Oversight and Review Groups

An Oversight or Review Group, as defined for the Framework, is an individual, a group of individuals or an organization that performs an oversight function for an identified Framework artifact, activity or established review checkpoint. The following is an alphabetized list of the Oversight and Review Groups that have been identified and referenced throughout the Framework to date, presented as links to summary descriptions available for each identified Oversight and Review Group. Additional Oversight and Review Groups may exist in CMS that are not currently reflected in this list. As additional content is incorporated into the Framework, this list will be expanded to incorporate other Oversight and Review Groups as appropriate.

Beneficiary Confidentiality Board (BCB)
CBC/BISG/DWPM
Certification & Accreditation (C&A) Evaluator
Chief Information Officer (CIO)
Chief Technology Officer (CTO)
CIO's Technical Advisory Board (CTAB)
CMS Information Technology Investment Review Board (ITIRB)
Configuration (or Change) Control Board (CCB)
Data Integrity Board (DIB)
Evaluation Panel
Executive Steering Committee (ESC)
IT Infrastructure Implementation Agent or Contractor
IV&V Contractor
OAGM/AGG
Office of Financial Management (OFM)
Office of Management & Budget (OMB)
OIS/EDG
OIS/EDG/DPCDD
OIS/ISMG
OIS/ITAPS
OIS/PMSG
OIS/SSG
OIS/TMG
Senior Information Systems Security Officer (ISSO)

Oversight and Review Groups

Beneficiary Confidentiality Board (BCB):

The Beneficiary Confidentiality Board (BCB) reviews strategic issues relating to the protection of individually identifiable information from the perspectives of the beneficiary and CMS. The work of the BCB focuses on balancing personal privacy interests with a qualifying public interest. For information regarding the BCB, visit the BCB homepage.

CBC/BISG/DWPM:

The Division of Website Project Management (DWPM) in the Beneficiary Information Services Group (BISG) of the Center for Beneficiary Choices (CBC) coordinates the formulation of website policies, strategies, goals, and standards for **medicare.gov** and **cms.hhs.gov**. CBC/BISG/DWPM publishes instructional information for CMS' public website developers including functional specifications to facilitate program design, implementation, evaluation, revision, and/or update. CBC/BISG/DWPM also manages website information display and dissemination strategies. CBC/BISG/DWPM fosters understanding of the Internet as a communication tool and works collaboratively within CMS and outside entities to optimize this use. CBC/BISG/DWPM also ensures compliance with CMS' website standards and evaluates business needs. CBC/BISG/DWPM coordinates dissemination of program information via the Internet with other CMS components, other Federal agencies, and private sector organizations. Additionally, CBC/BISG/DWPM manages the development and maintenance of strategic Internet efforts including interactive public information databases (e.g., Medicare Compare).

Certification & Accreditation (C&A) Evaluator:

The Certification & Accreditation (C&A) Evaluator coordinates Security Test & Evaluation (ST&E) planning with OIS/SSG and the System Owner/Manager, including, but not limited to, timeline and resource requirements. The C&A Evaluator conducts the ST&E specific tasks, including, but not limited to, examining the security controls implemented for the information system and attesting to the successful completion of the appropriate technical certification evaluations. The C&A Evaluator also prepares the resultant ST&E report and assists OIS/SSG by developing accreditation recommendations for the Chief Information Officer (CIO). The C&A Evaluator shall be independent of the system and shall not have participated in the design or development of the system.

Chief Information Officer (CIO):

Effective May 29, 2005, Mr. Dean Mesterharm replaced Mr. Timothy Love as the Chief Information Officer (CIO) for CMS and Director of the Office of Information Services (OIS). The CIO is responsible for creating and managing CMS' enterprise-wide information infrastructure, supporting its business units on technology issues, and providing recommendations to the CMS executive team on technology policies, sources,

investments, and resource allocation. The CIO is also responsible for ensuring the Agency's compliance with key legislative and business drivers specifically related to information technology.

Chief Technology Officer (CTO):

The Chief Technology Officer (CTO) for CMS is Mr. Wallace Fung, who helps guide CMS through the technological evolution to a modern information services environment. The CTO works in the Office of Information Services (OIS) and also serves as the Deputy Director of OIS. The CTO focuses on systems architecture, tools, system security and networks. The responsibilities of the CTO include ensuring the optimization of CMS' investments in information technology and enhancing the cost-effective delivery of services to beneficiaries.

CIO's Technical Advisory Board (CTAB):

The Chief Information Officer's Technical Advisory Board (CTAB) is comprised of technical representatives from the CMS business components who collectively serve as a communication and vetting body for CMS target architecture products and standards. The CTAB is responsible for reviewing technical impact analyses performed by the Office of Information Services (OIS) regarding requests to add, change or delete a product or standard in the CMS Enterprise Architecture (EA). The CTAB weighs the overall technical impact of the request against the CMS business need(s), and makes recommendations to the CIO accordingly. The CTAB recommends to the CIO any changes that are deemed necessary to evolve CMS' Enterprise Architecture in response to business needs, technology innovations, or industry trends.

