Influence of MITA on APDs/RFPs

Industry MITA Summit

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MMIS Certification Today

- Process unchanged for the past 30 years
- Occurs + 6 months <u>after</u> commencement of operations
- MMIS = claims processing engine + 4-6 subsystems
- Basis of Review State Medicaid Manual Part 11 functional requirements
- Reviewers rely on "checklists" in functional areas

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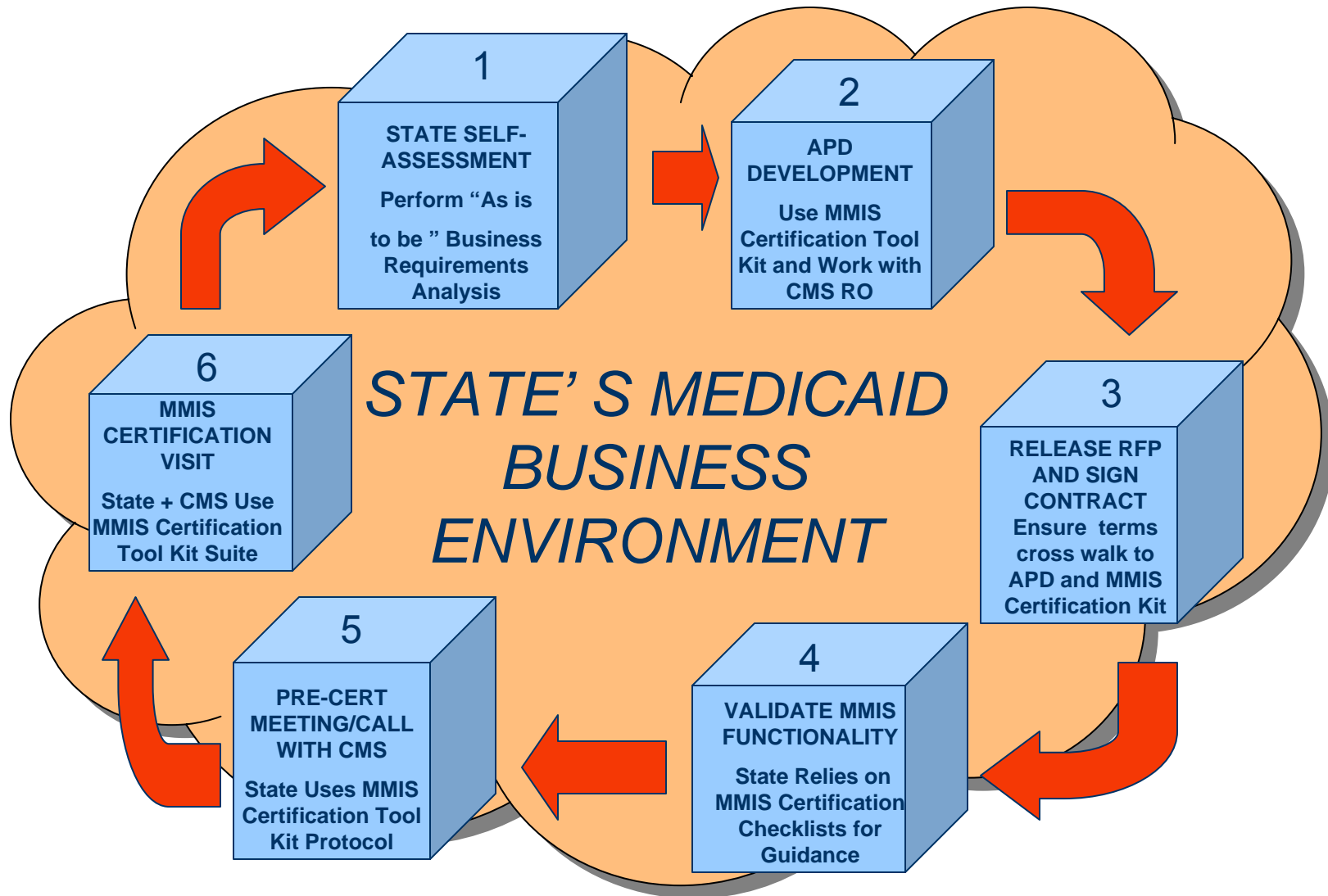# Why Bother to Change MMIS Cert?

