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Strategic Plan
National Institute on Deafness and Other Communication Disorders (NIDCD)
FY 2009-2011



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THE NIDCD STRATEGIC PLAN PROCESS

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The NIDCD strategic plan for human communication research is a collaborative effort in which NIDCD staff and administrators, the scientific community, professional and advocacy organizations, and the public provide input for the direction of NIDCD research. Every three years, the NIDCD strategic planning process begins by convening a small group of distinguished scientists, clinicians, and members of the National Deafness and Other Communication Disorders (NDCD) Advisory Council to serve as the Strategic Planning Working Group. Their charge is to identify priority research areas within NIDCD's mission, while considering research and trans-NIH initiatives currently supported by the Institute. The full NDCD Advisory Council membership, NIDCD staff, individuals and representatives of public and private organizations, as well as scientific organizations, are also invited to provide input into the planning process, which helps to ensure that the public's perspective is assimilated into the recommendations for the Strategic Plan. Through this process, the Advisory Council reviewed and updated the plan in 2002 (FY 2003-2005), in 2005 (FY 2006-2008), and in 2008 (FY 2009-2011).

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NIDCD BACKGROUND

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Approximately one in six Americans will experience a communication disorder to some degree in his or her lifetime. For those individuals, the basic components of communication (sensing, interpreting, and responding to people and things in our environment) can be extremely challenging. In October 1988, Congress established the NIDCD as a component of the National Institutes of Health (NIH). The NIDCD manages a broad portfolio of both basic and clinical research focused on understanding the normal processes and disorders of human communication. The NIDCD advances human communication and mitigates the associated disorders by conducting and supporting research and research training in the normal and disordered processes of hearing, balance, smell, taste, voice, speech, and language. These processes, which can be grouped into three program areas of hearing/balance, smell/taste, and voice/speech/language, are fundamental to the way we perceive and participate in the world around us.

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The NIDCD seeks to answer (a) fundamental scientific questions about normal function and disorders, and (b) patient-oriented scientific questions regarding the optimal means for preventing, screening, diagnosing, and treating disorders of human communication. Not only do these disorders often compromise health, but they also affect the emotional, social, recreational, educational, and vocational aspects of a person's life. The cost of these disorders in quality of

44 life and unfulfilled potential is substantial. In this way, NIDCD strives to reduce the costs of
45 communication disorders, both direct and indirect, on individuals, families, and society. As our
46 population ages and as survival rates improve for medically fragile infants as well as after
47 injuries and acquired diseases, we can expect increases in the prevalence of communication
48 disorders.

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50 **NIDCD-SUPPORTED RESEARCH ADDRESSES COMPELLING PUBLIC HEALTH**
51 **NEEDS**

52

53 Since NIDCD's establishment 20 years ago, research opportunities have led to scientific
54 breakthroughs in the study of genes, proteins, sensory and supporting cells, and molecular
55 processes that directly affect communication disorders. These advances have been accompanied
56 by substantial progress in behavioral studies that increase our understanding of how
57 communication processes contribute to a person's health and how communication disorders can
58 be prevented, diagnosed, and treated.

59

60 Diseases and disorders of human communication are significant health problems for Americans
61 of all ages and they cross all ethnic and socioeconomic lines. They frequently occur with other
62 medical conditions. The NIDCD has compiled statistics related to communication disorders in
63 the three program areas of the Institute. These statistics can be viewed at
64 <http://www.nidcd.nih.gov/health/statistics/>.

65

66 ***Why NIDCD supports Hearing and Balance Research***

67

68 Hearing impairment, deafness, and balance disorders can impose a heavy social and economic
69 burden on individuals, their families, and their communities. Millions of Americans experience
70 some form of hearing or balance disorder, including: middle ear infections (otitis media), noise-
71 induced hearing loss, tinnitus, age-related hearing loss, dizziness, and vertigo, at some point in
72 their lifetime, especially in early childhood or old age. Accordingly, research projects within the
73 NIDCD Hearing and Balance program encompass a significant portion of NIDCD's portfolio.
74 Both hearing and balance disorders are prevalent, decrease quality of life, and cross all ethnic
75 and socioeconomic lines. To study normal and disordered functions of the auditory and
76 vestibular systems, NIDCD utilizes a wide range of research approaches, such as molecular
77 genetics, cellular, systems, biomedical imaging, nanotechnology, psychoacoustics, and structural
78 biology. Mouse models of hereditary hearing impairment have been instrumental in mapping
79 and cloning many deafness genes. Because of the utility of the mouse for such studies,
80 additional mouse models of deafness are being created through mutagenesis and screening
81 programs as well as targeted mutation of deafness genes found in humans. In addition, mouse
82 models are being used to study the function of the proteins encoded by deafness genes and to test
83 therapeutic approaches. These advances offer researchers many opportunities to study deafness,
84 hereditary factors involved in hearing loss, and genes that are critical for the development and
85 maintenance of the human ear. Great strides are being made in the study of auditory sensory
86 cells and of characteristics of the inner ear's response to sound. The NIDCD also supports
87 research efforts to improve or develop alternative and augmentative communication (AAC)
88 devices, such as cochlear implants, advanced directional microphones for hearing aids, vestibular
89 implants, and other neural prostheses. The NIDCD has supported research that will help lead to

90 the improvement or prevention of hearing and balance disorders, e.g., otitis media, noise-induced
91 hearing loss, tinnitus, age-related hearing loss, dizziness, and vertigo.

92

93 ***Why NIDCD supports Smell and Taste Research***

94

95 The NIDCD Smell and Taste program supports the study of the chemical senses (smell and taste)
96 to enhance our understanding of how individuals communicate with their environment and how
97 chemosensory disorders can be identified and treated. Smell and taste play important roles in
98 preferences and aversions for aromas, specific foods, and flavors. By providing knowledge on
99 food preferences, research on smell and taste may help increase our understanding of obesity and
100 diabetes. Serious health problems like obesity, diabetes, hypertension, malnutrition, Parkinson's
101 disease, Alzheimer's disease, and multiple sclerosis are all accompanied or signaled by
102 chemosensory problems. The NIDCD supports research to study the health risks associated with
103 compromised smell and taste function. The NIDCD also supports molecular biological studies
104 of smell and taste receptor cells that provide important insight about how the chemical senses
105 detect substances in our environment. Olfactory sensory neurons are exposed to the environment
106 and are susceptible to damage by pollutants and airborne toxic substances. Because the olfactory
107 epithelium has the capacity to replace damaged neurons, scientists are able to study the olfactory
108 system as a model for understanding neuronal degeneration and regeneration, as well as some
109 aspects of stem cell biology. NIDCD-supported research on molecular and cellular biology,
110 biophysics, and biochemistry of the olfactory and gustatory systems is paving the way for
111 improved diagnosis, prevention, and treatment of chemosensory disorders.

