PY 2009 Guidance Regarding Promotion Precepts and Benchmarks for Commissioned Corps Officers

Officers preparing for promotion need to be familiar with the 5 promotion precepts described in the electronic Commissioned Corps Issuance System (eCCIS) Instructions 331.01 and 331.02 (old CCPM CC23.4.1 Permanent Grade Promotions and CC23.4.2 Temporary Grade Promotions), and noted below. To assist officers in better understanding the promotion precepts, the precepts are described in terms of factors that may be considered in scoring that precept. Each factor has a benchmark, which is a level of achievement for the officer given the category and grade. The purpose of this guidance is to inform officers and promotion boards of the levels of achievement per promotion precept generally considered to describe the "best qualified" officer for a specific category at a specific grade. This document can also benefit the officer in setting some personal long term goals for his or her career advancement.

The Chief Professional Officers (CPO) and Professional Advisory Committee (PAC) Chairs, in consultation with their constituent category members, revise the Benchmarks annually to reflect the ever changing missions and policies of the Corps. All five promotion precepts are identical for all categories, as are the benchmarks for promotion precepts 1, 4, and 5. The benchmarks for promotion precepts 2 and 3 are category-specific. Except for the promotion precept 5, "Readiness", which has criteria developed by the Office of Force Readiness and Deployment, the benchmarks for the other precepts are not criteria required for promotion.

Benchmarks are levels of achievement and/or standards of excellence that describe the "bestqualified" officer. They serve as a basis by which officers can be measured within each category. The members of the Promotion Boards review the service records of each officer under consideration for promotion and each assigns a score for the specific promotion precept. Promotion Board members exercise their professional judgment and discretion in the review and rating of each record. No Officer is expected to meet all of the benchmarks. The Benchmarks are not to be considered a checklist of activities that must be completed in order to be promoted. Quality of service is more important than quantity.

The individual factors within each precept are not listed in priority order. The importance of each factor is left to the discretion of the Promotion Boards. There is no time period that limits which of the officer's activities and accomplishments are eligible for consideration. However, activities and accomplishments subsequent to an officer's last promotion should receive priority consideration.

The promotion precepts are weighted as follows:

1.	Performance Rating and Reviewing Official Statement	
	(Performance)	40%
2.	Education, training, and professional development	15%
3.	Career progression and potential	25%
4.	Professional contributions and services to the	
	PHS Commissioned Corps (Officership)	15%
5.	Response Readiness	5%

Promotion Board members examine many documents in the officer's electronic Official Personnel Folder (eOPF) during the promotion review. Examples of these documents include, but are not limited to: Commissioned Officers' Effectiveness Report (COER), Promotion Information Report (PIR), curriculum vitae, the Officers Statement, award narratives, and letters of appreciation. The most recent COERs (e.g., the last 3-5 years) are generally given the most consideration by Promotion Board members, although earlier COERs may also be reviewed. Promotion Board members evaluate both the letter values of the COER and the accompanying narrative.

Career development resources (e.g., Curriculum Vitae (CV) reviews, mentoring, internet training tools, career development seminars, fellow officers) provided by the PACs, agency liaisons, Office of Commissioned Corps Operations (OCCO), and the CPOs should be explored and fully utilized by all officers.

The benchmarks will continue to evolve as the Commissioned Corps continues to evolve. Any comments or suggestions that you have on the Benchmarks may be submitted to your PAC Chair, and will be carefully considered for incorporation into the next annual revision.