- MITA--Shifting our MMIS Perspective from Subsystems to Business Processes
- Federal Health Architecture/Enterprise View
- Focus on Business Goals not Systems
- As We Alter Our View of the MMIS via MITA We need to Have the MMIS Cert Process Stay in Step—Two Phases
  - **Now: Reflects changes in current requirements**
  - **FFY 2007- 2008: Reflect MITA**
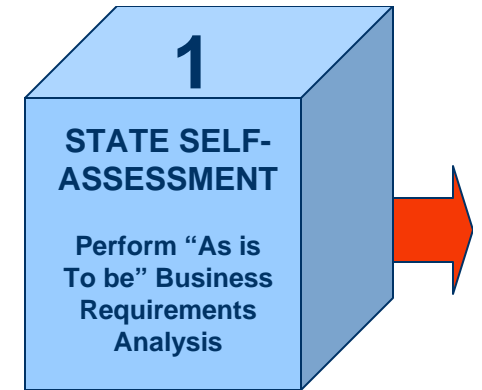
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Shift Focus and Scope

- Share CMS' certification "checklists" with the States/Vendors to help develop APD, RFP, contract, testing, cert prep and on-site CMS visit

- Re-do checklists to broaden their scope

- Update the range of review criteria within checklists

- Shift focus to <u>beginning</u> of procurement process
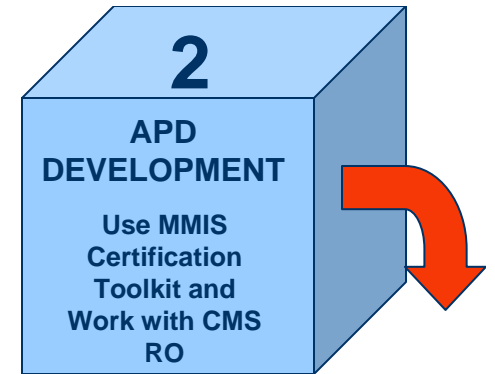
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ROADMAP TO A SUCCESSFUL MMIS IMPLEMENTATION

STATE'S MEDICAID BUSINESS ENVIRONMENT

1
STATE SELF-ASSESSMENT

Perform "As is to be" Business Requirements Analysis

2
APD DEVELOPMENT

Use MMIS Certification Tool Kit and Work with CMS RO

3
RELEASE RFP AND SIGN CONTRACT
Ensure terms cross walk to APD and MMIS Certification Kit

4
VALIDATE MMIS FUNCTIONALITY

State Relies on MMIS Certification Checklists for Guidance

5
PRE-CERT MEETING/CALL WITH CMS

State Uses MMIS Certification Tool Kit Protocol

6
MMIS CERTIFICATION VISIT

State + CMS Use MMIS Certification Tool Kit Suite

Step 1: State Self-Assessment

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STATE SELF-ASSESSMENT

Perform "As is To be" Business Requirements Analysis

⇘ **Perform "As is – To be" Business Requirements Analysis**

● State Performs Self-Assessment Using Business Process Model – Available in Framework Release 2.0