112

113 ***Why NIDCD supports Voice, Speech, and Language Research***

114

115 Voice, speech, and language are tools that all individuals use to communicate or share thoughts,
116 ideas, and emotions. The NIDCD Voice, Speech, and Language program continues to determine
117 the nature, causes, and prevention of voice, speech, and language disorders. Disorders involving
118 voice speech, or language, as well as swallowing, can have an overwhelming effect on an
119 individual's health and quality of life; they affect people of all ages with or without hearing
120 impairment, including children with autism and adults with aphasia or dysarthria. The NIDCD
121 supports research to examine how individuals generate speech and comprehend language.
122 Studies in the voice and speech program focus on determining the nature, causes, treatment, and
123 prevention of a variety of disorders of motor speech production throughout the lifespan.
124 Substantial progress has been made in the development of AAC devices to facilitate the
125 expressive communication of persons with severe communication disabilities. Language
126 researchers supported by NIDCD are also exploring the genetic bases of child speech and
127 language disorders, as well as characterizing the linguistic and cognitive deficits in children and
128 adults with language disorders. NIDCD-supported researchers are developing effective
129 diagnostic and intervention strategies for people with voice, speech, or language impairments.

130

131 ***Why Research Training and Career Development is Essential***

132

133 The number of Americans with communication disorders will increase as the nation's population
134 increases and as survival rates improve for a wide range of medical conditions with associated
135 communication disorders. In response, the NIDCD has placed a strong emphasis on research

136 training and career development opportunities to ensure a productive, creative, and innovative
137 cadre of qualified scientists to address the growing need for researchers in the areas of human
138 communication and communication disorders. The NIDCD has focused on mentored career
139 development programs for clinical investigators, fellowships for predoctoral postdoctoral
140 fellows, and support for investigators who are just beginning independent research efforts. In
141 addition to offering support for individual fellowships and grants, the NIDCD has utilized
142 institutional training grants to build strong training environments and facilitate opportunities for
143 predoctoral students, postdoctoral fellows, medical students, and residents to gain important
144 research experiences that will prepare them for subsequent research careers as independent
145 investigators.

146
147 The NIDCD has continuously adapted and expanded its research training and career
148 development efforts to focus on training, supporting, and encouraging new investigators and
149 building shared research resources. In 2000, the NIDCD developed a unique expedited
150 fellowship grant review and award process in which the time between application submission
151 and award is now significantly reduced. In this way, highly promising students receive funding
152 for their mentored research training sooner, while unfunded applicants have the opportunity to
153 respond to critiques and reapply during the next cycle. Applicants for pre- and postdoctoral
154 fellowships, including dual-degree (M.D./Ph.D.) students training as physician scientists have
155 benefited greatly from this expedited review and award process, which helps minimize
156 application delays for potential trainees and maximize the entry of new scientists into research
157 careers within NIDCD's mission areas.

158
159 The NIDCD recognizes the value of developing a broad and diverse pool of promising
160 investigators and physicians, representing the full spectrum of health professions, into research
161 areas relevant to the Institute and utilizes an array of training and career development approaches
162 to achieve this goal. NIDCD has helped well-trained investigators as they transition to become
163 independent NIDCD-supported researchers. This is facilitated through informal consultation
164 with program staff and through NIDCD's customized process for addressing concerns raised
165 during peer review of grant proposals. For the latter, new investigators who have submitted an
166 unsuccessful, but promising, R01 application can address concerns in a letter to the NDCD
167 Advisory Council. If the issues are addressed satisfactorily, the grant application will be
168 considered for high program priority funds within the same funding round.

169
170 There is a need for trained individuals (clinician-researcher M.D and Ph.D.) to bridge the gap
171 between fundamental science and active care. After completing their research training, these
172 individuals are able to initiate and support new directions for scientific discovery as trained
173 specialty clinicians. In addition, they can organize and execute clinical trials and other forms of
174 "real world" assessment of new therapies. Developing a cadre of translational researchers who
175 are well prepared to collaborate with practicing clinicians, educators, and other health-care
176 professionals requires the following:

- 177
- 178 • Identifying and stimulating medical and doctoral students to a career in NIDCD
179 mission areas;
 - 180 • Creating research-rich residency, post-residency, and postdoctoral training
181 environments;

- 182 • Training M.D. and Ph.D. students together to create more productive, broad-based
- 183 research teams;
- 184 • Supporting the delicate transition funded junior faculty;
- 185 • Assisting the scientist to establish a sustained research career.
- 186

187 Only by maintaining and extending NIDCD's established commitments to research training and
188 career development will the research community be in a position to meet the strategic research
189 priorities put forth in this document. Driven by compelling public health needs along with recent
190 scientific progress, the NIDCD prioritizes its research investment to identify the most promising
191 opportunities for prevention, diagnosis, and treatment, thereby improving the quality of life for
192 people who face the challenge of living with a communication disorder.

