

The <u>U.S. Department of Justice</u>, <u>Office of Justice Programs'</u> <u>Bureau of Justice Assistance</u> is pleased to announce that it is seeking applications for funding under the Justice and Mental Health Collaboration Program. This program furthers the Department's mission by increasing public safety through innovative cross-system collaboration for individuals with mental illness who come into contact with the criminal or juvenile justice systems.

Justice and Mental Health Collaboration Program FY 2007 Competitive Grant Announcement

Eligibility

Applicants are limited to states, units of local government, Indian tribes, and tribal organizations. (See "Eligibility," page 1)

Deadline

All applications are due by 8:00 p.m. e.t. on December 12, 2006. (See "Deadline: Applications," page 1)

Contact Information

For assistance with the requirements of this solicitation, contact: Robert Hendricks, BJA Policy Advisor, at 202–305–1909 or robert.hendricks@usdoj.gov.

This application must be submitted through Grants.gov. For technical assistance with submitting the application, call the Grants.gov Customer Support Hotline at 1–800–518–4726.

Grants.Gov number assigned to announcement: BJA-2007-1448

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Justice and Mental Health Collaboration Program CDFA #16.745

Overview of the Justice and Mental Health Collaboration Program

The Justice and Mental Health Collaboration Program seeks to increase public safety through innovative cross-system collaboration for individuals with mental illness who come into contact with the criminal or juvenile justice systems. The Bureau of Justice Assistance (BJA) is seeking joint applications from eligible applicants seeking to plan, implement, or expand an adult or juvenile collaboration program. This program is funded through the Mentally III Offender Treatment and Crime Reduction Act of 2004 (MIOTCRA) (Public Law 108-414).

Deadline: Registration

Registering with Grants.gov is a one-time process; however, if you are a first-time registrant, it could take up to several weeks to have your registration validated and confirmed and to receive your user password. It is highly recommended you start the registration process as early as possible to prevent delays in submitting your application package to our agency by the deadline specified. There are three steps that you must complete before you are able to register: 1) register with Central Contractor Registry (CCR), 2) register yourself as an Authorized Organization Representative (AOR), and 3) be authorized as an AOR in your organization. For more information, go to www.grants.gov. Note: Your CCR registration must be renewed once a year. Failure to renew your CCR registration will prohibit submission of a grant application through Grants.gov.

Deadline: Applications

The due date for applying for funding under this announcement is 8:00 p.m. e.t. on December 12, 2006.

Eligibility

Applicants are limited to states, units of local government, Indian tribes, and tribal organizations. BJA will only accept joint applications; each application must demonstrate that the proposed project will be managed jointly by a mental health agency and a unit of government with responsibility for criminal justice activities.

Justice and Mental Health Collaboration Program-Specific Information

All awards are subject to the availability of appropriated funds and any modifications or additional requirements that may be imposed by law.

The Justice and Mental Health Collaboration Program will increase public safety by facilitating collaboration among the criminal justice, juvenile justice, and mental health and substance abuse treatment systems to increase access to services for offenders with mental illness. The Program encourages early intervention for system-involved individuals with mental illness;

provides new and existing mental health courts with various treatment options; maximizes diversion opportunities for nonviolent offenders with mental illness and co-occurring disorders; promotes training for justice and treatment professionals on criminal justice processes and mental health and substance abuse issues; and facilitates communication, collaboration, and the delivery of support services among justice professionals, treatment and related service providers, and governmental partners.

BJA supports an intervention model proposed by the <u>National GAINS Center</u> and the <u>Ohio Criminal Justice Coordinating Center of Excellence</u>. The <u>Sequential Intercept Model</u> identifies "points of interception" where an intervention can be made to keep an individual with mental illness from entering or moving further into the justice system. Applicants also should review <u>Public Law 108-414</u>, as it defines terms used in this solicitation, such as "diversion," "alternative prosecution and sentencing," and "preliminarily qualified offenders."

Award Categories

Justice and Mental Health Collaboration Program grants are available in one of the following three categories. Each category requires a joint application with a mental health agency and unit of government responsible for criminal and/or juvenile justice activities.

