

# A Profile of the Federal TRIO Programs And Child Care Access Means Parents in School Program

### Prepared by:

U.S. Department of Education Office of Postsecondary Education Federal TRIO Programs

2006

#### **U.S. Department of Education**

Margaret Spellings *Secretary* 

#### **Higher Education Programs**

Susan E. Beaudoin
Acting Deputy Assistant Secretary

#### **Federal TRIO Programs**

Larry Oxendine *Director* 

April 2006

This report is in the public domain. Authorization to reproduce it in whole or in part is granted. While permission to reprint this publication is not necessary, the citation should be: U.S. Department of Education, Office of Postsecondary Education, *A Profile of the Federal TRIO Programs and Child Care Access Means Parents in School Program*, Washington, D.C., 2006

#### To obtain copies of this report:

**write to:** ED Pubs, Education Publications Center, U.S. Department of Education, P.O. Box 1398, Jessup, MD 20794-1398; or

**fax** your request to: (301) 470-1244; or

e-mail your request to: edpubs@inet.ed.gov; or

**call in** your request toll-free: (877) 433-7827 (877-4-ED-PUBS). If 877 service is not yet available in your area, call (800) 872-5327 (800-USA-LEARN). Those who use a telecommunications device for the deaf (TDD) or a teletypewriter (TTY), should call (877) 576-7734; or

order online at: http://www.edpubs.org/.

This report is also available on the Department's Web site at: <a href="http://www.ed.gov/ope/trio/">http://www.ed.gov/ope/trio/</a>.

On request, this publication is available in alternate formats, such as Braille, large print or computer diskette. For more information, please contact the Department's Alternate Format Center at (202) 260-0852 or (202) 260-0818.

### **Contents**

List of Tables.	iv
Foreword	V
History of the Federal TRIO Programs and CCAMPIS Program	1
Schedule for Upcoming Competitions	2
Statistical Information	3
Educational Opportunity Centers	5
Ronald E. McNair Postbaccalaureate Achievement	7
Student Support Services.	9
Talent Search	11
Training Program for Federal TRIO Programs	13
TRIO Dissemination Partnership	15
Upward Bound and Veterans Upward Bound	17
Upward Bound Math-Science	20
Child Care Access Means Parents in School	22
Reference Guide	23
Federal TRIO Programs Staff	24

### **Tables**

1.	Recent Program Funding Levels for TRIO and CCAMPIS (1996-97 Through 2005-06)	4
2.	Number of TRIO and CCAMPIS Projects (1996-97 Through 2005-06)	.4
3.	Estimated Number of TRIO Participants Served (1996-97 Through 2005-06)	.5

#### **Foreword**

To help fulfill the goal of *No Child Left Behind*, the bipartisan K-12 education reform signed into law by Congress in 2002, high-quality postsecondary education opportunities must be available to all students. In keeping with this goal, the Federal TRIO programs and Child Care Access Means Parents in School (CCAMPIS) program provide outreach and support to help low-income and first-generation college students, and students with disabilities progress through the academic pipeline from middle school to postbaccalaureate programs.

On behalf of the Federal TRIO Programs, I am pleased to present this report, A Profile of the Federal TRIO Programs and Child Care Access Means Parents in School Program, which provides program and statistical information on these programs.

Larry Oxendine Director Federal TRIO Programs

#### **History of the Federal TRIO Programs and CCAMPIS Program**

The history of TRIO is progressive. **Upward Bound** (**UB**), the first of the TRIO programs, began as a pilot project authorized by the *Economic Opportunity Act of 1964* to encourage low-income youths to complete high school and prepare for college. A year later, **Talent Search** (**TS**) was created as part of the *Higher Education Act of 1965* to assist students applying for newly authorized federal financial aid for postsecondary education.

The TRIO name itself was born four years later when the *Higher Education Act of 1965* was amended in 1968 to include the Special Services for Disadvantaged Students program—what is now called **Student Support Services (SSS).** Upward Bound, Talent Search and Student Support Services formed a trio of federal programs designed to foster increased educational opportunity and attainment. Upward Bound and Talent Search focused on college preparation and admission, while Student Support Services helped eligible students stay in college until they earned a college degree.

