:
 Total Post-Program Earnings (earnings in quarter 2 + quarter 3 after exit) divided by Pre-Dislocation Earnings (earnings in quarter 2 and 3 prior to dislocation).
 For dislocated workers with no date of dislocation or if the date of dislocation is after registration, quarters 2 and 3 prior to registration will be used.

PRIOR TO REGISTRATION < 99999 and (DATE OF ACTUAL QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null)) and ((ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES <=> 1 and ON-THE- JOB TRAINING <=> 1 and OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING <=> 1) or DATE OF FIRST TRAINING SERVICE is null)

Denominator: Sum of SECOND QUARTER PRIOR TO DISLOCATION and THIRD QUARTER PRIOR TO DISLOCATION where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <=> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <=> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999) and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION and ((ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES <=> 1 and ON-THE- JOB TRAINING <=> 1 and OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING <=> 1) or DATE OF FIRST TRAINING SERVICE is null) plus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <=> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <=> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION > DATE OF WIA TITLE I-B REGISTRATION or QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null) and ((ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES <=> 1 and ON-THE- JOB TRAINING <=> 1 and OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING <=> 1) or DATE OF FIRST TRAINING SERVICE is null).

Of those who are not employed at registration and do not move on to post-secondary education or advanced training:
 Number of older youth who have entered employment by the end of the first quarter after exit divided by number of older youth who exit during the quarter.

Table H.1.B Older Youth Entered Employment Rate

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1.
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and

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EMPLOYMENT STATUS AT REGISTRATION = 2 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN QUARTER AFTER EXIT = 3 or EMPLOYED QUARTER AFTER EXIT QUARTER = 1).

Table H.2.B Older Youth Six Months - Retention Rate
Of those who are employed in the first quarter after exit and who do not move on to post-secondary education or advanced training :
Number of older youth who are employed in third quarter after exit divided by the number of older youth who exit during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1).

Table H.3.B Older Youth Average Six Months - Earnings Change
Of those who are employed in the first quarter after exit and who do not move on to post-secondary education or advanced training:
[Total post-program earnings (earnings in quarter 2 + quarter 3 after exit) minus pre-program earnings (earnings in quarters 2+3 prior to registration)] divided by the number of older youth who exit during the quarter.

Numerator: Sum of (THIRD QUARTER FOLLOWING THE EXIT QUARTER and SECOND QUARTER FOLLOWING THE EXIT QUARTER) minus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999).

Table H.4.B Older Youth Credential Rate
Number of older youth who are in employment, post-secondary education, or advanced training in the first quarter after exit and received a

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B

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REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN QUARTER AFTER EXIT <= 2 or EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1) and TYPE OF RECOGNIZED EDUCATIONAL/OCCUPATIONAL CERTIFICATE/CREDENTIAL/DEGREE ATTAINED <= 6.
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21).
 Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA), REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1).
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYMENT STATUS AT REGISTRATION = 2 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN QUARTER AFTER EXIT = 3 or EMPLOYED QUARTER AFTER EXIT QUARTER = 1) and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA), REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1).
 Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and VETERAN STATUS <= 2.
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYMENT STATUS AT REGISTRATION = 2 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN QUARTER AFTER EXIT = 3 or EMPLOYED QUARTER AFTER EXIT QUARTER = 1) and VETERAN STATUS <= 2.

crediential by the end of the third quarter after exit divided by the number of older youth who exit during the quarter.

Of those older youth who receive Federal, State, or local government cash payments for which eligibility is determined by a needs or income test (WIA section 101(37) and who are not employed at registration and do not move on to post-secondary education or advanced training:
 Number of older youth who have entered employment by the end of the first quarter after exit divided by number of older youth who exit during the quarter.

Of those older youth who are not employed at registration and do not move on to post-secondary education or advanced training:
 Number of older youth who have entered employment by the end of the first quarter after exit divided by number of older youth who exit during the quarter.

Of those older youth with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102) who are not employed at registration and do not move on to post-secondary education or advanced training:
 Number of older youth who have entered employment by the end of the first quarter after

Table I.1.A Entered Employment Rate - Older Youth Public Assistance Recipients

Table I.1.B Entered Employment Rate - Older Youth Veterans

Table I.1.C Entered Employment Rate - Older Youth Individuals With Disabilities

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exit divided by number of older youth who exit during the quarter.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYMENT STATUS AT REGISTRATION = 2 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN QUARTER AFTER EXIT = 3 or EMPLOYED QUARTER AFTER EXIT QUARTER = 1) and INDIVIDUAL WITH A DISABILITY <= 2

Table I.1.D Entered Employment Rate - Older Youth Out-of-School Youth

Of those out-of-school older youth who are not employed at registration and do not move on to post-secondary education or advanced training: Number of older youth who have entered employment by the end of the first quarter after exit divided by number of older youth who exit during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (EDUCATION STATUS AT TIME OF REGISTRATION = 3 or 4 or (EDUCATION STATUS AT TIME OF REGISTRATION = 2 and BASIC LITERACY SKILLS DEFICIENCY = 1)).
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYMENT STATUS AT REGISTRATION = 2 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN QUARTER AFTER EXIT = 3 or EMPLOYED QUARTER AFTER EXIT QUARTER = 1) and (EDUCATION STATUS AT TIME OF REGISTRATION = 3 or 4 or (EDUCATION STATUS AT TIME OF REGISTRATION = 2 and BASIC LITERACY SKILLS DEFICIENCY = 1)).

Table I.2.A Employment Retention Rate - Older Youth Public Assistance Recipients

Of those older youth who receive Federal, State, or local government cash payments for which eligibility is determined by a needs or income test (WIA section 101(37) and are employed in the first quarter after exit and who do not move on to post-secondary education or advanced training: Number of older youth who are employed in third quarter after exit divided by the number of older youth who exit during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1 and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1).
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1) and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA), REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1).

Table I.2.B Employment Retention Rate - Older Youth Veterans

Of those older youth who are veterans and are employed in the first quarter after exit and who do not move on to post-secondary education or

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B

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advanced training :
Number of older youth who are employed in third quarter after exit divided by the number of older youth who exit during the quarter.

REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1 and VETERAN STATUS <= 2.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1) and VETERAN STATUS <= 2.

Of those older youth with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102) who are employed in the first quarter after exit and who do not move on to post- secondary education or advanced training :
Number of older youth who are employed in third quarter after exit divided by the number of older youth who exit during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1 and INDIVIDUAL WITH A DISABILITY <= 2.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1) and INDIVIDUAL WITH A DISABILITY <= 2.

Of those out-of-school older youth who are employed in the first quarter after exit and who do not move on to post- secondary education or advanced training:
Number of older youth who are employed in third quarter after exit divided by the number of older youth who exit during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or 4 or (EDUCATION STATUS AT TIME OF REGISTRATION = 2 and BASIC LITERACY SKILLS DEFICIENCY = 1)).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1) and (EDUCATION STATUS AT TIME OF REGISTRATION = 3 or 4 or (EDUCATION STATUS AT TIME OF REGISTRATION = 2 and BASIC LITERACY SKILLS DEFICIENCY = 1)).

Of those older youth who receive Federal, State, or local government cash payments for which eligibility is determined by a needs or income test (WIA section 101(37) and are employed in the

Numerator: Sum of (THIRD QUARTER FOLLOWING THE EXIT QUARTER and SECOND QUARTER FOLLOWING THE EXIT QUARTER) minus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the

Table I.2.C Employment Retention Rate - Older Youth Individuals With Disabilities

Table I.2.D Employment Retention Rate - Older Youth Out-of-School Youth

Table I.3.A Earnings Change in Six Months - Older Youth Public Assistance Recipients

first quarter after exit and who do not move on to post-secondary education or advanced training: [Total post-program earnings (earnings in quarter 2 + quarter 3 after exit) minus pre-program earnings (earnings in quarters 2+3 prior to registration)] divided by the number of older youth who exit during the quarter.

reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <-> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <-> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <-> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <-> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1).

Table I.3.B Earnings Change in Six Months - Older Youth Veterans

Of those older youth who are veterans and are employed in the first quarter after exit and who do not move on to post-secondary education or advanced training: [Total post-program earnings (earnings in quarter 2 + quarter 3 after exit) minus pre-program earnings (earnings in quarters 2+3 prior to registration)] divided by the number of older youth who exit during the quarter.

Numerator: Sum of (THIRD QUARTER FOLLOWING THE EXIT QUARTER and SECOND QUARTER FOLLOWING THE EXIT QUARTER) minus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <-> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <-> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF

WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and VETERAN STATUS <= 2.

Of those older youth with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102) who are employed in the first quarter after exit and who do not move on to post-secondary education or advanced training:
 [Total post-program earnings (earnings in quarter 2 + quarter 3 after exit) minus pre-program earnings (earnings in quarters 2+3 prior to registration)] divided by the number of older youth who exit during the quarter.

Table I.3.C Earnings Change in Six Months - Older Youth Individuals With Disabilities

Numerator: Sum of (THIRD QUARTER FOLLOWING THE EXIT QUARTER and SECOND QUARTER FOLLOWING THE EXIT QUARTER) minus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and INDIVIDUAL WITH A DISABILITY <= 2.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and INDIVIDUAL WITH A DISABILITY <= 2.

Of those out-of-school older youth who are employed in the first quarter after exit and who do not move on to post-secondary education or advanced training:
 [Total post-program earnings (earnings in quarter

Table I.3.D Earnings Change in Six Months - Older Youth Out-of-School Youth

Numerator: Sum of (THIRD QUARTER FOLLOWING THE EXIT QUARTER and SECOND QUARTER FOLLOWING THE EXIT QUARTER) minus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH

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2 + quarter 3 after exit) minus pre-program earnings (earnings in quarters 2+3 prior to registration)] divided by the number of older youth who exit during the quarter.

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FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (EDUCATION STATUS AT TIME OF REGISTRATION = 3 or 4 or (EDUCATION STATUS AT TIME OF REGISTRATION = 2 and BASIC LITERACY SKILLS DEFICIENCY = 1)).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (EDUCATION STATUS AT TIME OF REGISTRATION = 3 or 4 or (EDUCATION STATUS AT TIME OF REGISTRATION = 2 and BASIC LITERACY SKILLS DEFICIENCY = 1)).

Table I.4.A
 Credential Rate - Older Youth Public Assistance Recipients
 Number of older youth who receive Federal, State, or local government cash payments for which eligibility is determined by a needs or income test (WIA section 101(37) and are in employment, post-secondary education, or advanced training in the first quarter after exit and received a credential by the end of the third quarter after exit divided by the number of older youth who exit during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN QUARTER AFTER EXIT <= 2 or EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1) and TYPE OF RECOGNIZED EDUCATIONAL/OCCUPATIONAL CERTIFICATE/CREDENTIAL/DEGREE ATTAINED <= 6 and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1).
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1).

Table I.4.B
 Credential Rate - Older
 Number of older youth who are veterans and are

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF

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Youth Veterans
 in employment, post-secondary education, or advanced training in the first quarter after exit and received a credential by the end of the third quarter after exit divided by the number of older youth who exit during the quarter.

WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN QUARTER AFTER EXIT <= 2 or EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1) and TYPE OF RECOGNIZED EDUCATIONAL/OCCUPATIONAL CERTIFICATE/CREDENTIAL/DEGREE ATTAINED <= 6 and VETERAN STATUS <= 2.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and VETERAN STATUS <= 2.

Table I.4.C
 Credential Rate - Older Youth Individuals With Disabilities

Number of older youth with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102) who are in employment, post-secondary education, or advanced training in the first quarter after exit and received a credential by the end of the third quarter after exit divided by the number of older youth who exit during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN QUARTER AFTER EXIT <= 2 or EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1) and TYPE OF RECOGNIZED EDUCATIONAL/OCCUPATIONAL CERTIFICATE/CREDENTIAL/DEGREE ATTAINED <= 6 and INDIVIDUAL WITH A DISABILITY <= 2.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and INDIVIDUAL WITH A DISABILITY <= 2.

Table I.4.D
 Credential Rate - Older Youth Out-of-School Youth

Number of out-of-school older youth who are in employment, post-secondary education, or advanced training in the first quarter after exit and received a credential by the end of the third quarter after exit divided by the number of older youth who exit during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN QUARTER AFTER EXIT <= 2 or EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1) and TYPE OF RECOGNIZED EDUCATIONAL/OCCUPATIONAL CERTIFICATE/CREDENTIAL/DEGREE ATTAINED <= 6 and (EDUCATION STATUS AT TIME OF REGISTRATION = 3 or 4 or (EDUCATION STATUS AT TIME OF REGISTRATION = 2 and BASIC LITERACY SKILLS DEFICIENCY = 1)).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and (EDUCATION STATUS AT TIME OF REGISTRATION = 3 or 4 or (EDUCATION STATUS AT TIME OF REGISTRATION = 2 and BASIC LITERACY SKILLS DEFICIENCY = 1)).

Table J.1.B
 Younger Youth Skill Attainment Rate

Of all in-school youth and any out-of-school youth assessed to be in need of basic skills, work readiness skills, and/or occupational skills:
 Total number of attained basic skills plus number

Numerator: Count of goals attained during the report period. There can be a maximum of 3 goals per year.

Denominator: Count of goals attained and failed during the report period.

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There can be a maximum of 3 goals per year.

of attained work readiness skills plus number of attained occupational skills divided by the number of basic skills goals plus the number of work readiness skills goals plus the number of occupational skills goals.

Table J.2.B Younger Youth Diploma or Equivalent Rate

Of those who register without a diploma or equivalent:
 Number of younger youth who attained secondary school diploma or equivalent by the end of the first quarter after exit divided by the number of younger youth who exit during the quarter (except those still in secondary school at exit).

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18) and EDUCATION STATUS AT REGISTRATION = 1 or 3 and ATTAINED SECONDARY SCHOOL DIPLOMA = 1 OR 2 and DATE OF WIA EXIT is within the reporting period.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18) and EDUCATION STATUS AT REGISTRATION = 1 or 3 and ATTAINED SECONDARY SCHOOL DIPLOMA <> 3 and DATE OF WIA EXIT is within the reporting period.

Table J.3.B Younger Youth Six Months Retention Rate

Number of younger youth found in one of the following categories in the third quarter following exit:

- post secondary education
- advanced training
- employment
- military service
- qualified apprenticeships

divided by the number of younger youth during the quarter (except those still in secondary school at exit).

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18) and ATTAINED SECONDARY SCHOOL DIPLOMA <> 3 and YOUTH RETENTION INFORMATION < 6.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18) and ATTAINED SECONDARY SCHOOL DIPLOMA <> 3.

Table K.1.A Skill Attainment Rate - Younger Youth Public Assistance Recipients

Of all in-school youth and any out-of-school youth who receive Federal, State, or local government cash payments for which eligibility is determined by a needs or income test (WIA section 101(37) assessed to be in need of basic skills, work readiness skills, and/or occupational skills:

Total number of attained basic skills plus number of attained work readiness skills plus number of attained occupational skills divided by the number of basic skills goals plus the number of work readiness skills goals plus the number of occupational skills goals.

Numerator: Count of goals attained during the report period. There can be a maximum of 3 goals per year and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1).

Denominator: Count of goals attained and failed during the report period. There can be a maximum of 3 goals per year and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1).

Table K.1.B Skill Attainment Rate - Younger Youth Individuals with Disabilities

Of all in-school youth and any out-of-school youth with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102) assessed to be in need of basic skills, work readiness skills, and/or occupational skills:
 Total number of attained basic skills plus number

Numerator: Count of goals attained during the report period. There can be a maximum of 3 goals per year and INDIVIDUAL WITH A DISABILITY <= 2.

Denominator: Count of goals attained and failed during the report period. There can be a maximum of 3 goals per year and INDIVIDUAL WITH A DISABILITY <= 2.

of attained work readiness skills plus number of attained occupational skills divided by the number of basic skills goals plus the number of work readiness skills goals plus the number of occupational skills goals.

Table K.1.C Skill Attainment Rate - Younger Youth Out-of-School Youth

Of all out-of-school younger youth assessed to be in need of basic skills, work readiness skills, and/or occupational skills:
Total number of attained basic skills plus number of attained work readiness skills plus number of attained occupational skills divided by the number of basic skills goals plus the number of work readiness skills goals plus the number of occupational skills goals.

Numerator: Count of goals attained during the report period. There can be a maximum of 3 goals per year and (EDUCATION STATUS AT TIME OF REGISTRATION = 3 or 4 or EDUCATION STATUS AT TIME OF REGISTRATION = 2 and BASIC LITERACY SKILLS DEFICIENCY = 1)).

Of those younger youth who receive Federal, State, or local government cash payments for which eligibility is determined by a needs or income test (WIA section 101(37) and who register without a diploma or equivalent:
Number of younger youth who attained secondary school diploma or equivalent by the end of the first quarter after exit divided by the number of younger youth who exit during the quarter (except those still in secondary school at exit).

