

Instructions for filling out PCT-SAFE/EASY for application filed with the Sequence Listing part of the description in paper with the Sequence Listing filed in electronic form for purposes of international search under Rule 13ter.

1. Click on the left “Biology” tab. Next check the box “This description contains a sequence listing” under the text “**Nucleotide and/or amino acid sequence listing.**”

PCT-RO-101 Electronic Request - Seq list 13t

File Edit View Tools Help

Request  
States  
Names  
Priority  
**Biology**  
Declarations  
Contents  
Fees  
Payment  
Annotate  
filing not possible  
filing possible  
ready for filing

### Indications Relating to Deposited Microorganism or Other Biological Material

No.	Depository Institution	Date of Deposit	Accession No.	Val.
+	Open to add new item			

Open

### Nucleotide and/or amino acid sequence listing

The description contains a sequence listing  
 The description contains tables related thereto

2. Click on the “Contents” tab. Next click on the box containing the words “Sequence listing part of description.”

Type of filing:  PCT-EASY  Fully electronic  
 EFS-Web  Single specification file

Document	Details	Pages	Electronic File	Val.
Request (including declaration sheets)		3		<input checked="" type="checkbox"/>
Description (excluding sequence listing ...)		30		<input checked="" type="checkbox"/>
Claims		10		<input checked="" type="checkbox"/>
Abstract		1	EZABST00.TXT	<input checked="" type="checkbox"/>
Drawings	Fig. No. 1	10		<input checked="" type="checkbox"/>
Sequence listing part of description				<input type="checkbox"/>