CATEGORY I: PLANNING

Grant maximum: \$50,000. Project period: 12 months.

Category I applicants (local or state level) will *design* a strategic, collaborative plan to initiate systemic change for the identification and treatment of offenders with mental illness. Key to the planning category is the development of an effective collaboration representing support from all levels of government, justice, mental health and treatment services, transportation, housing, advocates, consumers, and family members. This collaboration will ultimately form the basis for a problem- solving model, or strategic plan, to intercept individuals from the system at the earliest point possible while promoting public safety. Category I grantees will receive intensive technical assistance from BJA throughout the grant period to support their planning efforts.

CATEGORY II: PLANNING AND IMPLEMENTATION

Grant maximum: \$250,000. Project period: 30 months.

Category II applicants will *complete* an already-initiated strategic plan for their mental health collaboration, and then *begin* implementation of the plan during the project period. Applicants in this category will submit their draft plan as part of the application review process. While plans need not be final, they should reflect substantive thought and activity toward planning a mental health collaboration. Approximately six months of the total project period should be used to complete details on plans, with the remaining months used to begin implementation of the plan. Category II grantees will receive approval from BJA to begin the implementation phase of their grant once they have successfully met all planning performance measures, and will receive intensive technical assistance from BJA during the planning period of the grant.

Category III: IMPLEMENTATION AND EXPANSION

Grant maximum: \$200,000. Project period: 24 months.

Category III applicants will *implement*—and may expand—their well-established collaboration plan. Implementation and expansion grants can support mental health courts and diversion/alternative prosecution and sentencing programs, crisis intervention teams, and treatment accountability services; specialized training for justice and treatment services professionals; service delivery for collateral services such as housing; and corrections, transitional, and reentry services to create or expand mental health and support services.

Category III funding can be used to support limited expansion planning, if the planning is implemented within the project period.

Match Requirement

A grant made under this program may not cover more than 80 percent of the total costs of the project being funded. The applicant must identify the source of the 20 percent non-federal portion of the budget and how match funds will be used. Applicants may satisfy this match requirement with either cash or in-kind services. The formula for calculating match is:

<u>Award amount</u> = Adjusted Project Costs X Recipient's Share = Required Match Federal Share

Example: For a federal award amount of \$350,000, match would be calculated as follows:

\$350,000 = \$437,500 X 20% = \$87,500 match 80%

Performance Measures

To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work. Performance measures for this solicitation are as follows:

Objectives	Performance Measures	Data Grantee Provides
Objective 1: Reduce recidivism of the mentally ill in the criminal justice system.	Outcomes: Percentage of program participants who recidivate within one year after completion of the program.	For the current reporting period: Number of individuals law enforcement responded to through: -ArrestDiversion from arrestReferral to mental health services.
	Percentage of program participants who recidivate while engaged in the program.	Number of individuals participating in a program provided by: -CourtsCorrectionsJuvenile.
	Percentage of program participants who successfully complete the program.	Number of program participants who successfully completed programs provided by: -CourtsCorrectionsJuvenile.

Objective 3 Increase the number of court-based diversion programs and alternative jail diversion strategies. Output: Number of new mental health courts hased diversion programs established. Number of new mental health courts established: -Pre-adjudicationPost-adjudicationPre-adjudicationPre-adjudicationPre-adjudicationPre-adjudicationPre-adjudicationPre-adjudicationPre-adjudicationPre-adjudicationPre-adjudication.	Objective 2 Increase the number of criminal justice personnel trained in or using law enforcement-based diversion strategies.	Output: Number of new law enforcement/mental health partnership programs established.	Number of program participants who completed a program within the last year who committed a new crime: -CourtsCorrectionsJuvenile. Number of current courts program participants who: -Experienced one or more technical violations of supervisionWere terminated from the programCommitted a new crime. Number of current corrections program participants who: -Experienced one or more technical violations of supervisionWere terminated from the programCommitted a new crime. For the current reporting period: Number of new law enforcement/mental health partnership programs established. Number of law enforcement and mental health training sessions conducted. Number of personnel trained: -Mental health and substance abuse staffCriminal justice staff.
	Increase the number of court- based diversion programs and alternative jail diversion	Number of new mental health courts and other court-based	For the current reporting period: Number of new mental health courts established: -Pre-adjudicationPost-adjudication. Number of individuals participating in a mental health court:

¹ A new arrest should only be counted once for each program graduate. If the graduate is arrested for two new offenses, only the first arrest should be counted, regardless of different reporting periods.