Table K.2.A Diploma or Equivalent Attainment Rate - Younger Youth Public Assistance Recipients

Of those younger youth who receive Federal, State, or local government cash payments for which eligibility is determined by a needs or income test (WIA section 101(37) and who register without a diploma or equivalent:
Number of younger youth who attained secondary school diploma or equivalent by the end of the first quarter after exit divided by the number of younger youth who exit during the quarter (except those still in secondary school at exit).

Denominator: Count of goals attained and failed during the report period. There can be a maximum of 3 goals per year and (EDUCATION STATUS AT TIME OF REGISTRATION = 3 or 4 or EDUCATION STATUS AT TIME OF REGISTRATION = 2 and BASIC LITERACY SKILLS DEFICIENCY = 1)).
Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18) and EDUCATION STATUS AT REGISTRATION = 1 or 3 and ATTAINED SECONDARY SCHOOL DIPLOMA = (1 or 2) and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18) and EDUCATION STATUS AT REGISTRATION = (1 or 3) and ATTAINED SECONDARY SCHOOL DIPLOMA <-> 3 and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1).

Table K.2.B Diploma or Equivalent Attainment Rate - Younger Youth Individuals with Disabilities

Of those younger youth with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102) who register without a diploma or equivalent:
Number of younger youth who attained secondary school diploma or equivalent by the end of the first quarter after exit divided by the number of younger youth who exit during the quarter (except those still in secondary school at exit).

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18 and EDUCATION STATUS AT REGISTRATION = 1 or 3 and ATTAINED SECONDARY SCHOOL DIPLOMA = (1 or 2) and INDIVIDUAL WITH A DISABILITY <= 2.
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and EDUCATION STATUS AT REGISTRATION = (1 or 3) and ATTAINED SECONDARY SCHOOL DIPLOMA <-> 3 and INDIVIDUAL WITH A DISABILITY <= 2.

Table K.2.C Diploma or Equivalent Attainment Rate - Younger Youth Out-of-School Youth

Of those out-of-school younger youth who register without a diploma or equivalent:
Number of younger youth who attained secondary school diploma or equivalent by the end of the first quarter after exit divided by the number of

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18) and EDUCATION STATUS AT REGISTRATION = 1 or 3 and ATTAINED

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| | | younger youth who exit during the quarter (except those still in secondary school at exit). | SECONDARY SCHOOL DIPLOMA = (1 or 2) and (EDUCATION STATUS AT TIME OF REGISTRATION = 3 or 4 or (EDUCATION STATUS AT TIME OF REGISTRATION = 2 and BASIC LITERACY SKILLS DEFICIENCY = 1)). Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and EDUCATION STATUS AT TIME OF REGISTRATION = 1 or 3 and (EDUCATION STATUS AT TIME OF REGISTRATION = 2 and BASIC LITERACY SKILLS DEFICIENCY = 1)). |
| Table K.3.A | Retention Rate - Younger Youth Public Assistance Recipients | Number of younger youth who receive Federal, State, or local government cash payments for which eligibility is determined by a needs or income test (WIA section 101(37) found in one of the following categories in the third quarter following exit: — post secondary education — advanced training — employment — military service — qualified apprenticeships divided by the number of younger youth during the quarter (except those still in secondary school at exit). | Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18) and ATTAINED SECONDARY SCHOOL DIPLOMA < 3 and YOUTH RETENTION INFORMATION < 6 and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1). Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18) and ATTAINED SECONDARY SCHOOL DIPLOMA < 3 and (TEMPORARY ASSISTANCE TO NEEDY FAMILIES (TANF) = 1 or GENERAL ASSISTANCE (GA) REFUGEE CASH ASSISTANCE (RCA), SUPPLEMENTAL SECURITY INCOME (SSI-SSA TITLE XVI) = 1). |
| Table K.3.B | Retention Rate - Younger Youth Individuals with Disabilities | Number of younger youth with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102) found in one of the following categories in the third quarter following exit: — post secondary education — advanced training — employment — military service — qualified apprenticeships divided by the number of younger youth during the quarter (except those still in secondary school at exit). | Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18) and ATTAINED SECONDARY SCHOOL DIPLOMA < 3 and YOUTH RETENTION INFORMATION < 6 and INDIVIDUAL WITH A DISABILITY <= 2. Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18) and ATTAINED SECONDARY SCHOOL DIPLOMA < 3 and INDIVIDUAL WITH A DISABILITY <= 2. |
| Table K.3.C | Retention Rate - Younger Youth Out-of-School Youth | Number of out-of-school younger youth found in one of the following categories in the third quarter following exit: — post secondary education — advanced training — employment — military service — qualified apprenticeships | Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18) and ATTAINED SECONDARY SCHOOL DIPLOMA < 3 and YOUTH RETENTION INFORMATION < 6 and (EDUCATION STATUS AT TIME OF REGISTRATION = 3 or 4 or (EDUCATION STATUS AT TIME OF REGISTRATION = 2 and BASIC LITERACY SKILLS DEFICIENCY = 1)). Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF |

divided by the number of younger youth during the quarter (except those still in secondary school at exit).

WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18) and ATTAINED SECONDARY SCHOOL DIPLOMA <> 3 and (EDUCATION STATUS AT TIME OF REGISTRATION = 3 or 4 or (EDUCATION STATUS AT TIME OF REGISTRATION = 2 and BASIC LITERACY SKILLS DEFICIENCY = 1)).

Table L.1.A 12 Month Employment Retention Rate - Adult

Of those who are employed in the first quarter after exit:
Number of adults who are employed in the fifth quarter after exit divided by the number of adults who exited

Numerator : Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN FIFTH QUARTER AFTER EXIT = 1.
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1.

Table L.1.B 12 Month Earnings Change - Adult

Of those who are employed in the first quarter after exit:
Total post-program earnings (earnings in quarter 4 + quarter 5 after exit) minus pre-program earnings (earnings in quarter 2 + quarter 3 prior to registration) divided by the number of adults who exited.

Numerator: Sum of (FOURTH QUARTER FOLLOWING THE EXIT QUARTER and FIFTH QUARTER FOLLOWING THE EXIT QUARTER) minus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED FIRST QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 611) <> 1 or is null or FIFTH QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED FIRST QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 611) <> 1 or is null or FIFTH QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999).

Table L.1.C Placements for Participants in Nontraditional Employment - Adults

Nontraditional employment is employment in an occupation or field of work for which individuals of the participant's gender comprise less than 25% of the individuals employed in such occupation or field of work (WIA section 101(26)). This determination may be made using either state or national data. Appendix D in the WIASRD provides national information that, at the State's option, can be used to determine nontraditional employment from the occupation code. Both

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and ENTERED NON-TRADITIONAL EMPLOYMENT = 1.
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1.

males and females can be in nontraditional employment. This information can be based on any job held after exit and only applies to adults, dislocated workers and older youth who entered employment in the quarter after exit. Nontraditional employment should be reported for individuals who exited in the first quarter of the program year and the last three quarters of the previous program year.

Table L.1.D Wages at Entry into Employment for those Individuals who Entered Unsubsidized Employment - Adults

This information is reported for individuals who exited in the first quarter of the program year and the last three quarters of the previous program year.
-Of those adults who are employed in the first quarter after exit: Total earnings in the first quarter after exit divided by the number of exiters.

Numerator: Sum of (FIRST QUARTER FOLLOWING THE EXIT QUARTER) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYMENT STATUS AT REGISTRATION = 2 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0).
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0).

Table L.1.E Entry into Unsubsidized Employment Related to the Training Received of Those who Completed Training Services - Adults

Training-related employment is employment in which the individual uses a substantial portion of the skills taught in the training received by the individual. This information is about individuals who exited during the first quarter of the program year and the last three quarters of the previous program year. This information can be based on any job held after exit and only applies to adults, dislocated workers and older youth who entered employment in the quarter after exit.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE-JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and ENTERED TRAINING RELATED EMPLOYMENT = 1.
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE-JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and ENTERED TRAINING-RELATED EMPLOYMENT <= 8 or 9.

Table L.2.A 12 Month Employment Retention Rate - Dislocated Workers

Of those who are employed in the first quarter after exit: Number of dislocated workers who are employed in the fifth quarter after exit divided by the number of dislocated workers who exited.

Numerator : Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN FIFTH QUARTER AFTER EXIT = 1.
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF

WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1.

Table L.2.B 12 Month Earnings Replacement - Dislocated Workers
 Of those who are employed in the first quarter after exit:
 Total post-program earnings (earnings in quarter 4 + quarter 5 after exit) divided by the pre-dislocation earnings (earnings in quarters 2 + quarter 3 prior to dislocation)

Numerator: Sum of (FOURTH QUARTER FOLLOWING EXIT QUARTER and FIFTH QUARTER FOLLOWING EXIT QUARTER) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <= 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <= 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 611) <= 1 or is null or FIFTH QUARTER FOLLOWING THE EXIT QUARTER > 0) and ((SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999 and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION) or (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999 and (DATE OF ACTUAL QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null))).

Denominator: Sum of SECOND QUARTER PRIOR TO DISLOCATION and THIRD QUARTER PRIOR TO DISLOCATION where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <= 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <= 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 611) <= 1 or is null or FIFTH QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999) and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION plus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <= 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <= 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 611) <= 1 or is null or FIFTH QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (DATE OF ACTUAL QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF

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ACTUAL QUALIFYING DISLOCATION is null).
 Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and ENTERED NON-TRADITIONAL EMPLOYMENT = 1.
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1.

Nontraditional employment is employment in an occupation or field of work for which individuals of the participant's gender comprise less than 25% of the individuals employed in such occupation or field of work (WIA section 101(26)). This determination may be made using either state or national data. Appendix D in the WIASRD provides national information that, at the State's option, can be used to determine nontraditional employment from the occupation code. Both males and females can be in nontraditional employment. This information can be based on any job held after exit and only applies to adults, dislocated workers and older youth who entered employment in the quarter after exit. Nontraditional employment should be reported for individuals who exited in the first quarter of the program year and the last three quarters of the previous program year.

Numerator: Sum of (FIRST QUARTER FOLLOWING THE EXIT QUARTER) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <-> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0).
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <-> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0).

This information is reported for individuals who exited in the first quarter of the program year and the last three quarters of the previous program year.

- Of those dislocated workers who are employed in the first quarter after exit: Total earnings in the first quarter after exit divided by the number of exiters.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE-JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and ENTERED TRAINING RELATED EMPLOYMENT = 1.
 Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE-JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and ENTERED TRAINING-RELATED

Training-related employment is employment in which the individual uses a substantial portion of the skills taught in the training received by the individual. This information is about individuals who exited during the first quarter of the program year and the last three quarters of the previous program year. This information can be based on any job held after exit and only applies to adults, dislocated workers and older youth who entered employment in the quarter after exit.

Table L.2.C
 Placements for Participants in Nontraditional Employment - Dislocated Workers

Table L.2.D
 Wages at Entry into Employment for those Individuals who Entered Unsubsidized Employment - Dislocated Workers

Table L.2.E
 Entry into Unsubsidized Employment Related to the Training Received of Those Who Completed Training Services - Dislocated Workers

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Table L.3.A 12 Month Employment Retention Rate - Older Youth

Of those who are employed in the first quarter after exit and who are not enrolled in post-secondary education or advanced training in the third quarter after exit:
 Number of older youth who are employed in fifth quarter after exit divided by the number of older youth who exited.

EMPLOYMENT <= 8 or 9.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1) and EMPLOYED IN FIFTH QUARTER AFTER EXIT QUARTER = 1.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1).

Table L.3.B 12 Month Earnings Change - Older Youth

Of those who are employed in the first quarter after exit and who are not enrolled in post-secondary education or advanced training in the third quarter after exit:
 Total post-program earnings (earnings in quarter 4 + quarter 5 after exit) minus pre-program earnings (earnings in quarter 2 + quarter 3 prior to registration) divided by the number of older youth who exited.

Numerator: Sum of (FOURTH QUARTER FOLLOWING THE EXIT QUARTER and FIFTH QUARTER FOLLOWING THE EXIT QUARTER) minus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <= 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <= 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 611) <= 1 or is null or FIFTH QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <= 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <= 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 611) <= 1 or is null or FIFTH QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1).

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Table L.3.C Placements for Participants in Nontraditional Employment - Older Youth

Nontraditional employment is employment in an occupation or field of work for which individuals of the participant's gender comprise less than 25% of the individuals employed in such occupation or field of work (WIA section 101(26)). This determination may be made using either state or national data. Appendix D in the WIASRD provides national information that, at the State's option, can be used to determine nontraditional employment from the occupation code. Both males and females can be in nontraditional employment. This information can be based on any job held after exit and only applies to adults, dislocated workers and older youth who entered employment in the quarter after exit. Nontraditional employment should be reported for individuals who exited in the first quarter of the program year and the last three quarters of the previous program year.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and ENTERED NON-TRADITIONAL EMPLOYMENT = 1.
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN THE QUARTER AFTER THE EXIT QUARTER = 1 and EMPLOYMENT STATUS AT REGISTRATION = 2.

Table L.3.D Wages at Entry into Employment for those Individuals who Entered Unsubsidized Employment - Older Youth

This information is reported for individuals who exited in the first quarter of the program year and the last three quarters of the previous program year.
-Of those older youth who are employed in the first quarter after exit: Total earnings in the first quarter after exit divided by the number of exiters.

Numerator: Sum of FIRST QUARTER FOLLOWING THE EXIT QUARTER where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING EXIT QUARTER > 0).
Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 2 and EMPLOYED IN SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING EXIT QUARTER > 0).

Office of Workforce Investment

Workforce Investment Act Performance Measures

ETA-9090 WIA Quarterly Summary Report

| Number | Report Element | Definition | Report Specifications |
|--------|--|---|---|
| 01 | Adult Funding Stream | Used to determine adult funding stream in performance measures. | ADULT (LOCAL) = 1 or (OTHER [STATEWIDE (15%) ACTIVITIES] = 1 and DATE OF ACTUAL QUALIFYING DISLOCATION is null) or (OTHER [STATEWIDE (15%) ACTIVITIES] = 2 and DISPLACED HOME MAKER [STATEWIDE (15%) ACTIVITIES] = 1 and (DATE OF WIA TITLE I-B REGISTRATION - DATE OF BIRTH) >= 22)) or (OTHER [STATEWIDE (15%) ACTIVITIES] = 2 and DISPLACED HOME MAKER [STATEWIDE (15%) ACTIVITIES] = 1 and (DATE OF WIA TITLE I-B REGISTRATION - DATE OF BIRTH) >= 19 and <= 21 and EDUCATIONAL ACHIEVEMENT SERVICES = 2 or is null and EMPLOYMENT SERVICES = 2 or is null and RECEIVED SUMMER YOUTH EMPLOYMENT SERVICES = 2 or is null and ADDITIONAL SUPPORT FOR YOUTH SERVICES = 2 or is null and LEADERSHIP DEVELOPMENT OPPORTUNITIES = 2 or is null and RECEIVED FOLLOW-UP SERVICES = 2 or is null)) |
| 02 | Dislocated Worker Funding Stream | Used to determine dislocated worker funding stream in performance measures. | DISLOCATED WORKER (LOCAL) = 1 or (OTHER [STATEWIDE (15%) ACTIVITIES] = 1 and DATE OF ACTUAL QUALIFYING DISLOCATION is not null) or (RAPID RESPONSE = 1) or (RAPID RESPONSE - ADDITIONAL ASSISTANCE = 1) |
| 03 | Youth Funding Stream | Used to determine youth funding stream in performance measures | YOUTH (LOCAL) = 1 or YOUTH [STATEWIDE (15%) ACTIVITIES] = 1 |
| 19 | Younger Youth Diploma or Equivalent Rate | Of those who register without a diploma or equivalent: Number of younger youth who attained secondary school diploma or equivalent by the end of the first quarter after exit divided by the number of younger youth who exit during the quarter (except those still in secondary school at exit). | Numerator: Count of unique INDIVIDUAL IDENTIFIERS where OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18) and EDUCATION STATUS AT REGISTRATION = 1 or 3 and ATTAINED SECONDARY SCHOOL DIPLOMA = 1 OR 2 and DATE OF WIA EXIT is within the reporting period. Denominator: Count of unique INDIVIDUAL IDENTIFIERS where OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18) and EDUCATION STATUS AT REGISTRATION = 1 or 3 and ATTAINED SECONDARY SCHOOL DIPLOMA <= 3 and DATE OF WIA EXIT is within the reporting period. |
| 20 | Younger Youth Skill Attainment Rate | Of all in-school youth and any out-of-school youth assessed to be in need of basic skills, work readiness skills, and/or occupational skills: Total number of attained basic skills plus number of attained work readiness skills plus number of attained occupational skills divided by the number of basic skills goals plus the number of work readiness skills goals plus the number of occupational skills goals. | Numerator: Count of goals attained during the report period. There can be a maximum of 3 goals per year. Denominator: Count of goals attained and failed during the report period. There can be a maximum of 3 goals per year. |
| 21 | Adult Entered | Of those individuals age 18 and over who | Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF |

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| Employment Rate | received services funded with adult program funds who are not employed at registration: Number of adults who have entered employment by the end of the first quarter after exit divided by the number of adults who exited during the quarter. | WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1. Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYMENT STATUS AT REGISTRATION = 2. |
| Dislocated Worker Entered Employment Rate | Number of dislocated workers who have entered employment by the first quarter after exit divided by the number of dislocated workers who exited during the quarter. | Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1. Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM. |
| Older Youth Entered Employment Rate | Of those who are not employed at registration and do not move on to post-secondary education or advanced training: Number of older youth who have entered employment by the end of the first quarter after exit divided by number of older youth who exit during the quarter. | Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYMENT STATUS AT REGISTRATION = 2 and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1. Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYMENT STATUS AT REGISTRATION = 2 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN QUARTER AFTER EXIT = 3 or EMPLOYED QUARTER AFTER EXIT QUARTER = 1). |
| Adult Credential and Employment Rate | Of those individuals age 18 and over who received services funded with adult program funds who received training services: Number of adults who were employed in the first quarter after exit and received a credential by the end of the third quarter after exit divided by the number of adults who exited services during the quarter. | Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and EMPLOYED QUARTER AFTER EXIT QUARTER = 1 and TYPE OF RECOGNIZED EDUCATIONAL/OCCUPATIONAL CERTIFICATE/ CREDENTIAL / DIPLOMA/ DEGREE ATTAINED <= 6. Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE- JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null. |
| Dislocated Worker Credential and Employment Rate | Of those dislocated workers who received training services: Number of dislocated workers who were | Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and (ADULT |

employed in the first quarter after exit and received a credential by the end of the third quarter after exit divided by the number of dislocated workers who exited during the quarter.

EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE-JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and TYPE OF RECOGNIZED EDUCATIONAL/OCCUPATIONAL CERTIFICATE/ CREDENTIAL / DIPLOMA/ DEGREE ATTAINED <= 6.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and DISLOCATED WORKER FUNDING STREAM and OTHER REASONS FOR EXIT is null and (ADULT EDUCATION, BASIC SKILLS AND/OR LITERACY ACTIVITIES = 1 or ON-THE-JOB TRAINING = 1 or OCCUPATIONAL SKILLS TRAINING OR SKILLS UPGRADING, RETRAINING, AND/OR WORKPLACE TRAINING = 1) and DATE OF FIRST TRAINING SERVICE is not null.

26 Older Youth Credential Rate

Number of older youth who are in employment, post-secondary education, or advanced training in the first quarter after exit and received a credential by the end of the third quarter after exit divided by the number of older youth who exit during the quarter.

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and ((IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN QUARTER AFTER EXIT <= 2 or EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1) and TYPE OF RECOGNIZED EDUCATIONAL/OCCUPATIONAL CERTIFICATE/CREDENTIAL/ DEGREE ATTAINED < = 6.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21).

27 Adult Six Months - Retention Rate

Of those individuals age 18 and over who received services funded with adult program funds who are employed in the first quarter after exit:
Number of adults who are employed in the third quarter after exit divided by the number of adults who exited during the quarter.

Numerator : Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT = 1.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1.

28 Dislocated Worker Six Months - Retention Rate

Of those dislocated workers who are employed in the first quarter after exit:
Number of dislocated workers who are employed in the third quarter after exit divided by the number of dislocated workers who exited during the quarter.

Numerator : Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT = 1.

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1.

29 Older Youth Six Months - Retention Rate

Of those who are employed in the first quarter after exit and who do not move on to post-secondary education or advanced training :
Number of older youth who are employed in third

Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and in YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED

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|----|---|--|
| | <p>quarter after exit divided by the number of older youth who exit during the quarter.</p> | <p>IN QUARTER AFTER EXIT QUARTER = 1 and EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1. Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and in YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1).</p> |
| 30 | <p>Younger Youth Six Months Retention Rate</p> <p>Number of younger youth found in one of the following categories in the third quarter following exit:</p> <ul style="list-style-type: none"> — post secondary education — advanced training — employment — military service — qualified apprenticeships <p>divided by the number of younger youth during the quarter (except those still in secondary school at exit).</p> | <p>Numerator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18) and ATTAINED SECONDARY SCHOOL DIPLOMA <> 3 and YOUTH RETENTION INFORMATION < 6. Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 14 and <= 18) and ATTAINED SECONDARY SCHOOL DIPLOMA <> 3.</p> |
| 31 | <p>Adult Average Six Months - Earnings Change</p> <p>Of those individuals age 18 and over who received services funded with adult program funds who are employed in the first quarter after exit:</p> <p>[Total post-program earnings (earnings in quarter 2 + quarter 3 after exit) minus pre-program earnings (earnings in quarter 2 + quarter 3 prior to registration)] divided by the number of adults who exited during the quarter.</p> | <p>Numerator: Sum of (THIRD QUARTER FOLLOWING THE EXIT QUARTER and SECOND QUARTER FOLLOWING THE EXIT QUARTER) minus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999). Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and ADULT FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999).</p> |
| 32 | <p>Dislocated Worker Six Months - Earnings Replacement</p> <p>Of those dislocated workers who are employed in the first quarter after exit:</p> <p>Total Post-Program Earnings (earnings in quarter 2 + quarter 3 after exit) divided by Pre-Dislocation Earnings (earnings in quarter 2 and 3 prior to dislocation).</p> <p>For dislocated workers with no date of dislocation</p> | <p>Numerator: Sum of (SECOND QUARTER FOLLOWING EXIT QUARTER and THIRD QUARTER FOLLOWING EXIT QUARTER) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999).</p> |

or if the date of dislocation is after registration, quarters 2 and 3 prior to registration will be used.

SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and ((SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999 and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION) or (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999 and (DATE OF ACTUAL QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null))).

Denominator: Sum of SECOND QUARTER PRIOR TO DISLOCATION and THIRD QUARTER PRIOR TO DISLOCATION where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO DISLOCATION < 99999 and THIRD QUARTER PRIOR TO DISLOCATION < 99999) and DATE OF ACTUAL QUALIFYING DISLOCATION <= DATE OF WIA TITLE I-B REGISTRATION plus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and DISLOCATED WORKER FUNDING STREAM and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999) and (DATE OF ACTUAL QUALIFYING DISLOCATION > DATE OF WIA TITLE I-B REGISTRATION or DATE OF ACTUAL QUALIFYING DISLOCATION is null).

33 Older Youth Average Six Months - Earnings Change Of those who are employed in the first quarter after exit and who do not move on to post-secondary education or advanced training: [Total post-program earnings (earnings in quarter 2 + quarter 3 after exit) minus pre-program earnings (earnings in quarters 2+3 prior to registration)] divided by the number of older youth who exit during the quarter.

Numerator: Sum of (THIRD QUARTER FOLLOWING THE EXIT QUARTER and SECOND QUARTER FOLLOWING THE EXIT QUARTER) minus sum of (SECOND QUARTER PRIOR TO REGISTRATION and THIRD QUARTER PRIOR TO REGISTRATION) where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD

QUARTER PRIOR TO REGISTRATION < 99999).

Denominator: Count of unique INDIVIDUAL IDENTIFIERS where DATE OF WIA EXIT is within the reporting period and OTHER REASONS FOR EXIT is null and YOUTH FUNDING STREAM and (DATE OF WIA TITLE I-B REGISTRATION minus DATE OF BIRTH >= 19 and <= 21) and EMPLOYED IN QUARTER AFTER EXIT QUARTER = 1 and (IN POSTSECONDARY EDUCATION OR ADVANCED TRAINING IN THIRD QUARTER AFTER EXIT = 3 or EMPLOYED IN THIRD QUARTER AFTER EXIT QUARTER = 1) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 602) <> 1 or is null or FIRST QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SOURCE OF SUPPLEMENTAL DATA (WIASRD ITEM 609) <> 1 or is null or THIRD QUARTER FOLLOWING THE EXIT QUARTER > 0) and (SECOND QUARTER PRIOR TO REGISTRATION < 99999 and THIRD QUARTER PRIOR TO REGISTRATION < 99999).

APPENDIX C
REPORT VALIDATION SUMMARY

Report Validation Summary- Adults

Period (7/1/01 - 6/30/02)

State: US

Program Year : 2001

| RptCell | Description | Validation Value | Reported Value | Difference | % Diff |
|------------|---|------------------|----------------|------------|--------|
| 9091/B-1B1 | EER Numerator | 205 | 205 | 0 | 0.0% |
| 9091/B-1B2 | EER Denominator | 241 | 241 | 0 | 0.0% |
| 9091/B-1B3 | EER Rate | 85.1 | 85.3 | 0.2 | 0.3% |
| 9091/B-2B1 | Retention Numerator | 217 | 215 | 2 | 0.9% |
| 9091/B-2B2 | Retention Denominator | 248 | 246 | 2 | 0.8% |
| 9091/B-2B3 | Retention Rate | 87.5 | 87.0 | 0.5 | 0.6% |
| 9091/B-3B1 | Earnings Change Num | 683,050 | 695,050 | 12,000 | 1.8% |
| 9091/B-3B2 | Earnings Change Denom | 173 | 168 | 5 | 2.9% |
| 9091/B-3B3 | Earnings Change Rate | 3,948.3 | 3,948.0 | 0.3 | 0.0% |
| 9091/B-4B1 | Credential Num | 140 | 162 | 22 | 15.7% |
| 9091/B-4B2 | Credential Denom | 235 | 275 | 40 | 17.0% |
| 9091/B-4B3 | Credential Rate | 59.6 | 58.9 | 0.7 | 1.1% |
| 9091/C-1A1 | Public Assistance EER Num | 17 | 20 | 3 | 17.6% |
| 9091/C-1A2 | Public Assistance EER Denom | 23 | 26 | 3 | 13.0% |
| 9091/C-1A3 | Public Assistance Rate | 73.9 | 76.9 | 3.0 | 4.0% |
| 9091/C-2A1 | Public Assistance Retention Num | 16 | 16 | 0 | 0.0% |
| 9091/C-2A2 | Public Assistance Retention Denom | 20 | 19 | 1 | 5.0% |
| 9091/C-2A3 | Public Assistance Retention Rate | 80.0 | 84.2 | 4.2 | 5.3% |
| 9091/C-3A1 | Public Assistance Earnings Change Num | 25,590 | 25,590 | 0 | 0.0% |
| 9091/C-3A2 | Public Assistance Earnings Change Denom | 15 | 16 | 1 | 6.7% |
| 9091/C-3A3 | Public Assistance Earnings Change Rate | 1,706.0 | 1,599.4 | 106.6 | 6.3% |
| 9091/C-4A1 | Public Assistance Cred Num | 10 | 11 | 1 | 10.0% |
| 9091/C-4A2 | Public Assistance Cred Denom | 23 | 23 | 0 | 0.0% |
| 9091/C-4A3 | Public Assistance Cred Rate | 43.5 | 47.8 | 4.3 | 9.9% |
| 9091/C-1B1 | Veterans EER Num | 27 | 27 | 0 | 0.0% |
| 9091/C-1B2 | Veterans EER Denom | 28 | 28 | 0 | 0.0% |
| 9091/C-1B3 | Veterans EER Rate | 96.4 | 96.4 | 0.0 | 0.0% |
| 9091/C-2B1 | Veterans Retention Num | 25 | 25 | 0 | 0.0% |

Report Validation Summary- Adults

Period (7/1/01 - 6/30/02)

State: US

Program Year : 2001

| RptCell | Description | Validation Value | Reported Value | Difference | % Diff |
|------------|-----------------------------------|------------------|----------------|------------|--------|
| 9091/C-2B2 | Veterans Retention Denom | 31 | 31 | 0 | 0.0% |
| 9091/C-2B3 | Veterans Retention Rate | 80.7 | 80.6 | 0.1 | 0.1% |
| 9091/C-3B1 | Veterans Earnings Change Num | 89,423 | 100,163 | 10,740 | 12.0% |
| 9091/C-3B2 | Veterans Earnings Change Denom | 17 | 16 | 1 | 5.9% |
| 9091/C-3B3 | Veterans Earnings Change Rate | 5,260.2 | 6,260.2 | 1,000.0 | 19.0% |
| 9091/C-4B1 | Veterans Credential Num | 17 | 17 | 0 | 0.0% |
| 9091/C-4B2 | Veterans Credential Denom | 24 | 25 | 1 | 4.2% |
| 9091/C-4B3 | Veterans Credential Rate | 70.8 | 68.0 | 2.8 | 4.0% |
| 9091/C-1C1 | Disabilities EER Num | 21 | 21 | 0 | 0.0% |
| 9091/C-1C2 | Disabilities EER Denom | 26 | 26 | 0 | 0.0% |
| 9091/C-1C3 | Disabilities EER Rate | 80.8 | 80.8 | 0.0 | 0.0% |
| 9091/C-2C1 | Disabilities Retention Num | 20 | 20 | 0 | 0.0% |
| 9091/C-2C2 | Disabilities Retention Denom | 26 | 26 | 0 | 0.0% |
| 9091/C-2C3 | Disabilities Retention Rate | 76.9 | 76.9 | 0.0 | 0.0% |
| 9091/C-3C1 | Disabilities Earning Change Num | 70,530 | 70,530 | 0 | 0.0% |
| 9091/C-3C2 | Disabilities Earning Change Denom | 20 | 20 | 0 | 0.0% |
| 9091/C-3C3 | Disabilities Earning Change Rate | 3,526.5 | 3,526.5 | 0.0 | 0.0% |
| 9091/C-4C1 | Disabilities Credential Num | 11 | 11 | 0 | 0.0% |
| 9091/C-4C2 | Disabilities Credential Denom | 23 | 23 | 0 | 0.0% |
| 9091/C-4C3 | Disabilities Credential Rate | 47.8 | 47.8 | 0.0 | 0.1% |
| 9091/C-1D1 | Older Ind. EER Num | 10 | 10 | 0 | 0.0% |
| 9091/C-1D2 | Older Ind. EER Denom | 11 | 11 | 0 | 0.0% |
| 9091/C-1D3 | Older Ind. EER Rate | 90.9 | 90.9 | 0.0 | 0.0% |
| 9091/C-2D1 | Older Ind. Retention Num | 10 | 10 | 0 | 0.0% |
| 9091/C-2D2 | Older Ind. Retention Denom | 13 | 13 | 0 | 0.0% |
| 9091/C-2D3 | Older Ind. Retention Rate | 76.9 | 76.9 | 0.0 | 0.0% |
| 9091/C-3D1 | Older Ind. Earnings Change Num | 23,336 | 23,336 | 0 | 0.0% |
| 9091/C-3D2 | Older Ind. Earnings Change Denom | 8 | 8 | 0 | 0.0% |

Report Validation Summary- Adults

Period (7/1/01 - 6/30/02)

State: US

Program Year : 2001

| RptCell | Description | Validation Value | Reported Value | Difference | % Diff |
|------------|--|------------------|----------------|------------|--------|
| 9091/C-3D3 | Older Ind. Earnings Change Rate | 2,917.0 | 2,917.0 | 0.0 | 0.0% |
| 9091/C-4D1 | Older Ind. Credential Num | 3 | 5 | 2 | 66.7% |
| 9091/C-4D2 | Older Ind. Credential Denom | 8 | 10 | 2 | 25.0% |
| 9091/C-4D3 | Older Ind. Credential Rate | 37.5 | 50.0 | 12.5 | 33.3% |
| 9091/D-1A1 | Recd Train EER Num | 172 | 171 | 1 | 0.6% |
| 9091/D-1A2 | Recd Train EER Denom | 199 | 200 | 1 | 0.5% |
| 9091/D-1A3 | Recd Train EER Rate | 86.4 | 85.5 | 0.9 | 1.1% |
| 9091/D-2A1 | Recd Train Retention Num | 187 | 187 | 0 | 0.0% |
| 9091/D-2A2 | Recd Train Retention Denom | 207 | 207 | 0 | 0.0% |
| 9091/D-2A3 | Recd Train Retention Rate | 90.3 | 90.3 | 0.0 | 0.0% |
| 9091/D-3A1 | Recd Train Earnings Change Num | 629,901 | 629,901 | 0 | 0.0% |
| 9091/D-3A2 | Recd Train Earnings Change Denom | 139 | 139 | 0 | 0.0% |
| 9091/D-3A3 | Recd Train Earnings Change Rate | 4,531.7 | 4,531.7 | 0.0 | 0.0% |
| 9091/D-1B1 | Intensive Services EER Num | 33 | 32 | 1 | 3.0% |
| 9091/D-1B2 | Intensive Services EER Denom | 42 | 41 | 1 | 2.4% |
| 9091/D-1B3 | Intensive Services EER Rate | 78.6 | 78.0 | 0.6 | 0.7% |
| 9091/D-2B1 | Intensive Services Retention Num | 30 | 30 | 0 | 0.0% |
| 9091/D-2B2 | Intensive Services Retention Denom | 41 | 41 | 0 | 0.0% |
| 9091/D-2B3 | Intensive Services Retention Rate | 73.2 | 73.2 | 0.0 | 0.0% |
| 9091/D-3B1 | Intensive Services Earnings Change Num | 53,149 | 53,149 | 0 | 0.0% |
| 9091/D-3B2 | Intensive Services Earnings Change Denom | 34 | 34 | 0 | 0.0% |
| 9091/D-3B3 | Intensive Services Earnings Change Rate | 1,563.2 | 1,563.2 | 0.0 | 0.0% |
| 9091/L-1A1 | 12 Mo Retention Num | 8 | 9 | 1 | 12.5% |
| 9091/L-1A2 | 12 Mo Retention Denom | 14 | 15 | 1 | 7.1% |
| 9091/L-1A3 | 12 Mo Retention Rate | 57.1 | 60.0 | 2.9 | 5.0% |
| 9091/L-1B1 | 12 Mo Earnings Change Num | 44,950 | 44,950 | 0 | 0.0% |
| 9091/L-1B2 | 12 Mo Earnings Change Denom | 11 | 11 | 0 | 0.0% |
| 9091/L-1B3 | 12 Mo Earnings Change Rate | 4,086.4 | 4,086.4 | 0.0 | 0.0% |