194 **SCIENTIFIC PROGRESS MADE IN THE COMMUNICATION SCIENCES**

195
196 Research has produced many important discoveries and technologies to help people with
197 communication disorders in hearing, balance, smell, taste, voice, speech, and language. For
198 example:

- 199
- 200 ♦ Vaccines prevent many illnesses that once were major causes of hearing loss, such as
- 201 measles, mumps, meningitis, and rubella.
- 202
- 203 ♦ Researchers have a greater understanding of the effects of hearing loss on language
- 204 development in early childhood. This understanding has led to the development of
- 205 prompt interventions, enabling children with hearing loss to acquire speech and language
- 206 skills on schedule with their peers.
- 207
- 208 ♦ Health professionals are identifying newborn babies with hearing loss and toddlers with
- 209 speech and language problems at an early age so that developmental consequences are
- 210 minimized through early intervention.
- 211
- 212 ♦ Scientists have identified genetic mutations that lead to some inherited forms of hearing
- 213 loss. They also have a better understanding of the functions of many of the proteins these
- 214 genes encode. The era of precise genotype-based diagnosis may be at hand for these
- 215 disorders.
- 216
- 217 ♦ Researchers have identified some of the genes that control the development of the inner
- 218 ear. In addition, they have identified genes that control how sensory hair cells are
- 219 organized and oriented within the inner ear.
- 220
- 221 ♦ Scientists have identified special molecules that are critical to sensory hair cell function.
- 222 Significant progress has been made in defining how these molecules are organized and
- 223 how they function in converting sound vibrations into electrical impulses that are
- 224 interpreted by the brain.
- 225

- 226 ♦ Vocal fold lesions often result from unhealthy voice use, which can have a negative
227 effect on vocal tissues and physiology. Some voice disorders may be treated or
228 prevented by changing vocal patterns and use, or through surgery.
229
- 230 ♦ Intensive voice treatment can improve speech and voice in individuals with Parkinson's
231 disease. In addition, intensive treatment helps respiratory and laryngeal systems to
232 function better, which further strengthens the voice.
233
- 234 ♦ Prolonged exposure to excessive noise levels results in hearing loss. This understanding
235 has led to the increased use of ear protection by people who are routinely exposed to loud
236 noise on the job or in recreational activities.
237
- 238 ♦ Scientists have a better understanding of how infants with severe to profound hearing loss
239 learn sign language as well as similarities in how the brain processes sign language and
240 spoken language.
241
- 242 ♦ Scientists have learned that the more we communicate with children, through either
243 spoken or sign language, the faster they learn language and the more proficient they
244 become.
245
- 246 ♦ Researchers understand more about the reading abilities of adults who are deaf. This
247 information may lead to improved methods of reading instruction for children with
248 hearing loss.
249
- 250 ♦ Scientists continue to understand more about the biology of neurons. For example,
251 research has shown that nerve cells on either side of a synapse are highly plastic, capable
252 of regrowing and rewiring themselves throughout a person's life. These mechanisms are
253 important in the olfactory system where neurons are renewed on a continuous basis.
254
- 255 ♦ Cochlear implants allow many children who have a profound hearing loss to acquire
256 speech and language skills at the same level as their peers and to attend mainstream
257 schools.
258
- 259 ♦ Improved hearing aids have been designed to work better in noisy environments by
260 detecting the direction from which sounds arise.
261
- 262 ♦ Advances in technology and science have created new opportunities to design devices
263 that restore or improve function for people with balance, voice, and speech disorders.
264 For example, research with vestibular devices (similar to the cochlear implant) in animals
265 may lead to treatment for individuals with a balance disorder. In addition, electronic
266 larynxes (the organ that produces voice) and computer-aided speech devices have been
267 dramatically improved.
268
- 269 ♦ Scientists have identified the genes that code for olfactory receptors. This pioneering
270 advancement, which earned the discoverers the 2004 Nobel Prize in Physiology or

271 Medicine, together with the discovery of the genes for many taste receptors, opened new
272 frontiers in understanding chemosensory disorders.

- 273
- 274 ♦ It is now widely recognized that the olfactory system continues to undergo a profound
275 level of sustained neurogenesis that provides for the replacement of both sensory neurons
276 in the nose and neurons in the brain.
- 277
- 278 ♦ New non-invasive brain imaging strategies, such as functional magnetic resonance
279 imaging (fMRI), are providing new and often unexpected insights into the functional
280 organization of the neural circuits that mediate hearing, balance, smell, taste, voice,
281 speech, language, and other mechanisms of communication.
- 282
- 283 ♦ Through genetic studies, researchers have begun to identify some of the genes associated
284 with stuttering, phonological disorders, and language disorders.
- 285
- 286 ♦ Various motor, linguistic, emotional, and cognitive challenges have been shown to
287 contribute to developmental stuttering.
- 288
- 289 ♦ People with head and neck cancers are benefiting from new surgical approaches and
290 combinations of chemotherapy and radiation that preserve voice and speech better than
291 older treatments.
- 292
- 293 ♦ Scientists have identified many of the genetic mutations and epigenetic alterations
294 causing head and neck cancer. Studies of resulting alterations in gene and protein
295 expression demonstrate a network of signal, transcription, and protein alterations that
296 may provide targets and biomarkers for use in prevention and therapy.
- 297

298 **THE FUTURE OF COMMUNICATION SCIENCES: WHAT NEEDS TO BE STUDIED?**

299

300 Despite considerable scientific advances to help people with communication disorders, many
301 challenges remain. For example:

- 302
- 303 ♦ Can we develop a way to study sensory input processing in the laboratory that more
304 realistically reflects the complexities of the real world?
- 305
- 306 ♦ How does the brain acquire, process, and interpret complex communication signals (e.g.,
307 speech, or speech in the presence of background noise) in normal and pathological
308 conditions?
- 309
- 310 ♦ Can we pinpoint how and where in the nervous system certain communication disorders,
311 such as tinnitus, originate so that new treatments might be developed?
- 312
- 313 ♦ Given our new understanding of the genetic and molecular basis of many communication
314 disorders, is it possible to use genetic or molecular interventions to prevent these
315 disorders or restore normal function?
- 316

