

FY 2003 Budget Estimates to Congress
Summary of Requested Resources
[Dollars in Thousands]

The Federal Communications Commission's budget estimates for Fiscal Year 2003 are summarized below:

DISTRIBUTION OF BUDGET AUTHORITY:	FY 2001 Actual BA	FY 2002 Actual BA	FY 2003 Request BA	Change to Appropriated Budget Authority
Direct Appropriation:				
Current: (P.L. 106-553; P.L. 107-77)	\$29,854	\$26,314	\$29,898	\$3,584
Authority to Spend Offsetting Collections:				
Regulatory Fees	200,146	218,757	248,194	29,437
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Appropriation Total (Prior to Rescission/Lapse):	\$230,000	\$245,071	\$278,092	\$33,021
Rescission: (P.L. 106-554)	(66)	--	--	--
Lapsed year end	(1)	--	--	--
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Y2K Supplemental:	-- 1/	--	--	--
Unobligated balance transferred to other accounts Y2K	--			
Authority to spend				
Other Offsetting Collections:				
1) No-year Carryover Funds (Prior year)	13,843 2/	13,143 4/	--	--
2) Economy Act/Misc.Other	1,048	1,100	1,100	--
3) Auctions Cost Recovery	67,526	57,555 5/	59,079	
Reimbursements (P.L. 104-104) ...				--
4) Gifts and Bequests	\$88	--	--	--
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Subtotal Other Offsetting Collections:	\$82,505	\$71,798	\$60,179	--
Unobligated Offsetting Collections (EOY)				
Available to be carried forward.		TBD	TBD	--
Reg Fees (Sec 9) carryover (Cumulative)	--	--	--	--
Auctions carryover	(6,120) 3/	(\$6,488)	--	--
Lapsed or unutilized BA	(2)	--	--	--
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Total Budget Authority -				
Available to incur obligations:	\$312,505	\$316,869	\$338,271 6/	--
Obligated as of EOFY 2001:	\$306,316	TBD	TBD	

1/ The FY 2001 Y2K balance of \$1,901,000 was returned to Treasury and is not available for obligation in FY 2001.

2/ Includes \$6,481,000 in Auctions operating carryover funds (XA) and \$7,362,000 in carryover regulatory fees (XR).

3/ Includes \$5,964,000 in Auctions Fund 54 and \$156,000 in Auctions Fund XA carryover not obligated in FY 2001.

4/ Includes \$5,964,000 in Auctions Fund 54 and \$156,000 in Auctions Fund XA carryover not obligated in FY 2001; plus \$368,000 in funds recovered from prior year obligations.

also includes \$6,584,000 in FY 2001 regulatory fee collections not available in FY 2001 carried over into FY 2002 plus \$71,000 in funds recovered from prior year obligations

5/ Current auctions funding level approved by OMB pending further review of total funding request.

6/ Includes \$11,289,000 in funding to support proposed legislation requiring agencies to pay the full Government share of the accruing cost of retirement for CSRS and post-retirement health benefits.

The increase is distributed between direct B/A (\$1,084,000), and Regulatory Fees (\$8,681,000), and Auction Cost Recovery Reimbursements (\$1,524,000).

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DISTRIBUTION OF OBLIGATIONS:

Note: The distribution of obligations between Direct B/A and Regulatory Fees(Offsetting Collections) is based on a percentage ratio of direct and regulatory fee authority to the total Appropriations B/A for each fiscal year.

	<u>FY 2001</u> <u>Actual</u>	<u>FY 2002</u> <u>Estimate</u>	<u>FY 2003</u> <u>Request</u>	<u>Change to</u> <u>Appropriated</u> <u>Obligations</u>
Direct Appropriation:				
Personnel Compensation	\$16,464	\$14,919	\$16,169	\$1,250
Personnel Benefits	3,584	3,295	4,629	1,334
Benefits to Former Employees	9	7	7	0
Other Obligations	<u>9,730</u>	<u>8,093</u>	<u>9,093</u>	<u>1,000</u>
Sum - Direct Obligations	29,787	26,314	29,898	3,584
Offsetting Collections - Obligations:				
Regulatory Fees	200,146	218,757	248,194	29,437
Subtotal - Obligations from Appropriated Funds:	\$229,933 1/	\$245,071	\$278,092	\$33,021
(Less Rescission/Lapsed):				
Obligations - Other Offsetting Collections				
1) No-year Carryover Funds (Prior year)	13,687 2/	13,143 4/	- -	- -
2) Economy Act/Misc.Other	1,046	1,100	1,100	- -
3) Auctions Cost Recovery Reimbursements (P.L. 104-104)	61,562 3/	57,555 5/	59,079	- -
4) Gifts and Bequests	88	- -		
Subtotal - Obligations from Other Offsetting Collections	\$76,383	\$71,798	\$60,179	- -
TOTAL OBLIGATIONS	\$306,316	\$316,869	\$338,271 6/	- -
TOTAL OUTLAYS	\$297,000	\$352,000	\$388,000	
(Includes Direct & All Offsetting Collections)				