Report Validation Summary- Adults

Period (7/1/01 - 6/30/02)

State: US

Program Year : 2001

| RptCell | Description | Validation Value | Reported Value | Difference | % Diff |
|------------|---|------------------|----------------|------------|--------|
| 9091/L-1C1 | Placements in Non-Trad Employment Num | 15 | 15 | 0 | 0.0% |
| 9091/L-1C2 | Placements in Non-Trad Employment Denom | 205 | 205 | 0 | 0.0% |
| 9091/L-1C3 | Placements in Non-Trad Employment Rate | 7.3 | 7.3 | 0.0 | 0.0% |
| 9091/L-1D1 | Wages at Entry into Employment Num | 643,704 | 643,704 | 0 | 0.0% |
| 9091/L-1D2 | Wages at Entry into Employment Denom | 169 | 169 | 0 | 0.0% |
| 9091/L-1D3 | Wages at Entry into Employment Rate | 3,808.9 | 3,808.9 | 0.0 | 0.0% |
| 9091/L-1E1 | Training Related Employment Num | 110 | 110 | 0 | 0.0% |
| 9091/L-1E2 | Training Related Employment Denom | 172 | 172 | 0 | 0.0% |
| 9091/L-1E3 | Training Related Employment Rate | 64.0 | 64.0 | 0.1 | 0.1% |
| 9091/M-1A1 | Total Participants Served | 571 | 571 | 0 | 0.0% |
| 9091/M-1B1 | Total Exiters | 310 | 310 | 0 | 0.0% |

APPENDIX D

DATA ELEMENT VALIDATION INSTRUCTIONS

APPENDIX D

DATA ELEMENT VALIDATION INSTRUCTIONS

Appendix D provides instructions for validating each data element for which validation is required.

The instructions for each data element have the following components:

Data Element: Characteristics of the participant being validated. Corresponds to the data elements on the record layout and the validation worksheet.

Number: Corresponds to the WIASRD number of the data element on the worksheet.

Ref#: Corresponds to the reference number of the data element on the worksheet.

Format: Format for each element as specified in the record layout.

Definition: Definition of the data element being validated.

Federal Sources: The definitive federal sources of information for validating each data element as recommended by the WIA national program office.

State/Grantee Sources: The state version of the source of information for validating each WIA data element.

Instructions: Rules that instruct the validator on how to validate each data element listed on the worksheet.

Please refer to Chapter III—Data Element Validation for detailed information on using these instructions to conduct data validation.

Workforce Investment Act Data Element Validation Instructions

Validation Instruction: These instructions present the data elements, reference numbers, formats, element definitions, federal sources, state/grantee sources, and validation rules needed to perform data element validation. The federal sources are the generic, federally recommended source documentation. The "State/Grantee Sources" column can be used to enter state-specific versions of the federally approved documentation.

Two types of validation rules exist:

1. If the validation instruction says **MATCH**: Enter a checkmark in the box in the pass column if the data on the worksheet match the data in the source documentation. Enter a checkmark in the box in the fail column if the data on the worksheet do not match the data in the source documentation or if no source documentation is found.
 To match, the data on the worksheet must be the same as the data in the source documentation. For example, if the worksheet says a participant's date of birth is July 1, 1975, then the source documentation must also have July 1, 1975 as the birth date.

The validator must match the blanks on the source documentation for Reference Numbers 47 - 55, which are the pre and post program wage fields.

2. If the validation instruction says **SUPPORT**: Enter a checkmark in the box in the pass column if the data on the worksheet are supported by the data in the source documentation. Enter a checkmark in the box in the fail column if the data on the worksheet are not supported by the data in the source documentation or if no source documentation is found.

To support, the data on the worksheet must be similar to the data in the source documentation. This instruction is used when information must be interpreted or processed before it can be applied to the participant's records. For example, source documentation can support basic skills deficient.

| Data Element | Number | Ref # | Format | Definition | Federal Sources | State/Grantee Sources | Instructions |
|-----------------------|--------|-------|------------------------|---|---|-----------------------|--------------|
| Individual identifier | 101 | 1 | Numeric: XXXXXXXXXX | The State will develop a process for assigning an identification number to each person. This identification number may be an encrypted Social Security number or another identification number developed by the State. This identification number for a person should be the same for every period of participation and in every local area and statewide program in the State. | Social Security Card DD-214 Passport Database assigned number from the state management information system | | Match |
| | | | | The ID number may include both numeric and alphabetic characters. | | | |

Data Element

Date of birth

Number Ref # Format

102 2 Date: YYYYMMDD

Definition

Individual with a disability 104 3 Numeric:
1 = Yes
2 = Yes and disability results in a substantial impediment to employment
3 = No

An individual with a disability means an individual with any disability as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102).

Record 1 for any individual who has a physical or mental impairment which substantially limits one or more of such person's major life activities, or has a record of such an impairment, or is regarded as having such an impairment.

Record 2 if the individual has a physical or mental impairment which for such individual constitutes or results in a substantial impediment to employment.

Veteran status 111 4 Numeric:
1 = Yes < or = 180 days
2 = Yes > 180 days
3 = No

Record 1 if the individual is a person who served in the active U.S. military, naval, or air service for a period less than or equal to 180 days, and who was discharged or released from such service under conditions other than dishonorable.

Record 2 if the individual met the conditions described above for more than 180 days.

State/Grantee Sources

Federal Sources

Copy of ID
Baptismal Record
Birth Certificate
DD214, Report of Transfer or Discharge Paper
Driver's License
Federal, State, or Local Government Identification Card
Hospital Record of birth
Passport
Public Assistance/Social Service Records
School Records/Identification Card
Work Permit
Cross-match with Department of Vital Statistics
Tribal records

Support

Instructions

Match

Support

DD-214
Cross-match with veterans data
Cross-match with labor exchange
State management information system

Employment status at registration 115 5 Numeric:
 1 = Employed
 2 = Not

Case file notes showing information collected from registrant at registration
 Pay stub

Support
not validated for dislocated workers

Employed. An employed individual is currently working as a paid employee or who works in his or her own businesses or profession or on his or her own farm, or works 15 hours or more per week as an unpaid worker in a farm or enterprise operated by a member of the family, or is one who is not working, but has a job or business from which he or she was temporarily absent because of illness, bad weather, vacation, labor-management dispute, or personal reasons, whether or not paid by the employer for time-off, and whether or not seeking another job.

Not employed. An individual who does not meet the definition of employed or who, although employed, has received notice of termination of employment.

NOTE: This item is used to calculate some of the core indicators of performance for adults and older youth.

NOTE: This information is to be collected from the registrant at registration, not from wage records.

Data Element
Unemployment
compensation
programs (U.I.)

Number 118
Ref # 6
Format

Numeric:
1 = Eligible
claimant referred
by WPRS
2 = Eligible
claimant not
referred by
WPRS
3 = Exhaustee
4 = Neither
claimant nor
exhaustee

Definition

Authorized under State
unemployment compensation laws
(in accordance with applicable
Federal law).

Record 1 if the individual is an
eligible U.C. claimant referred by
the Worker Profiling and
Reemployment Services (WPRS)
system.

Record 2 if the individual is an
eligible U.C. claimant but was not
referred by WPRS.

Record 3 if the individual
exhausted their U.C. benefits.

Record 4 if the individual was
neither an U.C. claimant nor an
exhaustee.

An eligible U.C. claimant is an
individual who has been
determined to be monetarily
eligible for benefit payments under
one or more State or Federal
unemployment compensation
programs and whose benefit year
or compensation, by reason of an
extended duration period, has not
ended and who has not exhausted
his/her benefit rights.

**State/Grantee
Sources**

Federal Sources
UI Cross-Match
State management information
system
W/A Application
Self-attestation

Instructions

Support

Not validated for adults

Data Element

Low income

Number Ref # Format

119 7 Numeric:
1 = Yes
2 = No

Definition

A registrant in one or more of the following categories (WIA section 101(25)):
(A) receives, or is a member of a family which receives, cash payments under a Federal, State or local income-based public assistance program;
(B) received an income, or is a member of a family that received a total family income, for the six-month period prior to registration for the program involved (exclusive of unemployment compensation, child support payments, payments described in subparagraph (A) and old-age and survivors insurance benefits received under section 202 of the Social Security Act (42 U.S.C. 402)) that, in relation to family size does not exceed the higher of:
(I) the poverty line, for an equivalent period; or
(II) 70 percent of the lower living standard income level, for an equivalent period;
(C) is a member of a household that receives (or has been determined within the 6-month period prior to registration for the program involved to be eligible to receive) Food Stamps under the Food Stamp Act of 1977(7 U.S.C. 2011 et seq.);
(D) qualifies as a homeless individual, as defined in subsections (a) and (c) of section 103 of the Stewart B. McKinney Homeless Assistance Act (42 U.S.C. 11302); or
(E) is a foster child on behalf of whom State or local government payments are made.

May an individual with a disability whose family does not meet income eligibility criteria under the Act be eligible for priority as a low income adult?

State/Grantee Sources

Federal Sources

Alimony Agreement
Applicant Statement
Award Letter from Veterans Administration
Bank Statements
Compensation Award Letter
Court Award Letter
Pension Statement
Employer Statement/Contact
Farm or Business Financial Records
Housing Authority Verification
Pay stubs
Pension Statement
Social Security Benefits
Public Assistance Records
Quarterly Estimated Tax for Self-Employed Persons
Social Security Benefits
UI Documents and/or Printout
State management information systems

Instructions

Support

Not validated for dislocated workers

Yes. Even if the family of an individual with a disability does not meet the income eligibility criteria, the individual with a disability is to be considered a low-income individual if the individual's own income:
 (1) Meets the income criteria established in WIA section 101(25)(A) or (B); but is a member of a family whose income does not meet those requirements. [WIA sec. 101(25)(F).]

| Data Element | Number | Ref # | Format | Definition | Federal Sources | State/Grantee Sources | Instructions |
|---|--------|-------|-------------------------------|---|--|-----------------------|--------------|
| Temporary Assistance to Needy Families (TANF) | 120 | 8 | Numeric: 1 = Yes 2 = No | Also include participants who were referred by the TANF agency, participated in the TANF assessment program as a requirement prior to opening a TANF grant, and who received support services from the TANF agency. | Case notes Cross-Match with TANF Public assistance records State management information system | | Support |
| General Assistance (GA) (State/local government), Refugee Cash Assistance (RCA), Supplemental Security Income (SSI-SSA Title XVI) | 121 | 9 | Numeric: 1 = Yes 2 = No | Record 1 for Yes if the participant receives cash assistance from one or more of these sources. | Copy of Authorization to Receive Cash Public Assistance Copy of Public Assistance Check WIA Registration Form Medical Card Showing Cash Grant Status Public Assistance Refugee Assistance Records Case notes State management information system Cross-match with public assistance database | | Support |

| | | | | | | |
|--------------------------------|-----|----|--|---|---|--|
| Highest school grade completed | 123 | 10 | Numeric: 00 = No school grade completed 01-11 = Number of elementary/secondary school grades completed 12 = High school graduate 88 = Attained certificate of equivalency for a high school degree (e.g., GED) 13-15 = Number of school years completed 16 = Bachelor's degree or equivalent 17 = Education beyond the Bachelor's | Codes 13 to 15 include college- or full-time technical or vocational school. Codes 13 to 15 should not be used for individuals who are not high school graduates unless they attained a college degree. How should individuals who completed 12th grade but did not receive a diploma or equivalent be coded? These individuals should be coded "11" to indicate that they did not receive a diploma or equivalent. How should Certificates of Completion or Individual Education Program (IEP) Diplomas be reported? Participants with a disability who successfully completed an Individual Education Program (IEP) for youth with disabilities are to be coded as "12". | Applicant Statement School record Transcript School Verification Self-Certification | |
|--------------------------------|-----|----|--|---|---|--|

| | | | | | | |
|---------------------|-----|----|-------------------------------|--|--|--|
| Displaced homemaker | 124 | 11 | Numeric: 1 = Yes 2 = No | An individual who has been providing unpaid services to family members in the home and who- (1) has been dependent on the income of another family member but is no longer supported by that income; and (2) is unemployed or underemployed and is experiencing difficulty in obtaining or upgrading employment. | Self-Certification Public Assistance Records Court Records Divorce Paper Bank Records Spouse's Layoff Notice Spouse's Death Record | Support Not validated for Youth or adults |
|---------------------|-----|----|-------------------------------|--|--|--|

| Data Element | Number | Ref # | Format | Definition | Federal Sources | State/Grantee Sources | Instructions |
|--|---------------|--------------|-------------------------------|--|--|--|---------------------|
| Date of actual qualifying dislocation | 125 | 12 | Date: YYYYMMDD | The last day of employment at the dislocation job. If there is no dislocation job (e.g. displaced homemakers), leave blank. How should dislocated workers who are still employed at registration be reported? Leave blank until qualifying dislocation takes place and then record the actual dislocation date. NOTE: When determining preprogram quarters for performance measurement, the registration date will be used instead of the dislocation date when the dislocation date is missing or occurs after the registration date. | Self-Certification Verification from Employer Rapid Response List Notice of Lay-off Public announcement with follow-up cross-match with UI | Match Not validated for Youth | |
| Offender | 127 | 13 | Numeric: 1 = Yes 2 = No | An individual: (1) who is or has been subject to any stage of the criminal justice process, for whom services under WIA may be beneficial; or (2) who requires assistance in overcoming artificial barriers to employment resulting from a record of arrest or conviction. | Documentation from Juvenile Justice/Criminal Justice system Documentation phone call with Juvenile Justice/Criminal Justice representative WIA Registration Form Self-attestation | Support Not validated for adults and dislocated workers | |
| Homeless individual and/or a runaway youth | 126 | 14 | Numeric: 1 = Yes 2 = No | An individual who lacks a fixed, regular, adequate nighttime residence; and any individual who has a primary nighttime residence that is a public or privately operated shelter for temporary accommodation; an institution providing temporary residence for individuals intended to be institutionalized; or a public or private place not designated for or ordinarily used as a regular sleeping accommodation for human beings. Also includes a runaway youth. NOTE: Does not include a person imprisoned or detained pursuant to an Act of Congress or State law. | Applicant Statement Written statement from an individual providing residence Written statement from a shelter Written statement from social service agency WIA Registration Form | Support Not validated for adults and dislocated workers | |

| <i>Data Element</i> | <i>Number</i> | <i>Ref #</i> | <i>Format</i> | <i>Definition</i> | <i>Federal Sources</i> | <i>State/Grantee Sources</i> | <i>Instructions</i> |
|--|----------------------|---------------------|--|---|--|-------------------------------------|--|
| Pregnant or parenting youth | 128 | 15 | Numeric: 1 = Yes 2 = No | An individual who is under 22 years of age and who is pregnant, or a youth (male or female) who is providing custodial care for one or more dependents under age 18. | Copy of child's birth certificate Baptismal Record Observation Doctor's note confirming Applicant Statement | | Support Not validated for adults and dislocated workers |
| Youth who needs additional assistance | 129 | 16 | Numeric: 1 = Yes 2 = No | A youth, aged 14-21, who requires additional assistance to complete an educational program, or to secure and hold employment as defined by State or local policy. If the State Board defines a policy, the policy must be included in the State Plan. | See state policy and state plan Individual service strategy Case notes WIA registration form Self-attestation State management information system | | Support Not validated for adults and dislocated workers |
| Education status at time of registration | 130 | 17 | Numeric: 1 = Student, H.S. or less 2 = Student, attending post-H.S. 3 = Not attending school; H.S. dropout 4 = Not attending school; H.S. graduate | 1. The individual has not received a secondary school diploma or its recognized equivalent and is attending any school (including elementary, intermediate, junior high school, secondary or post-secondary, or alternative school or program whether full or part-time), or is between school terms and intends to return to school. 2. The individual has received a secondary school diploma or its recognized equivalent and is attending a post-secondary school or program (whether full or part-time), or is between school terms and intends to return to school. 3. The individual is no longer attending any school and has not received a secondary school diploma or its recognized equivalent. 4. The individual is not attending any school and has either graduated from high school or | Applicant Statement Applicable records from educational institution (i.e. diploma, GED certificate, post-secondary enrollment, attendance record, dropout letter, or documentation from school) WIA Registration Form State management information system | | Support Not validated for adults and dislocated workers |

| <i>Data Element</i> | <i>Number</i> | <i>Ref #</i> | <i>Format</i> | <i>Definition</i> | <i>Federal Sources</i> | <i>State/Grantee Sources</i> | <i>Instructions</i> |
|---|----------------------|---------------------|-------------------------------|---|--|-------------------------------------|---|
| Basic literacy skills deficiency (as defined in §664.205) | 131 | 18 | Numeric: 1 = Yes 2 = No | <p>The individual meets the State or local level definition of basic literacy skills deficient. This definition may establish such criteria as are needed to address State or local concerns, and must include a determination that an individual:</p> <p>(1) Computes or solves problems, reads, writes, or speaks English at or below the 8th grade level on a generally accepted standardized test or a comparable score on a criterion-referenced test; or</p> <p>(2) Is unable to compute or solve problems, read, write, or speak English at a level necessary to function on the job, in the individual's family or in society.</p> <p>In cases where the State Board establishes State policy on this criterion, the policy must be included in the State plan. (WIA sections 101 (13)(C)(i), 101 (19) and section 112(b)(18)(A)).</p> <p>Note: Grade level scores below 9.0 (e.g., 8.9) should be considered as at or below the 8th grade level.</p> | <p>Standardized assessment test</p> <p>School records</p> <p>Case notes</p> <p>State management information system</p> | | <p>Support</p> <p>Not validated for adults and dislocated workers</p> |

Data Element

Date of WIA Title I-B registration

Number Ref # Format

302 19 Date: YYYYMMDD

Definition

When must the individual be registered?
Registration is the process for collecting information to support a determination of eligibility. This information may be collected through methods that include electronic data transfer, personal interview, or an individual's application. Adults and dislocated workers who receive services funded under title I other than self-service or informational activities must be registered and determined eligible. (20 CFR 663.105(a) and (b), 65 FR49403, August 11, 2000)
All youth participants must be registered. Thus, youth must be registered when they start to receive any youth services. (20CFR 664.215, 65 FR 49412, August 11, 2000)

What date should be recorded as the registration date?
The registration date should be the date of the first WIA title I-B service (other than informational or self-service activities for adults and dislocated workers).