- 317 ♦ What proteins are produced or not produced when a communication organ, such as the
318 larynx, is overused? Do certain genes increase the organ's vulnerability to overuse?
319
- 320 ♦ How can existing or new diagnostic tools, such as brain imaging, gene-based diagnostics,
321 and computer-based testing programs, help doctors choose the best treatment for people
322 with communication disorders?
323
- 324 ♦ How does a person's ability to communicate change during the natural progression of a
325 disease, particularly in aging or neurodegenerative diseases, and how can this information
326 be used to deliver the most effective treatment?
327
- 328 ♦ Why does a particular treatment for a communication disorder work well for some
329 individuals but not for others?
330
- 331 ♦ Are there differences in terms of the basis of some communication disorders or in the
332 interaction of the environment?
333
- 334 ♦ Why do certain communication disorders (e.g., stuttering) resolve spontaneously for
335 some children, but persist for other children?
336
- 337 ♦ What methods can be used to identify infants at risk for communication disorders and
338 what types of intervention would be most beneficial?
339
- 340 ♦ What are the best ways to help children with communication disorders learn to
341 communicate?
342
- 343 ♦ How can devices and treatments for communication disorders be improved or developed?
344 What are the best techniques for assessing performance, so that improvement can be
345 measured objectively and devices are adjusted for optimal results? Which devices and
346 treatments can help the greatest number of people with a particular disorder?
347
- 348 ♦ How can basic and clinical research and research training be used to address the need to
349 eliminate gender, racial, ethnic, or socioeconomic health disparities in communication
350 disorders?
351
- 352 ♦ Which parameters define ranges of normal function, dysfunction, and organization of
353 hearing, balance, smell, taste, voice, speech, and language?
354
- 355 ♦ How can altered signal pathways, transcription factors and regulated genes and proteins
356 that promote development of head and neck cancer be targeted for prevention and
357 therapy?
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NIDCD RESEARCH PRIORITY AREAS

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With help from scientists and the public, the NIDCD has identified four areas that offer distinctive opportunities to investigate the normal and disordered processes of hearing, balance, smell, taste, voice (as well as swallowing), speech, and language to further increase our knowledge in the human communication sciences. These priority areas are:

- I. Molecular and Non-Genetic Bases of Normal and Disordered Communication Processes
- II. Development, Deterioration, Regeneration, and Plasticity of Sensory Processes Mediating Normal and Disordered Communication
- III. Perceptual, Cognitive, Sensorimotor, and Environmental Factors Affecting Processing in Normal and Disordered Communication
- IV. Development and Improvement of Devices, Pharmacologic Agents, Behavioral Strategies, and Treatments for Diagnosis, Habilitation, Rehabilitation, and Prevention of Human Communication Disorders

These four research areas are described in greater depth in the following section. A detailed list of the NIDCD's research priorities is included for each area. NIH is supporting Translational Research that takes knowledge from the bench to the bedside. All studies, whether from laboratory, clinic, or bedside, should meet the highest standards of scientific rigor.

I. Molecular and Non-Genetic Bases of Normal and Disordered Communication Processes

Genes and the proteins they encode (the molecular structure of living cells) are known to play a key role in many communication diseases and disorders; however, this area of research requires much more intense study. Likewise, more research is needed on non-genetic factors that also affect communication processes, such as infectious, toxic, and environmental exposures. Both molecular and non-genetic research are priorities for the NIDCD.

Understanding Molecular Causes of Communication Processes and Disorders

One of the most rapidly developing areas of research involves efforts to determine the identity, structure, and function of genes, a discipline referred to as structural and functional genomics. The Human Genome Project has shown that human beings have about 22,000 genes. Considerable progress has been made in identifying which genes are involved in human communication and how these genes are altered in individuals with communication disorders. Hereditary disorders result not only from abnormalities in single genes but from combinations of particular forms of genes. Genetic mutations play a role in a variety of communication disorders, including at least half of all cases of congenital or early childhood-onset hearing loss. Individual variations in the severity of hearing loss are common and typically attributed to environmental factors and modifier genes, which do not cause communication disorders but can affect the severity of a disorder caused by a mutation. Understanding the genetic basis of

406 hereditary disorders can help clinicians select the most effective treatments and enable families
407 to make informed decisions as they deal with these disorders. Much of the success and progress
408 in gene identification is a direct result of the willingness and generosity of families with
409 hereditary communication disorders who agree to participate in studies with clinicians and
410 scientists. Without them, research in this field would not have advanced to its current state.

411
412 Genes direct the formation of specific proteins in cells and influence their structure and function.
413 Proteomics is the study of how proteins interact within cells. Proteins are the building blocks of
414 all living cells. They allow cells to grow and divide during an embryo's development, and they
415 help mature cells to function. The cells, in turn, form every internal system in the human body.
416 Mutations in one gene can have a dramatic effect on complex functions such as hearing, balance,
417 smell, taste, voice, speech, and language. Understanding how function is altered in individuals
418 with communication disorders is an important step in developing precise molecular diagnoses,
419 pharmacological treatments, and behavioral interventions.

420
421 Mutations in genes contribute to numerous communication disorders, either directly by causing a
422 critical group of cells to malfunction, or indirectly by increasing the body's sensitivity to damage
423 from infections, certain drugs or medications, and/or environmental exposure to toxins, excessive
424 noise, or nutritional deprivation. Continued research is needed to help scientists identify and
425 characterize genes and modifier genes and to understand their function in complex
426 communication disorders with multiple deficits that overlap with other conditions (such as
427 hearing loss, stuttering, speech sound disorders, autism, Fragile-X syndrome, anosmia,
428 Parkinson's disease, Alzheimer's disease, cancer, eating disorders, and dyslexia). This
429 knowledge will enable more accurate diagnosis and classification of individuals with
430 communication disorders. This knowledge can also be used in the long-term planning of clinical
431 interventions. For example, children diagnosed with a mild hearing loss at birth caused by a
432 gene mutation that will cause progressive hearing loss or deafness by their teen years may
433 benefit from early education programs so that they may achieve their full potential. Similarly,
434 early diagnosis of Alzheimer's disease may be achieved through testing of olfactory function.

435
436 ***Understanding Non-Genetic Causes of Communication Processes and Disorders***

437
438 Not all communication disorders have a genetic basis. Some are rooted in external factors, and
439 others result from a combination of genetic and external factors, and for still others the cause(s)
440 remain unknown. For example, hearing loss can occur as a result of infections (e.g., otitis
441 media), noise exposure, or toxicity associated with certain medications or other chemicals.
442 Infants with hearing loss may have difficulty learning to speak or understanding language later in
443 life if appropriate education and training are not provided. At any age, impaired language skills
444 affect a person's ability to function in today's complex, communication-driven society. Speech
445 and language impairments can be caused by a variety of developmental or acquired neurological
446 problems or injuries. Diseases of the larynx can be caused by infections, by the presence of
447 tumors or trauma, or harmful vocal behaviors. Furthermore, in occupations with high voice
448 usage, such as lecturing or singing, voice problems can limit a person's ability to perform certain
449 tasks at work, resulting in missed workdays, or the need for an individual to change their
450 occupation. Olfactory function is also directly tied to environmental variables. As levels of

451 pollution increase, loss of olfactory sensory neurons increases along with potential loss of
452 olfactory sensitivity.

