

CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-08 Medicare Program Integrity	Centers for Medicare & Medicaid Services (CMS)
Transmittal 183	Date: JANUARY 24, 2007
	Change Request 5318

Subject: Update the MCS System to Validate National Provider Identifiers (NPIs) in Place of Unique Physician Identification Numbers (UPINs).

NOTE: Transmittal 169, dated November 3, 2006 is rescinded and replaced by Transmittal 183, dated January 24, 2007. This is a correction to the implementation time frames for CR 5318, which was issued on November 3, 2006, as Pub. 100-08, Rev. 169. In addition, A/B MACs are added as a responsible party for business requirement 5318.6. All other information remains the same.

This CR will be implemented in three phases for MCS: Phase I is analysis for the January 2007 release. Phase II is design and code for the April 2007 release, and Phase III is completion of coding, documentation and testing, with final implementation in the July 2007 Release. The effective date, which is the date of receipt, is May 23, 2007. The implementation date is July 2, 2007.

I. SUMMARY OF CHANGES: This instruction requests MCS to modify base system functions, as necessary, to use NPIs in place of UPINs. The areas impacted include but are not limited to the following: hard coded edits and audits; participating provider count derivation; the focused provider analysis process; the ARGUS file format; population of fields on the Medicare Summary Notices; population of fields on the master provider record and monitoring/control reports. This instruction is effective with process date January 1, 2007.

Effective Date: May 23, 2007

Implementation Date: January 2, 2007 for MCS' analysis

April 2, 2007 for MCS' design and coding

July 2, 2007 for MCS to complete coding, documentation, and testing with final implementation for MCS and its users.

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revise information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)

R=REVISED, N=NEW, D=DELETED-Only One Per Row.

R/N/D	Chapter / Section / Subsection / Title
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III. FUNDING:

No additional funding will be provided by CMS; Contractor activities are to be carried out within their FY 2007 operating budgets.

IV. ATTACHMENTS:

One-Time Notification

**Unless otherwise specified, the effective date is the date of service.*

Attachment – One-Time Notification

Pub. 100-08	Transmittal: 183	Date: January 24, 2007	Change Request 5318
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Effective date: May 23, 2007

Implementation date: January 2, 2007 for MCS analysis
April 2, 2007 for MCS design and coding
July 2, 2007 for MCS to complete coding, documentation and Testing with final implementation for MCS and its users.

I. GENERAL INFORMATION

A. Background: This instruction uses the data analysis developed from Change Request (CR) 4166 Transmittal 129 dated November 4, 2005, to establish the business requirements that will be used to implement MCS system changes to replace UPINs with NPIs effective May 23, 2007. CR 4166 instructed shared system maintainers to conduct analysis regarding the impact of replacing UPINs with NPIs in their respective systems and to identify situational and downstream users.

This instruction requests MCS to modify base system functions, as necessary, to use NPIs in place of UPINs. The areas impacted include but are not limited to the following: hard coded edits and audits; participating provider count derivation; the focused provider analysis (FPA) process; the ARGUS file format; population of fields on the Medicare Summary Notices (MSN); population of fields on the master provider record and monitoring/control reports. This instruction effective date for coding and testing is April 1, 2007 with the implementation effective date for claims process on May 23, 2007.

Instruction regarding the ARGUS file format will be included in the April Release.

B. Policy: The administrative simplification provisions of the Health Insurance Portability and Accountability Act of 1996 (P.L. 104-191) requires the Secretary of Health and Human Services to adopt a national standard identifier for covered health care providers for use in national health care systems. CMS published a Final Rule on January 23, 2004, that announced the NPI as the standard identifier.

II. BUSINESS REQUIREMENTS

“Shall” denotes a mandatory requirement

Requirement Number	Requirements	Responsibility (“X” indicates the columns that apply)								
		FI	RHHI	Carrier	DMERC	Shared System Maintainers				Other
						FISS	MCS	VMS	CFW	
5318.1	The shared system maintainer shall use the analysis completed for CR 4166, Transmittal 129, to determine the base system changes needed to use NPIs in place of UPINs. That analysis identified all references to UPIN in the MCS. The subsequent business requirements highlight only those analysis points that have not already been addressed through other CMS NPI-related CRs and where actual base changes are required to use NPIs in place of UPINs.						X			
5318.2	The shared system maintainer shall modify existing hard coded edits and audits that evaluate UPINs to also utilize NPIs if the UPIN is not available.						X			
5318.3	The shared system maintainer shall modify the participating provider count process to accumulate totals based on the presence of NPIs on provider records when the UPIN is not available.						X			
5318.3.1	The shared system maintainer shall modify reports associated with the participating provider count process (e.g., HVSRPARV, HVSRPARC, and HVSRUERR, PSUP and query application) to include a new display field for NPI as appropriate while retaining existing UPIN display fields when the NPI is not available.						X			

5318.4	The shared system maintainer shall modify the sort capability in the Focus Provider Analysis (FPA) function to sort based on NPI.						X			
5318.4.1	For FPA, when an NPI is available or not for a given provider, the standard system maintainer shall retain the ability to sort by UPIN.						X			

Requirement Number	Requirements	Responsibility (“X” indicates the columns that apply)								
		FI	RHHI	Carrier	DMERC	Shared System Maintainers				Other
						F I S S	MCS	VMS	CWF	
5318.4.2	The shared system maintainer shall modify FPA reports to display NPIs as well as UPINs as applicable.						X			
5318.5	The shared system maintainer shall update additions to the MCS SCF data elements to use NPI in place of UPIN. An example is data element "AH ordering spec" which did not have a correlating NPI data element.						X			
5318.6	Carriers and A/B MACs shall update controlled edits or audits, to look at the NPI rather than the UPIN.			X						A/B MACs
5318.7	The shared system maintainer shall modify the population of the UPIN Name field on the master provider record to use PECOS name fields if a PECOS UPIN record is unavailable.						X			
5318.8	The shared system maintainer shall archive any existing monitoring/control reports related to UPIN usage.						X			

III. PROVIDER EDUCATION

Requirement Number	Requirements	Responsibility (“X” indicates the columns that apply)							
		FI	RHHI	Carrier	DMERC	Shared System Maintainers			
FISS	MCS					VMS	CWF		
	None								

IV. SUPPORTING INFORMATION AND POSSIBLE DESIGN CONSIDERATIONS

A. Other Instructions: N/A

X-Ref Requirement #	Instructions

B. Design Considerations: N/A

X-Ref Requirement #	Recommendation for Medicare System Requirements

C. Interfaces: N/A

D. Contractor/Shared System Maintainers Financial Reporting /Workload Impact: N/A

E. Dependencies: N/A

F. Testing Considerations: N/A

IV. SCHEDULE, CONTACTS, AND FUNDING

<p>Effective Date*: May 23, 2007</p> <p>Implementation Date: January 2, 2007 for MCS' analysis April 2, 2007 for MCS' design and coding July 2, 2007 for MCS to complete coding, documentation, and testing with final implementation for MCS and its users.</p> <p>Pre-Implementation Contact(s): Gerald Wright (410) 786-5798 Post-Implementation Contact(s): Gerald Wright (410) 786-5798</p>	<p>No additional funding will be provided by CMS: contractor/shared system maintainers activities shall be carried out within their FY 2007 current operating budgets.</p>
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*The effective date is the date of receipt.