Which adult and dislocated worker core services are informational or self-service and, thus, do not require registration?
Self-service and informational activities are those core services that are made available and accessible to the general public, that are designed to inform and educate individuals about the labor market and their employment strengths, weaknesses, and the range of services appropriate to their situation, and that do not require significant staff involvement with the individual.

What are examples of core services that would require registration?
Core services requiring registration include:

State/Grantee Sources

Instructions

Match

Federal Sources

Date of Application and Signature
Date signed WIA status form
State management information system

Staff-assisted job search and placement assistance, including career counseling; Staff-assisted job referrals (such as testing and background checks); Staff-assisted job development (working with employer and job-seeker); and Staff-assisted workshops and job clubs.

See Appendix C (of Attachment E) for additional guidance in categorizing services.

Data Element

Date of WIA exit

Number Ref # Format

303 20
Date:
YYYYMMDD

Definition

The last date on which WIA title I or partner services, excluding follow-up services, were received by the individual. There are two ways to determine exit:
1. a participant who has a date of case closure, completion or known exit from WIA-funded or non-WIA funded partner services within the quarter (hard exit); or
2. a participant who does not receive any WIA-funded or non-WIA funded partner services for 90 days and is not scheduled for future services except follow-up services (soft exit).
Participants who have a planned gap in service of greater than 90 days should not be considered as exited if the gap in service is due to a delay before the beginning of training or a health/medical condition that prevents an individual from participating in services. Service providers should document any gap in service that occurs and indicate the reason for the gap in service. Participants who exit from services because they are incarcerated, deceased or have a health/medical condition that prevents the individual from participating in services, should be excluded from the measures. Once a participant has not received any WIA-funded or partner-funded services, except follow-up services, for 90 days, and there is no planned gap in service or the planned gap in service is for reasons other than those specified above, that participant has exited WIA for the purposes of measurement in 15 of the 17 core measures (the younger youth skill attainment rate and employer customer satisfaction measures are not based on exit).
How should gaps in service be documented?
State and local programs should document any gap over 90 days and indicate the reason for the gap

Federal Sources

Case notes
WIA status/exit forms
State management information system

State/Grantee Sources

Instructions

Match

in service.
 May an individual be exited when WIA title I-B services are over, but partner services continue?
 Receipt of partner services called for in the WIA service plan can extend the exit date, which triggers measurement of outcomes.
 However, the person should exit from WIA when the services in the WIA service plan are finished, even if other partner services continue. Thus, a 'hard' exit may be recorded whenever the service plan is finished.

| | | | | | | |
|---|-----|----|-----------------------------|--|---|---------|
| Supportive services- (except needs-related payments) received | 330 | 21 | Numeric: 1= Yes 2= No | This item only applies to individuals who received WIA title I-B-funded supportive services. (WIA sections 101(46) and 134(e)(2)) | Case notes State management information system | Support |
| | | | | | | |
| | | | | For adults and dislocated workers, supportive services include services such as transportation, child care, dependent care, and housing that are necessary to enable an individual to participate in activities authorized under WIA title I, consistent with the provisions of WIA title I. Needs-related payments, although categorized by the Act as supportive services, should not be reported in this item because they are reported separately. | | |
| | | | | Supportive services for youth, as defined in WIA section 101(46), may include linkages to community services; assistance with transportation; assistance with child care and dependent care; assistance with housing; referrals to medical services; and assistance with uniforms or other appropriate work attire and work-related tools, including such items as eye glasses and protective eye gear. (20CFR 664.440, 65 FR 49413, August 11, | | |

State/Grantee Sources

Instructions

Federal Sources

Support

Definition

Receipt of Services in Case File
State management information system

This item only applies to individuals who received WIA title B-funded needs-related system

Number Ref # Format

331 22 Numeric: 1= Yes 2= No

-Needs-related-
-Payments-
-Adults/Dislocated-
-Workers in training services- or stipends
-Youth in training-

| Data Element | Number | Ref # | Format | Definition | Federal Sources | State/Grantee Sources | Instructions |
|--|--------|-------|-----------------------|--|---|-----------------------|-------------------------|
| Date of first intensive service | 332 | 23 | Date: YYYYMMDD | The date the individual began receiving intensive services. Leave blank if the individual did not receive intensive services. | Cross-Match between Dates of Service and Vendor Training Info Vendor Training information Case notes State management information system | Match | Match |
| Date of first training service | 333 | 24 | Date: YYYYMMDD | The date the individual began receiving training services. Leave blank if the individual did not receive training services. | State management information system Case notes | Match | Not validated for youth |
| Established Individualized Training Account (ITA) | 334 | 25 | Numeric: 1= Yes 2= No | Record yes if any of the individual's services were purchased utilizing an Individual Training Account established for adults or dislocated workers and | Check Account Activity Case notes Asset database WIA status form State management information system | Match | Not validated for youth |
| Adult education, basic skills and/or literacy activities | 335 | 26 | Numeric: 1= Yes 2= No | Record yes if the individual received adult education, basic skills and/or literacy skills. NOTE: WIA section 134(d)(4)(D)(vii) stipulates that adult education and literacy activities be provided in combination with other training services, except that customized training is not a qualifying training activity to receive these services. | Vendor Training Documentation Certificate Case notes State management information system | Support | Not validated for youth |

| | | | | | | | |
|--|----------------------|--------------------|--|---|---|------------------------------|---|
| Data Element On-the-job training | Number 336 | Ref # 27 | Format Numeric: 1= Yes 2= No | Definition Training by an employer that is provided to a paid participant while engaged in productive work in a job that: | Federal Sources Vendor Training Documentation Case notes OJT master contract State management information system | State/Grantee Sources | Instructions Support Not validated for youth |
|--|----------------------|--------------------|--|---|---|------------------------------|---|

| | | | | | | | |
|---|----------------------|--------------------|--|---|---|------------------------------|---|
| Data Element Occupational skills training or skills upgrading/retraining, and/or workplace training | Number 337 | Ref # 28 | Format Numeric: 1= Yes 2= No | Definition Include the receipt of the following types of services in this category: - Occupational skills training, including training for nontraditional employment; - Programs that combine workplace training with related instruction, which may include cooperative education programs; - Training programs operated by the private sector; - Skill upgrading and retraining; - Entrepreneurial training; - Job readiness training; and - Customized training conducted with a commitment by an employer or group of employers to employ an individual upon successful completion of the | Federal Sources Vendor Training Documentation Credential Case notes State management information system School records Diploma | State/Grantee Sources | Instructions Support Not validated for youth |
|---|----------------------|--------------------|--|---|---|------------------------------|---|

| | | | | | | |
|--|-----|----|--|--|---|---------|
| Occupational skills training code | 338 | 29 | Alphanumeric: 000000000 | The 6 digit Standard Occupational Classification (SOC) code, 8 digit O*Net 3.0 Code, 9 digit DOT code, the 5 digit OES code, or the 5 or 6 digit O*NET code that best describes the training occupation for adults and dislocated workers who received on-the-job training or occupational skills training and youth who received employment services related to a specific occupation. If the participant received classroom occupational skills training, any of these or the 6 digit CIP code that best describes the training should be recorded. If training was provided for more than one occupation, record the code for the last significant occupational training. | NAICS Code O*NET or DOT/OES code description of training occupation Case notes | |
| Occupational skills training code type | 339 | 30 | Numeric: 1 = 6 digit SOC code 2 = 8 digit O*Net 3.0 Code 3 = 6 digit CIP code (classroom training only) 4 = 9 digit DOT code 5 = 5 digit OES code 6 = 5 or 6 digit O*Net98 code 0 = None | The type of code used to report item 338. NOTE: The use of SOC, O*NET 3.0, or CIP codes is encouraged as the DOT and OES code systems will be phased out. | NAICS Code O*NET or DOT/OES code description of training occupation State management information system | Support |

| Data Element | Number | Ref # | Format | Definition | Federal Sources | State/Grantee Sources | Instructions |
|--|---------------|--------------|-----------------------------|--|---|------------------------------|--|
| Educational achievement services | 340 | 31 | Numeric: 1= Yes 2= No | Educational achievement services include, but are not limited to: - Tutoring, study skills training, and instruction leading to secondary school completion, including dropout prevention strategies; and - Alternative secondary school | Case notes Activity sheets Sign-in sheets Attendance record Vendor contract State management information system | | Support Not validated for adults and dislocated workers |
| Employment services | 341 | 32 | Numeric: 1= Yes 2= No | Preparation for and success in employment services include, but are not limited to: - Paid and unpaid work experiences, including internships, and job shadowing; and - Occupational skill training | Case notes Activity sheets Vendor contract Attendance rosters State management information system | | Support Not validated for adults and dislocated workers |
| Received summer youth employment opportunities | 342 | 33 | Numeric: 1= Yes 2= No | Record yes for youth who received summer employment | Case notes Activity sheets Work agreement Sign-in sheets Attendance roster State management information system | | Support Not validated for adults and dislocated workers |
| Additional support for youth services | 343 | 34 | Numeric: 1= Yes 2= No | Supports for youth services include, but are not limited to: - Adult mentoring for a duration of at least twelve (12) months, that may occur both during and after program participation; - Comprehensive guidance and counseling, including drug and alcohol abuse counseling, as well as referrals to counseling, as appropriate to the needs of the individual youth. | Case notes Activity sheets Pay stub Sign-in sheets Attendance rosters State management information system Vendor contract | | Support Not validated for adults and dislocated workers |

| Data Element | Number | Ref # | Format | Definition | Federal Sources | State/Grantee Sources | Instructions |
|--------------------------------------|---------------|--------------|---|--|--|------------------------------|--|
| Leadership development opportunities | 344 | 35 | Numeric: 1= Yes 2= No | Leadership development opportunities are opportunities that encourage responsibility, employability, and other positive social behaviors such as: (a) Exposure to post-secondary educational opportunities; (b) Community and service learning projects; (c) Peer-centered activities, including peer mentoring and tutoring; (d) Organizational and team work training, including team leadership training; (e) Training in decision-making, including determining priorities, and (f) Citizenship training, including life skills training such as parenting, work behavior training, | Case notes Activity sheets Vendor contract Attendance rosters State management information system | | Support Not validated for adults and dislocated workers |
| Received follow-up services | 345 | 36 | Numeric: 1 = Yes received 12 months of follow up services 2 = No, did not receive 12 months of follow | Follow-up services for youth may include: the leadership development and supportive service activities listed in §§ 664.420 and 664.440; regular contact with a youth participant's employer, including assistance in addressing work-related problems that arise; assistance in securing better paying jobs, career development and further education; work-related peer support groups; adult mentoring; and tracking the progress of youth in employment after training. (WIA section 129 (c)(2)(I), 20 CFR 664.450(a) 65 FR 49414 August 11, 2000) NOTE: Leave this item blank if the youth has not exited or has exited and is still receiving follow-up services, but has not yet received 12 months of follow-up services. Note: If a youth reenrolls in WIA within 12 months of exit, record 1 for 'yes' if follow-up services were provided throughout the period | Case notes Activity sheets Attendance rosters Receipt for follow-up support services State management information system | | Support Not validated for adults and dislocated workers |

Data Element
Employed in quarter
after exit quarter

Number 601
Ref # 37
Format

Numeric:
1 = Yes
2 = No
3 = Individual
has exited but
employment
information is
not yet available

Definition

The individual should be considered as employed if wage records for the quarter after exit show earnings greater than zero. Wage records will be the primary data source for tracking employment in the quarter after exit.

If individuals are not found in the wage records, States may use supplemental data sources.

Code 3 may be used if the State has not yet matched with wage records for the appropriate quarter or the 30-day period to collect supplemental data has not expired.

See Item 602 for a description of acceptable supplemental data sources.

When supplemental sources are used, individuals should be counted as employed if, in the calendar quarter after exit, they did any work at all as paid employees (i.e., received at least some earnings), worked in their own business, profession, or worked on their own farm.

**State/Grantee
Sources**

Federal Sources

UI wage records
WRIS
Supplemental data sources as defined TEGL 7-99
State management information system

Instructions

Support

Not validated for younger youth

Data Element 602
Source of supplemental data

Number 38

Ref # 38

Format
Numeric:
1= Case management, follow-up services, surveys of participants, and/or verification with the employer
2= Record sharing and/or automated record matching with administrative records

Definition

Leave this item blank if wage records were used to report Item 601.

While the majority of employment in a State's workforce will be "covered" in the UI wage records, certain types of employers and employees are excluded by Federal standards or are not covered under a State's UI law. "Uncovered" employment typically includes Federal employment, postal service, military, railroad, self employment, some agricultural employment, and employment where earnings are primarily based on commission. States have flexibility in choosing the methods used to obtain information on participants in "uncovered" employment. Examples include:

- 1) Case management, follow-up services, and surveys of participants to determine that the participant is employed; or
- 2) Record sharing and/or automated record matching with other employment and administrative databases to determine employment. These databases include, but are not limited to:
 - Office of Personnel Management (Federal Career Service);
 - United States Postal Service;
 - Railroad Retirement System;
 - State Department of Revenue or Tax (State income tax for self-reported occupations);
 - U.S. Department of Defense; and
 - Government Employment Records (State government, local government, judicial employment, public school employment, etc.).

Note: Data from these sources that provides information on quarterly earnings should be considered as wage record information, not as supplemental

State/Grantee Sources

Federal Sources

Case Files
Follow-up services
WRIS
Surveys
Record sharing and/or automated record matching with other employment and administrative databases
Other out of state wage records systems

Instructions

Support

Not validated for younger youth

| | | | | | | |
|---|-----|----|---|---|--|---------|
| Method used to determine training-related | 606 | 42 | Numeric: 1 = Comparison of the occupation codes between the training activity and the job. | Training-related employment may be determined by any appropriate method or methods selected by the State, including comparison of the occupation codes between the occupation of employment with the activity and the comparison of the industry of employment with the occupation of training using valid crosswalks, by a comparison of the job's activities with the skills taught in the training program, or other method. | Employer contact (call, mail, or e-mail) State management information system WIA exit or status form Cross-match between occupational training code and occupational | Support |
| | | | 2 = Comparison of the industry of employment with the occupation of training using an appropriate crosswalk | training using valid crosswalks, by a comparison of the job's activities with the skills taught in the training program, or other method. | | |
| | | | 3 = Other appropriate | Leave blank if the individual did not receive training services. | | |

| | | | | | | |
|--------------------------------------|-----|----|---|---|---|---------|
| Employed in third quarter after exit | 608 | 43 | Numeric: 1 = Yes 2 = No 3 = Individual has exited but employment information is not yet available | Wage records will be the primary data source for tracking employment in the third quarter after exit. If individuals are not found in the wage records, States may use supplemental data sources. | UI wage records Supplemental Data Sources as Defined in TEGL 7-99 | Support |
|--------------------------------------|-----|----|---|---|---|---------|

Code 3 may be used if the State has not yet matched with wage records for the appropriate quarter or the 30-day period to collect supplemental data has not expired.