Since 1968, the TRIO programs have been expanded to provide a wider range of services. Today, *nine* TRIO programs are included under the TRIO umbrella. The 1972 amendments to the *Higher Education Act* created **Educational Opportunity Centers (EOCs)** to help adults select a postsecondary education program and obtain financial aid. **Veterans Upward Bound (VUB)** was initiated as part of the Upward Bound program to serve returning Vietnam Veterans in 1976. Amendments in 1986 added the **Ronald E. McNair Postbaccalaureate Achievement Program (MCN)** to foster doctoral degree attainment by students from underrepresented segments of society. In 1990, the U.S. Department of Education created the **Upward Bound Math and Science (UBMS)** program to address the need for specific instruction in the fields of mathematics and science.

In addition to these seven TRIO programs that offer direct services to program participants, the U.S. Congress also authorized two programs focused specifically on improving the design and administration of TRIO services. The 1976 education amendments authorized the **Training Program for Federal TRIO Programs** (**TR**), initially known as the Training Program for Special Programs Staff and Leadership Personnel. The 1998 amendments to the *Higher Education Act* established the **TRIO Dissemination Partnership Program** (**TDP**) to encourage the replication of successful practices of TRIO programs. And finally, the *2001 Appropriations Act* amended the SSS program to permit the use of program funds for direct financial assistance (grant aid) for current SSS participants who are receiving Federal Pell Grants.

The legislative requirements for all of the TRIO programs can be found in the *Higher Education Act of 1965*, Title IV, Part A, Subpart 2. The requirements for the SSS Grant Aid can be found in the *Omnibus Consolidated Appropriations Act of 2001* (Public Law 106-554).

#### **History of Child Care Access Means Parents In School Program**

The 1998 amendments to the *Higher Education Act of 1965* authorized the Child Care Access Means Parents in School (CCAMPIS) program to assist institutions in providing campus-based child care services for low-income student parents. Funding for the program began in 1999.

## **Schedule for Upcoming Competitions**

Program	Application Deadlin	e Date
Educational Opportunity Centers (EOC)**	Summer/Fall	2009
Ronald E. McNair Postbaccalaureate Achievement (MCN)	Summer/Fall	2006
Student Support Services (SSS)	Summer/Fall	2008
Talent Search (TS)**	Summer/Fall	2009
Training Program for Federal TRIO Programs (TR)	Winter/Spring	2006
TRIO Dissemination Partnership (TDP)	To be determined	
Upward Bound (UB)*	Summer/Fall	2006
Child Care Access Means Parents in School (CCAMPIS)	Winter/Spring	2009

<sup>\*</sup>Includes Veterans Upward Bound (VUB) and Upward Bound Math and Science (UBMS)
\*\*Application deadlines for the FY 2006 TS and EOC competitions were Jan. 6, 2006, and Feb. 15, 2006.

### **Statistical Information**

Table 1. Recent Program Funding Levels for TRIO and CCAMPIS, Program Years<sup>+</sup> 1996 – 97 Through 2005 – 06 (In Millions of Dollars)