1/ In FY 2001, \$65,679 was rescinded in P.L. 106-554; \$1,623 lapsed at year end.

2/ \$156,000 in Auctions operating funds (XA) were carried forward into FY 2002. (See footnote 4/)

3/ \$5,964,000 in unobligated Auctions reimbursable funds were carried over to FY 2002. (See footnote 4/)

4/ Includes \$5,964,000 in Auctions Fund 54 and \$156,000 in Auctions Fund XA carryover not obligated in FY 2001; plus \$368,000 in funds recovered from prior year obligations; also includes \$6,584,000 in FY 2001 regulatory fee collections not available in FY 2001 carried over into FY 2002 plus \$71,000 in funds recovered from prior year obligations.

5/ Current auctions funding level approved by OMB pending further review of total funding request.

6/ Includes \$11,289,000 in funding to support proposed legislation requiring agencies to pay the full Government share of the accruing cost of retirement for CSRS and post-retirement health benefits. The increase is distributed between direct B/A (\$1,084,000), and Regulatory Fees (\$8,681,000), and Auction Cost Recovery Reimbursements (\$1,524,000).

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	<u>FY 2001 Actual</u>	<u>FY 2002 Estimate</u>	<u>FY 2003 Request</u>
Total Compensable Workyears:			
Full-Time Equivalent employment [FTEs] authorized ceiling in President's Budget	1,931	1,975	1,975
Proposed Distribution: 1/			
Direct	216	182	193
Offsetting Collections	1,707	1,785	1,774
Auctions Credit Program Account	8	8	8

1/ The distribution of FTEs between Direct and Reimbursable is estimated based on the prorata distribution of compensation funds available from Direct Appropriation and Offsetting Collections. Offsetting Collections include Regulatory Fees, Auction Receipts for Direct Auctions Program operating costs including the costs of maintaining Credit program accounts (8 FTEs), and certain Economy Act Reimbursables.

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SUMMARY

	<u>FY 2001</u> <u>Actual (Enacted)</u>	<u>FY 2002</u> <u>Estimate (Enacted)</u>	<u>FY 2003</u> <u>Estimate</u>	<u>Chg. to Requested</u> <u>Budget Authority</u>
Gross Direct Appropriations including Regulatory Fee Authority:	\$230,000	\$245,071	\$278,092	\$33,021
Rescission (P.L. 106-554)	(66)	--	--	--
Lapsed Year-End	(1)	--	--	--
Subtotal -- Direct Appropriations as adjusted	\$229,933 1/	\$245,071 1/	\$278,092	\$33,021
No Year Carryover Authority:				
-- Regulatory Fees (Sec. 9) 2/	7,362	6,655	--	--
-- Auctions Carryover 3/	6,325	6,488	--	--
Subtotal -- No Year Carryover Authority	\$13,687	\$13,143	\$--	\$--
Other Authority:				
-- Auctions Costs Recovery Reimbursable Authority	\$61,562	\$57,555	\$57,555	--
-- Government/Other Reimbursable Authority	1,046	1,100	1,100	--
-- Gifts and Bequests	88	--	--	--
Subtotal -- Other Authority	\$62,696	\$58,655	\$58,655	\$--
TOTAL GROSS BUDGET AUTHORITY (Net):	\$306,316	\$316,869	\$336,747	\$33,021

1/ Does not include adjustments for FY 2001 and FY 2003 to illustrate proposed retirement cost increases. See exhibit included under Section E which duplicates the President's Budget presentation.