See Item 602 for a description of acceptable supplemental data sources.

When supplemental sources are used, individuals should be counted as employed if, in the third calendar quarter after exit, they did any work at all as paid employees (i.e., received at least some earnings), worked in their own business, profession, or worked on their own farm.

Not validated for younger youth

Data Element

Source of supplemental data

Number

609

Ref #

44

Format

Numeric:
1= Case Management, follow-up services, surveys of participants and/or verification with the employer.
2= Record sharing and/or automated record matching with administrative records

Definition

Leave this item blank if wage records were used to report Item 608.
See Item 602 for definitions.

Federal Sources

Case Files
Follow-up services
WRIS
Surveys
Record sharing and/or automated record matching with other employment and administrative databases
Other out of state wage records systems

State/Grantee Sources

Instructions

Support

Not validated for younger youth

~~Employed in fifth quarter after exit~~

~~610~~

~~45~~

~~Numeric:
1 = Yes
2 = No
3 = Individual has exited but employment information is not yet available~~

~~Wage records will be the primary data source for tracking employment in the fifth quarter after exit. If individuals are not found in the wage records, States may use supplemental data sources.
See Item 602 for a description of acceptable supplemental data sources.
When supplemental sources are used, individuals should be counted as employed if, in the fifth calendar quarter after exit, they did any work at all as paid employees (i.e., received at least some earnings), worked in their own business, profession, or worked on their own farm.~~

~~UI wage records~~

~~Supplemental Data Sources as Defined in TEGL 7-99~~

~~WRIS~~

~~State management information~~

~~Support~~

| Data Element | Number | Ref # | Format | Definition | Federal Sources |
|-----------------------------|--------|-------|--|--|--|
| Source of supplemental data | 611 | 46 | Numeric: 00000.00 | Leave this item blank if wage records were used to report item 610. | Case Files Follow-up services WRIS Surveys |
| | | | 1= Case Management, follow-up services, surveys of participants and/or verification with the employer. | See item 602 for information regarding the use of supplemental data. | Record sharing and/or automated record matching with other employment and administrative databases |
| | | | 2= Record sharing and/or automated record matching with administrative records | | Other out of state wage record systems |

| | | | | | | |
|-------------------------------------|-----|----|-------------------|--|---|---|
| Third quarter prior to registration | 612 | 47 | Numeric: 00000.00 | Total earnings in the third quarter before registration for adults, older youth, and dislocated workers without a dislocation date before the registration date. Please enter 99999.99 if data is not yet available for this item. Record 88888.88 if the individual's earnings were over \$99,998.00. Leave this item blank if it does not apply. | UI wage records WRIS Other state wage records Federal wage databases | Match Validate blanks Not validated for younger youth (The validator only needs to determine that the wages listed on the worksheet match the wages listed in the source documentation. It is not necessary to validate that the wages in the source documentation are correct.) |
| Third quarter prior to dislocation | 613 | 48 | Numeric: 00000.00 | Earnings in the third quarter before dislocation for dislocated workers. Please enter 99999.99 if data is not yet available for this item. Record 88888.88 if the individual's earnings were over \$99,998.00. Leave this item blank if it does not apply. Record item 612 for dislocated workers without a dislocation date before the registration date. Note: Item 612 must also be recorded for dislocated workers served with both dislocated worker and adult | UI wage records WRIS Other state wage records Federal wage databases | Match Validate blanks Only validated for dislocated workers (The validator only needs to determine that the wages listed on the worksheet match the wages listed in the source documentation. It is not necessary to validate that the wages in the source documentation are correct.) |

State/Grantee Sources

Data Element Number Ref # Format

Second quarter prior to registration 614 49 Numeric: 00000.00

Federal Sources

UI wage records
WRIS
Other state wage records
Federal wage databases

Definition

Total earnings in the second quarter before registration for adults, older youth, and dislocated workers without a dislocation date before the registration date. Please enter 99999.99 if data is not yet available for this item. Record 88888.88 if the individual's earnings were over \$99,998.00. Leave this item blank if it does not

Second quarter prior to dislocation 615 50 Numeric: 00000.00

UI wage records
WRIS
Other state wage records
Federal wage databases

Earnings in the second quarter before dislocation for dislocated workers. Please enter 99999.99 if data is not yet available for this item. Record 88888.88 if the individual's earnings were over \$99,998.00. Leave this item blank if it does not apply.

Match
Validate blanks
Only validate for dislocated workers

Record item 614 for dislocated workers without a dislocation date before the registration date.

(The validator only needs to determine that the wages listed on the worksheet match the wages listed in the source documentation. It is not necessary to validate that the wages in the source documentation are correct.)

First quarter following the exit quarter 616 51 Numeric: 00000.00

UI wage records
WRIS
Other state wage records
Federal wage databases

Total earnings from wage records for the quarter. Please enter 99999.99 if data is not yet available for this item. Record 88888.88 if the individual's earnings were over \$99,998.00.

Match
Validate blanks
Not validated for younger youth
(The validator only needs to determine that the wages listed on the worksheet match the wages listed in the source documentation. It is not necessary to validate that the wages in the source documentation are correct.)

State/Grantee Sources

Federal Sources

Definition

Number Ref # Format

Data Element

Second quarter following the exit
 617 52 Numeric: 00000.00
 Total earnings from wage records for the quarter. Please enter 99999.99 if data is not yet available for this item. Record 88888.88 if the individual's earnings were over \$99,998.00.

UI wage records
 WRIS
 Other state wage records
 Federal wage databases

Instructions

Match
 Validate blanks
Not validated for younger youth
 (The validator only needs to determine that the wages listed on the worksheet match the wages listed in the source documentation. It is not necessary to validate that the wages in the source documentation are correct.)

Third quarter following the exit quarter
 618 53 Numeric: 00000.00
 Total earnings from wage records for the quarter. Please enter 99999.99 if data is not yet available for this item. Record 88888.88 if the individual's earnings were over \$99,998.00.

UI wage records
 WRIS
 Other state wage records
 Federal wage databases

Instructions

Match
 Validate blanks
Not validated for younger youth
 (The validator only needs to determine that the wages listed on the worksheet match the wages listed in the source documentation. It is not necessary to validate that the wages in the source documentation are correct.)

Fourth quarter following the exit quarter
 619 54 Numeric: 00000.00
 Total earnings from wage records for the quarter. Please enter 99999.99 if data is not yet available for this item. Record 88888.88 if the individual's earnings were over \$99,998.00.

UI wage records
 WRIS
 Other state wage records
 Federal wage databases

Instructions

Match
 Validate blanks
 (The validator only needs to determine that the wages listed on the worksheet match the wages listed in the source documentation. It is not necessary to validate that the wages in the source documentation are correct.)

| <i>Data Element</i> | <i>Number</i> | <i>Ref #</i> | <i>Format</i> | <i>Definition</i> | <i>Federal Sources</i> | <i>State/Grantee Sources</i> | <i>Instructions</i> |
|--|---------------|--------------|----------------------|---|---|------------------------------|--|
| Fifth quarter following the exit quarter | 620 | 55 | Numeric: 00000.00 | Total earnings from wage records for the quarter. Please enter 99999.99 if data is not yet available for this item. Record 88888.88 if the individual's earnings were over \$99,998.00. | UI wage records WRIS Other state wage records Federal wage databases | | Match |
| | | | | | | | Validate blanks |
| | | | | | | | (The validator only needs to determine that the wages listed on the worksheet match the wages listed in the source documentation. It is not necessary to validate that the wages in the source documentation are correct.) |

| <i>Data Element</i> | <i>Number</i> | <i>Ref #</i> | <i>Format</i> | <i>Definition</i> | <i>Federal Sources</i> |
|--|---------------|--------------|---|--|--|
| Type of recognized educational/occupational certificate/credential/diploma/degree attained | 621 | 56 | Numeric: 1 = High school Diploma/ Equivalency/GE D 2 = AA or AS Diploma/Degree 3 = BA or BS Diploma/Degree 4 = Occupational Skills License 5 = Occupational Skills Certificate or Credential 6 = Other 8 = No credential received, individual received training. | A credential is defined as any nationally recognized degree or certificate or a State/locally recognized credential. Credentials will include, but are not limited to a high school diploma, GED or other recognized equivalents, post-secondary degrees, recognized skills standards, licensure, apprenticeship or industry recognized certificates. States should include all State Education Agency recognized credentials. In addition, States should work with local Workforce Investment Boards to encourage certificates to recognize successful completion of the training services listed above that are designed to equip individuals to enter or re-enter employment, retain employment, or advance into better employment. | Case records Transcript Certificates Diploma Surveys Record sharing with state board of governing community colleges, universities, state education associations, integrated post-secondary education reporting unit, higher education planning unit, training State management information system |
| | | | | Credential must be obtained either during participation or by the end of the third quarter after exit from services (other than follow-up services). | |
| | | | | How should the credential information be obtained? States and localities have flexibility in choosing the methods used to collect data on credential. Examples of methods include: 1) case management, follow-up services, and surveys of a participant to determine that the individual received a credential or 2) record sharing and/or automated record matching with administrative/other databases to determine that the participant has received a credential. | |

Data Element **Number** **Ref #** **Format** **Definition** **Federal Sources** **Instructions**

Other reasons for exit 622 57 Numeric: Institutionalized: The participant is residing in an institution or facility providing 24-hour support such as a prison or hospital and is expected to remain in that institution for at least 90 days.

1 = Institutionalized
 2 = Health/medical
 3 = Deceased
 8 = Reservists called to active duty who choose not to return to WIA

Case notes
 State management information system
 Waiver form
 Doctor's records
 Hospital records
 Contact with the penal system

Support

Health/medical: The participant is receiving medical treatment that precludes entry into unsubsidized employment or continued participation in WIA. Does not include temporary conditions expected to last for less than 90 days.

Note: States may define and use additional codes for this item.

| Data Element | Number | Ref # | Format | Definition | Federal Sources | State/Grantee Sources | Instructions |
|--|---------------|--------------|--|--|--|------------------------------|---|
| In post-secondary education or advanced training in quarter after exit | 623 | 58 | Numeric: 1 = In advanced training 2 = In post-secondary education 3 = Not in further training/education | The individual was enrolled in advanced training or post-secondary education in the first quarter after exit including: - Advanced training is an occupational skills employment/training program, not funded under WIA title I, which does not duplicate training received under WIA title I. Training that leads to an academic degree (e.g., AA, AS, BA, BS) should be categorized as post-secondary education and not reported as advanced training. Advanced training may be provided by a One-Stop partner following the exit of the registrant from WIA. Advanced training does not include training funded partially or wholly with WIA funds. An example of advanced training is a community college program that does not lead to an advanced degree. - Post-secondary education is a program at an accredited degree-granting institution that leads to an academic degree (e.g., AA, AS, BA, BS). Do not include programs offered by degree-granting institutions that do not lead to an academic degree as post-secondary education. | Case notes Record sharing with state board of governing community colleges, state board of governing universities, state education associations, integrated post-secondary education reporting unit, higher education planning unit, training Certificates Diploma Surveys Enrollment form | | Support Not validated for adults and dislocated workers <u>and younger youth</u> |
| | | | | The following methodologies can be used to determine whether youth are in post-secondary education or advanced training: 1) Case management, follow-up services, and surveys of the participant to determine if the youth is in post-secondary education or advanced training; or 2) Record sharing agreements and/or automated record matching with administrative/other databases to determine that the participant has been placed in post-secondary education or advanced training. These databases include, but are not limited to: | | | |

n

- State Board Governing Community Colleges;
- State Board Governing Universities;
- State Education Associations;
- Integrated Post-secondary Education Reporting Unit;
- Higher Education Planning Unit; and
- Training Institutions/Providers.

Most States will likely utilize case management, follow-up services, and surveys of participants. Some States already have record sharing and/or automated matching systems in place that they will be able to use to track outcomes.

The individual was enrolled in advanced training or post-secondary education in the third quarter after exit including:

- Advanced training is an occupational skills employment/training program, not funded under WIA title I, which does not duplicate training received under WIA title I.
- Training that leads to an academic degree (e.g., AA, AS, BA, BS) should be categorized as post-secondary education and not reported as advanced training.
- Advanced training may be provided by a One-Stop partner following the exit of the registrant from WIA. Advanced training does not include training funded partially or wholly with WIA funds.
- An example of advanced training is a community college program that does not lead to an advanced degree.
- Post-secondary education is a program at an accredited degree-granting institution that leads to an academic degree (e.g., AA, AS, BA, BS). Do not include programs offered by degree-granting institutions that do not lead to an academic degree as post-secondary education.
- See item 623 for acceptable data sources.

Numeric:
1 = In advanced training
2 = In post-secondary education
3 = Did not enter further training

In post-secondary education or advanced training in the third quarter after exit

Case notes
Follow-up services
Record sharing with state board of governing community colleges, state board of governing universities, state education associations, integrated post-secondary education reporting unit, higher education planning unit, training State management information system

Support

Not validated for adults and dislocated workers and younger youth

| <i>Data Element</i> | <i>Number</i> | <i>Ref #</i> | <i>Format</i> | <i>Definition</i> | <i>Federal Sources</i> | <i>State/Grantee Sources</i> | <i>Instructions</i> |
|-----------------------|---------------|--------------|--|--|--|------------------------------|--|
| Goal #1 type | 625 | 60 | Numeric: 1 = Basic Skills 2 = Occupational 3 = Work Readiness Skills | Setting one basic skills goal is required if the youth is basic literacy skills deficient. | Case notes WIA Youth form State management information system | | Support Not validated for adults and dislocated workers |
| Date goal #1 was set | 626 | 61 | Date: YYYYMMDD | The date goal was set is the date a goal was identified for the youth, except that the date of the first goal set must be recorded as the registration date. | Case notes WIA Youth form State management information system | | Match Not validated for adults and dislocated workers |
| Attainment of goal #1 | 627 | 62 | Numeric: 1 = Attained 2 = Set, but not attained 3 = Set, but attainment pending | Goal attained. Attainment of a goal is to be based on individual assessments using widely accepted and recognized measurement/assessment techniques. | Test Record Transcript Case notes School or employer notification WIA Youth form State management information system | | Support Not validated for adults and dislocated workers |
| | | | | Goal set, but not attained. Goals not attained include goals whose anniversary date has passed without attainment of the goal. The anniversary date of a goal is the date one year after the date the goal was set. | | | |
| | | | | Goal set, but attainment pending. This code should not be used after exit. When the youth exits this field should be marked with a "1" or "2" for all goals that have been set. | | | |
| Date attained goal #1 | 628 | 63 | Date: YYYYMMDD | This date should normally be on or before the one-year anniversary of the date the goal is set. However, it may be later if the participant had a gap in service where he/she was placed in a hold status during which services were not received, but the participant planned to return to the program. | Test Record Transcript School or employer notification WIA Youth form Case notes State management information system | | Match Not validated for adults and dislocated workers |
| Goal #2 type | 629 | 64 | Numeric: 1 = Basic Skills 2 = Occupational 3 = Work Readiness Skills | Leave blank if goal #2 not set. See item 625 for other definitions. | Case notes WIA Youth form State management information system | | Support Not validated for adults and dislocated workers |
| Date goal #2 was set | 630 | 65 | Date: YYYYMMDD | Leave blank if goal #2 not set. See item 626 for other definitions. | Case notes WIA Youth form State management information system | | Match Not validated for adults and dislocated workers |

| <i>Data Element</i> | <i>Number</i> | <i>Ref #</i> | <i>Format</i> | <i>Definition</i> | <i>Federal Sources</i> | <i>State/Grantee Sources</i> | <i>Instructions</i> |
|------------------------|---------------|--------------|--|--|---|------------------------------|--|
| -Attainment of goal #2 | 631 | 66 | Numeric: 1 = Attained 2 = Set, but not attained 3 = Set, but attainment pending | Leave blank if goal #2 not set. See Item 627 for other definitions. | Test Record Transcript Case notes School or employer notification WIA Youth form State management information system | | Support Not validated for adults and dislocated workers |
| -Date attained goal #2 | 632 | 67 | Date: YYYYMMDD | Leave blank if goal #2 not set. See Item 628 for other definitions. | Test Record Transcript Case notes School or employer notification WIA Youth form State management information system | | Match Not validated for adults and dislocated workers |
| -Goal #3 type | 633 | 68 | Numeric: 1 = Basic Skills 2 = Occupational Skills 3 = Work Readiness Skills | Leave blank if goal #3 not set. See Item 625 for other definitions. | Case notes WIA Youth form State management information system | | Support Not validated for adults and dislocated workers |
| -Date goal #3 was set | 634 | 69 | Date: YYYYMMDD | Leave blank if goal #3 not set. See Item 626 for other definitions. | Case notes WIA Youth form State management information system | | Match Not validated for adults and dislocated workers |
| -Attainment of goal #3 | 635 | 70 | Numeric: 1 = Attained 2 = Set, but not attained 3 = Set, but attainment pending | Leave blank if goal #3 not set. See Item 627 for other definitions. | Test Record Transcript Case notes School or employer notification WIA Youth form State management information system | | Support Not validated for adults and dislocated workers |
| -Date attained goal #3 | 636 | 71 | Date: YYYYMMDD | Leave blank if goal #3 not set. See Item 628 for other definitions. | Test Record Transcript Case notes School or employer notification WIA Youth form State management information system | | Match Not validated for adults and dislocated workers |
| -Goal #4 type | 637 | 72 | Numeric: 1 = Basic Skills 2 = Occupational Skills 3 = Work Readiness Skills | Setting one basic skills goal is required if the youth is basic literacy skills deficient. | Case notes School or employer notification WIA Youth form State management information system | | Support Not validated for adults and dislocated workers |