TRIO	96-97	97-98	98-99	99-00	00-01	01-02	02-03	03-04	04-05	05-06
EOC	\$ 24.79	\$ 25.79	\$ 29.00	\$ 29.79	\$ 30.50	\$ 33.23	\$ 48.01	\$ 48.54	\$ 48.97	\$ 48.97
MCN	\$ 19.56	\$ 20.37	\$ 20.77	\$ 32.11	\$ 34.86	\$ 35.79	\$ 38.36	\$ 41.89	\$ 42.09	\$ 41.93
SSS	\$143.34	\$166.23	\$171.89	\$178.92	\$183.30	\$254.89	\$262.71	\$263.65	\$263.03	\$277.77
TR	\$ 3.31	\$ 3.31	\$ 4.09	\$ 4.45	\$ 5.98	\$ 6.15	\$ 6.76	\$ 7.50	\$ 5.30	\$ 5.30
TS	\$ 78.39	\$ 81.54	\$ 95.96	\$ 98.45	\$100.55	\$109.96	\$143.51	\$144.98	\$144.23	\$144.65
TDP	-	-	-	\$ 1.91	\$ 5.20	\$ 5.36	\$ 3.41	\$ 4.37	\$ 4.39	\$ 4.39
UB	\$172.21	\$178.81	\$181.76	\$220.50	\$249.65	\$251.15	\$264.19	\$278.81	\$274.10	\$265.98
VUB	*	*	*	*	*	*	*	*	\$ 12.58	\$ 11.37
UBMS	\$ 19.06	\$ 19.74	\$ 20.14	\$ 29.28	\$ 31.30	\$ 30.85	\$ 31.77	\$ 34.03	\$ 32.81	\$ 32.59
TOTAL	\$460.66	\$495.79	\$523.61	\$595.41	\$641.34	\$727.38	\$798.72	\$823.77	\$827.50	\$832.95
CCAMPIS	96-97	97-98	98-99	99-00	00-01	01-02	02-03	03-04	04-05	05-06
TOTAL	-	-	-	\$ 4.95	\$ 5.00	\$16.04	\$21.46	\$16.20	\$16.10	\$ 15.94

<sup>+</sup>The TRIO program year is the 12-month budget period for which federal funds are provided to support approved program activities. TRIO grants use "forward funding," which means the funds appropriated for a federal fiscal year (e.g., 2003) support the program year beginning during the fiscal year and ending with the next year (e.g., 2003-04).

Note: FY 2004 (2004-05) funds were used to fund four of the TRIO projects in 2003-04.

Table 2. Number of TRIO and CCAMPIS Projects, Program Years+ 1996 – 97 Through 2005 – 06

TRIO	96-97	97-98	98-99	99-00	00-01	01-02	02-03	03-04	04-05	05-06
EOC	74	74	83	82	82	82	139	139	139	139
MCN	99	99	99	156	156	156	156	179	179	178
SSS	705	801	796	796	795	944	937	936	935	959
TR	16	16	19	19	25	25	29	29	13	13
TS	319	319	362	361	360	360	475	472	469	468
TDP	-	1	1	11	28	28	17	23	23	23
UB	601	601	598	772	772	772	770	820	763	761
VUB	*	*	*	*	*	*	*	*	42	39
UBMS	81	81	81	124	123	123	123	132	127	127
TOTAL	1,895	1,991	2,038	2,321	2,341	2,490	2,646	2,730	2,690	2,707
CCAMPIS	96-97	97-98	98-99	99-00	00-01	01-02	02-03	03-04	04-05	05-06
TOTAL	-	-	-	87	87	308	428	342	341	239

<sup>+</sup>The TRIO program year is the 12-month budget period for which federal funds are provided to support approved program activities. TRIO grants use "forward funding," which means the funds appropriated for a federal fiscal year (e.g., 2003) support the program year beginning during the fiscal year and ending with the next year (e.g., 2003-04).

Note: FY 2004 (2004-05) funds were used to fund four of the TRIO projects in 2003-04.

<sup>-</sup>TDP and CCAMPIS programs authorized in 1998, first funded in 1999.

<sup>\*</sup>Funding for Veterans Upward Bound projects is included in UB and UBMS totals.

<sup>-</sup>TDP and CCAMPIS programs authorized in 1998, first funded in 1999.

<sup>\*</sup>Number of projects included in UB and UBMS totals.

Table 3. Estimated Number of TRIO Participants Served, Program Years 1996-97 Through 2005-06

TRIO	96-97	97-98	98-99	99-00	00-01	01-02	02-03	03-04	04-05	05-06
EOC	156,686	156,686	159,236	158,036	160,836	160,836	217,836	217,265	217,565	217,565
MCN	2,481	2,469	2,469	3,641	3,774	3,774	3,774	4,248	4,256	4,236
SSS	165,326	179,377	178,099	178,099	176,614	199,956	198,551	195,288	195,128	203,212
TS	298,147	298,574	324,341	323,541	320,854	320,854	389,454	387,741	384,588	384,588
UB	48,462	48,462	44,495	52,960	57,081	57,081	56,841	62,207	56,679	56,450
VUB	*	*	*	*	*	*	*	*	5,269	4,909
UBMS	*	*	3,722	6,200	6,093	6,093	6,093	7,087	6,845	6,817
TOTAL	671,102	685,568	712,362	722,477	725,252	748,594	872,549	873,836	870,330	877,777
					ļ	ļ	ļ		ļ	