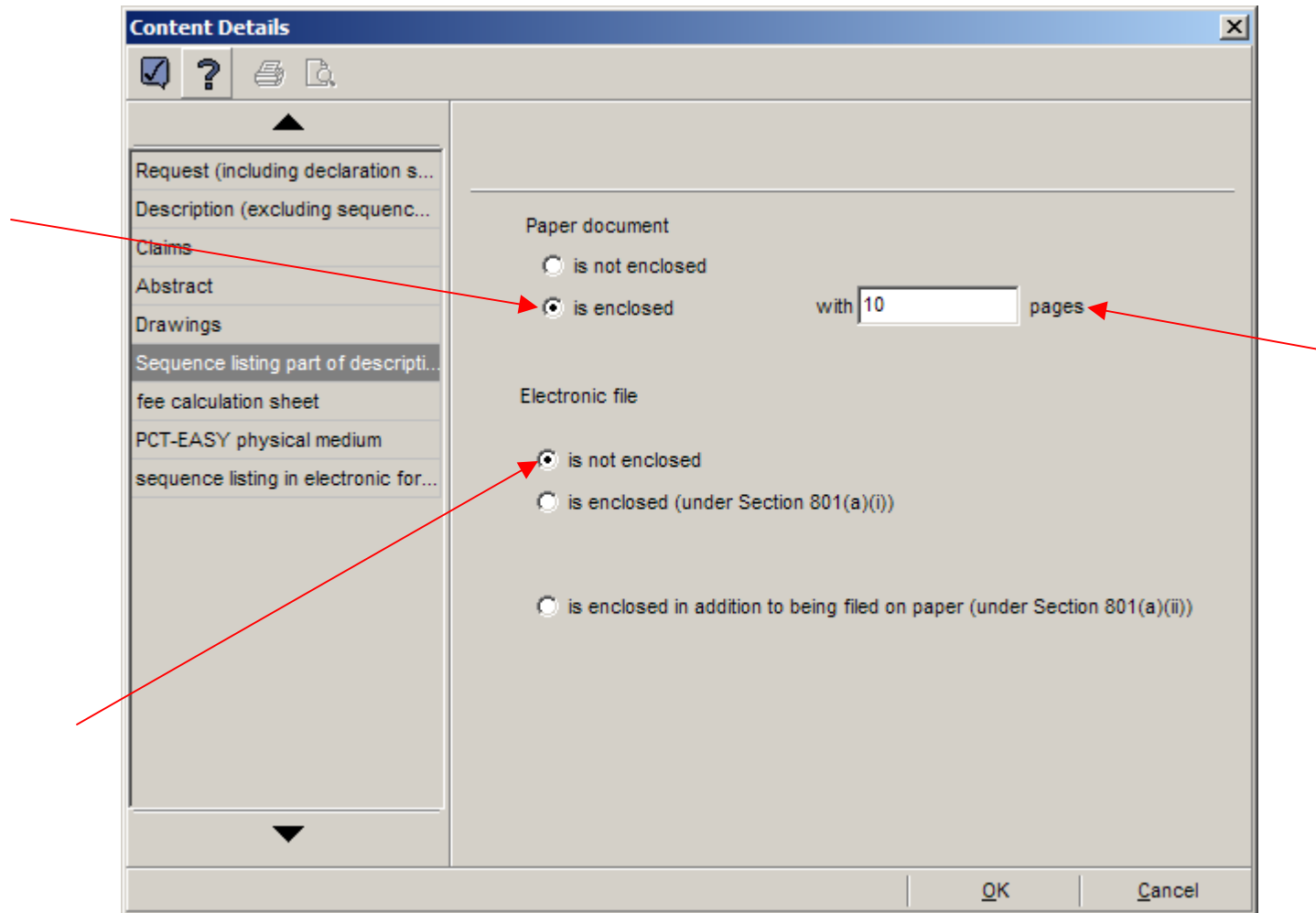
Open

Recalculate total: 54 pages

International Application Accompanying Items

In total: 6 documents 2 files

3. Fill in the radio button “Paper document is enclosed,” and indicate the number of pages. Also click on the radio button “electronic file is not enclosed.” (This section is for parts of the application only. In this example, the electronic sequence listing **accompanied the application as a search tool**, but is not considered a part of the application. Therefore, no electronic file is enclosed as part of the application. However, the electronic sequence listing will be listed as an accompany item, see steps 4 and 5.)



4. Click on the “Accompanying Items” tab near the bottom of the screen.

PCT-RO-101 Electronic Request - Seq list 13t

File Edit View Tools Help

Type of filing:  PCT-EASY  Fully electronic  
 EFS-Web  Single specification file

Document	Details	Pages	Electronic File	Val.
Request (including declaration sheets)		3		<input checked="" type="checkbox"/>
Description (excluding sequence listing ...)		30		<input checked="" type="checkbox"/>
Claims		10		<input checked="" type="checkbox"/>
Abstract		1	EZABST00.TXT	<input checked="" type="checkbox"/>
Drawings	Fig. No. 1	10		<input checked="" type="checkbox"/>
Sequence listing part of description		10		<input checked="" type="checkbox"/>

Document	Details	Pages	Electronic File	Val.
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Recalculate total: 64 pages

International Application Accompanying Items

In total: 7 documents 2 files

Sequence listing under PCT Rule 13ter

5. Click on the box that contains the text “sequence listing in electronic form.”

The screenshot shows the 'PCT-R0-101 Electronic Request - Seq list 13t' window. The sidebar on the left contains the following items: Request (checked), States, Names (checked), Priority (warning icon), Biology (highlighted with a red arrow), Declarations, Contents (checked), Fees (warning icon), Payment (checked), Annotate (warning icon), filing not possible (warning icon), filing possible (warning icon), and ready for filing (warning icon). The main area displays 'Type of filing: PCT-EASY' with options for 'Fully electronic' and 'Single specification file'. Below this is a table with the following data:

Document	Details	Pages	Electronic File	Val.
fee calculation sheet		1		✓
PCT-EASY physical medium			<input checked="" type="checkbox"/>	✓
sequence listing in electronic form	1		1 CD-R	✓

At the bottom, the summary shows 'International Application' and 'Accompanying Items' with a total of 7 documents and 2 files. An 'Open' button is located at the bottom right of the main area.

6. Fill in the radio button “Submitted for the purpose of international search only (and not as part of the international application)” and fill in type of carrier and number of carriers.

The screenshot shows a dialog box titled "Content Details" with a sidebar on the left and a main content area on the right. The sidebar contains a list of document types, with "sequence listing in electronic for..." selected. The main content area is titled "Electronic file" and contains three radio button options. The middle option, "submitted for the purposes of international search only (and not as part of the international application)", is selected. Below the radio buttons, there are two input fields: "type of carrier" with a dropdown menu showing "CD-R" and "number" with a text box containing "1". Red arrows point from the text in the instruction above to these three elements: the selected radio button, the "type of carrier" dropdown, and the "number" text box. At the bottom of the dialog box are "OK" and "Cancel" buttons.

Congratulations, you have filled out the sequence listing portion of the Request form.

The Check list of the PCT-SAFE/EASY form will look like this. PCT-SAFE/EASY for application filed with the Sequence Listing part of the description in paper with the Sequence Listing filed in electronic form for purposes of international search under Rule 13ter.

<b>IX</b>	<b>Check list</b>	<b>number of sheets</b>	<b>electronic file(s) attached</b>
IX-1	Request (including declaration sheets)	3	✓
IX-2	Description (excluding sequence listing part)	30	-
IX-3	Claims	10	-
IX-4	Abstract	1	✓
IX-5	Drawings	10	-
IX-7a	Sub-total number of sheets	54	
IX-6a	Sequence listing part of description	10	-
IX-7	TOTAL	64	
	<b>Accompanying Items</b>	<b>paper document(s) attached</b>	<b>electronic file(s) attached</b>
IX-8	Fee calculation sheet	✓	-
IX-16(A)	Sequence listing in electronic form		
IX-16(A) -(i)	copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application)	-	1 CD-R
IX-17	PCT-SAFE physical media	-	✓