2/ Assumes no carryover into FY 2003 from FY 2002.

3/ Reflects funds carried forward from FY 2001 into FY 2002 for the Auctions program. At the time of submission the balance of actual auction funding to be carried forward into 2003 has not been determined.

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-- The following tables depict the prorata distribution of personnel compensation and benefits and other obligations for Fiscal Years 2001-2003 based on a percentage ratio of direct and offsetting collections budget authority to the total Budget Authority for each fiscal year.

<i>DIRECT AUTHORITY - CURRENT:</i>		<u>FY 2001</u>	<u>FY 2002</u>	<u>FY 2003</u>	<u>Increase to</u>
		<u>Actual (Enacted)</u>	<u>Estimate (Enacted)</u>	<u>Request</u>	<u>Direct B.A.</u>
11	Personnel Compensation	\$16,464	\$14,919	\$16,169	\$1,250
12	A. Personnel Benefits	3,584	3,295	3,545	250
	B. Legislative Retirement Increases	-- 4/	-- 4/	1,084	1,084
13	Benefits for Former Personnel	9	7	7	--
Other Obligations by Object Class					
21	Travel & Transportation of Persons	127	150	150	--
22	Transportation of Things	9	8	8	--
23.1	GSA Rents	3,642	3,154	3,154	--
23.3	Other Rents, Communications, Utilities	914	729	729	--
24	Printing	208	170	170	--
25.2	Other Services	1,069	1,111	2,111	1,000
25.3	Federal Purchases, Goods, & Services	300	248	248	--
25.7	Operation/Maint. of Equip./Software/Information	1,795	1,984	1,984	--
26	Supplies & Materials	242	194	194	--
31	Equipment/Software	1,414	337	337	--
32	Land, Buildings, Structures	--	--	--	--
42	Insurance Claims & Indemnities	10	8	8	--
Total Direct Authority Obligations		\$29,787	\$26,314	\$29,898	\$3,584

4/ Does not include adjustments for FY 2001 and FY 2003 to illustrate proposed retirement cost increases. See exhibit included under Section E which duplicates the President's Budget presentation.

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AUTHORITY TO USE OFFSETTING COLLECTIONS:	FY 2001	FY 2002	FY 2003	Increase to
	<u>Actual (Enacted)</u>	<u>Estimate (Enacted)</u>	<u>Estimate</u>	<u>Offset. Collect.</u>
<i>REGULATORY FEES - CURRENT:</i>				
11 Personnel Compensation	\$110,626	\$124,020	\$128,009	\$3,989
12 A. Personnel Benefits	24,084	27,393	28,239	846
B. Legislative Retirement Increases	-- 5/	-- 5/	8,681	8,681
13 Benefits for Former Personnel	58	60	60	--
Other Obligations by Object Class				
21 Travel & Transportation of Persons	855	1,245	2,094	849
22 Transportation of Things	60	70	73	3
23.1 GSA Rents	24,472	26,220	27,012	792
23.3 Other Rents, Communications, Utilities	6,143	6,060	6,232	172
24 Printing	1,400	1,416	1,456	40
25.2 Other Services	7,183	9,236	11,731	2,495
25.3 Federal Purchases, Goods, & Services	2,012	2,063	2,120	57
25.7 Operation/Maint. of Equip./Software/Information	12,064	16,493	22,721	6,228
26 Supplies & Materials	1,626	1,609	1,728	119
31 Equipment/Software	9,498	2,805	7,971	5,166
32 Land, Buildings, Structures	--	--	--	--
42 Insurance Claims & Indemnities	65	67	67	--
Total Obligations from Regulatory Fees	\$200,146	\$218,757	\$248,194	\$29,437
Total Obligations from Direct Appropriations	\$229,933	\$245,071	\$278,092	\$33,021

5/ Does not include adjustments for FY 2001 and FY 2003 to illustrate proposed retirement cost increases. See exhibit included under Section E which duplicates the President's Budget presentation.

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NO-YEAR/CARRYOVER BUDGET AUTHORITY:

CARRYOVER REGULATORY FEES (NO-YEAR):

-- The following table depicts the estimated distribution of obligations from cumulative Regulatory Fees collected in excess of legislative levels which are available for obligation until expended. At the time of this submission, we assumed no carryover into FY 2002 and FY 2003.

