

James Allen has devoted his entire working career to Hilliard Lyons after joining the firm in 1981. Jim has broad experience in the organization where he has managed taxable fixed income, the private client group and Hilliard Lyons Asset Management. After being named President of Hilliard Lyons in 2003, Jim was elected Chairman and CEO of the company effective January 1, 2004.

Jim has been active with our industry and with the Securities Industry and Financial Markets Association where he currently serves on the board of directors. He has spent five years on the SIA (now SIFMA) Private Client Services Committee and he is currently the committee co-chair. He is on the Regional Firms Committee and also serves on the CEO Group A Roundtable.

Jim is involved in Louisville, Kentucky where he is the current Board Chairman of the YMCA of Greater Louisville. He is also a Trustee of the Louisville Collegiate School, a member of the Jefferson County Public School Foundation Board, the Louisville Zoo Foundation Board and the Norton Foundation Board.

Jim received a B.A. in Economics from DePauw University and an M.B.A. in Finance from Ohio State University. He is also a Chartered Financial Analyst (CFA). Jim is married with one daughter.