453

454 **Goals for Strategic Plan Priority Area I**

455

456 Research is needed to help determine the molecular (proteomic and genomic) and non-genetic
457 (infectious, environmental, and toxic) causes of communication disorders in the following areas:

458

459 ♦ Use genomic, proteomic, informatic, bioinformatic, and expression profiling
460 technologies, as well as other molecular biological and genetic approaches, to understand
461 the molecular bases of normal and disordered human communication. This includes gene
462 identification, regulation, and expression, as well as identification of associated
463 mutations.

464

465 ♦ Transfer emerging technologies in genetics and molecular biology (including DNA
466 microarrays, biomarker identification, and other genomic strategies) to the clinical
467 setting.

468

469 ♦ Encourage the use of multidisciplinary approaches to prevent, diagnose, and treat
470 communication disorders.

471

472 ♦ Identify environmental exposures that contribute to communication disorders. Determine
473 ways to prevent these exposures and reduce their harmful effects.

474

475 ♦ Expand observational and epidemiological studies aimed at better specifying and
476 validating the various diagnostic categories for which causes are sought.

477

478 ♦ Encourage multidisciplinary collaboration between scientists in diverse fields (such as
479 chemistry, biology, pharmacology, genetics, engineering, and medicine) and clinicians
480 (such as otolaryngologists, speech-language pathologists, and audiologists) to develop
481 methods for preventing, detecting, diagnosing, and treating communication disorders.

482

483 ♦ Study common variations in human DNA and their impact on susceptibility to human
484 communication disorders.

485

486 ♦ Investigate complex disorders of human communication caused by interactions of several
487 genes. Identify and analyze factors that influence variability and susceptibility to disease
488 and response to treatment.

489

490 ♦ Develop *in vitro* and animal model systems to study the function of specific disease
491 genes; identify and isolate specific cell populations; and investigate cellular processes by
492 using techniques such as gene and protein expression systems, organ and cell culture
493 systems, and stem cell research.

494

495 • Explore the pathogenesis, treatment, and prevention of viral and bacterial infections that
496 may contribute to communication disorders.

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- ◆ Determine the cellular and molecular mechanisms underlying the degeneration and regeneration of tissue.

**II. Development, Deterioration, Regeneration, and Plasticity of Sensory Processes
Mediating Normal and Disordered Communication**

In certain parts of the human body, such as the olfactory system, cells that are damaged by illness or injury can be replaced by the regeneration of healthy cells. Yet, other parts of the body, including the highly specialized hair cells of the inner ear, do not regenerate spontaneously. Understanding how the brain and the sensory and motor organs involved in human communication recover, respond, or adapt to injury or damage is another research priority for the NIDCD.

Increasing the Potential for Recovery

Until recently, scientists believed that hair cells in mammals, which are critical for hearing and balance, could never be replaced if they were injured or destroyed. However, birds and fish can regenerate hair cells from nearby supporting cells which reenter the cell division cycle. Research supported by the NIDCD has shown that if certain genes are expressed in the inner ear, this process also can happen to a limited extent in mammals. This discovery suggests that it may be possible to regenerate hair cells in humans. Before genes are introduced into humans, however, risks and long-term health consequences must be understood.

Human olfactory receptor neurons in the nose and interneurons in the central olfactory structures of the brain show a remarkable ability to regenerate throughout life. There is a need to study the unique ability of these regenerated cells to make proper connections that sustain the ability to recognize and discriminate odors. Knowledge of how these neurons regenerate could enable researchers to develop clinical intervention strategies that promote nerve cell regeneration throughout the central nervous system.

The central nervous system adapts to changes by reorganizing connections among neurons. When a part of the brain involved in speech and language is injured [by a stroke, traumatic brain injury (TBI), or an infection], adaptive changes in connections between neurons may allow other parts of the brain to learn or take on that function. Understanding this process, called plasticity, could lead to treatments that restore speech, language, and other functions.

Adaptation to change can also have harmful consequences. Many people whose auditory systems are damaged acquire tinnitus, a disturbing condition marked by ringing, roaring, clicking, or hissing in the ear. Most treatments available today involve learning how to live with tinnitus or covering up the tinnitus with other sounds. Currently, there is no cure for tinnitus. Understanding what changes in the auditory system lead to tinnitus and determining where they occur are important steps in developing treatments. Plasticity is also important to the function of the vestibular (balance) system. Damage to one's vestibular organ is rapidly compensated by

542 changes that occur in the brain. Scientists need to understand how the vestibular system
543 compensates in order to develop treatments for vestibular disorders.

544
545 Adults who suffer brain damage from a stroke or TBI often experience problems expressing their
546 thoughts through speech and language. These speech and language disorders severely limit a
547 person's ability to communicate and often restrict job opportunities. Additional research is
548 needed to understand how young children recover from, or adapt to, severe brain damage so that
549 new methods can be developed to promote recovery in adults.

550
551 Early in life, sensory cells develop connections with specific brain regions. The ability to
552 develop these critical brain connections may be lost forever if they are not made very early in
553 life. Research is needed to identify critical "windows of opportunity" for developing brain
554 connections essential for normal communication. Important research findings in this area have
555 already been used to mobilize major national public health efforts, such as the hearing screening
556 of millions of newborns each year.

557
558 Stem cells have the ability to regenerate and differentiate into a multitude of specialized cells.
559 Improved understanding of stem cell differentiation could lead to the development of treatments
560 that effectively regenerate cells that have been damaged or destroyed in the organs of the ear,
561 nose, and mouth as well as in the brain.

562

563 ***Goals for Strategic Plan Priority Area II***

564

565 Research is needed to determine how development, deterioration, regeneration, and plasticity
566 contribute to the communication process in the following areas:

567

568 ♦ Characterize age-related changes in structural and functional plasticity of communication
569 processes. This information may also lead to further understanding of how these
570 structures degenerate, or regenerate.