CMS Information Technology Investment Review Board (ITIRB):

The CMS Information Technology Investment Review Board (ITIRB) provides business-driven leadership to CMS' IT operations and development to ensure that CMS' IT resources are efficiently deployed to meet short-, medium-, and long-term business demand. The CMS ITIRB deliberates and provides recommendations to the Office of the Administrator (OA) regarding expenditure of appropriated IT capital investment funds. For more information regarding the organization and operation of the CMS ITIRB, visit: CMS ITIRB Charter (PDF - 29 KB).

Configuration (or Change) Control Board (CCB):

A Configuration (or Change) Control Board (CCB) is a group of people responsible for evaluating and approving or disapproving proposed changes to configuration items, and for ensuring implementation of approved changes.

Data Integrity Board (DIB):

The Data Integrity Board (DIB) oversees and coordinates CMS's implementation of "matching programs". A "matching program" means any computerized comparison of two or more Systems of Records, or a System of Records with non-Federal records, for the purpose of (1) establishing or verifying eligibility or compliance with law or

regulations of applicants or recipients/beneficiaries, or (2) recouping payments or overpayments. The definition also encompasses matches involving Federal personnel or payroll records.

Evaluation Panel:

The Evaluation Panel is a group of CMS individuals brought together for the purpose of measuring a contractor's proposal against the requirements of the acquisition and rating the proposal accordingly.

Executive Steering Committee (ESC):

The Executive Steering Committee (ESC) serves as management authority providing senior management leadership for the successful and timely completion of IT projects to meet the business needs. The ESC provides management oversight and guidance to the Project Owner/Manager and/or Project Officer and makes final decisions on the priority, risk, and potential impact of changes to the project objectives, operations, quality, schedule, performance, budget and other resources related to the IT project. The CMS Information Technology Investment Review Board (ITIRB) has established nine separate ESCs to provide oversight and direction to the IT projects within their designated investment portfolios. The ESCs monitor the progress and status of the IT projects and adjust, if necessary, both project and business needs and priorities to ensure success of the IT projects and Agency mission. For information regarding each of the ITIRB ESCs, see: ITIRB Executive Steering Committees.

IT Infrastructure Implementation Agent or Contractor:

The IT Infrastructure Implementation Agent or Contractor provides a variety of IT infrastructure support services to CMS. Lockheed Martin (LM) is the primary IT Infrastructure Implementation Contractor providing IT infrastructure support services under the Consolidated IT Infrastructure Contract (CITIC). LM is responsible for ongoing operations and maintenance in the following service areas: CMS Data Center mainframes, mid-tier environment, Local Area Network (LAN), desktops, telephones, and the IT Service Desk. LM evaluates new CMS automated systems slated for future operational and maintenance support, as well as enhancements/modifications to existing automated systems operating in the production environment, to assess impacts on the CMS IT infrastructure and to make recommendations based upon that analysis that are aimed at optimal leveraging of assets.

IV&V Contractor:

The Independent Verification and Validation (IV&V) Contractor is an independent body outside of CMS that reviews and assesses CMS projects, verifies that they comply with applicable CMS/Industry procedures and standards, and provides CMS management with the results of these reviews and audits. The IV&V process provides assurance that the products and processes in the project conform to their specified requirements and adhere to their established plans.

ITIRB Executive Steering Committees

Executive Steering Committee (ESC)	Scope of Oversight/Direction	CMS Membership
Medicare FFS	Medicare Fee-For-Service (FFS) applications (e.g., Standard A-B-C-D, MCPR, ATP, and SFE projects)	CBC, CMM, OFM, OIS, ORDI, RO
Financial Management & Administrative Systems	CMS financial and administrative applications (e.g., HIGLAS and FACS projects)	CMSO, OFM, OIS, RO, OOM
Infrastructure	CMS enterprise infrastructure investments (e.g., CITIC and EDCs)	CBC, CMM, CMSO, OFM, OCSQ, OIS, OOM, RO
Medicare Advantage & Part D Payment Systems	Medicare Advantage (MA) and Part D applications (e.g., MMCS, MARx, RAS, Employer Subsidy, TROOP projects)	CBC, CMSO, OACT, OFM, OIS, ORDI, RO
Data	Enterprise data investments (e.g., MBD and MSIS projects)	CBC, CMM, CMSO, OACT, OCSQ, OIS, ORDI, RO, OOM
E-gov & HIPAA	CMS Internet, E-gov, and HIPAA applications (e.g., web sites, NGD, HETS 270/271, and NPPES projects)	CBC, CMM, OFM, OESS, OIS, OSORA, RO
Quality	CMS Quality applications (e.g., Qnet, OSCAR, QIES, CDACs projects)	CBC, CMSO, CMM, OCSQ, OFM, OIS, ORDI, OESS, RO
Appeals	Medicare Appeals applications	CBC, OFM, OIS, RO
Medicaid & SCHIP	Medicaid and SCHIP applications	CMSO, OIS, ORDI, RO

OAGM/AGG:

The Acquisition and Grants Group (AGG) of the Office of Acquisition and Grants Management (OAGM) serves as the principal advisor and technical authority for CMS IT procurement policies, processes, procedures, templates, and guidance.