	FY 2001	FY 2002	FY 2003
	<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>
11 Personnel Compensation	\$--	\$--	\$--
12 Personnel Benefits	--	--	--
13 Benefits for Former Personnel	--	--	--
Other Obligations by Object Class	--	--	--
21 Travel & Transportation of Persons	--	250	--
22 Transportation of Things	--	--	--
23.1 GSA Rents	--	--	--
23.3 Other Rents, Communications, Utilities	--	95	--
24 Printing	--	--	--
25.2 Other Services	2,539	3,561	--
25.3 Federal Purchases, Goods, & Services	--	--	--
25.7 Operation/Maint. of Equip./Software/Information	4,398	1,850	--
26 Supplies & Materials	--	71	--
31 Equipment/Software	425	828	--
32 Land, Buildings, Structures	--	--	--
42 Insurance Claims & Indemnities	--	--	--
	<hr/>	<hr/>	<hr/>
Total Regulatory Fees (No-Year)	\$7,362	\$6,655 6/	\$--

6/ The obligations associated with carryover regulatory fees reflect presentational data consistent with the President's FY 2003 Budget document and do not reflect any actual obligations in conflict with the will of Congress.

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NO-YEAR/CARRYOVER BUDGET AUTHORITY:

CARRYOVER AUCTIONS (NO-YEAR):

-- The following table depicts the distribution of estimated obligations from Auctions funds brought forward from available unobligated balances for the purpose of conducting auctions in FY 2001. Potential carryover balances into FY 2002 and FY 2003 could not be determined at the time of this submission.

	FY 2001	FY 2002	FY 2003
	<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>
11 Personnel Compensation	\$2,103	\$2,276	\$--
12 Personnel Benefits	405	513	--
13 Benefits for Former Personnel	--	--	--
 Other Obligations by Object Class			
21 Travel & Transportation of Persons	35	33	--
22 Transportation of Things	--	1	--
23.1 GSA Rents	5	331	--
23.3 Other Rents, Communications, Utilities	427	127	--
24 Printing	6	--	--
25.2 Other Services	1,934	2,431	--
25.3 Federal Purchases, Goods, & Services	12	13	--
25.7 Operation/Maint. of Equip./Software/Information	118	540	--
26 Supplies & Materials	24	36	--
31 Equipment/Software	1,256	187	--
32 Land, Buildings, Structures	--	--	--
42 Insurance Claims & Indemnities	--	--	--
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Total Auctions Carryover (No-Year)	\$6,325	\$6,488	\$--

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OTHER BUDGET AUTHORITY:

AUCTIONS COST RECOVERY REIMBURSABLE AUTHORITY:

-- The following table depicts the distribution of estimated FY 2001, FY 2002 and FY 2003 obligations utilizing auctions costs recovery reimbursable authority (P.L. 104-104).

	FY 2001	FY 2002	FY 2003
	<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>
11 Personnel Compensation	\$18,873	\$19,627	\$19,627
12 A. Personnel Benefits	3,389	4,962	4,962
B. Legislative Retirement Proposal Increases	-- 7/	-- 7/	1,524 8/
13 Benefits for Former Personnel	--	--	--
 Other Obligations by Object Class			
21 Travel & Transportation of Persons	252	342	342
22 Transportation of Things	4	42	42
23.1 GSA Rents	3,115	2,110	2,110
23.3 Other Rents, Communications, Utilities	1,741	2,810	2,810
24 Printing	187	883	883
25.2 Other Services	24,033	18,979	18,979
25.3 Federal Purchases, Goods, & Services	743	60	60
25.7 Operation/Maint. of Equip./Software/Information	2,647	3,371	3,371
26 Supplies & Materials	202	331	331
31 Equipment/Software	6,376	4,038	4,038
32 Land, Buildings, Structures	--	--	--
42 Insurance Claims & Indemnities	--	--	--
	<hr/>	<hr/>	<hr/>
Total Auctions Costs Recovery			
Reimbursable Authority 9/	\$61,562	\$57,555	\$59,079

7/ Does not include adjustments to illustrate proposed retirement increases. See exhibit included under Section E.

8/ Reflects the funding from auction receipts necessary to support the President's proposal to fully fund federal employee retirement costs.