571

572 ♦ Develop and apply techniques such as functional magnetic resonance imaging (fMRI),
573 magnetic encephalography (MEG), positron emission tomography (PET), and diffusion
574 tensor imaging (DTI) to assess structural and functional plasticity in the brain during
575 maturation and in response to pharmacologic or other interventions.

576

577 ♦ Determine the cellular and molecular mechanisms underlying the degeneration and
578 regeneration of sensory cells, such as cochlear and vestibular hair cells, olfactory cells,
579 and gustatory cells. Such information may lead to the development of new therapeutic
580 interventions.

581

582 ♦ Use of *in vitro* assays to investigate molecular factors involved in stimulating embryonic
583 and adult stem cells to differentiate into specific cell types used in communication.

584

585 ♦ Investigate cellular and molecular mechanisms used by the body to protect or repair
586 damaged receptor cells in the auditory, vestibular, olfactory, and gustatory systems.

587 Develop methods to enhance these processes to improve survival of sensory cells
588 following trauma or disease.

- 589
- 590 ♦ Determine and categorize mechanisms involved in the development, maturation, aging,
591 and recovery of function needed for communication, such as cell proliferation,
592 differentiation, neuron axon targeting, pattern formation, cell death, and survival.
593
- 594 ♦ Understand changes in the brain that result from the loss of sensory input, such as
595 deafness, anosmia (inability to smell), or agusia (inability to taste). Such knowledge is
596 important for making the best use of drug treatments, behavioral interventions, and
597 assistive devices.
598
- 599 ♦ Develop animal models for human disease to study the underlying processes of
600 communication disorders. For example, due to the subjective nature of tinnitus, the
601 ability to find a suitable animal model has been challenging.
602
- 603 ♦ Define improved clinical interventions based on studies of neuroplasticity as it occurs in
604 normal development and in response to injury. Develop evidence for the best timing,
605 quantity, and method of treatment.
606
607

608 **III. Perceptual, Cognitive, Sensorimotor, and Environmental Factors Affecting Processing** 609 **in Normal and Disordered Communication**

610
611 Obtaining a more detailed understanding of how the brain acquires, organizes, and interprets
612 information and how those processes contribute to communication is another research priority
613 for the NIDCD.

614 ***Perceptual and Motor Processing***

615
616
617 Human communication relies on complex perceptual skills by using the senses (hearing, vision,
618 touch, pain, smell, and taste) to receive and interpret information from objects and sources in the
619 outside world and from changes in our movements and spatial orientation (vestibular senses).
620 Sensory information is first processed by peripheral systems (e.g., the inner ear) and then relayed
621 and further processed and analyzed by the brainstem and brain. Human communication also
622 requires cognitive abilities, such as attention, memory, and learning, as well as interactions
623 among and between sensory and non-sensory systems. Human communication also requires
624 motor execution. Exactly how all of these processes work and interact, or how they malfunction
625 in a communication disorder, is not well understood. While scientists have learned a great deal
626 about how sensory receptors and motor systems function, far less is understood about how
627 sensory and motor information is processed in the brain. For instance, communication disorders
628 can occur even when the peripheral sensory organs appear normal.

629
630 Recently, new methods have been developed to study what happens in the central nervous
631 system after sensory organs receive information. With computerized neural imaging, it is now
632 possible to directly view regions of increased blood flow in the brain. Although the temporal

633 and spatial resolutions are limited, this advanced technology allows scientists to image brain
634 activity as information flows from sensory organs to the brain. For example, a functional
635 magnetic resonance imaging (fMRI) scan can be used to observe brain activity as a person
636 processes written, spoken, or signed words. Research using brain-imaging techniques is
637 allowing scientists to challenge the old belief that a fixed part of the brain is reserved for
638 organizing language. Studies in both adults and children indicate that brain organization can be
639 modified. After an injury to either the right or left side of the brain, the organization of language
640 that normally occurs in those locations begins to appear in other brain regions, and in some cases
641 may allow relatively normal language abilities to be restored. These new imaging strategies are
642 crucial for understanding higher order communicative functions such as language.

643
644 These new imaging techniques supplement and complement behavioral and physiological
645 procedures that have revealed normal and pathological function of the processing and analysis of
646 signals from sources in our world. Recent research has shown that the brain has increased
647 difficulty in listening to a specific sound when an interfering sound changes in an unpredictable
648 manner. The increased degradation in performance cannot be explained by interactions that
649 occur in the ear when both sounds are present. This implies that neural processing in the brain is
650 responsible for the increased difficulty. These difficulties may provide a clue as to why many
651 listeners with hearing impairment have difficulty processing sounds in noisy environments where
652 the interfering noise is often unpredictable.

653

654 ***Perception from Periphery to Cortex***

655

656 The goal of understanding communication is to be able to describe how sensory input (e.g.,
657 sounds, flavors, odors, or the position of the head with respect to gravity) ultimately leads to a
658 behavioral or perceptual output or response. Research using several animal models and specific
659 perceptual abilities has revealed a coherent story of neural processing from the periphery to the
660 cortex and the resulting behavioral output. For example, NIDCD-supported research has
661 advanced our understanding of the ability of the barn owl's peripheral and central nervous
662 system to localize mice based on sounds of their movements. Such research holds promise for
663 understanding more complex systems and behaviors, as seen in human perception and
664 communication.

665

666 ***Cognitive Processing***

667

668 Improved methods of functional brain imaging, together with modeling of complex systems,
669 work in concert to provide an understanding of multiregional brain activation. Scientists are
670 seeking to understand the way in which various parts of the brain attend to sensory stimuli,
671 prioritize or gate incoming information, and engage in complex tasks such as object recognition,
672 language comprehension, and language formulation. A better understanding of these neural
673 processes will improve our understanding of both normal cognition (e.g., different aptitudes,
674 discriminative or detection ability, learning ability) and cognitive disorders. These methods also
675 are the means by which scientists can study the neural reorganization that occurs following brain
676 injury or that results from various kinds of treatment. The use of functional imaging and neural
677 modeling to study the effects of clinical treatment may lead to better treatment methods and
678 point to new discoveries of brain functions underlying normal and impaired cognitive processes.

679 For example, brain scans of individuals with autism as they process language reveal key
680 structural and functional differences when compared with brain scans of individuals who do not
681 have autism. These imaging techniques have been used to study changes in the brain following
682 therapy for aphasia, activation of cochlear implants, and stuttering and can be applied to
683 individuals with language impairment.