● Map to Business Areas, Objectives, Processes & Capabilities

● Include: Current & New, Federal and State

● Conduct : Gap Analysis

● Map to MMIS Certification Checklist

● Create New Checklists - Update as Changes Occur

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Step 2: APD Development

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APD DEVELOPMENT

Use MMIS Certification Toolkit and Work with CMS RO

⭷ **Use MMIS Certification Toolkit**

- Self Assessment – Attachment to APD Map

- Certification Checklists to Business Areas/processes

- Standardizes APD through Use of Templates & Checklists

- State, CMS & Vendor Familiar with Process

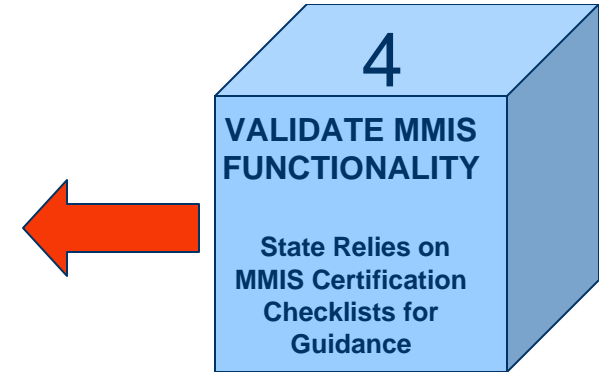- Cost out design, development and installation by Business Process

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Step 3: Release RFP and Sign Contract

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RELEASE RFP AND SIGN CONTRACT Ensure terms cross walk to APD and MMIS Certification Kit

⮧ **Ensure Terms Cross-Walk to APD and MMIS Certification Toolkit**

- Include Self-Assessment & Checklists in RFP

- Detailed Story told in RFP

- After Contract Award: Both State and Vendor Update Self-Assessment & Checklists throughout Project Implementation
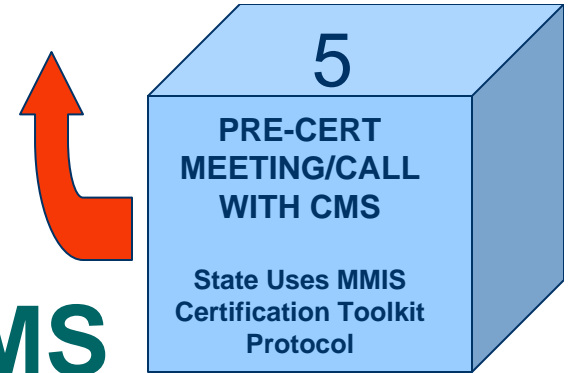
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Step 4: Validate MMIS Functionality

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VALIDATE MMIS FUNCTIONALITY

State Relies on MMIS Certification Checklists for Guidance

⮫ **State Relies on MMIS Certification Checklists for Guidance**

- Used by State Implementation Team and/or IV&V Contractor

- State Uses Checklist to Test System Certification

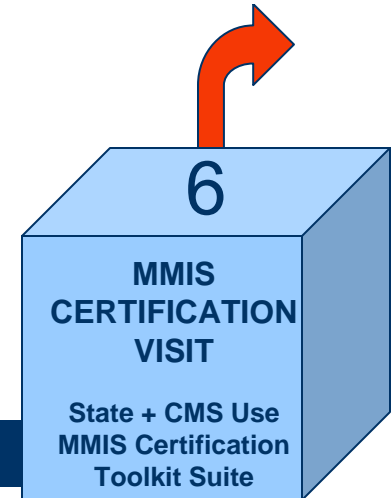- Apply Against Federal & State Requirements

Step 5: Pre-Certification Visit Call/Meeting with CMS

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PRE-CERT MEETING/CALL WITH CMS