<sup>+</sup>The TRIO program year is the 12-month budget period for which federal funds are provided to support approved program activities. TRIO grants use "forward funding," which means the funds appropriated for a federal fiscal year (e.g., 2003) support the program year beginning during the fiscal year and ending with the next year (e.g., 2003-04). \*Number of participants included in UB and UBMS totals.

Legend							
EOC MCN SSS TR TDP TS UB	<ul> <li>Educational Opportunity Centers</li> <li>Ronald E. McNair</li> <li>Student Support Services</li> <li>Training</li> <li>TRIO Dissemination Partnership</li> <li>Talent Search</li> <li>Upward Bound</li> </ul>						
VUB UBMS CCAMPIS	<ul><li>Veterans Upward Bound</li><li>Upward Bound Math-Science</li><li>Child Care Access Means Parents in School</li></ul>						

### **Educational Opportunity Centers**

The Educational Opportunity Centers (EOC) program provides counseling and information on college admissions to qualified adults who want to enter or continue a postsecondary education program. An important objective of EOCs is to counsel participants on financial aid options and to assist in the application process. The goal of EOCs is to increase the number of adult participants who enroll in postsecondary education institutions.

#### Program services include:

- Academic advising;
- Personal counseling;
- Career workshops;
- Information on postsecondary education opportunities;
- Information on student financial assistance;
- Assistance in completing applications for college admission, testing and financial aid;
- Coordination with nearby postsecondary institutions;
- Media activities designed to involve and acquaint the community with higher education opportunities;
- Tutoring; and
- Mentoring.

#### **Applicant Eligibility**

Educational Opportunity Centers' projects may be conducted by institutions of higher education, public and private agencies and organizations, a combination of these, or in exceptional cases, secondary schools.

#### **Participant Eligibility**

Participants must reside in the target area served by an Educational Opportunity Center. The participants must be at least 19 years old, and at least two-thirds of the participants must be low-income and potentially first-generation college students. If the services of Talent Search project are not available in the target area, persons under 19 may be served. A veteran, regardless of age, may participate if otherwise eligible.

Competitions for Educational Opportunity Centers program funding are held every fourth year. The next competition under this program will be in the summer/fall of 2009.

#### **Funding**

In FY 2005, \$48,971,567 was awarded.

Number of awards: 139
Number of participants: 217,565
Average award: \$352,313
Average number of participants: 1,563
Average cost per participant: \$225

The minimum grant award amount under the Educational Opportunity Centers program is currently \$204,000 per year.

#### Ronald E. McNair Postbaccalaureate Achievement

The Ronald E. McNair Postbaccalaureate Achievement (McNair) program awards grants to institutions of higher education for projects designed to prepare participants for doctoral studies through involvement in research and other scholarly activities. McNair participants are from disadvantaged backgrounds and have demonstrated strong academic potential. Institutions work closely with these participants through their undergraduate requirements, encourage their entrance into graduate programs, and track their progress through to their successful completion of advanced degrees. The goal of McNair is to increase the attainment of Ph.D. degrees by students from underrepresented segments of society.

#### Program services include:

- Research opportunities for participants who have completed their sophomore year of college;
- Mentoring;
- Seminars and other scholarly activities designed to prepare students for doctoral studies;
- Summer internships;
- Tutoring;
- Academic counseling;
- Assistance in obtaining student financial aid; and
- Assistance in completing applications for admission to and financial aid for graduate programs.

#### **Applicant Eligibility**

Only institutions of higher education and combinations of institutions of higher education are eligible for McNair awards.

#### **Participant Eligibility**

Students who qualify for McNair must be enrolled in a degree-granting program at an eligible institution. In all projects, at least two-thirds of the participants must be low-income, first-generation college students. The remaining participants must be from groups that are underrepresented in graduate education.