9/ Does not include administrative costs of the Credit Program.

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OTHER BUDGET AUTHORITY:

GOVERNMENT/OTHER REIMBURSABLE AUTHORITY:

-- The following table depicts the Economy Act/Other Reimbursable estimated FY 2001, FY 2002 and FY 2003 obligations.

	FY 2001	FY 2002	FY 2003
	<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>
11 Personnel Compensation	\$76	\$100	\$100
12 Personnel Benefits	17	20	20
13 Benefits for Former Personnel	--	--	--
Other Obligations by Object Class			
21 Travel & Transportation of Persons	84	100	100
22 Transportation of Things	26	30	30
23.1 GSA Rents	--	--	--
23.3 Other Rents, Communications, Utilities	2	10	10
24 Printing	--	--	--
25.2 Other Services	289	300	300
25.3 Federal Purchases, Goods, & Services	25	30	30
25.7 Operation/Maint. of Equip./Software/Information	--	--	--
26 Supplies & Materials	143	140	140
31 Equipment/Software	384	370	370
32 Land, Buildings, Structures	--	--	--
42 Insurance Claims & Indemnities	--	--	--
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Total Govt./Other Reimbursable Authority	\$1,046	\$1,100	\$1,100

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OTHER BUDGET AUTHORITY:

GIFTS AND BEQUESTS:

-- The following table depicts the distribution of estimated obligations made against gifts and bequests in FY 2001. Potential funding for FY 2002 and FY 2003 could not be determined at the time of this submission.

	FY 2001	FY 2002	FY 2003
	<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>
11 Personnel Compensation	\$--	\$--	\$--
12 Personnel Benefits	--	--	--
13 Benefits for Former Personnel	--	--	--
Other Obligations by Object Class			
21 Travel & Transportation of Persons	--	--	--
22 Transportation of Things	--	--	--
23.1 GSA Rents	--	--	--
23.3 Other Rents, Communications, Utilities	--	--	--
24 Printing	--	--	--
25.2 Other Services	88	--	--
25.3 Federal Purchases, Goods, & Services	--	--	--
25.7 Operation/Maint. of Equip./Software/Information	--	--	--
26 Supplies & Materials	--	--	--
31 Equipment/Software	--	--	--
32 Land, Buildings, Structures	--	--	--
42 Insurance Claims & Indemnities	--	--	--
	<hr/>	<hr/>	<hr/>
Total Gifts and Bequests	\$88	\$--	\$--

FY 2003 Budget Estimates to Congress

**FY 2003 SUMMARY OF INCREASES
BY BUDGET OBJECT CLASS CODE
(Dollars in thousands)**

BOCC 11.00/12.00/13.00 - Salary Costs (net)	\$16,100
(• Uncontrollable Pay Increases	\$6,335)
(• Legislative Retirement Proposal)	\$9,765)
BOCC 21-42 - Other Obligations	\$16,921
(• Inflation Increases	\$1,855)
(• Programmatic Increases.....	\$15,066)
Total Increase	\$+33,021

This section provides a description of the increased funding requested for FY 2003 by budget object class code. These requested increments are to be funded from Direct Budget Authority and Offsetting Collections (regulatory fees) available to the FCC.

Personnel Changes

<u>BOCC 11.00 Compensation</u>	\$+5,239
• Pay Raise for 75% of FY 2003 Provides funds for uncontrollable cost increases resulting from the FY 2003 pay raise (2.6%) effective January 2003 (75% of FY 2003)	3,572
• Pay Raise annualized for FY 2002 Provides funds for uncontrollable cost increases which are the result of the FY 2002 pay raise annualized for 25% of FY 2003	1,667
<u>BOCC 12.00 Benefits</u>	\$+10,861
• Benefits associated with compensation increases necessary to fund the pay raise for 75% of FY 2003 Provides funds for uncontrollable increased benefits costs to the agency for 75% of FY 2003 for the pay raise	606
• Benefits annualized for FY 2002 Provides funds for uncontrollable increased costs of agency benefits associated with FY 2002 pay raise annualized for 25% of FY 2003, \$368,000; and health benefits costs above anticipated FY 2002 level, \$108,000	476