684
685 Along with advances in brain imaging techniques, better behavioral indices are needed to
686 identify children who are at risk for language delay. For example, many children with pre- or
687 perinatal unilateral brain lesions have early language delays. These early delays are transient for
688 some children, but persistent for others. New studies suggest that the gestures made by children
689 with brain injury before they develop useful speech can help differentiate persistent language
690 difficulties from difficulties that are transient. Behavioral indices can be used by clinicians to
691 identify children who are likely to have persistent language difficulties. This early identification
692 may be useful in implementing intervention at a time when language-learning is likely to be most
693 malleable.

694
695 **Goals for Strategic Plan Priority Area III**

696
697 Research is needed to determine perceptual and cognitive processing (how individuals learn to
698 communicate) in normal and disordered communication in the following areas:

- 699
- 700 ♦ Develop and implement high resolution imaging methods that can be applied to both *in*
701 *vivo* and *in vitro* models to assess function at the synaptic, cell and systems levels in
702 normal and dysfunctional communicative systems.
703
 - 704 ♦ Investigate the perceptual and cognitive consequences of disordered communication and
705 measure changes that result from treatment, including how the development of language
706 is affected by variations in the quality, quantity, and timing of linguistic input, in both
707 monolingual and multilingual speakers.
708
 - 709 ♦ Combine cellular, molecular, and physiological approaches with behavioral analyses in
710 basic science and clinical studies to understand normal mechanisms of sensory
711 processing, cognition, and perception.
712
 - 713 ♦ Continue to refine the understanding of how peripheral sensory and motor systems
714 process and code stimulus events and how failure of these processes cause various
715 communication pathologies.
716
 - 717 ♦ Develop diagnostic tools and interventions that exploit both the manual and oral
718 modalities (sign, speech, gesture) to improve language-learning in children at risk for
719 delays. Investigate the time course of various cognitive and linguistic processes through
720 approaches such as event-related potentials (ERP), which are brain responses resulting
721 from a thought or perception.
722
 - 723 ♦ Investigate the connections and interactions between cognitive and emotional processes
724 and the development and maintenance of various child-onset communication disorders.

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- ◆ Examine the causes of individual differences in normal and impaired communication. These individual differences are especially apparent for those with communication disorders or diseases. Personalized or tailored diagnoses and treatment approaches should be developed.
- ◆ Use imaging, multi-electrode, and multi-unit recording methods such as positron emission tomography (PET), functional magnetic resonance imaging (fMRI), electroencephalography (EEG), and magnetoencephalography (MEG) in animal models and humans to dissect the pathways and define the location and sequence of neuronal activity essential for peripheral and central processing of sensory input. Identify and define abnormal neural pathways and spatiotemporal neuronal activity patterns associated with disordered communication, especially in humans.
- ◆ Develop *in vitro* bioreactor technologies to test the cellular and extracellular response of ear and vocal fold tissues to mechanical stress.
- ◆ Improve the ability to measure neural function in animals over a long period of time to allow the study of the behaving animal in order to more accurately reflect neural processing in real world situations.
- ◆ Investigate the neural basis of sensory integration, with particular emphasis on the mechanisms by which peripheral auditory and vestibular signals are compared and combined with visual, touch, or pressure cues to create a robust auditory percept and spatial orientation.
- ◆ Investigate how changes in the central vestibular system allow people to be aware of and adapt to their spatial orientation by changing their visual field, gait, or balance as a means of recovery from vestibular trauma or injury.

IV. Development and Improvement of Devices, Pharmacologic Agents, Behavioral Strategies, and Treatments for Diagnosis, Habilitation, Rehabilitation, and Prevention of Human Communication Disorders

A core mission of the NIDCD is to enable individuals who have, or who are at risk of having, communication disorders to maximize their quality of life. The NIDCD is committed to conducting and supporting research to develop devices or interventions that improve or restore communication abilities, or prevent communication disorders.

Improving/Restoring Communication Abilities and Preventing Communication Disorders

As described in the previous sections, NIDCD-supported scientists have made significant progress in recent years toward understanding human communication and its disorders. These advances were made possible by breakthroughs in genetics as well as other basic sciences and

771 technologies, such as microelectronics and behavioral science. It is anticipated that continued
772 progress in interventions will result from new knowledge about the function of the brain and
773 other organs important for communication as well as genes associated with specific
774 communication disorders.

775
776 Clinical researchers use this new knowledge to study human behavior and disease. For example,
777 hearing screening programs around the country are identifying infants and young children who
778 have significant hearing loss. The technology for screening newborns was developed as a result
779 of basic laboratory studies that measured electrical signals from auditory centers in the brain
780 (auditory brainstem response) and sounds generated by the inner ear (otoacoustic emissions).
781 Rigorous clinical trials should be performed to determine the most effective treatments for
782 infants who are hearing impaired, including hearing aids and cochlear and brainstem implants, as
783 well as the most effective intervention strategies.

784
785 Clinical research is also needed to describe how hearing, balance, odor detection, language, and
786 speech abilities evolve over an individual's life span. Differences between individuals may be
787 tied to an underlying gene or genes, which in turn may help identify people who are at greater
788 risk for developing problems. Once this information is obtained, clinical trials are needed to find
789 safe and effective ways to treat specific communication disorders through behavioral
790 interventions, medications, or other therapies. Several examples include laser therapy to treat
791 cancer on the vocal folds, electrical stimulation through cochlear implants, medications to treat
792 tinnitus, and physical therapy involving special positioning of the head for loss of balance
793 (positional vertigo).