| <i>Data Element</i> | <i>Number</i> | <i>Ref #</i> | <i>Format</i> | <i>Definition</i> | <i>Federal Sources</i> | <i>State/Grantee Sources</i> | <i>Instructions</i> |
|-----------------------|---------------|--------------|--|---|--|------------------------------|--|
| Date goal #4 was set | 638 | 73 | Date: YYYYMMDD | Leave blank if goal #4 not set. See item 626 for other definitions. | Case notes WIA Youth form State management information system | | Match Not validated for adults and dislocated workers |
| Attainment of goal #4 | 639 | 74 | Numeric: 1 = Attained 2 = Set, but not attained 3 = Set, but attainment pending | Goal attained. Attainment of a goal is to be based on individual assessments using widely accepted and recognized measurement/assessment techniques. Goal set, but not attained. Goals not attained include goals whose anniversary date has passed without attainment of the goal. The anniversary date of a goal is the date one year after the date the goal was set. | Test Record Transcript Case notes School or employer notification WIA Youth form State management information system | | Support Not validated for adults and dislocated workers |
| Date attained goal #4 | 640 | 75 | Date: YYYYMMDD | Goal set, but attainment pending. This code should not be used after exit. When the youth exits this field should be marked with a "1" or "2" for all goals that have been set. This date should normally be on or before the one-year anniversary of the date the goal is set. However, it may be later if the participant had a gap in service where he/she was placed in a hold status during which services were not received, but the participant planned to return to the program. | Test Record Transcript Case notes School or employer notification WIA Youth form State management information system | | Match Not validated for adults and dislocated workers |
| Goal #5 type | 641 | 76 | Numeric: 1 = Basic Skills 2 = Occupational Skills 3 = Work Readiness Skills | Setting one basic skills goal is required if the youth is basic literacy skills deficient. | Case notes WIA Youth form State management information system | | Support Not validated for adults and dislocated workers |
| Date goal #5 was set | 642 | 77 | Date: YYYYMMDD | Leave blank if goal #5 not set. See item 626 for other definitions. | Case notes WIA Youth form State management information system | | Match Not validated for adults and dislocated workers |

| <i>Data Element</i> | <i>Number</i> | <i>Ref #</i> | <i>Format</i> | <i>Definition</i> | <i>Federal Sources</i> | <i>State/Grantee Sources</i> | <i>Instructions</i> |
|-----------------------|---------------|--------------|--|---|---|--|---------------------|
| Attainment of goal #5 | 643 | 78 | Numeric: 1 = Attained 2 = Set, but not attained 3 = Set, but attainment pending | Goal attained. Attainment of a goal is to be based on individual assessments using widely accepted and recognized measurement/assessment techniques. Goal set, but not attained. Goals not attained include goals whose anniversary date has passed without attainment of the goal. The anniversary date of a goal is the date one year after the date the goal was set. | Test Record Transcript School or employer notification W/A Youth form Case notes State management information system | Support Not validated for adults and dislocated workers | |
| Date attained goal #5 | 644 | 79 | Date: YYYYMMDD | This date should normally be on or before the one-year anniversary of the date the goal is set. However, it may be later if the participant had a gap in service where he/she was placed in a hold status during which services were not received, but the participant planned to return to the program. | Test Record Transcript Case notes School or employer notification W/A Youth form State management information system | Match Not validated for adults and dislocated workers | |
| Goal #6 type | 645 | 80 | Numeric: 1 = Basic Skills 2 = Occupational Skills 3 = Work Readiness Skills | Setting one basic skills goal is required if the youth is basic literacy skills deficient. | Case notes W/A Youth form State management information system | Support Not validated for adults and dislocated workers | |
| Date goal #6 was set | 646 | 81 | Date: YYYYMMDD | Leave blank if goal #6 not set. See Item 626 for other definitions. | Case notes W/A Youth form State management information system | Match Not validated for adults and dislocated workers | |

| <i>Data Element</i> | <i>Number</i> | <i>Ref #</i> | <i>Format</i> | <i>Definition</i> | <i>Federal Sources</i> | <i>State/Grantee Sources</i> | <i>Instructions</i> |
|-----------------------|---------------|--------------|--|--|--|--|---------------------|
| Attainment of goal #6 | 647 | 82 | Numeric: 1 = Attained 2 = Set, but not attained 3 = Set, but attainment pending | Goal attained. Attainment of a goal is to be based on individual assessments using widely accepted and recognized measurement/assessment techniques. Goal set, but not attained. Goals not attained include goals whose anniversary date has passed without attainment of the goal. The anniversary date of a goal is the date one year after the date the goal was set. | Test Record Transcript Case notes School or employer notification WIA Youth form State management information system | Support Not validated for adults and dislocated workers | |
| | | | | Goal set, but attainment pending. This code should not be used after exit. When the youth exits this field should be marked with a "1" or "2" for all goals that have been set. | | | |
| Date attained goal #6 | 648 | 83 | Date: YYYYMMDD | This date should normally be on or before the one-year anniversary of the date the goal is set. However, it may be later if the participant had a gap in service where he/she was placed in a hold status during which services were not received, but the participant planned to return to the program. | Test Record Transcript Case notes School or employer notification WIA Youth form State management information system | Match Not validated for adults and dislocated workers | |
| Goal #7 type | 649 | 84 | Numeric: 1 = Basic Skills 2 = Occupational Skills 3 = Work Readiness Skills | Setting one basic skills goal is required if the youth is basic literacy skills deficient. | Case notes WIA Youth form State management information system | Support Not validated for adults and dislocated workers | |
| Date goal #7 was set | 650 | 85 | Date: YYYYMMDD | Leave blank if goal #7 not set. See item 626 for other definitions. | Case notes WIA Youth form State management information system | Match Not validated for adults and dislocated workers | |

State/Grantee Sources

Federal Sources

Definition

Number Ref # Format

Data Element

Instructions

| | | | | | | |
|-----------------------|-----|----|--|---|---|--|
| Attainment of goal #7 | 651 | 86 | Numeric: 1 = Attained 2 = Set, but not attained 3 = Set, but attainment pending | Goal attained. Attainment of a goal is to be based on individual assessments using widely accepted and recognized measurement/assessment techniques. | Test Record Transcript School or employer notification WIA youth form Case notes State management information system | Support Not validated for adults and dislocated workers |
| Date attained goal #7 | 652 | 87 | Date: YYYYMMDD | Goal set, but not attained. Goals not attained include goals whose anniversary date has passed without attainment of the goal. The anniversary date of a goal is the date one year after the date the goal was set. | Test Record Transcript Case notes School or employer notification WIA Youth form State management information system | Match Not validated for adults and dislocated workers |
| Goal #8 type | 653 | 88 | Numeric: 1 = Basic Skills 2 = Occupational Skills 3 = Work Readiness Skills | Goal set, but attainment pending. This code should not be used after exit. When the youth exits this field should be marked with a "1" or "2" for all goals that have been set. | Case notes WIA Youth form State management information system | Support Not validated for adults and dislocated workers |
| Date goal #8 was set | 654 | 89 | Date: YYYYMMDD | Leave blank if goal #8 not set. See Item 626 for other definitions. | Case notes WIA Youth form State management information system | Match Not validated for adults and dislocated workers |

| <i>Data Element</i> | <i>Number</i> | <i>Ref #</i> | <i>Format</i> | <i>Definition</i> | <i>Federal Sources</i> | <i>State/Grantee Sources</i> | <i>Instructions</i> |
|------------------------|---------------|--------------|--|---|---|--|---------------------|
| -Attainment of goal #8 | 655 | 90 | Numeric: 1 = Attained 2 = Set, but not attained 3 = Set, but attainment pending | Goal attained. Attainment of a goal is to be based on individual assessments using widely accepted and recognized measurement/assessment techniques. Goal set, but not attained. Goals not attained include goals whose anniversary date has passed without attainment of the goal. The anniversary date of a goal is the date one year after the date the goal was set. | Test Record Transcript School or employer notification W/A Youth form Case notes State management information system | Support Not validated for adults and dislocated workers | |
| -Date attained goal #8 | 656 | 91 | Date: YYYYMMDD | Goal set, but attainment pending. This code should not be used after exit. When the youth exits this field should be marked with a "1" or "2" for all goals that have been set. This date should normally be on or before the one-year anniversary of the date the goal is set. However, it may be later if the participant had a gap in service where he/she was placed in a hold status during which services were not received, but the participant planned to return to the program. | Test Record Transcript School or employer notification W/A Youth form Case notes State management information system | Match Not validated for adults and dislocated workers | |
| -Goal #9 type | 657 | 92 | Numeric: 1 = Basic Skills 2 = Occupational Skills 3 = Work Readiness Skills | Setting one basic skills goal is required if the youth is basic literacy skills deficient. | Case notes W/A Youth form State management information system | Support Not validated for adults and dislocated workers | |
| -Date goal #9 was set | 658 | 93 | Date: YYYYMMDD | Leave blank if goal #9 not set. See Item 626 for other definitions. | Case notes W/A Youth form State management information system | Match Not validated for adults and dislocated workers | |

| <i>Data Element</i> | <i>Number</i> | <i>Ref #</i> | <i>Format</i> | <i>Definition</i> | <i>Federal Sources</i> | <i>State/Grantee Sources</i> | <i>Instructions</i> |
|------------------------|---------------|--------------|--|---|---|------------------------------|--|
| -Attainment of goal #9 | 659 | 94 | Numeric: 1 = Attained 2 = Set, but not attained 3 = Set, but attainment pending | Goal attained. Attainment of a goal is to be based on individual assessments using widely accepted and recognized measurement/assessment techniques. Goal set, but not attained. Goals not attained include goals whose anniversary date has passed without attainment of the goal. The anniversary date of a goal is the date one year after the date the goal was set. | Test Record Transcript School or employer notification W/A Youth form Case notes State management information system | | Support Not validated for adults and dislocated workers |
| -Date attained goal #9 | 660 | 95 | Date: YYMMDD | This date should normally be on or before the one-year anniversary of the date the goal is set. However, it may be later if the participant had a gap in service where he/she was placed in a hold status during which services were not received, but the participant planned to return to the program. | Test record Transcript School or employer notification W/A Youth form Case notes State management information system | | Match Not validated for adults and dislocated workers |
| -Goal #10 type | 661 | 96 | Numeric: 1 = Basic Skills 2 = Occupational Skills 3 = Work Readiness Skills | Setting one basic skills goal is required if the youth is basic literacy skills deficient. | Case notes W/A Youth form State management information system | | Support Not validated for adults and dislocated workers |
| -Date goal #10 was set | 662 | 97 | Date: YYMMDD | Leave blank if goal #10 not set. See Item 626 for other definitions. | Case notes W/A Youth form State management information system | | Match Not validated for adults and dislocated workers |

| <i>Data Element</i> | <i>Number</i> | <i>Ref #</i> | <i>Format</i> | <i>Definition</i> | <i>Federal Sources</i> | <i>State/Grantee Sources</i> | <i>Instructions</i> |
|-------------------------|---------------|--------------|--|---|---|------------------------------|--|
| -Attainment of goal #10 | 663 | 98 | Numeric: 1 = Attained 2 = Set, but not attained 3 = Set, but attainment pending | Goal attained. Attainment of a goal is to be based on individual assessments using widely accepted and recognized measurement/assessment techniques. Goal set, but not attained. Goals not attained include goals whose anniversary date has passed without attainment of the goal. The anniversary date of a goal is the date one year after the date the goal was set. | Test Record Transcript School or employer notification W/A Youth form Case notes State management information system | | Support Not validated for adults and dislocated workers |
| -Date attained goal #10 | 664 | 99 | Date: YYYYMMDD | Goal set, but attainment pending. This code should not be used after exit. When the youth exits this field should be marked with a "1" or "2" for all goals that have been set. This date should normally be on or before the one-year anniversary of the date the goal is set. However, it may be later if the participant had a gap in service where he/she was placed in a hold status during which services were not received, but the participant planned to return to the program. | Test record Transcript School or employer notification W/A Youth form Case notes State management information system | | Match Not validated for adults and dislocated workers |
| -Goal #11 type | 665 | 100 | Numeric: 1 = Basic Skills 2 = Occupational Skills 3 = Work Readiness Skills | Setting one basic skills goal is required if the youth is basic literacy skills deficient. | Case notes W/A Youth form State management information system | | Support Not validated for adults and dislocated workers |
| -Date goal #11 was set | 666 | 101 | Date: YYYYMMDD | Leave blank if goal #11 not set. See Item 626 for other definitions. | Case notes W/A Youth form State management information system | | Match Not validated for adults and dislocated workers |

| <i>Data Element</i> | <i>Number</i> | <i>Ref #</i> | <i>Format</i> | <i>Definition</i> | <i>Federal Sources</i> | <i>State/Grantee Sources</i> | <i>Instructions</i> |
|------------------------|---------------|--------------|--|---|--|--|---------------------|
| Attainment of goal #11 | 667 | 102 | Numeric: 1 = Attained 2 = Set, but not attained 3 = Set, but attainment pending | Goal attained. Attainment of a goal is to be based on individual assessments using widely accepted and recognized measurement/assessment techniques. Goal set, but not attained. Goals not attained include goals whose anniversary date has passed without attainment of the goal. The anniversary date of a goal is the date one year after the date the goal was set. | Test Record Transcript School or employer notification W/A Youth form Case notes State management information system | Support Not validated for adults and dislocated workers | |
| Date attained goal #11 | 668 | 103 | Date: YYYYMMDD | Goal set, but attainment pending. This code should not be used after exit. When the youth exits this field should be marked with a "1" or "2" for all goals that have been set. This date should normally be on or before the one-year anniversary of the date the goal is set. However, it may be later if the participant had a gap in service where he/she was placed in a hold status during which services were not received, but the participant planned to return to the program. | Test Record Transcript School or employer notification W/A Youth form Case notes State management information system | Match Not validated for adults and dislocated workers | |
| Goal #12 type | 669 | 104 | Numeric: 1 = Basic Skills 2 = Occupational Skills 3 = Work Readiness Skills | Setting one basic skills goal is required if the youth is basic literacy skills deficient. | Case notes W/A Youth form State management information system | Support Not validated for adults and dislocated workers | |
| Date goal #12 was set | 670 | 105 | Date: YYYYMMDD | Leave blank if goal #12 not set. See Item 626 for other definitions. | Case notes W/A Youth form State management information system | Match Not validated for adults and dislocated workers | |

| <i>Data Element</i> | <i>Number</i> | <i>Ref #</i> | <i>Format</i> | <i>Definition</i> | <i>Federal Sources</i> | <i>State/Grantee Sources</i> | <i>Instructions</i> |
|-----------------------------------|---------------|--------------|--|---|--|--|---------------------|
| Attainment of goal #12 | 671 | 106 | Numeric: 1 = Attained 2 = Set, but not attained 3 = Set, but attainment pending | Goal attained. Attainment of a goal is to be based on individual assessments using widely accepted and recognized measurement/assessment techniques. Goal set, but not attained. Goals not attained include goals whose anniversary date has passed without attainment of the goal. The anniversary date of a goal is the date one year after the date the goal was set. | Test Record Transcript School or employer notification WIA Youth form Case notes State management information system | Support Not validated for adults and dislocated workers | |
| Date attained goal #12 | 672 | 107 | Date: YYYYMMDD | Goal set, but attainment pending. This code should not be used after exit. When the youth exits this field should be marked with a "1" or "2" for all goals that have been set. This date should normally be on or before the one-year anniversary of the date the goal is set. However, it may be later if the participant had a gap in service where he/she was placed in a hold status during which services were not received, but the participant planned to return to the program. | Test Record Transcript School or employer notification WIA Youth form Case notes State management information system | Match Not validated for adults and dislocated workers | |
| Attained Secondary School Diploma | 673 | 108 | Numeric: 1 = Attained a secondary school (high school) diploma 2 = Attained a GED or high school equivalency diploma 3 = Attending school at exit 4 = Did not attain diploma or equivalent | 1. The youth attained a secondary (high school) diploma recognized by the State during enrollment or by the end of the first quarter after exit. Also include successful completion of an Individual Education Program (IEP) for youth with disabilities. 2. The youth attained a GED or high school equivalency diploma recognized by the State during enrollment by the end of the first quarter after exit. 3. The youth exited WIA services but was still attending secondary | Case notes School record Transcript Diploma GED Test results WIA Youth form State management information system | Support Not validated for adults and dislocated workers and older youth | |

Data Element

Date of high school diploma or GED attainment

Number Ref # Format

674 109 Date: YYYYMMDD

Definition

The date of attainment should be the date on the diploma or equivalency certificate, if available. Otherwise, the date may be estimated.

