# $K \to \pi \nu \bar{\nu}$ in and beyond the SM

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Technion

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#### Some references

- Littenberg, 1989
- Buchalla and Buras, 1990s
- Grossman and Nir, 1997
- Nir and Worah, 1997
- Falk and Petrov, 2001
- D'Ambrosio and Isidori, 2001
- Grossman, Isidori and Murayama, 2003

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## Outline

- $K \to \pi \nu \bar{\nu}$  in specific models
  - General considerations
  - Standard Model
  - New physics
- General properties of  $K_L \rightarrow \pi \nu \bar{\nu}$ 
  - Why is  $K_L \rightarrow \pi \nu \bar{\nu}$  CP violating?
  - What kind of CP violation?
  - Possible CP conserving contributions
- Conclusions

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- p.3

# $K \to \pi \nu \bar{\nu}$ in specific models

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# Setting the stage



Hocker et al. (CKMfitter)

Present:  $V_{cb}, V_{ub}/V_{cb}, \varepsilon_K,$   $\Delta m_d, \Delta m_s,$   $a_{CP}(B \rightarrow \psi K_S)$ 

• Future:  $\gamma, \alpha, K \rightarrow \pi \nu \overline{\nu}$ 

Tiny Errors

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## What is an ultimate observable?

A good observable is

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- 1. Theoretically interesting
- 2. Theoretically clean
- 3. Experimentally accessible
- Not too many such observables

$$a_{CP}(B \to J/\psi K_S) \qquad a_{CP}(B_s \to J/\psi \phi) B \to DK(\gamma) \qquad K \to \pi \nu \bar{\nu}$$

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-p.6

Interestingly, these are complementary to each other

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## Why is $K \to \pi \nu \bar{\nu}$ so clean?

The general problems with hadronic decays are:

- Hadronic matrix elements
- Long distance effects

#### Why is $K \to \pi \nu \bar{\nu}$ so clean?

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## Why is $K \to \pi \nu \bar{\nu}$ so clean?

The general problems with hadronic decays are:

Therefore the  $K \rightarrow \pi \nu \bar{\nu}$  decays are very interesting

- Exclusive hadronic decays that we can calculate in the SM and its extensions
- The measured rates are sensitive to fundamental parameters

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#### Example: the standard model



$$A(K \to \pi \nu \bar{\nu}) = \sum_{q=u,c,t} A_q$$

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$$A(K \to \pi \nu \bar{\nu}) = \sum_{q=u,c,t} A_q$$

#### where

$$A_q \sim m_q^2 V_{qs}^* V_{qd} \sim \begin{cases} \Lambda_{QCD}^2 \lambda & up \\ m_c^2 (\lambda + i\lambda^5) & charm \\ m_t^2 (\lambda^5 + i\lambda^5) & top \end{cases}$$

Hard GIM, negligible LD effects

•  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ : top dominant, charm is important

•  $K_L \rightarrow \pi \nu \bar{\nu}$ : CP violating, almost pure top

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 $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  in the SM

The fundamental Wolfenstein parameters:  $\lambda$ , A,  $\rho$  and  $\eta$ 

$$\mathcal{B}(K^+ \to \pi^+ \nu \bar{\nu}) = C_+ |A|^4 \times \left[\eta^2 + (\rho - \rho_c)^2\right] = O(10^{-10})$$

- $C_+$  is known
- $\rho_c$  includes the charm effect, with small theoretical errors
- The largest error in extracting  $\rho$  and  $\eta$  is from A

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 $K_L \rightarrow \pi \nu \bar{\nu}$  in the SM

$$\mathcal{B}(K_L \to \pi \nu \bar{\nu}) = C_L |A|^4 \times \eta^2 = O(10^{-11})$$

- CP violating decay
- Very small theoretical error in  $C_L$
- The largest error in extracting  $\rho$  and  $\eta$  is from A
- In the ratio A cancels

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$$\frac{\mathcal{B}(K_L \to \pi \nu \bar{\nu})}{\mathcal{B}(K^+ \to \pi^+ \nu \bar{\nu})} = \frac{C_L}{C_+} \times \frac{\eta^2}{\eta^2 + (\rho - \rho_c)^2}$$

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# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ effects on CKM fits

