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**ÆMORANDUM** 

Food and Drug Administration Rockville MD 20857

DATE:

March 20, 2006

TO:

Jason D. Brodsky

Acting Associate Commissioner Office for External Relations Food and Drug Administration

THROUGH:

Jenny Slaughter

Director, Ethics and Integrity Staff

Office of Management Programs

Office of Management

FROM:

Igor Cerny, Pharm.D.

Director, Advisors and Consultants Staff Center for Drug Evaluation and Research

SUBJECT: Conflict of Interest Waiver for Ronald Portman, M.D.

I am writing to request a waiver for Ronald Portman, M.D., a member of the Cardiovascular and Renal Drugs Advisory Committee, from the conflict of interest prohibitions of 18 U.S.C. §208(a). The appointing official may grant waivers under 18 U.S.C. §208(b)(3) where "the need for the individual's services outweighs the potential for a conflict of interest created by the financial interest involved" and where the individual has made a disclosure of the financial interests at issue. We have determined that you are the appointing official for purposes of section 208. Therefore, you have the authority to grant Dr. Portman a waiver under section 208(b)(3).

Section 208(a) prohibits Federal executive branch employees, including special Government employees, from participating personally and substantially in matters in which the employee or his employer has a financial interest. Since Dr. Portman is a special Government employee, he is under a statutory obligation to refrain from participating in any deliberations that involve a particular matter having a direct and predictable effect on a financial interest attributable to him or his employer.

The function of the Cardiovascular and Renal Drugs Advisory Committee, as stated in its Charter, is to review and evaluate available data concerning the safety and effectiveness of marketed and investigational human drug products for use in the treatment of cardiovascular and renal disorders and to make

appropriate recommendations to the Commissioner of Food and Drugs.

Dr. Portman has been asked to participate in all official matters concerning the discussion of the Agency's draft recommendations for re-labeling of anti-hypertensive drugs for outcome claims, as a follow-up to the committee's meeting on June 15, 2005, where the committee discussed class labeling of antihypertensive drugs based on the proximity of their data to outcome trials. The discussion will not focus on any particular product or firm and is a particular matter of general applicability.

Dr. Portman has advised the Food and Drug Administration (FDA) that he has financial interests that could potentially be affected by his participation in this matter. Dr. Portman serves as a Member of Advisory Board on pediatric hypertension. Dr. Portman is also a consultant to on pediatric hypertension. He receives modest compensation for his participation. These firms have products that could potentially be affected by the committee's discussions.

In addition, Dr. Portman's employer, the University of Texas Houston Medical School, is involved in four studies of anti-hypertensive agents in children sponsored by \_\_\_\_\_\_\_, and \_\_\_\_\_. Dr. Portman serves as the Site Investigator. He does not receive any personal remuneration or salary support from the funding received. The sponsors of these trials could potentially be affected by the committee's discussions.

As a member of the Cardiovascular and Renal Drugs Advisory Committee, Dr. Portman could potentially become involved in matters that could affect his imputed financial interest. Under section 208, he is prohibited from participating in such matters. However, as noted above, you have the authority under 18 U.S.C. §208(b)(3) to grant a waiver permitting Dr. Portman to participate in such matters, as you deem appropriate.

For the following reasons, I believe that it would be appropriate for you to grant a waiver to Dr. Portman that would allow him to participate fully in the matter described above.

First, Dr. Portman's personal financial interests are not so substantial as to preclude his participation in this matter; he receives modest compensation for these activities.

Second, with respect to Dr. Portman's employer's interests in \_\_\_\_\_\_, \_\_\_\_\_\_, \_\_\_\_\_\_, \_\_\_\_\_\_, and \_\_\_\_\_\_\_, it is important to note that he himself, through these interests, has no financial interest in either the drugs under study or the companies. Although the financial interests of an employer impute to the individual under 18 U.S.C. §208, generally there is less likelihood that the judgment of the individual will be affected by an imputed interest of an employer than by a personal financial interest.

In addition, it is unlikely that Dr. Portman's participation in the committee's discussions of class labeling of antihypertensive drugs, will have an impact on his employer's studies of anti-hypertensives in children. Even if it were possible that these firms would be more or less likely to continue to fund these studies, the financial impact on the University of Texas Houston Medical School would likely be minimal. In FY 2005, the University of Texas Health Science Center at Houston's (UTHSC) total research expenditures were \$152 million. The UTHSC at Houston is ranked 1<sup>st</sup> in child health and human development research among public health universities in Texas.

Moreover, it is important to consider that the matter in which Dr. Portman is participating is a particular matter of general applicability. The committee's discussions will not focus on any particular product or firm. Rather, the committee's recommendations and the Agency's action on this issue could impact all firms that market or are developing antihypertensive drugs to the same extent.

Further, the Federal Advisory Committee Act requires that committee memberships be fairly balanced in terms of the points of view represented and the functions to be performed by the various advisory committee members and Dr. Portman's participation will contribute to the balance of views represented and the diversity of opinions and expertise. The Committee's intended purpose would be significantly impaired

if the agency could not call upon experts who have become eminent in their fields, notwithstanding the financial interests and affiliations they may have acquired as a result of their demonstrated abilities. Ronald Portman, M.D., is Professor of Pediatrics and Director of the Pediatric Nephrology Division at the University of Texas Medical School at Houston. He is also President of the International Pediatric Hypertension Association. Dr. Portman is board certified in pediatrics, with a subspecialty in pediatric nephrology. His expertise in these areas is demonstrated by his numerous publications and his membership in various professional societies, such as the American Heart Association, the American Society of Pediatric Nephrology, American Society of Transplant Physicians and North American Pediatric Renal Transplant Cooperative Study Group. Dr. Portman played a key role in the announcement of new federal quidelines recommending that physicians check children for possible heart and blood vessel damage if they have high blood pressure. Dr. Portman served on the committee of the National High Blood Pressure Education Program, which wrote the guidelines. He is president of the International Pediatric Hypertension Association, a group he founded four years ago. I believe that Dr. Portman's participation will contribute to the diversity of expertise and viewpoints represented and will provide a foundation for developing advice and recommendations that will be fair and comprehensive.

Accordingly, I recommend that you grant Dr. Portman a waiver that would allow him to participate in all official matters concerning the Agency's draft recommendations for relabeling of anti-hypertensive drugs for outcome claims, as a follow-up to the committee's meeting on June 15, 2005, where the committee discussed class labeling of antihypertensive drugs based on the proximity of their data to outcome trials.

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I believe that such a waiver is appropriate because in this case, the need for the services of Dr. Portman outweighs the potential for a conflict of interest created by the financial interest attributable to him.

CONCURRENCE:

enny Slaughter

irector Ethics and Integrity Staff

Office of Management Programs

Office of Management

DECISION;

Waiver granted based on my determination, made in accordance with section 208(b)(3) that the need for the individual's services outweighs the potential for a conflict of interest created by the financial interest attributable to the individual.

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Jason D. Brodsky

Acting Associate Commissioner office for External Relations Food and Drug Administration

Date