• Workers' Compensation		
Provides funds for uncontrollable increased costs of Workers' Compensation		14
• Legislative Proposal to Fully Fund Retirement Costs		
Starting in 2003, provides funding to cover the full costs of federal retirees for pension and health benefits from Appropriations (an additional \$1,524,000 will be funded from auction receipts as a prorata cost of conducting the auctions program within the Commission)		9,765
<u>BOCC 13.00 Benefits for Former Personnel</u>		\$0
<u>Other Obligations</u>		
<u>BOCC 21.00 Travel and Transportation of Persons</u>		+\$849
• Domestic/International Travel		
Provides additional funds for travel and transportation costs, developed in accordance with the Office of Management and Budget guidelines to cover inflationary cost (2.5%) (\$28,000), travel funds to support training initiatives including the Excellence in Engineering (EIE) Program for all FCC staff at field locations (\$165,000), and to facilitate on-site enforcement of Commission rules and regulations throughout the nation (\$450,000)		643
• Leased, Passenger Vehicles		
Provides inflationary cost increases (2.5%) (\$1,000) to existing accounts, as well as funding to provide for the rental of monitoring vehicles (41) to facilitate on-site enforcement of Commission rules and regulations throughout the nation (\$205,000)		206
<u>BOCC 22.00 Transportation of Things</u>		+\$3
• Rent, Non-Passenger GSA and Commercial Vehicles		
Provides inflationary cost increases (2.5%)		2
• Parcel Post		
Provides inflationary cost increases (2.5%)		1
<u>BOCC 23.00 Rents, Communications, Utilities</u>		+\$964
• GSA Rent and Fees		
Provides funds to cover the increase in rental costs for GSA to provide office space to the FCC in FY 2003 at the Portals complex (\$749,000) and increased cost of field office and warehouse space (\$43,000)		792
• Non-GSA Space Rent		
Provides funds for inflationary cost increases (2.5%)		19

• GSA and Non-GSA Telephones Provides funds for inflationary cost increases (2.5%)	86
• Mail Service--Postage Provides funds for inflationary cost increases (2.5%)	16
• GSA, Electric, Other Utilities Provides funds for inflationary cost increases (2.5%)	15
• Telecommunications Service--Non-GSA Provides funds for inflationary cost increases (2.5%)	8
• Copier/Other Equipment rental Provides funds for inflationary cost increases (2.5%)	28
<u>BOCC 24.00 Printing and Reproduction</u>	\$+40
• Printing/Reproduction/Binding Provides funds for inflationary cost increases (2.5%)	40
<u>BOCC 25.00 Other Contractual Services</u>	\$+9,780
• Commission-wide Information Technology Requirements Provides funds for systems modernization (\$1,230,000), upgrades for consumer information (\$650,000), funds to begin consolidating portions separate systems that issue various types of licenses so that we can give the public easier access to submit applications, check on their status and retrieve information through a unified user interface (\$2,100,000), improve the internet web site (\$800,000), and provides funds to improve agency operations by increasing staff effectiveness (\$1,000,000)	5,780
• Emergency Response Command Center Provides the Commission with contract funding to provide improvements to primary and alternate Emergency Response Command Center capabilities such as redundant telecommunications circuits, backup electric power, encrypted data and voice systems for classified interagency communications as well as enhancements to equipment, furnishings and communications infrastructure	1,000
• Enclosed Test Facility (A&E) Provides funds for the design and engineering analysis to provide Office of Engineering and Technology an enclosed test facility	200
• Contract Services--Non-Federal Provides funds for inflationary cost increases (2.5%)	270

• ADP Data Retrieval Services Provides funds for inflationary cost increases (2.5%)	10
• Training/Tuition/Fees Provides funds for inflationary cost increases to maintain base level training activities (2.5%) (\$15,000). Provides \$2,000,000 to support a Commission-wide training program that will provide extensive skills-based training including the Excellence in Engineering and Excellence in Economic Analysis programs	2,015
• Contract Purchases - Federal Provides funds for inflationary cost increases (2.5%) for guard services	10
• Interagency Contracts Provides funds for inflationary cost increases (2.5%)	47
• Field Office Buildings/Grounds Provides funds for inflationary cost increases (2.5%)	1
• Space Repair Provides funds for inflationary cost increases (2.5%)	37
• Health Services Provides funds for inflationary cost increases (2.5%)	2
• Repair/Maintenance of Vehicles Provides funds for inflationary cost increases (2.5%). Also, includes \$26,000 to fund routine maintenance of Enforcement Bureau's vehicles requested in FY 2003	28
• ADP Software/Equipment Maintenance Provides funds for inflationary cost increases (2.5%)	36
• Repair Office Equipment/Furniture Provides funds for inflationary cost increases (2.5%)	13
• ADP Service Contracts Provides funds for inflationary cost increases (2.5%)	330
• Telephone Maintenance Provides funds for inflationary cost increases (2.5%)	1