G. Isidori



• The green lines: central value,  $1\sigma$  and 90% CL

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# New physics effects

New physics can affect the rates in many ways

- Significant new physics only in the B sector
- Minimal flavor violation: flavor violation is confined to the SM Yukawa couplings
- Significant new physics in  $K \to \pi \nu \bar{\nu}$  decays

# New physics only in the B sector

G. Isidori



• The green lines: central value,  $1\sigma$  and 90% CL

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#### Minimal flavor violation

- One effective new parameter: the new physics scale
- **Small effects, but**  $K \rightarrow \pi \nu \bar{\nu}$  have very high sensitivity



D'Ambrosio, et al.

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# Non minimal new physics effects

Generic new physics can have large effect with

- 1. Low scale new physics
- 2. New flavor violation



Generically, new physics affects *B* and *K* differently

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# General properties of $K_L \to \pi \nu \bar{\nu}$

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Why is  $K_L \rightarrow \pi \nu \bar{\nu}$  CP violating decay?

- In general, three body decays do not have definite CP
- $\pi^+\pi^-$  is CP even
- $\pi^+\pi^-\pi^0$  can have both CP even and CP odd components

Is  $K_L \rightarrow \pi \nu \bar{\nu}$  a true CP violating decay?

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#### **CP** violation in $K_L \rightarrow \pi \nu \bar{\nu}$

We neglect  $\varepsilon_K$  and

- 1. Consider only left handed neutrinos
- 2. Assume lepton flavor conservation
- 3. Neglect small  $m_K/m_W$  effects

The only dimension 6 operator that mediate  $K_L \rightarrow \pi \nu \bar{\nu}$  is

$$O_{sd}^{ii} = \bar{s}\gamma_{\mu}d \times \bar{\nu}_{L}^{i}\gamma^{\mu}\nu_{L}^{i}$$

- This operator produces  $\pi^0 \nu_i \bar{\nu}_i$  in a CP even state
- We can think of it as  $K_L \rightarrow \pi Z^*$  two body decay
- Since  $K_L$  is CP-odd,  $K_L \rightarrow \pi \nu \bar{\nu}$  is CP violating

# CP properties of the operators

We choose

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$$K_L\rangle = |K\rangle + |\bar{K}\rangle$$

• The hadronic matrix element that enter  $K_L \rightarrow \pi \nu \bar{\nu}$  transform under CP as

$$\langle \pi | \bar{s}d + \bar{d}s | K_L \rangle \xrightarrow{CP} \langle \pi | \eta_{CP} (\bar{s}d + \bar{d}s) | K_L \rangle$$

Since we consider only vector interaction where  $\eta_{CP}(V) = -1$ ,  $K_L \rightarrow \pi \nu \bar{\nu}$  requires CP violation

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## What is the kind of CP violation?

In *B* physics we talk about three types of CPV

- 1. "Direct"  $|\bar{A}/A| \neq 1$
- **2.** "Indirect"  $|q/p| \neq 1$

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3. Interference between mixing and decay

$$\arg\left(\frac{q\bar{A}}{p\bar{A}}\right) \neq 0$$

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•  $K_L \rightarrow \pi \nu \bar{\nu}$  is of the third type  $\Rightarrow$  the clean type

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# The third type of CPV

$$\lambda \equiv \frac{A(\bar{K} \to \pi \nu \bar{\nu})}{A(K \to \pi \nu \bar{\nu})} \frac{q}{p}$$

Then we get

$$\frac{\Gamma(K_L \to \pi \nu \bar{\nu})}{\Gamma(K_S \to \pi \nu \bar{\nu})} = \frac{1 + \lambda^2 - 2\mathcal{R}e\lambda}{1 + \lambda^2 + 2\mathcal{R}e\lambda} \quad \stackrel{|\lambda|=1}{\longrightarrow} \quad \tan^2 \theta$$

where

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 $\theta \equiv \arg(\lambda)$ 

In the SM  $\theta \approx \beta$ , up to calculable charm contribution

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#### Bounding the $K_L \rightarrow \pi \nu \bar{\nu}$ rate