State Uses MMIS Certification Toolkit Protocol

↘**State Uses MMIS Certification Toolkit Protocol**

- Continuing Communication Between State & CMS

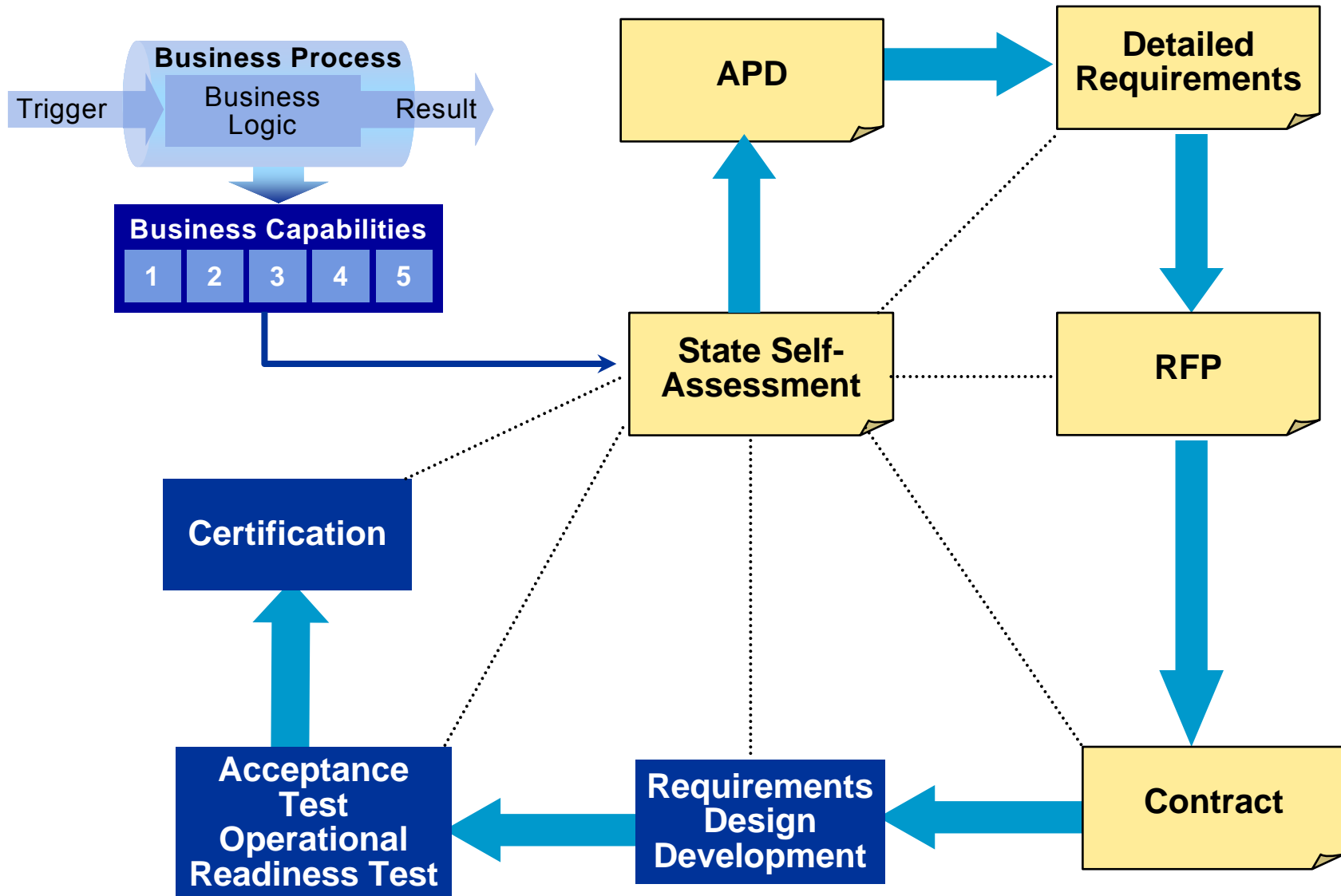- Updated Business Areas & Checklists Submitted & Reviewed Together

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Step 6: MMIS Certification Visit

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MMIS CERTIFICATION VISIT

State + CMS Use MMIS Certification Toolkit Suite

⇘ **States and CMS Both Use MMIS Certification Toolkit**

● No surprises – Both State and Certification Team are familiar with the State's Requirements

Requirements & Certification Life Cycle

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What's Coming Next?

- **Release 1 of the New Certification Review Toolkit**

- **Review of Release 1 by CMS, States and Vendors**

- **Training/Communication Plan for CMS, States and Vendors**

- **Pilot State Readiness Assessment & On-Site Review**

- **Pilot APD Protocol for State beginning MMIS Replacement or Enhancement Cycle**

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Questions and Comments