794
795 NIDCD is committed to research aimed at advances in basic science research and in
796 bioengineering to help individuals with all types of communication disorders. Some examples
797 include:

- 798
799 ♦ Cochlear implants have helped many children who were born deaf as well as individuals
800 who became deaf later in life. According to the U.S. Food and Drug Administration data
801 from 2006, more than 110,000 people worldwide have received cochlear implants. In the
802 United States, roughly 23,000 adults and nearly 15,000 children have cochlear implants.
803 Most adults who have received an implant have benefited greatly and many are able to
804 communicate effectively by telephone after an extensive training period. Continued
805 research on cochlear implants and sound processing should help to further improve the
806 performance of cochlear implants and the communication for implant users in noisy
807 environments while increasing our understanding of the auditory system. Methods need
808 to be developed to assess performance of cochlear implants in order to provide future
809 recipients with more effective implants. Research is also needed to determine whether
810 deaf children would benefit from having implants in both ears. Studies also need to be
811 done to determine which methods best help young implant users learn language. These
812 studies need to consider the type and amount of instruction, as well as the appropriate
813 developmental stage to begin intervention.
- 814
815 ♦ Although hearing aid technology has advanced rapidly over the past few decades, hearing
816 aids are not particularly effective when a listener tries to pay attention to a single speaker

817 among many competing speakers or when there is a lot of loud background noise. To
818 meet these needs, research needs to improve directional hearing aids and other hearing
819 aid technologies that will help users understand speech from specific sources within a
820 noisy environment.

- 821
- 822 ♦ Speech and voice disorders can negatively affect quality of life across the lifespan and
823 may be associated with neurological, psychological, and learning disorders. While
824 pharmacological and surgical interventions may provide some relief, behavioral
825 intervention remains the primary form of treatment. Research needs to address the role
826 of neural plasticity and behavioral treatment in disorder management. In addition, much
827 progress has been made in developing augmentative or assistive communication devices
828 that help individuals with speech or language disorders.
- 829
- 830 ♦ Scientists are taking advantage of bioengineering advances to develop and improve
831 technologies that enable communication. Examples include the electro-larynx, which
832 partially restores voice after the larynx is removed; digital programmable hearing aids
833 that fit inside the ear canal; cochlear and brainstem implants, which improve the
834 communication ability of adults and children with profound hearing loss; and computer
835 programs that treat disorders associated with childhood language and learning
836 disabilities.
- 837
- 838 ♦ Using biological principles of odor recognition, scientists have developed “electronic
839 noses” with biosensors to detect and discriminate complex chemical signatures of
840 importance to biomedicine, biodefense, and biosafety.

841

842 Basic science advances should be accompanied by clinical research to ensure that these
843 interventions are safe, efficacious, and used to maximum benefit. The ultimate utility and
844 success of current and future devices or other interventions depends on clinical research studies
845 with volunteers who use the devices or interventions.

846

847 ***Goals for Strategic Plan Priority Area IV***

848

849 Research is needed to improve the quality of life for individuals with hearing, balance, smell,
850 taste, voice (including swallowing), speech, and language disorders through assistive devices,
851 drugs, and other therapeutic interventions in the following areas:

- 852
- 853 ♦ Capitalize on emerging technologies to improve treatment devices that enhance
854 communication, including brainstem implants and drug-delivery devices.
- 855
- 856 ♦ Use clinical trials and other studies to evaluate the safety and efficacy of newly
857 developed devices, drugs, and other medical and behavioral therapies for individuals of
858 all ages with communication disorders. Use these studies to develop and assess medical
859 and behavioral interventions for infants and children who have a communication
860 disorder.

861

- 862 ♦ Increase the effectiveness and efficiency of early diagnosis and early prevention of
863 communication disorders by developing and refining diagnostic criteria and improving
864 diagnostic tools and technologies.
- 865
- 866 ♦ Screen FDA-approved drugs as potential therapies for communication disorders.
- 867
- 868 ♦ Capitalize on recent advances in understanding the role of olfaction and gustation in
869 eating behavior to gain further insight into the current crisis in obesity, diet, and nutrition.
- 870
- 871 ♦ Develop cost-effective techniques to assess the various patterns of communication
872 currently used in the United States, including languages and dialects, in order to
873 accurately identify communication disorders in all cultural and ethnic groups.
- 874
- 875 ♦ Information from epidemiological, biological, and behavioral studies can be used to
876 develop recommendations to prevent communication disorders or to minimize their
877 effects.
- 878
- 879 ♦ Develop engineered reconstructive tissues for restoring function in individuals who have
880 suffered structural loss through disease or trauma.
- 881
- 882 ♦ Integrate information from epidemiological, biological, and behavioral research studies to
883 develop strategies for prevention of communication disorders.
- 884
- 885 ♦ Determine if there are any effects of race, ethnicity, language use, and socioeconomic
886 status on the choice of medical and behavioral interventions.
- 887
- 888 ♦ Encourage inter-disciplinary research between neuroscientists, pharmacologists,
889 physicians, communication scientists, and practitioners to facilitate high-quality studies,
890 of the effectiveness of treatments for communication disorders.
- 891

892 SUMMARY

893
894 Disorders of human communication, including hearing, balance, smell, taste, voice, speech, and
895 language, affect millions of Americans. Fortunately, over the past few decades, research has
896 greatly advanced the understanding of human communication and communication disorders.
897 There is a greater understanding of how information is received and interpreted in the brain and
898 how an individual's communication abilities can be compromised by factors such as infection,
899 loud noise, and genetic abnormalities and differences. In addition, many new technologies have
900 been developed to improve or restore communication.

901
902 Research opportunities have led to scientific breakthroughs that directly affect the understanding
903 of communication disorders. These advances have been accompanied by progress in behavioral
904 studies that increase the understanding of communication processes in health and disease. New
905 imaging techniques, electronic devices, computer databases, animal models, and clinical trials
906 have enhanced our ability to understand, prevent, diagnose, and treat disorders of human
907 communication.

908

909 A crucial component to advancing the mission of the NIH and the Institute lies in sustaining a
910 strong and responsive research training and career development program. To reach this goal, the
911 Institute encourages and supports the complete career development continuum from predoctoral
912 and postdoctoral fellowships (via National Research Service Award grants) to mentored career
913 development awards (K-series awards), and culminating in new independent-investigator NIH
914 R01 awards.

915

916 The NIDCD is committed to continuing its progress in the science of human communication, in
917 preventing communication disorders, and improving clinical decision-making for the prevention,
918 diagnosis, and treatment of communication disorders. NIDCD-supported research has been
919 essential to many of these advances but many opportunities remain. The strategic priorities
920 outlined in this plan provide a guide for future scientific initiatives and investigator-initiated
921 research aimed at improving the quality of life for individuals who face the daily challenge of
922 living with a communication disorder.

DRAFT