<u>BOCC 26.00 Supplies and Materials</u>	\$+119
• Supplies and Materials/Field Fuel Supplies Includes funds to provide fuel for additional vehicles requested for FY 2003 (\$74,000) as well as provide inflationary cost increases (2.5%) to cover base level operating requirements	77
• ADP Supplies Provides funds for inflationary cost increases (2.5%)	2
• Comm. Subscriptions/Periodicals Provides funds for inflationary cost increases (2.5%)	9
• General Supplies/Materials Provides funds for inflationary cost increases (2.5%)	31
<u>BOCC 31.00 Equipment</u>	\$+5,166
• Commission-wide Technical Equipment Requirements Provides funds for life-cycle replacement of technical equipment for the Office of Engineering and Technology (\$750,000) and Enforcement Bureau (\$900,000).....	1,650
• Commission-wide ADP Equipment Requirements Provides funds to purchase equipment to improve agency operations by increasing staff effectiveness (\$1,000,000), and to improve the Commission's web site (\$100,000).....	1,100
• Commission-wide ADP Software Requirements Provides funds to purchase software to improve agency operations by increasing staff effectiveness (\$2,000,000), funding to upgrade the agency's Consumer Information Management System (\$100,000), and to improve the Commission's web site (\$100,000)	2,200
• Purchase of Vehicles Provides funds for the purchase of eight monitoring vehicles for the Enforcement Bureau to facilitate on-site enforcement of Commission rules and regulations throughout the nation	216
<u>BOCC 32.00 Lands and Structures</u>	\$0
<u>BOCC 42.00 Insurance Claims and Indemnities</u>	\$0

FY 2003 Budget Estimates to Congress
ALLOCATION OF OBLIGATIONS BY OBJECT CLASS CODE
(Dollars in thousands)

OBJECT CLASS CODE	Actual FY 2001	Estimate FY 2002	Adjustments To Establish FY 2003 Base	FY 2003 Base	Programmatic Changes (+/-)	FY 2003 Total Request
11 Personnel Compensation	\$127,090	\$138,939	\$5,239	\$144,178	\$0	\$144,178
12 Personnel Benefits	27,668	30,688	1,096	\$31,784	0	\$31,784
Pension-Health Benefits	0	0	9,765 ^{5/}	\$9,765	0	\$9,765
13 Benefits for Former Personnel	67	67	0	67	0	\$67
21 Travel & Trans. of Persons	982	1,395	29	1,424	820	\$2,244
22 Transportation of Things	69	78	3	81	0	81
23.1 GSA Rent	28,114	29,374	792	30,166	0	30,166
23.3 Other Rents, Communications, Utilities	7,057	6,789	172	6,961	0	6,961
24 Printing	1,608	1,586	40	1,626	0	1,626
25.2 Other Services	8,252	10,347	295	10,642	3,200	13,842
25.3 Fed. Purchase, Goods & Services	2,312	2,311	57	2,368	0	2,368
25.7 Op/Maint. of Equip./Software/ Info Sys	13,859	18,477	422	18,899	5,806	24,705
26 Supplies & Materials	1,868	1,803	45	1,848	74	1,922
31 Equipment/Software	10,912	3,142	0	3,142	5,166	8,308
32 Land, Buildings, Structures	0	0	0	0	0	0
42 Insur. Claims & Indemnities	75	75	0	75	0	75
SUB TOTAL APPROPRIATION AUTHORITY (Direct and Offsetting Collections)	\$229,933 ^{1/}	\$245,071	\$17,955	\$263,026	\$15,066	\$278,092
Reg. Fees (Sec. 9) Carryover	7,362 ^{2/}	6,655				
Auction Reimb. Carryover	6,325	6,488 ^{4/}				
Y2K	0 ^{3/}	0				
Gifts & Bequests	88	0				
Reimbursables - Gov't/Other	1,046	1,100		1,100		1,100
Auctions Cost Recovery-Reimbursement	61,562	57,555		57,555		57,555
Auctions Cost Recovery - Pension-H/B est.	0	0	\$1,524 ^{5/}	1,524		1,524
TOTAL REQUEST	\$306,316	\$316,869	\$19,479	\$323,205	\$15,066	\$338,271