Competitions for McNair program funding are held every fourth year. The next competition under this program will be in the late summer/early fall of 2006.

### **Funding**

In FY 2005, 41,934,925 was awarded.

Number of awards: 178
Number of participants: 4,236
Average award: \$235,589
Average number of participants: 24
Average cost per participant: \$9,900

The minimum grant award amount under the McNair program is currently \$220,000 per year.

### **Student Support Services**

The Student Support Services (SSS) program provides opportunities for academic development, assists students with basic college requirements and serves to motivate students toward the successful completion of their postsecondary education. The SSS program may also provide grant aid to current SSS participants who are receiving Federal Pell Grants. The goal of SSS is to increase the college retention and graduation rates of its participants and help students make the transition from one level of higher education to the next.

#### Program services include:

- Instruction in basic skills;
- Tutorial services;
- Academic, financial or personal counseling;
- Assistance in completing applications for admission and financial aid for enrollment in four-year institutions;
- Assistance in completing applications for admission and financial aid for enrollment in graduate and professional programs;
- Information about career options;
- Mentoring;
- Special services for students with limited English proficiency; and
- Direct financial assistance (grant aid) to current SSS participants.

#### **Applicant Eligibility**

Only institutions of higher education or combinations of institutions of higher education are eligible for Student Support Services program awards.

#### **Participant Eligibility for Program Services**

To receive assistance, students must be enrolled in or have been accepted for enrollment in a program of postsecondary education at a grantee institution. Only low-income individuals who are first-generation college students and students with disabilities and a need for academic support are eligible to participate in SSS projects. Two-thirds of the participants in any SSS project must be either disabled or first-generation college students from low-income families. One-third of the participants with disabilities must also be low-income students.

#### Participant Eligibility for Grant Aid

To receive SSS program grant aid, students must be current participants in the SSS project and in their first two years of postsecondary education and receiving Federal Pell Grants. Grant aid may be offered to students who have completed their first two years of postsecondary education and are receiving Federal Pell Grants if the institution demonstrates that these students are at high risk of dropping out and the institution has met the needs of all eligible first- and second-year students.

#### **Use of Program Funds for Grant Aid and Matching Requirements**

Beginning with the 2001-02 program year, a SSS project may use up to 20 percent of its federal SSS program funds for grant aid. The amount of grant aid awarded to a SSS student may not exceed the maximum appropriated Pell Grant (\$4,050 for the 2004-05 academic year) or be less than the minimum appropriated Pell Grant (\$400).

Generally, an institution that operates an SSS project and uses any portion of its federal SSS grant for student grant aid must provide 33 percent of the total funds it uses for grant aid in cash from nonfederal sources. However, institutions eligible to receive funds under Title III, Part A or B, or Title V of the *Higher Education Act*, as amended, are not required to provide such matching funds.

#### **Application Process**

Competitions for Student Support Services funding are held every fourth year. The next competition under this program will be in the late summer/early fall of 2008.

#### **Funding**

In FY 2005, \$277,772,932 was awarded. This amount includes \$3,227,762 for one-time supplements. The average award and cost per participant are based on total funding less the one-time supplements (\$274,545,170).

Number of awards: 959
Number of participants: 203,212
Average award: \$286,283
Average number of participants: 212
Average cost per participant: \$1,351

The minimum grant award amount under the Student Support Services program is currently \$220,000 per year.

#### **Talent Search**

The Talent Search program identifies and assists individuals from disadvantaged backgrounds who have the potential to succeed in higher education. The program provides academic, career and financial counseling to its participants and encourages them to graduate from high school and continue on to the postsecondary institution of their choice. Talent Search also serves high school dropouts by encouraging them to reenter the education system and complete their education. The goal of Talent Search is to increase the number of youths from disadvantaged backgrounds who complete high school and enroll in the postsecondary education institutions of their choice.

