CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-04 Medicare Claims Processing	Centers for Medicare & Medicaid Services (CMS)
Transmittal 1154	Date: JANUARY 12, 2007
	Change Request 5436

Subject: Healthcare Provider Taxonomy Codes (HPTC) Update

I. SUMMARY OF CHANGES: Intermediaries, Carriers, and DME Contractors must obtain the most recent Healthcare Provider Taxonomy Codes (HPTC) list and use it to update their internal HPTC tables.

New / Revised Material Effective Date: April 1, 2007

Implementation Date: April 2, 2007

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)

R=REVISED, N=NEW, D=DELETED-Only One Per Row.

R/N/D	Chapter / Section / Subsection / Title
N/A	

III. FUNDING:

No additional funding will be provided by CMS; Contractor activities are to be carried out within their FY 2007 operating budgets.

IV. ATTACHMENTS:

Recurring Update Notification

^{*}Unless otherwise specified, the effective date is the date of service.

Attachment – Recurring Update Notification

Pub. 100-04 Transmittal: 1154 Date: January 12, 2007 Change Request 5436

SUBJECT: Healthcare Provider Taxonomy Codes (HPTC) Update

Effective Date: April 1, 2007

Implementation Date: April 2, 2007

I. GENERAL INFORMATION

- **A. Background:** The HPTC set is maintained by the National Uniform Claim Committee (NUCC) for standardized classification of health care providers. The NUCC updates the code set twice a year with changes effective April 1 and October 1. The HPTC list is available from the Washington Publishing Company (WPC) http://www.wpc-edi.com/codes/taxonomy in two forms. The first form is a free Adobe PDF download. The second form, available for purchase, is an electronic representation of the code set that facilitates automatic loading of the codes.
- **B.** Policy: HIPAA requires that covered entities comply with the requirements in the electronic transaction format implementation guides adopted as national standards. The institutional and professional claim electronic standard implementation guides (X12 837-I and 837-P) each require use of valid codes contained in the HPTC set when there is a need to report provider type or physician, practitioner, or supplier specialty for a claim. Valid HPTCs are those codes approved by the NUCC for current use. Terminated codes are not approved for use after a specific date and newly approved codes are not approved for use prior to the effective date of the code set update in which each new code first appears. Although the NUCC generally posts their updates on the WPC Web page 3 months prior to the effective date, changes are not effective until April 1 or October 1 as indicated in each update. Specialty and/or provider type codes issued by any entity other than the NUCC are not valid and Medicare would be guilty of non-compliance with HIPAA if Medicare contractors accepted claims that contain invalid HPTCs.

II. BUSINESS REQUIREMENTS TABLE

Use "Shall" to denote a mandatory requirement

Number	Requirement	Responsibility (place an "X" in each										
		applicable column)										
		A	D	F	C	D	R	Sh	arec	l-		OTHER
		/	M	I	A	M	Н	Sy	sten	n		
		В	Е		R	Е	Н	Ma	ainta	aine	rs	
					R	R	I	F	M	V	C	
		M	M		I	C		I	C	M	W	
		A	A		Е			S	S	S	F	
		C	C		R			S				
5436.1	Contractors and maintainers shall use the most	X	X	X	X	X	X	X	X	X		
	cost effective means to obtain the April 2007											
	HPTC list which should be available online by											
	the end of the first week in January.											
5436.2	Contractors and maintainers shall update the											
	current HPTC Tables with the April 2007	X	X	X	X	X	X	X	X	X		
	HPTC list.											

CMS / CMM / MCMG / DCOM Change Request Form: Last updated 23 October 2006

Number	Requirement	Responsibility (place an "X" in each										
		applicable column)										
		A	D	F	C	D	R	Sh	arec	1-		OTHER
		/	M	I	A	M	Н	Sy	sten	n		
		В	Е		R	Е	Н	Ma	ainta	aine	rs	
					R	R	I	F	M	V	С	
		M	M		I	C		I	C	M	W	
		A	A		Е			S	S	S	F	
		C	C		R			S	_			
5436.3	Contractors shall notify submitters of 837-I and	X	X	X	X	X	X					
	837-P claims in a Newsletter/ Bulletin and on											
	their provider Web page of deletions, additions											
	or modifications in each HPTC update that											
	could affect claims sent to Medicare and the											
	effective date of those changes. MLN articles											
	are not prepared for code updates.											

III. PROVIDER EDUCATION TABLE

Number	Requirement	Responsibility (place an "X" in each applicable column)									
		A	A D F C D R						ed-	OTHER	
		/	M	I	A	M	Η	Syste	em		
		В	Е		R	Е	Η	Mair	ntair	ers	
					R	R	I	FN	И V	C	
		M	M		I	C		I (1 W	7
		A	A		Е			SS	$S \mid S$	F	
		C	C		R			S			
	None.										

IV. SUPPORTING INFORMATION

A. For any recommendations and supporting information associated with listed requirements, use the box below:

Use "Should" to denote a recommendation.

X-Ref Requirement Number	Recommendations or other supporting information:
N/A	

B. For all other recommendations and supporting information, use the space below:

V. CONTACTS

Pre-Implementation Contact(s): Indria Robinson, 410-786-6155 regarding this Change Request. Matt Klischer, 410-786-7488 regarding 837 Institutional Issues and Brian Reitz, 410-786-5001 regarding 837 Professional Issues

Post-Implementation Contact(s): Indria Robinson, 410-786-6155 regarding this Change Request. Matt Klischer, 410-786-7488 regarding 837 Institutional Issues and Brian Reitz, 410-786-5001 regarding 837 Professional Issues

VI. FUNDING

No additional funding will be provided by CMS; contractor activities are to be carried out within their FY 2007 operating budgets.

The contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the Statement of Work (SOW). The contractor is not obligated to incur costs in excess of the amounts specified in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.