PY 2009 BENCHMARKS FOR PROMOTION PRECEPTS

1. Performance Rating and Reviewing Official Statement (Performance)				
Factor	Benchmarks	Benchmarks	Benchmarks	
	T-O4/P-O3	T-O5/P-O4	T-O6/P-O5/P-O6	
• Commissioned Officers' Effectiveness Report (COER)	The primary focus in reviewing the COER should be on the accompanying narrative rather than on the letter score. Narrative indicates progression of responsibility, achievement and contributions to the agency mission.	The primary focus in reviewing the COER should be on the accompanying narrative rather than on the letter score. Narrative indicates progression of responsibility achievement and contribution to the agency mission.	The primary focus in reviewing the COER should be on the accompanying narrative rather than on the letter score. Narrative indicates progression of responsibility, achievement and contributions to the agency mission.	
	Secondary assessment will	Secondary assessment will	Secondary assessment will	
	include a review of the	include a review of the	include a review of the	
	COER score, in the context	COER score, in the context	COER score, in the context	
	of the officer's performance	of the officer's performance	of the officer's performance	
	trends. The officer should be	trends. The officer should be	trends. The officer should be	
	in a billet at or above their	in a billet at or above their	in a billet at or above their	
	current grade.	current grade.	current grade.	
Award History - CC Honor Awards	Awards at the Achievement medal level or below. There should be a record of awards across the career.	Awards at the Commendation medal level or below. There should be a record of awards across the career.	Awards at the Outstanding Service Medal level or below. There should be a record of awards across the career.	
	Type of awards, relevant	Type of awards, relevant	Type of awards, relevant	
	citations, quantity, and	citations, quantity, and	citations, quantity, and	
	progression of awards should	progression of awards should	progression of awards should	
	be assessed.	be assessed.	be assessed.	
- Non-Corps Awards	Division, Institute, and	Division, Institute, and	Division, Institute, and	
	Agency (including non-	Agency (including non-	Agency (including non-	
	DHHS agencies), and	DHHS agencies), and	DHHS agencies), and	
	professional organization	professional organization	professional organization	
	awards, and recognition such	awards, and recognition such	awards, and recognition such	
	as letters of commendation.	as letters of commendation.	as letters of commendation.	

Factor	Benchmarks T-O4/P-O3	Benchmarks T-O5/P-O4	Benchmarks T-O6/P-O5/P-O6
Reviewing Official's Assessment			
- Promotion Readiness	Yes, including Reviewer's comments regarding officer's readiness for promotion.	Yes, , including Reviewer's comments regarding officer's readiness for promotion.	Yes, including Reviewer's comments regarding officer's readiness for promotion.
- Leadership Role in Command/Agency	Contributes (Reviewer's assessment of the significance of officer's contribution)	Contributes and exhibits leadership (Reviewer's assessment of the significance of officer's contribution)	Leads (Reviewer's assessment of the significance of officer's contribution)
	a) in a management, supervisory, or leadership position	a) In a management, supervisory, expert and/or leadership position	a) In a management, supervisory, expert, and/or leadership position
	and/or	and/or	and/or
	b) as a member of a task force or similar group at the Branch, Division, Area, Agency or CC level or local, regional, national, or international level	b) as a member or leader of a task force or similar group at the Branch, Division, Area, Agency or CC level or local, regional, national, or international level	b) leads a task force or a similar group at the Branch, Division, Area, Agency or CC level or local, regional, national, or international level
	and/or	and/or	and/or
	c) through publications or other written communication or oral presentations.	c) provides Office, Bureau, or Area-level expertise through publications or other written communication or oral presentations.	c) provides agency-level expertise through publications or other written communication or oral presentations.
- Contribution to the Mission of the Command/Agency and PHS	Performs duties assigned by supervisor.	Performs duties assigned by supervisor. Engages in collateral activities that contribute to the Agency/PHS mission.	Compelling evidence that career duties and collateral activities contribute to the PHS mission.
Honor/Integrity/Duty	Unquestioned	Unquestioned	Unquestioned
	Displaying honor and integrity as an officer.	Displaying honor and integrity as an officer.	Displaying honor and integrity as an officer.
	No outstanding disciplinary or behavioral issues or adverse actions documented in eOPF.	No outstanding disciplinary or behavioral issues or adverse actions documented in eOPF.	No outstanding disciplinary or behavioral issues or adverse actions documented in eOPF.

	2. Education, Training & Professional Development				
	Factor	Benchmarks T-O4/P-O3	Benchmarks T-O5/P-O4	Benchmarks T-O6/P-O5/P-O6	
•	Degrees	A qualifying degree (Bachelors, Masters, or Doctorate) in professional discipline.	A qualifying degree (Bachelors, Masters, or Doctorate) in professional discipline, and working toward a relevant advanced degree that enhances the PHS and/or Agency mission.	A qualifying degree (Bachelors, Masters, or Doctorate) in professional discipline, and has attained an advanced degree that enhances the PHS and/or Agency mission.	
•	Residencies/Certification/ Credentialing Board Certifications Other certifications/ credentials 	+Value added	Working toward advanced board certifications within or outside professional discipline which enhances the mission of the PHS and/or Agency.	Has 1 or more advanced board certifications within or outside professional discipline which enhances the mission of the PHS and/or Agency.	
•	Continuing Education	As required to maintain professional license, certification(s), or credentials.	As required to maintain professional license, certification(s), or credentials.	As required to maintain professional license, certification(s), or credentials.	