#### Program services include:

- Academic, financial, career or personal counseling, including advice and assistance on entry and reentry to secondary and postsecondary programs;
- Career exploration and aptitude assessment;
- Tutorial services;
- Information on postsecondary education;
- Exposure to college campuses;
- Information on student financial assistance;
- Assistance in completing college admissions and financial aid applications;
- Assistance in preparing for college entrance exams;
- Mentoring programs;
- Special activities for sixth-, seventh-, and eighth-graders; and
- Workshops for the families of participants.

#### **Applicant Eligibility**

Talent Search programs may be sponsored by institutions of higher education, public and private agencies or organizations, a combination of these, or in exceptional cases, secondary schools.

#### **Participant Eligibility**

Students must be between the ages of 11 and 27 and have completed the fifth grade. In any given project, at least two-thirds of the participants must be low-income and potentially first-generation college students. If the services of an Educational Opportunity Center are not available in the target area, persons over 27 may be served. A veteran, regardless of age, may participate if otherwise eligible.

Competitions for Talent Search program funding are held every fourth year. The next competition under this program will be in summer/fall of 2009.

### **Funding**

In FY 2005, \$144,648,938 was awarded.

Number of awards: 468
Number of participants: 384,588
Average award: \$309,079
Average number of participants: 822
Average cost per participant: \$376

The minimum grant award amount under the Talent Search program is currently \$204,000.

#### **Training Program for Federal TRIO Programs**

The Training Program for Federal TRIO programs provides funding to enhance the skills and expertise of project directors and staff employed in the Federal TRIO Programs. Training projects may include conferences, seminars, internships, workshops or publication of manuals. Training topics are based on priorities established by the secretary of education and announced in the *Federal Register* notice for applications.

#### Program services include:

The TRIO Staff Training Program provides training and information on the following topics:

- Counseling services;
- Student testing;
- Working with specific TRIO populations;
- Legislative and regulatory requirements;
- Program evaluation;
- Assisting students in securing adequate financial aid;
- The design and operation of model TRIO projects;
- New staff training;
- Use of appropriate educational technology; and
- Personnel and budget management.

#### **Applicant Eligibility**

Institutions of higher education as well as public and private not-for-profit agencies or organizations may apply.

#### **Participant Eligibility**

Individuals who are employed (or who are preparing for employment) in a TRIO project are eligible to participate in the training program.

Competitions for TRIO Staff Training Program funding are held every second year. The next competition under this program will be in the winter/spring of 2006.

### **Funding**

In FY 2005, \$5,299,275 was awarded.

Number of awards: 13
Number of participant: 3,688
Average award: \$407,637
Average number of participants: 284
Average cost per participant: \$1,437

### **TRIO Dissemination Partnership**

The TRIO Dissemination Partnership program provides grants to enable TRIO projects to work with other institutions and agencies that are serving low-income and first-generation college students that do not have TRIO grants. The goal of the TRIO Dissemination Partnership program is to increase the effectiveness of TRIO programs through the replication and adaptation of successful TRIO program components, practices, strategies and activities at institutions and agencies that do not have a federally funded TRIO project.

#### Dissemination programs address:

- Effective use of educational technology;
- Business and community partnerships and K-12 collaborations;
- Increased participation of underrepresented groups in graduate study; and
- Increasing awareness of underserved areas in the benefits of TRIO programs.

### **Applicant Eligibility**

Institutions of higher education or other private and public institutions and organizations that were conducting a federally funded TRIO project prior to the enactment of the 1998 amendments to the *Higher Education Act*.

#### **Partner Eligibility**

Institutions and agencies serving TRIO-eligible populations but that do not have a TRIO grant are eligible.

Competitions for the TRIO Dissemination Partnership program funding are usually held every three years.

### **Funding**

In fiscal year 2005, \$4,386,457 was awarded.

Number of awards: 23
Number of partners: 68
Average award: \$190,716
Average number of partners: 3

TRIO projects are working to replicate or adapt their successful practices or activities at 68 institutions and agencies that serve TRIO eligible participants.

