

In the Matter of:

CLARENCE E. FRALEY,

ARB CASE NO. 05-119

COMPLAINANT,

ALJ CASE NO. 2005-STA-11

v.

DATE: September 29, 2005

TRANSERVICE LOGISTICS, INC.,
RESPONDENT.

BEFORE: THE ADMINISTRATIVE REVIEW BOARD

FINAL DECISION AND ORDER APPROVING SETTLEMENT AND DISMISSING COMPLAINT WITH PREJUDICE

This case arises under the employee protection provisions of the Surface Transportation Assistance Act (STAA) of 1982, as amended. By letter dated June 14, 2005, the Complainant, Clarence E. Fraley, notified the Department of Labor Administrative Law Judge (ALJ) that he had entered into a settlement agreement with the Respondent, Transervice Logistics, Inc., and wished to withdraw his objections to the Assistant Secretary's preliminary findings. Under the regulations implementing the STAA, the parties may settle a case at any time after the filing of objections to the Assistant Secretary's preliminary findings "if the participating parties agree to a settlement and such settlement is approved by the Administrative Review Board . . . or the ALJ." The regulations direct the parties to file a copy of the settlement "with the ALJ or the Administrative Review Board, United States Department of Labor, as the case may be."

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¹ 49 U.S.C.A. § 31105 (West 1997).

² 29 C.F.R. § 1978.111(d)(2) (2005).

³ *Id.*

In this case, when the parties reached a settlement, the case was pending before the ALJ. Therefore, the ALJ appropriately reviewed the settlement agreement. On June 29, 2005, the ALJ issued a Recommended Decision and Order Approving Settlement and Dismissing Complaint. According to the STAA's implementing regulations, the Administrative Review Board issues the final decision and order in this case.⁴

The Board issued a Notice of Review and Briefing Order apprising the parties of their right to submit briefs supporting or opposing the ALJ's decision.⁵ Neither party responded to the Order.

Review of the agreement reveals that it may encompass the settlement of matters under laws other than the STAA.⁶ The Board's authority over settlement agreements is limited to the statutes that are within the Board's jurisdiction as defined by the applicable statute. Therefore, we **APPROVE** the terms of the agreement pertaining to Fraley's STAA claim⁷ and **DISMISS** the complaint with prejudice.

SO ORDERED.

M. CYNTHIA DOUGLASS
Chief Administrative Appeals Judge

WAYNE C. BEYER Administrative Appeals Judge

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⁴ 29 C.F.R. § 1978.109(c)(2); *Monroe v. Cumberland Transp. Corp.*, ARB No. 01-101, ALJ No. 00-STA-50 (ARB Sept. 26, 2001); *Cook v. Shaffer Trucking Inc.*, ARB No. 01-051, ALJ No. 00-STA-17 (ARB May 30, 2001).

⁵ 29 C.F.R. § 1978.109(c)(2).

Settlement Agreement ¶¶6, 7, 12.

⁷ Fish v. H and R Transfer, ARB No. 01-071, ALJ No. 00-STA-56, slip op. at 2 (ARB Apr. 30, 2003).