	3. Career Progression & Potential				
Factor	Benchmarks T-O4/P-O3	Benchmarks T-O5/P-O4	Benchmarks T-O6/P-O5/P-O6		
• Billet	\geq 03 Progression of higher level billets over career.	\geq 04 Progression of higher level billets over career.	\geq 05 Progression of higher level billets over career.		
• Assignments	Demonstrates progressively more responsibility, ability and independence.	Demonstrates progressively more responsibility, ability and independence, including at least team leader level responsibility.	Demonstrates progressively more responsibility, ability and independence, including management /supervisory responsibility.		
Mobility – Programmatic and/or Geographic	\geq 2 moves	\geq 3 moves	\geq 4 moves		
Assimilation	Regular Corps or applied for regular corps.	Regular Corps or recommended by assimilation board.	Assimilated to Regular Corps.		
• Collateral Duties	Participation in additional organizational duties/activities at the local/institutional level.	Participation in additional organizational duties/activities of increasing complexity, responsibility, and impact at the local, institutional, or regional level.	Participation in additional organizational duties/activities of increasing complexity, responsibility, and impact at the local, institutional, regional or national level.		

4. Professional contributions and services to the PHS Commissioned Corps (Officership)			
Factor	Benchmarks T-O4/P-O3	Benchmarks T-O5/P-O4	Benchmarks T-O6/P-O5/P-O6
• Membership/Involvement in PAC and Advisory Groups (e.g., Junior Officers Advisory Group, Minority Officers Liaison Council)	Appointed member or volunteer. Contribution should be documented.	Appointed member or volunteer. Leads subcommittee or plays substantive role. Contribution should be documented.	Appointed member or volunteer who demonstrates leadership as Chair or Vice- chair, or leads subcommittees, or plays substantive role. Contribution should be documented.
• Recruitment Activities	If any, recruitment activity contribution should be documented.	Associate Recruiter, formal appointment, active participation documented; other documented formal recruitment efforts or similar activities.	Associate Recruiter Lead or Associate Recruiter, formal appointment, active participation documented; other documented formal recruitment efforts or similar activities.
		Informal recruitment activity, contribution should be documented.	Informal recruitment activity, contribution should be documented.
• Mentoring	Participates in regular one- on-one mentoring or group mentoring either as a mentor or mentee, as evidenced by documentation in the CV.	Participates in regular one- on-one mentoring or group mentoring as a mentor as evidenced by documentation in the CV.	Participates in regular one- on-one mentoring or group mentoring as a mentor as evidenced by documentation in the CV.
		Completing a mentor assignment in the category's Mentoring and Orientation Program verified via letter from the Chair of the Category PAC Mentoring and Orientation Subcommittee, or	Completing a mentor assignment in the category's Mentoring and Orientation Program verified via letter from the Chair of the Category PAC Mentoring and Orientation Subcommittee, or
		Serve as an assigned mentor to a less tenured officer, intern, or employee for at least 6 months with appropriate PAC documentation.	Serve as an assigned mentor to a less tenured officer, intern, or employee for at least 6 months with appropriate PAC documentation.
• Basic Officer Training Course (BOTC) and Independent Officer Training Course (IOTC)	Commissioned Corps Training Ribbon (CCTR) awarded.	Commissioned Corps Training Ribbon (CCTR) awarded.	Commissioned Corps Training Ribbon (CCTR) awarded.