### **Upward Bound and Veterans Upward Bound**

The Upward Bound program provides fundamental support to participants in their preparation for college entrance. The program provides opportunities for participants to succeed in precollege performance and ultimately in higher education pursuits. Upward Bound serves high school students from low-income families, high school students from families in which neither parent holds a four-year degree, and low-income, first-generation military veterans who are preparing to enter a postsecondary institution. The goal of Upward Bound is to increase the rate at which participants complete a secondary education, and enroll in and graduate from institutions of postsecondary education. All Upward Bound projects *must* provide instruction in mathematics, laboratory science, composition, literature and foreign language.

#### Program services include:

- Instruction in reading, writing, study skills and other subjects necessary for success in education beyond high school;
- Academic and financial counseling and workshops;
- Exposure to academic programs and cultural events;
- Tutorial services;
- Mentoring programs;
- Information on postsecondary education opportunities;
- Assistance in completing college entrance and financial aid applications;
- Assistance in preparing for college entrance exams; and
- Work-study positions to expose participants to careers requiring a postsecondary degree.

#### **Applicant Eligibility**

Institutions of higher education, public and private agencies or organizations, a combination of these, or in exceptional cases, secondary schools may apply.

#### **Participant Eligibility**

Students must have completed the eighth grade, be between the ages of 13 and 19 (except veterans) and have a need for academic support in order to pursue a program of postsecondary education. All students must either be from low-income families or be potentially first-generation college students. The program requires that at least two-thirds of the participants in a project be both low-income and first-generation. The remaining participants must be either low-income or first-generation. Students are selected based on recommendations from local educators, social workers or clergy.

#### Grantee and Participant Eligibility for Upward Bound Initiative Funding

In FY 2003, Upward Bound (UB) grantees serving a target high school or schools in which at least 50 percent of the students are eligible for the Free Lunch Program under the *National School Lunch Act* were eligible to apply for supplemental funding under the Upward Bound Initiative (UBI). UB projects receiving supplemental funding under the UB Initiative must use those funds to serve students eligible for UB who: (1) attend a target high school in which at least 50 percent of the students were eligible for a free lunch under the *National School Lunch Act*; and (2) have the greatest need for UB services. Eligible students having the greatest need for services are those who: (1) have not met the state academic achievement standards for grade eight in reading/language arts; or (2) have not met the state academic achievement standards for grade eight in math; or (3) have a grade point average of 2.5 or less (on a 4.0 scale) for the most recent school year.

#### **Application Process**

Competitions for Upward Bound program funding are held every fourth year. The next competition under this program will be in the late summer/early fall of 2006.

### **Total Funding**

(Includes UB and VUB)

In FY 2005, \$277,347,259 was awarded.

Number of awards: 800
Number of participants: 61,359
Average award: \$346,684
Average number of participants: 77
Average cost per participant: \$4,520

The minimum grant award amount under the Upward Bound program is currently \$220,000 per year.

### **Upward Bound Only**

In FY 2005, \$265,975,770 was awarded.

Number of awards: 761
Number of participants: 56,450
Average award: \$349,508
Average number of participants: 74
Average cost per participant: \$4,712

Note: The FY 2005 funding includes \$24,095,172 for 256 Upward Bound Initiative supplemental grants.

### Veterans Upward Bound Only

In FY 2005, \$11,371,489 was awarded.

Number of awards: 39
Number of participants: 4,909
Average award: \$291,577
Average number of participants: 126
Average cost per participant: \$2,316

### **Upward Bound Math and Science**

The Upward Bound Math and Science program allows the Department to fund specialized Upward Bound math and science centers. The program is designed to strengthen the math and science skills of participating students. The goal of the program is to help students recognize and develop their potential to excel in the fields of math and science and to encourage them to pursue postsecondary degrees in math and science.

#### Program services include:

- Summer programs of intensive math and science training;
- Year-round counseling and advisement;
- Exposure to university faculty who do research in math and science;
- Computer training; and
- Participant-conducted scientific research under the guidance of a faculty member or graduate student serving as the participant's mentor.

#### **Applicant Eligibility**

Institutions of higher education, public and private agencies or organizations, a combination of these, or in exceptional cases, secondary schools may apply.

#### **Participant Eligibility**

Applicants must be eligible to participate in the Upward Bound program and must have completed the eighth grade. However, participants do not necessarily have to be participating in a regular Upward Bound program. At least two-thirds of all participants must be low-income, potentially first-generation college students. The remaining participants must be either low-income or first-generation.