1/ Reflects rescission of \$65,679; P.L. 106-554.

2/ The \$7.3 million in Sec. 9 Regulatory Fees includes \$5.8M from prior year and \$1.5M carried forward from FY 2000 into FY 2001 for use following Congressional approval.

3/ The FY 2001 Y2K balance of \$1,901,000 was returned to Treasury and was not available for obligation in FY 2001.

4/ The actual amount of funds carried forward from FY 2001 into FY 2002 for the Auctions Program XA account

5/ Represents funding for proposed legislation requiring agencies to pay the full Government share of accruing cost of retirement for CSRS and post-retirement health benefits from Appropriated (\$9,765,000) and Non-Appropriated Offsetting Collections/Auctions Receipts (\$1,524,000).

FY 2003 Budget Estimates to Congress
PRORATA ALLOCATION OF OBLIGATIONS BY OBJECT CLASS CODE
Direct Authority and Offsetting Collections
(Dollars in thousands)

OBJECT CLASS CODE	FY 2002 Funding Source			FY 2003 Funding Source		
	Direct Authority	+ Offsetting Collections	= FY 2002 Total OC Allocation	Direct Authority	+ Offsetting Collections	= FY 2003 Total OC Allocation
11 Personnel Compensation	\$14,919	\$124,020	\$138,939	\$16,169	\$128,009	\$144,178
12 Personnel Benefits	3,295	27,393	30,688	3,545	28,239	31,784
Pension-Health Ben. /1	0	0	0	1,084	8,681	9,765
13 Benefits for Former Personnel	7	60	67	7	60	67
21 Travel & Trans. of Persons	150	1,245	1,395	150	2,094	2,244
22 Transportation of Things	8	70	78	8	73	81
23.1 GSA Rent	3,154	26,220	29,374	3,154	27,012	30,166
23.3 Other Rents, Communications, Utilities	729	6,060	6,789	729	6,232	6,961
24 Printing	170	1,416	1,586	170	1,456	1,626
25.2 Other Services	1,111	9,236	10,347	2,111	11,731	13,842
25.3 Fed. Purchases, Goods & Services	248	2,063	2,311	248	2,120	2,368
25.7 Op/Maint. of Equip./Software/Info Sys	1,984	16,493	18,477	1,984	22,721	24,705
26 Supplies & Materials	194	1,609	1,803	194	1,728	1,922
31 Equipment/Software	337	2,805	3,142	337	7,971	8,308
32 Land, Buildings, Structures	0	0	0	0	0	0
42 Insur. Claims & Indemnities	8	67	75	8	67	75
Appropriation-Direct B/A	\$26,314		\$26,314	\$29,898		\$29,898
Appropriation-Offsetting Collections B/A:						
Regulatory Fees		\$218,757	\$218,757		\$248,194	\$248,194
Subtotal-B/A in Language			\$245,071			\$278,092
Reg. Fees (Sec. 9) Carryover		6,655	6,655		0	0
Auction Reimb. Carryover		6,488	6,488		0	0
Reimbursable Program - Gov't/Other (Est.)		\$1,100	\$1,100		\$1,100	\$1,100
Auctions Cost Recovery-Reimbursement		\$57,555	\$57,555		\$57,555	\$57,555
Auctions Cost Recovery-Pension-Health Ben. /1		\$0	\$0		\$1,524	\$1,524
Total Gross Budget Authority			\$316,869			\$338,271

1/ Represents funding for proposed legislation requiring agencies to pay the full Government share of accruing cost of retirement for CSRS and post-retirement health benefits from Appropriated (\$9,765,000) and Non-Appropriated Offsetting Collections/Auctions Receipts (\$1,524,000).