 $\Gamma(K_S \to \pi \nu \bar{\nu})$  may never be measured. We thus use isospin

$$\sqrt{2}A(K^0 \to \pi^0 \nu \bar{\nu}) = A(K^+ \to \pi^+ \nu \bar{\nu})$$

Then we get

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$$R \equiv r_{is} \frac{\Gamma(K_L \to \pi \nu \bar{\nu})}{\Gamma(K^+ \to \pi^+ \nu \bar{\nu})} = \sin^2 \theta$$

with  $r_{is} = 0.954$  takes care of isospin breaking

- R measured  $\theta$  cleanly assuming only isospin
- Using  $\sin^2 \theta \le 1$  we get a model independent bound

## More general $K_L \to \pi \nu \bar{\nu}$

We can have CP conserving contribution once we relax each of the above mentioned assumptions

- 1. Consider also right handed neutrinos
- 2. Allow for lepton flavor violation
- 3. Include small  $m_K/m_W$  effects

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# Right handed neutrinos

Consider right-handed neutrinos. Then there are new dimension 6 scalar and tensor operators. For example

$$(\bar{s}\,\Gamma_S d) \times \bar{\nu}_R \Gamma_S \nu_L$$

- $\Gamma_S$  is a scalar operator
- Under CP  $\Gamma_S \xrightarrow{CP} \Gamma_S \qquad \Gamma_V \xrightarrow{CP} -\Gamma_V$
- Scalar operators generate  $K_L \rightarrow \pi \nu \bar{\nu}$  in the CP limit, with one LH and one RH neutrino (SM: both are LH)
- The effect due to neutrino masses is tiny
- The spectrum is different from the standard spectrum

 $m_K/m_W$  effects

Under CP

 $\nu(k_1)\bar{\nu}(k_2) \xrightarrow{CP} \nu(k_2)\bar{\nu}(k_1)$ 

- For a CP eigenstate the  $\nu$  and  $\bar{\nu}$  must have the same spectrum
- The standard dimension 6 operator generates a symmetric spectrum
- Dimension 8 operators do not necessarily generate a symmetric spectrum
- A general spectrum is a sum of a symmetric and a antisymmetric spectrum. That is CP-even and CP-odd
- In the SM this operator comes from the box diagram as long as we keep the external momenta

## Lepton number violation

Consider CP conserving new physics model where

$$A(K \to \pi \nu_i \bar{\nu}_j) \neq 0 \qquad A(K \to \pi \nu_j \bar{\nu}_i) = 0$$

Due to CP

$$A(K \to \pi \nu_j \bar{\nu}_i) = -A(\bar{K} \to \pi \nu_i \bar{\nu}_j)$$

Then

$$\sqrt{2}A(K_L \to \pi\nu_i\bar{\nu}_j) = A(K \to \pi\nu_i\bar{\nu}_j) + A(\bar{K} \to \pi\nu_i\bar{\nu}_j) \neq 0$$

- In the standard CP conserving case,  $A(K_L \to \pi \nu \bar{\nu}) = 0$ since *K* and  $\bar{K}$  cancel each other
- This cancelation does not occur once these decay amplitudes have different magnitudes

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# Example: SUSY without R-parity

We get an effective leptoquark interaction:  $\lambda_{ik}\overline{L}_iQ_kS$ 



Then

$$A(K_L \to \pi \nu_i \bar{\nu}_j) \propto (\lambda_{is} \lambda_{jd} - \lambda_{id} \lambda_{js})$$

that, in general, is finite in the CP limit

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# Lepton number violation: mass matrices

We know that lepton number is violated by neutrino mass Q: Can it generate CP conserving contribution to  $K_L \rightarrow \pi \nu \bar{\nu}$ ?

A: No. we can always rotate to interaction basis

Can the CP violating contribution be sensitive to the mixing matrix?

- Neutrino mixing: The effects are proportional to  $m_{\nu}$
- Sneutrino mixing: The  $K_L \rightarrow \pi \nu \bar{\nu}$  rate depends on the sneutrino masses but not their mixing angles
- Sneutrino and Slepton mixing. The rate depends on the product of the two rotation matrices

#### Conclusions

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#### Conclusions

- The  $K \to \pi \nu \bar{\nu}$  decays are very clean and interesting
- It would be nice to know their rates
- Even in the era when flavor physics is dominated by B's, kaons are important