4. Professional contributions and services to the PHS Commissioned Corps-(Officership) –				
Factor	<i>continue</i> Benchmarks T-O4/P-O3	ed Benchmarks T-O5/P-O4	Benchmarks T-O6/P-O5/P-O6	
• Professional, Uniformed Service, and Specialty Organizations	Active member at the local, regional, national, or international levels. Contribution should be documented.	Active member at the regional, national, or international levels. Contribution should be documented.	Active member at the regional, national, or international levels. Contribution should be documented.	
		Serves as contributing member to the society as a whole or through a committee or subcommittee.	Serves in a leadership role in the organization, its committees or as a member of the Board.	
PHS Service Awards	1	2	3	
Commitment to Visibility				
- Wearing of uniform	Wears the uniform every business day even if it exceeds the Local Uniform Authority (LUA) minimum, or as appropriate for officers on foreign tour.	Wears the uniform every business day even if it exceeds the Local Uniform Authority (LUA) minimum, or as appropriate for officers on foreign tour.	Wears the uniform every business day even if it exceeds the Local Uniform Authority (LUA) minimum, or as appropriate for officers on foreign tour.	
- Presentations and outreach acknowledge the Corps	Oral presentations include acknowledgement of the Commissioned Corps; uniform wear at local and national meetings or activities of professional organizations	Oral presentations include acknowledgement of the Commissioned Corps; uniform wear at local and national meetings or activities of professional organizations	Oral presentations include acknowledgement of the Commissioned Corps; uniform wear at local and national meetings or activities of professional organizations	
• Other Commissioned Corps activities involvement such as but not limited to: e.g.,	Minimal Participation	Participates and exhibits leadership	Participates and exhibits leadership	
PHS Administrative Boards Honor Guard Aide-de-Camp PHS Ensemble Transformation workgroups	Frequency and/or impact documented	Frequency and/or impact documented	Frequency and/or impact documented	

5. Readiness				
Factor	Benchmarks	Benchmarks	Benchmarks	
	T-O4/P-O3	T-O5/P-O4	T-O6/P-O5/P-O6	
	For PY 2009, officers can	For PY 2009, officers can	For PY 2009, officers can	
	meet this standard by	meet this standard by	meet this standard by	
	meeting <u>all</u> of the	meeting <u>all</u> of the	meeting <u>all</u> of the	
	requirements of the "OFRD	requirements of the "OFRD	requirements of the "OFRD	
	Basic Level" of readiness	Basic Level" of readiness	Basic Level" of readiness	
	that are in place for the	that are in place for the	that are in place for the	
	OFRD program as	OFRD program as	OFRD program as	
	referenced in the Manual	referenced in the Manual	referenced in the Manual	
	Circular, PHS Readiness	Circular, "PHS Readiness	Circular, "PHS Readiness	
	Standards".	Standards".	Standards".	
	Application of	Application of	Application of	
	Benchmark Standard:	Benchmark Standard:	Benchmark Standard:	
	Officers who satisfy <u>all</u> the	Officers who satisfy <u>all</u> the	Officers who satisfy <u>all</u> the	
	requirements for PY 2009	requirements for PY 2009	requirements for PY 2009	
	will be given the maximum	will be given the maximum	will be given the maximum	
	number of points under the	number of points under the	number of points under the	
	Readiness Precept.	Readiness Precept.	Readiness Precept.	
	Officers who do not satisfy	Officers who do not satisfy	Officers who do not satisfy	
	<u>all</u> the requirements for PY	<u>all</u> the requirements for PY	<u>all</u> the requirements for PY	
	2009 will receive zero	2009 will receive zero	2009 will receive zero	
	points under the Readiness	points under the Readiness	points under the Readiness	
	Precept.	Precept.	Precept.	
	In addition and for	In addition and for	In addition and for	
	Temporary Grade	Temporary Grade	Temporary Grade	
	promotion only , officers	promotion only , officers	promotion only , officers	
	who do not satisfy <u>all</u> the	who do not satisfy <u>all</u> the	who do not satisfy <u>all</u> the	
	requirements for PY 2009	requirements for PY 2009	requirements for PY 2009	
	will receive an automatic	will receive an automatic	will receive an automatic	
	"Not Recommend" by the	"Not Recommend" by the	"Not Recommend" by the	
	Annual Temporary	Annual Temporary	Annual Temporary	
	Promotion Board. Officers	Promotion Board. Officers	Promotion Board. Officers	
	"not recommended" for	"not recommended" for	"not recommended" for	
	promotion will be referred	promotion will be referred	promotion will be referred	
	to a Retention Board.	to a Retention Board.	to a Retention Board.	

Note: Officers may submit a request for a temporary medical waiver to the Medical Affairs Branch for medical issues related to vaccinations or the Annual Physical Fitness Test.