Federal Sources

Case notes
School record
Diploma
GED
Test results
WIA Youth form
State management information system

State/Grantee Sources

Instructions

Match
Not validated for adults and dislocated workers **and older youth**

| <i>Data Element</i> | <i>Number</i> | <i>Ref #</i> | <i>Format</i> | <i>Definition</i> | <i>Federal Sources</i> | <i>State/Grantee Sources</i> | <i>Instructions</i> |
|-----------------------------|---------------|--------------|--|---|--|------------------------------|---|
| Youth placement information | 675 | 110 | Numeric | Record which of the following activities the youth entered within 1 quarter of exit. Entry into these activities may be determined through information obtained from the participant during case management and follow-up services. | Cross-match with appropriate agencies | | Support |
| | | | 1 = Entered post-secondary education | 1. Entered into these activities | UI wage records | | Not validated for adults and dislocated workers |
| | | | 2 = Entered advanced training | 2. Participant obtained from management and follow-up services | Community college and university records | | |
| | | | 3 = Entered military service | 3. Entered administrative records (including wage records, where appropriate), surveys of participants, and other similar methods. If the youth qualifies for several of these placement outcomes, record the primary outcome. For example, if the youth enters full time post-secondary education and has a part time job, record 1. | Employer phone contact | Contact with family | |
| | | | 4 = Entered a qualified apprenticeship | 4. Entered advanced training are defined in item 623. | | | |
| | | | 5 = Entered unsubsidized employment | 5. Should be recorded only if the youth started to attend classes. | | | |
| | | | 6 = Did not enter 1-5 above | 6. Should be recorded only if the youth started to attend classes. | | | |
| | | | | 3. Should be recorded only if the youth entered military service (i.e., reported for active duty). | | | |
| | | | | 4. Should be recorded only if the individual entered a qualified apprenticeship program, i.e., a program approved and recorded by the ETA/Bureau of Apprenticeship and Training or by a recognized State Apprenticeship Agency. | | | |
| | | | | Approval is by certified registration or other appropriate written credential. | | | |
| | | | | 5. Should be recorded only if the youth entered full- or part-time unsubsidized employment. | | | |
| | | | | Unsubsidized employment is any employment, including self-employment, not financed by either funds provided under the Act or by direct wage subsidies provided by any type of public funds. See item 601 for the definition of employment. | | | |

Also include entry into the Peace Corps, VISTA and other National Service programs funded by the Federal Corporation for National and Community Service under the National and Community Service Trust Act of 1993 (Examples are activities in the AmeriCorps and the National Civilian Community Corps programs). Do not include entry into the Armed Forces or entry into a qualified apprenticeship program.

| Data Element | Number | Ref # | Format | Definition | Federal Sources | State/Grantee Sources | Instructions |
|---|---------------|--------------|---|---|---|------------------------------|--|
| Youth retention information (For all youth except those still attending secondary school at exit). Was the youth active in the third quarter following the exit quarter in any of the following activities: | 676 | 111 | Numeric: 1= In post-secondary education 2= In advanced training 3= In military service 4= In a qualified apprenticeship 5= In unsubsidized employment 6= Was not in 1-5 above | Record the primary activity that the youth was in at any time during the third quarter after exit. See Item 623 for definitions and acceptable data sources for codes 1 (post-secondary education) and 2 (advanced training). See Items 601 and 602 for definitions and acceptable data sources for codes 3 (employment) and 5 (military service). For code 4, a qualified apprenticeship program is a program approved and recorded by the ETA/Bureau of Apprenticeship and Training or by a recognized State Apprenticeship Agency. Approval is by certified registration or other appropriate written credential. To determine whether a youth has been placed in a qualified apprenticeship, the following methods can be used: 1) Case management, follow-up services, and surveys of the participant; or 2) Record sharing agreements and/or automated record matching with the U.S. Department of Labor, Bureau of Apprenticeship and Training database to determine that the participant has been placed in a qualified apprenticeship. Most States will likely utilize case management, follow-up services, and surveys of participants. Some States already have record sharing and/or automated matching systems in place that they will be able to use to track outcomes. | Cross-match with appropriate agencies UI wage records Community college and university records Employer phone contact Contact with family | | Support Not validated for adults and dislocated worker and older youth |

APPENDIX E

DATA ELEMENT VALIDATION WORKSHEETS

WIA Data Validation System - [U015 - Data Validation Sampling.]

File Import Data Sample Change Reporting Options Report Validation Data Element Validation Utilities Window Help

OBS Sampling Unit **WIA Data Element Validation (Adults).**

SSN Office

User Id WIB Name

2 - 28 29 - 56 57

| Data Elements | Reported Value | Pass | Fail | Data Elements | Reported Value | Pass | Fail |
|------------------------|---------------------------------------|-------------------------------------|-------------------------------------|-----------------------------|---------------------------------------|-------------------------------------|-------------------------------------|
| 2. DOB | <input type="text" value="19790803"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | 19. WIAIBRegDate | <input type="text" value="20010601"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 3. Disability | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> | 20. WIAExitDate | <input type="text" value="20020628"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 4. Vet | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> | 21. Supp Service | <input type="text" value="1"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 5. Emp Status | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> | 22. NeedsPayments | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 6. UCClaimant | <input type="text" value="2"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | 23. Intensive ServDate | <input type="text" value="20010601"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 7. LowIncome | <input type="text" value="1"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | 24. Train ServDate | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 8. IANF | <input type="text" value="1"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | 25. EstabIIA | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 9. CashAssist | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> | 26. RecvdBasicSkillsService | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 10. Grade Comp | <input type="text" value="12"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | 27. OJT | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 11. DisplacedHomemaker | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> | 28. RecvdSkillsTrain | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Loading Form.... Program Year - 2002 (Report Due - 02/15/2004) DB: wia.wia 01/14/2004 Filter (OFF)

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APPENDIX F

DATA ELEMENT VALIDATION SUMMARY AND ANALYTICAL REPORTS

WIA Data Element Validation Summary and Analytical Report

Period: 07/01/2002 - 06/30/2003

Group: Adults

Number of Cases: 147

Validated Cases: 1

| Data Element | Ref. # | # of Errors | Overall Error Rate | Reported Data Error Rate |
|-------------------------|--------|-------------|--------------------|--------------------------|
| DOB | 2 | 0.00 | 0.00% | 0.00% |
| Disability | 3 | 0.00 | 0.00% | 0.00% |
| Vet | 4 | 0.00 | 0.00% | 0.00% |
| EmpStatus | 5 | 0.00 | 0.00% | 0.00% |
| UCClaimant | 6 | 1.00 | 0.70% | 100.00% |
| LowIncome | 7 | 1.00 | 0.70% | 100.00% |
| TANF | 8 | 1.00 | 0.70% | 100.00% |
| CashAssist | 9 | 0.00 | 0.00% | 0.00% |
| GradeComp | 10 | 1.00 | 0.70% | 100.00% |
| DisplacedHomemaker | 11 | 0.00 | 0.00% | 0.00% |
| WIAIBRegDate | 19 | 1.00 | 0.70% | 100.00% |
| WIAExitDate | 20 | 0.00 | 0.00% | 0.00% |
| SuppService | 21 | 1.00 | 0.70% | 100.00% |
| NeedsPayments | 22 | 0.00 | 0.00% | 0.00% |
| IntensiveServDate | 23 | 0.00 | 0.00% | 0.00% |
| TrainServDate | 24 | 0.00 | 0.00% | 0.00% |
| EstablTA | 25 | 0.00 | 0.00% | 0.00% |
| RecvdBasicSkillsService | 26 | 0.00 | 0.00% | 0.00% |
| OJT | 27 | 0.00 | 0.00% | 0.00% |
| RecvdSkillsTrain | 28 | 0.00 | 0.00% | 0.00% |

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APPENDIX G

DATA ELEMENT VALIDATION SAMPLING AND ERROR RATE ESTIMATION

APPENDIX G

DATA ELEMENT VALIDATION SAMPLING AND ERROR RATE ESTIMATION

The primary data validation objective is to identify the sources of error (that is, which data elements or which kinds of cases are more prone to error) and to provide information on error for selected data elements whether or not they are used to calculate performance measures.

States select samples of exiter records to measure the error rate of the important data elements. This sample is selected from the records of individuals used to calculate the performance measures on the ETA 9091. This appendix discusses the general approach to sampling and error rate estimation (section A) and the sampling approach for validation of exiters (section B).

A. GENERAL APPROACH

Error rates are calculated in two ways. The overall error rate equals the total number of records in error divided by the number of records that are sampled, weighted to account for the over- and under-sampling of particular types of records. Because particular data elements may not be present in every sampled record, the reported data error rate includes in the denominator only those records for which a particular data element was validated. With this method of calculation, the error rates equal the total number of records in error divided by the number of records for which the particular data element was validated, weighted to account for the over- and under-sampling of particular records. For example, if 100 records are sampled, only 50 have a particular data element, and there are 5 errors, the first error rate would be 5 divided by 100, or 5 percent, and the second error rate would be 5 divided by 50, or 10 percent.¹

Sample sizes vary by state, with smaller states having smaller samples than larger states for two reasons. First, to achieve a given level of precision, smaller states need smaller sample sizes than larger states. Second, to reduce the burden on smaller states, precision requirements are relaxed.

The sample design for exiters involves clustering the sample in a small number of offices and differential sampling rates by type of record. This design yields estimates that have a variance that exceeds the variance of a simple random sample. Currently, we assume the variance to be twice that of a simple random sample (a “design effect” of 2). After data validation is implemented, an analysis will be conducted to estimate the true design effect and to determine how much it varies from current estimates. The results of that analysis will be used to refine the sample design.

¹This calculation is not weighted.

The ETA validation software uses information on the distribution of exiters by office and group—adults, dislocated workers, older youth, and younger youth—to select samples of offices and of exiters by group.² Finally, the software uses the validation results from the sampled records to produce error rate estimates.

B. SAMPLING FOR VALIDATION OF EXITERS

To limit the burden validation imposes on states and the local offices while also providing sufficiently precise results, records of exiters from the performance year are sampled to measure error rates for data elements.³ The software selects four samples of exiters, one each for adults, dislocated workers, older youth, and younger youth. It does this by creating a clustered, stratified sample for each group.⁴ The software first selects a sample of offices.⁵ From the sampled offices, the software selects samples of records.⁶ The size of the samples vary by state with precision estimates for small states reduced to minimize the burden validation imposes on them.

To decide which offices to sample, the software assigns weights to each participant record. The weights are based on the risk that the data are incorrect and the importance of the data for measuring performance. For adults, dislocated workers, and older youth, employment and the source of information on employment are the variables with the most risk and importance. Supplemental sources for wages are the riskiest data, followed by wage records for wage data. Data that indicate an individual is unemployed present the least risk. Based upon this risk assessment, records that use supplemental sources to demonstrate an individual's employment in the first quarter and/or the third quarter after exit receive a weight of 3, records that use wage

² Office refers to the physical location of the participant's case file, which may include the One-Stop office, a community college, a high school, etc.

³ The performance year is from October 1, Program Year – 1 to September 30, Program Year. For Program Year 2002, the performance year is October 1, 2001 – September 30, 2002.

⁴ Clustering involves first sampling an aggregate unit and then selecting the units being studied only from within the selected aggregate units. In this case, we want to study the records of individuals. Because of the potential burden imposed by traveling to every office within a state, the software will first select a sample of offices and then select records from those offices.

Stratification involves grouping all the units being studied into strata and sampling randomly from each group. Each stratum, however, is not necessarily sampled equally.

⁵ Some states may receive data by Workforce Investment Boards (WIBs), not by offices. In this case, the software selects WIBs as the primary sampling unit instead of offices.

⁶ The software draws these samples for each group. Thus, it selects offices from which it will sample adult records, and then selects offices from which it samples dislocated worker records, and so forth. It does not necessarily select the same offices for each group.

records to demonstrate an individual's employment receive a weight of 2, and records for individuals who are unemployed receive a weight of 1.

For younger youth, the importance of the record is determined by whether or not the individual attained a diploma after entering the program. The records for individuals who attained a diploma after entering the program are the most important, followed by those records for individuals who did not attain a diploma. Records of younger youth who received a diploma prior to entering WIA are the least important. Based upon this assessment of importance, records for those who attained a diploma by the end of the first quarter after the exit quarter receive a weight of 3, records for those who did not attain a diploma by the end of the first quarter after the exit quarter receive a weight of 2, and records for individuals who entered the program with a diploma receive a weight of 1.

The software calculates the weight for each office by group by summing the weights of each of the office's exiter records for the performance year for the appropriate group. Thus, each office will have up to four weights, one each for adults, dislocated workers, older youth, and younger youth.⁷ The software then selects specific offices with a probability of selection proportional to their weight for the group being analyzed. The number of offices (n) selected is a function of the number of offices in the entire state (N) that have records for the group being analyzed.⁸ The more offices sampled, the greater the accuracy of the estimates. However, the more offices sampled the greater the burden that validation imposes on the state. Table G.1 shows the sampling rule that the software uses to determine the number of offices selected. Column A provides ranges for the number of offices in a state. Column B provides the number of offices selected. Thus, for a large state with 250 or more offices for a group, the software selects 30 offices, as shown in Row 1 of Table G.1. For a medium sized state with 80 offices for a group, the software selects 15 offices, as shown in Row 4 of Table G.1.

⁷ Not all offices will have records for all four groups. Some offices may only one group, and, as a result, can only be sampled for that one group.

⁸ The software selects offices for each group independently. As a result, if a state had 150 offices and all 150 offices had records for all four groups, the software would select 20 offices for each group. Theoretically, this could lead to 80 offices being selected. In practice, there is likely to be significant overlap in the offices selected.

TABLE G.1

OFFICE SAMPLING

| | Number of Offices in State (N) | Number of Offices Sampled (n) |
|----------|-----------------------------------|----------------------------------|
| | A | B |
| 1 | 250 or more | 30 |
| 2 | 200-249 | 25 |
| 3 | 100-199 | 20 |
| 4 | 75-99 | 15 |
| 5 | 30-74 | 10 |
| 6 | 7-29 | 7 |
| 7 | Fewer than 7 | All |

The software automatically selects any office(s) that accounts for 1/n or more of the total weight of the records for the group being sampled.⁹ For example, if a state has 35 offices that contain adult records, the software will select 10 offices for the adult sample. Any office(s) that accounts for one-tenth—that is, 10 percent—or more of the state’s total weight of adult records is automatically selected. The remaining offices will be randomly selected with their probability of selection proportional to the weight of the office.

Next, the software selects the individual records to validate. It selects a sample of records for each group—adults, dislocated workers, older youth, and younger youth—from the sampled offices selected for that group. Within each group the probabilities of selection are proportional to the weights assigned to each record. Consequently, for adults, dislocated workers, and older youth, a greater proportion of records with supplemental wage data is selected than would be selected in a simple random sample, and a greater proportion of records for younger youth who received diplomas after entering WIA is selected than would be selected in a simple random sample.

Table G.2 illustrates how the software determines the sample size.¹⁰ Column A provides ranges for the number of exiters per group. Depending upon the number of exiters for the group in a performance year, the software selects a level of precision, shown in Column B.¹¹ Column

⁹The initial weights and number of offices are estimates. The early implementation will provide data to determine if the estimates are correct. If the information gained from these studies suggests that the estimates are incorrect, they will be adjusted accordingly.

¹⁰These tables are illustrative. ETA has not yet determined acceptable levels of precision.

¹¹Precision is determined by the half-length of the confidence interval. Confidence intervals measure the accuracy of the estimate. For example, a data element might have a 7 percent +/-2 percent error rate. The +/-2 percent is the confidence interval. In this example, the confidence interval means that the error rate is between 5 and 9 percent.

C provides a range for the number of records to be sampled for each group. For example, as shown in Row 1, if a state has 850 younger youth exiters in a performance year, the software selects between 187 and 330 younger youth records to validate to provide a level of precision of 3.5 percent.

TABLE G.2
EXITER RECORD SAMPLING

| | A | B | C |
|---|----------------|--|-----------------------|
| | # of Exiters | Half-Length of the Confidence Interval ¹² | Range of Sample |
| 1 | 500 or greater | 3.5% | 187-330 ¹³ |
| 2 | 0-499 | 4% | 0-187 |

After the sampled records of exiters are validated, the software determines the error rate by group. Because the software clusters and stratifies the samples, it must weight the validation results. Using these weights, the software determines the weighted error rate.

¹²Several factors must be incorporated into the calculation of the half-length of the confidence interval. Because of the small sample size, the finite population correction (fpc) needs to be incorporated into the calculations. Assumptions about the accuracy of the error estimate also must be incorporated into the software. For the software, we assume the half-length of confidence interval is at a 0.05 level.

¹³The maximum sample size per group should not exceed 330 exiters, plus or minus a several records.