Competitions for Upward Bound Math and Science program funding are held every fourth year. The next competition under this program will be in the late summer/early fall of 2006.

### **Funding**

In FY 2005, \$32,592,036 was awarded.

Number of awards: 127
Number of participants: 6,817
Average award: \$256,630
Average number of participants: 54
Average cost per participant: \$4,781

The minimum grant award amount under the Upward Bound Math and Science program is currently \$220,000 per year.

#### Child Care Access Means Parents in School

The Child Care Access Means Parents in School (CCAMPIS) program supports the participation of low-income parents in the postsecondary education system through the provision of campus-based childcare services. The goal of CCAMPIS is to increase access to postsecondary education for low-income parents by providing quality, affordable and accessible childcare services while they further their education.

#### Program services include:

- Supporting or establishing a campus-based childcare program that primarily serves the needs of low-income students enrolled at the institution;
- Establishing or expanding childcare programs for infants and toddlers as well as providing before- and after-school services for older children;
- Subsidizing the costs of the childcare services for low-income students;
- Programs involving parents; and
- Faculty, staff, program and curriculum development.

### **Applicant Eligibility**

Only institutions of higher education that have disbursed a minimum of \$350,000 in Federal Pell Grants the year prior to applying for a grant are eligible for CCAMPIS awards.

#### **Participant Eligibility**

A student parent who is eligible to receive a Federal Pell Grant for the fiscal year for which the determination is made.

#### **Application Process**

The next competition under this program has not yet been determined.

#### **Funding**

For the 2005 – 06 project year, \$15,940,837 was awarded.

Number of awards: 239 Average award: \$66,698

Note: Three of these 2005 - 06 grants were funded with FY 2006 funds.

### **Reference Guide**

The following table provides further information on the programs described in this booklet. The first column is a reference to the legislative authority of the TRIO programs, the section referenced in the *Higher Education Act of 1965*, as amended (*HEA*). The second reference is the program's assigned number from the *Catalog of Federal Domestic Assistance (CFDA)*. The last column cites the number and part of the *Code of Federal Regulations (CFR)* in which the program regulations are printed.

<u>Programs</u>	<u>HEA</u>	<u>CFDA</u>	<u>CFR</u>
Educational Opportunity Centers	402F	84.066	34 CFR 644
Ronald E. McNair Postbaccalaureate Achievement	402E	84.217	34 CFR 647
Student Support Services	402D	84.042	34 CFR 646
Talent Search	402B	84.044	34 CFR 643
Training Program for Federal TRIO Programs	402G	84.103	34 CFR 642
TRIO Dissemination Partnership	402H	84.344	N/A
Upward Bound	402C	84.047	34 CFR 645
Upward Bound Math and Science	402C	84.047	34 CFR 645
Veterans Upward Bound	402C	84.047	34CFR 645
Child Care Access Means Parents in School	419N	84.335	N/A

### **Federal TRIO Programs Staff**

#### **Larry Oxendine**

Director

#### Frances A. Bergeron

Team Leader
Program Management and Development Team (PMDT)
Program Planning
Policy and Procedure Coordination
Budget and Program Operation Coordination

#### **Geraldine Smith**

Team Leader
College and University Preparation Team (CUPT)
Educational Opportunity Centers
Talent Search
Upward Bound
Upward Bound Veterans
Upward Bound Math and Science

#### Linda Byrd-Johnson

Team Leader
College and University Support Team (CUST)
McNair Postbaccalaureate Achievement
Student Support Services
Training Program for Federal TRIO Programs
TRIO Dissemination Partnership
Child Care Access Means Parents In School

U.S. Department of Education
Federal TRIO Programs
1990 K St. N.W., Suite 7000
Washington, D.C. 20006-8510
Phone (202) 502-7600 – Fax (202) 502-7857
E-mail: TRIO@ed.gov

Web site: www.ed.gov/ope/trio



Our mission is to ensure equal access to education and to promote educational excellence throughout the nation.

http://www.ed.gov/