Objective 4 Increase the number of juvenile justice collaborations.	Output: Number of new government partnership compacts formed among mental health, municipal, county, and state government elected officials to address juvenile mentally ill offenders.	For the current reporting period: Number of new juvenile justice compacts formed.
Objective 5 Increase the number of correctional-based transition programs for mentally ill offenders.	Output: Number of new correctional based transition programs for mentally ill offenders established.	For the current reporting period: Number of new correctional-based transition programs for mentally ill offenders established: -Pre-release, jailPre-release, prisonPre-release, juvenile facilityPost-release.
Objective 6 Increase mental health and other services available to mentally ill offenders.	Output: Increase the number and types of services available to mentally ill offenders in the program.	For the current reporting period: Number of service referrals by: -Mental healthSubstance abuseCo-occurringHousingEmploymentEducationOther. Number of partners who have agreed to provide services for: -Mental healthSubstance abuseCo-occurringHousingEmploymentEducationOther.

How To Apply

DOJ is participating in the e-Government initiative, one of 25 initiatives included in the President's Management Agenda. Part of this initiative—Grants.gov—is a "one-stop storefront" that provides a unified process for all customers of federal grants to find funding opportunities and apply for funding.

Grants.gov Instructions: Complete instructions can be found at www.grants.gov. If you experience difficulties at any point during this process, please call the Grants.gov Customer Support Hotline at **1–800–518–4726**.

CFDA Number: The Catalog of Federal Domestic Assistance (CFDA) number for this solicitation is 16.745, titled "Justice and Mental Health Collaboration Program," and the funding opportunity number is **BJA-2007-1448.**

A DUNS number is required: The Office of Management and Budget requires that all businesses and nonprofit applicants for federal funds include a DUNS (Data Universal Numeric System) number in their application for a new award or renewal of an award. Applications without a DUNS number are incomplete. A DUNS number is a unique nine-digit sequence recognized as the universal standard for identifying and keeping track of entities receiving federal funds. The identifier is used for tracking purposes and to validate address and point of contact information. The DUNS number will be used throughout the grant life cycle. Obtaining a DUNS number is a free, simple, one-time activity. Obtain one by calling 1–866–705–5711 or by applying online at www.dunandbradstreet.com. Individuals are exempt from this requirement.

What an Application Must Include

Standard Form 424

Program Abstract and Narrative (Attachment 1)

Program Abstract: Applicants must provide an abstract that clearly identifies the funding category (i.e., Planning, Planning and Implementation, or Implementation and Expansion); the scope of the proposed work (e.g., mental health courts, diversion, sentencing, crisis intervention teams, etc.); how the applicant plans to solve or make progress on the problem; and the amount of federal funding requested. The abstract must be double-spaced, using a standard 12-point font (Times New Roman is preferred) with 1-inch margins, and must not exceed 1 page.

Program Narrative: The program narrative must respond to the solicitation and the Selection Criteria (1–3, 5) in the order given. Submissions that do not adhere to the format will be deemed ineligible. The program narrative must be double-spaced, using a standard 12-point font (Times New Roman is preferred) with 1-inch margins, and must not exceed 20 pages. Please number pages "1 of 20," "2 of 20," etc.

Budget and Budget Narrative (Attachment 2)

Applicants must provide a budget that is complete and allowable. Applicants must submit a budget worksheet and budget narrative in one file. A fillable budget detail worksheet form is available on OJP's web site at www.ojp.usdoj.gov/Forms/budget_fillable.pdf. For implementation grants, include estimated costs for a four-member team to attend two national meetings.