Office of Financial Management (OFM):

The Office of Financial Management (OFM) has the overall responsibility for the fiscal integrity of CMS programs. OFM is structured into four groups: Program Integrity Group, Financial Services Group, Accounting Management Group, and Budget and Analysis Group.

Office of Management & Budget (OMB):

The Office of Management and Budget (OMB) assists the President of the United States in the development and execution of Federal policies and programs. OMB is responsible for the development and resolution of all Federal budget, policy, legislative, regulatory, procurement, and management issues. OMB is composed of divisions organized either by agency and program area or by functional responsibilities. However, the work of OMB often requires a broad exposure to issues and programs outside of the direct area of assigned responsibility.

OIS/EDG:

The Enterprise Databases Group (EDG) of the Office of Information Services (OIS) serves as the principal advisor and technical authority for data administration, database administration, CMS data release and Privacy Act policies, processes, procedures, templates and guidance. OIS/EDG is also responsible for providing access pathways to CMS data, processing Freedom of Information Act (FOIA) requests, and processing/distributing CMS Public Use Files (PUFs).

OIS/EDG/DPCDD:

The Division of Privacy Compliance Data Development (DPCDD) of the Enterprise Databases Group (EDG) of the Office of Information Services (OIS) serves as the principal advisor and technical authority for CMS data release and Privacy Act policies, processes, procedures, templates, and guidance. OIS/EDG/DPCDD develops and implements agency-wide data use policies and procedures to ensure the confidentiality of CMS data and the privacy of the Medicare and Medicaid populations. OIS/EDG/DPCDD also develops, implements and maintains the CMS online automated Privacy Accountability Database.

OIS/ISMG:

The Information Services Modernization Group (ISMG) of the Office of Information Services (OIS) manages all aspects of the Information Services Modernization Program with OIS components, CMS organizational components, Medicare contractors, and

System Maintainers. OIS/ISMG coordinates development of, and provides assistance, in maintaining the IT Modernization "To Be" technical architecture. OIS/ISMG assesses the applicability of alternative solutions within the envisioned architecture and collaborates with CMS business and program components to ensure that CMS business drivers are incorporated into architectural planning.

OIS/ITAPS:

The Information Technology Architecture Planning Staff (ITAPS) of the Office of Information Services (OIS) establishes and administers an Enterprise Architecture (EA) governance structure within CMS that provides for ongoing collaborative decision-making between senior business and technical leadership. OIS/ITAPS plans and manages the development, implementation, and ongoing maintenance of CMS' EA, which describes the current and desired relationships among business and management process and information technology. OIS/ITAPS evaluates CMS' automated systems to assess impacts to the EA and to make recommendations based upon that analysis that are aimed at optimal leveraging of assets. OIS/ITAPS establishes and maintains a CMS enterprise systems inventory to account for existing IT infrastructure and legacy system assets. OIS/ITAPS ensures that new systems are appropriately classified relative to mission criticality and that information about them is published in a timely fashion. OIS/ITAPS also serves as a focal point within CMS, and as liaison between CMS and external public and private sector organizations, regarding enterprise standards, IT architecture, and related methodologies.

OIS/PMSG:

The CIO Planning, Management, and Support Group (PMSG) of the Office of Information Services (OIS) serves as the principal contact and intake for all new and proposed Information Technology (IT) Projects. OIS/PMSG also provides direct support to the CMS Information Technology Investment Review Board (ITIRB), and is responsible for coordinating and integrating the overall investment management process for all Project Owners/Managers. OIS/PMSG is responsible for many of the functions of the Chief Information Officer (CIO) that are specifically identified within the Clinger-Cohen Act (e.g., strategic IT planning, IT investment management, and systems quality assurance).

OIS/SSG:

The Systems Security Group (SSG) of the Office of Information Services (OIS) serves as the principal advisor and technical authority for IT systems security policies, processes, procedures, templates, and guidance.

OIS/TMG:

The Technology Management Group (TMG) of the Office of Information Services (OIS) serves as the principal advisor and technical authority for infrastructure management policies, processes, procedures, templates, and guidance. OIS/TMG directs the planning, budgeting, procurement, implementation, operation, evaluation, maintenance, control, and security assurance of CMS' information technology infrastructure (i.e., all IT

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facilities, data communications networks, distributed systems equipment, office automation tools, enterprise and Local Area Network (LAN) functions, and distributed computing functions and services). OIS/TMG manages CMS' infrastructure resources and directs the operation of the CMS Data Center.

Senior Information Systems Security Officer (ISSO):

The Senior Information Systems Security Officer (ISSO) ensures that a System Security Plan (SSP) and/or Information Security Risk Assessment is developed, reviewed, implemented, and revised for all CMS IT systems. The Senior ISSO for CMS is Jane Ohlmacher, who is located in the Office of Information Services, Systems Security Group (OIS/SSG).