Project Time and Task Plan and Memoranda/Letters (Attachment 3)

Attach a *Project Time and Task Plan* with each project goal, related objective, activity, expected completion date, and responsible person or organization, and *Memoranda of Understanding* or *Letters of Support*.

Selection Criteria

1. Statement of the Problem (20 points)

Describe the problem the project will address. Document the extent of the problem with local/state data and include trend analysis. Describe the project's geographic environment, target population, socioeconomic factors, and priority as a community concern.

Implementation Applicants

Provide a concise analysis of the target population, including the projected number of individuals to be served. Applicants must show that the identified needs are consistent with the priorities of the state, tribe, or county with primary responsibility for the provision of

services, and include a letter from the state, tribe, or county indicating the proposed project addresses a state, tribe, or county priority.

2. Project Design and Implementation (35 points)

Planning Applicants

Describe proposed planning activities and expected outcomes. Planning activities should include a needs and resource assessment and also can include: a model to effectively identify, coordinate, and share funding and related resources; organizational or structural changes; a strategy for interagency coordination and communication; the expansion of professional, consumer, and family support systems; a design for policy development and implementation for system improvements and sustainability; professional development for staff; information systems development; and public awareness and educational initiatives.

State planning applicants also must identify state-level efforts to improve outcomes for mentally ill offenders across disciplines, and describe how the proposed collaboration relates to existing state and local justice and mental health plans and programs.

Implementation Applicants

Describe the proposed approach and the project's purpose, goals, and objectives. Describe how the goals will address the overall program purpose and identified needs in the Statement of the Problem and provide a logic model that links key project components with goals and objectives. Describe the plan to screen potential participants and to conduct tailored, validated needs-based assessments. For law enforcement programs, describe the strategies to identify and respond to incidents involving mentally ill offenders, including the number of individuals to be screened and enrolled. Discuss how mental health and co-occurring substance abuse treatment services will be made available to participants, including services outside normal business hours. Outline how ancillary social services, such as housing placement and vocational training, will be coordinated and accessed. Briefly discuss the responsibilities of each participating agency and how resources will support the delivery of needed services to offenders.

3. Capabilities/Competencies (20 points)

Describe the project collaboration structure and how it will ensure successful project planning and/or implementation. Identify key stakeholders and their respective roles. Describe the anticipated role of consumers, advocates, and family members in the project. Indicate key activities, milestones, and responsible partners. Describe any potential barriers to implementing the project and strategies to overcome them.

4. Budget (10 points)

Provide a proposed budget that is complete, allowable, and tied to the proposed activities. For implementation grants (Categories II and III), include funding for a four-member team to attend two national grantee meetings.

5. Impact/Outcomes, Evaluation, and Sustainment (15 points)

Describe the evaluation process, including assessments of implementation outcomes. Discuss how variables like stakeholder support and service coordination will be defined and measured. Specify how federal support will be used to supplement, not supplant, funding that would otherwise be available including third-party resources for services covered under programs such as Medicaid and Medicare. Describe the process for collecting performance measure data, and any other state or local outcomes to measure project effectiveness in promoting public safety and access to services for offenders with mental illness. Describe

how evaluation and collaborative partnerships will be leveraged to build long-term support and resources to sustain the project when the federal grant ends.

Review Process

All applications will be peer reviewed. The BJA Director will then make award recommendations to OJP's Assistant Attorney General, who will make final determinations.

Additional Requirements

- Civil Rights compliance.
- Confidentiality and Human Subjects Protections regulations.
- Anti-Lobbying Act.
- Financial and Government Audit requirements.
- National Environmental Policy Act (NEPA) compliance.
- DOJ Information Technology Standards.
- Single Point of Contact Review.
- Non-Supplanting of State or Local Funds.
- Criminal Penalty for False Statements.
- Compliance with Office of the Comptroller Financial Guide.
- Suspension or Termination of Funding.

We strongly encourage you to review the information pertaining to these additional requirements prior to submitting your application. Additional information for each can be found at www.ojp.usdoj.gov/funding/otherrequirements.htm.