



## An epidemiologic study of the role of chrysotile asbestos fiber dimensions in determining respiratory disease risk in exposed workers

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**An epidemiologic study of the role of chrysotile asbestos fiber dimensions in determining respiratory disease risk in exposed workers**

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## Abstract

**Background:** Evidence from toxicologic studies indicates that the risk of respiratory diseases varies with asbestos fiber length and width. However, there is a total lack of epidemiologic evidence concerning this question.

**Methods:** Data were obtained from a cohort mortality study of 3072 workers from an asbestos textile plant which was recently updated for vital status through 2001. A previously developed job exposure matrix based on phase contrast microscopy (PCM) was modified to provide fiber size-specific exposure estimates using data from a reanalysis of samples by transmission electron microscopy (TEM). Cox proportional hazards models were fit using alternative exposure metrics for single and multiple combinations of fiber length and diameter.

**Results:** TEM-based cumulative exposure estimates were found to provide stronger predictions of asbestosis and lung cancer mortality than PCM-based estimates. Cumulative exposures based on individual fiber size-specific categories were all found to be highly statistically significant predictors of lung cancer and asbestosis. Both lung cancer and asbestosis were most strongly associated with exposure to thin fibers ( $< 0.25 \mu\text{m}$ ). Longer ( $> 10 \mu\text{m}$ ) fibers were found to be the strongest predictors of lung cancer, but an inconsistent pattern with fiber length was observed for asbestosis. Cumulative exposures were highly correlated across all fiber sizes categories in this cohort (0.28-0.99,  $p$ -values  $< 0.0001$ ), which complicates the interpretation of the study findings.

**Conclusions:** Asbestos fiber dimension appears to be an important determinant of respiratory disease risk. Current PCM-based methods may underestimate asbestos exposures to the thinnest fibers, which were the strongest predictor of lung cancer or asbestosis mortality in this study. Additional studies are needed of other asbestos cohorts to further elucidate the role of fiber dimension and type.

## 1 Introduction

2  
3 There is extensive evidence that exposure to asbestos fibers is associated with  
4 an increased risk of lung cancer, mesothelioma, pleural disease and asbestosis.  
5 However, the role of fiber dimensions in determining the risk of respiratory  
6 diseases associated with asbestos exposure remains poorly understood.  
7

8 It has long been suspected based on experimental studies in rodents that long  
9 thin fibers were the most highly pathogenic. Stanton and coworkers<sup>1</sup> observed in  
10 studies of pleural injections of asbestos in rats that carcinogenicity was best  
11 predicted by long (e.g., > 8  $\mu\text{m}$ ) thin (e.g., < 0.25  $\mu\text{m}$ ) fibers. Davis et al.<sup>2</sup>  
12 observed a higher proportion of lung tumors and more advanced fibrosis in rats  
13 exposed by long-term inhalation to chrysotile enriched for fibers > 5  $\mu\text{m}$   
14 compared to an equal mass of chrysotile containing more short fibers. Berman  
15 et al.<sup>3</sup> reported in a re-analysis of rat inhalation studies that the most significant  
16 predictor of lung tumor response were fibers > 20  $\mu\text{m}$  in length.  
17

18 Human data on the relationship between fiber dimensions and respiratory  
19 disease risks is extremely limited because previous epidemiologic studies have  
20 either measured exposures using gravimetric methods (i.e., mass), or fiber  
21 counting with phase contrast light microscopy (PCM), as required by regulations.  
22 The National Institute for Occupational Safety and Health (NIOSH) asbestos  
23 measurement method, and the Occupational Safety and Health and  
24 Administration's (OSHA) asbestos regulation requires counting of fibers that are  
25 > 5  $\mu\text{m}$  in length, and have an aspect ratio (i.e., ratio of length to width)  $\geq 3$ .<sup>4</sup>  
26 This counting rule is largely based on pragmatic concerns related to what could  
27 be measured accurately and reproducibly with PCM rather than on what is the  
28 most biologically important fiber dimensions for predicting risk.  
29

30 The primary objective of this study was to examine which fiber dimensions are  
31 the most strongly predictive of lung cancer and asbestosis risk. We were able to  
32 address this question by developing new information on the exposure fiber size  
33 distribution using Transmission Electron Microscopy (TEM).  
34  
35

## 36 Material and Methods

37 The study population is a cohort of 3,072 workers from an asbestos textile plant  
38 in Charleston, South Carolina that has been described in detail in several earlier  
39 publications<sup>5-9</sup>. Briefly, the plant produced asbestos products beginning in 1896  
40 and asbestos textile products beginning in 1909. The plant exclusively used  
41 chrysotile asbestos fibers obtained from Quebec, British Columbia and  
42 Zimbabwe; however, small amounts of crocidolite yarn were used from the 1950s  
43 until 1975. Since crocidolite was never carded, spun or twisted, the predominant  
44 exposure at the plant was to chrysotile asbestos. The plant stopped using  
45 asbestos material by the end of 1977.  
46

1 The original study only included white male workers employed in the textile  
2 production operations for at least 1 month between January 1, 1940 and  
3 December 31, 1965. The cohort was subsequently expanded to include white  
4 and non-white males and white females<sup>8-9</sup>, and has recently been updated to  
5 also include non-white females and to extend vital status follow-up through  
6 December 31, 2001.<sup>10</sup> As of 2001 approximately 64% of the cohort had died  
7 and 90% of the cohort was successfully followed. A total of 198 deaths in which  
8 lung cancer (International Classification of Diseases, 10<sup>th</sup> revision codes (ICD10)  
9 C33 and C44) was the underlying cause of death have been identified and were  
10 available for this analysis. Sixty two cases of asbestosis (ICD10 J61) were  
11 identified for this analysis using a multiple cause of death approach.<sup>11</sup> There  
12 were only 3 deaths from mesothelioma in this study and no attempt was made to  
13 perform analyses for this outcome due to small numbers.

### 14 15 **Exposure Assessment**

16 A job exposure matrix (JEM) has been developed study that includes detailed  
17 information on the bivariate (length and diameter) fiber size distributions by job,  
18 department, and calendar time. The methods used to develop this JEM are  
19 discussed briefly here, and in more detail in another paper.<sup>12</sup> The JEM was  
20 derived using information from the prior JEM developed for this cohort for the  
21 Charleston plant<sup>5,7</sup> and new information derived from TEM analyses of archived  
22 filter samples collected from the study facility in 1965 and 1968. The prior JEM,  
23 based on PCM exposure estimates, used airborne dust samples (n=5952)  
24 covering the period 1930-1975, to fit parameters of statistical models to predict  
25 mean PCM exposure levels by department, job, and calendar time period. For  
26 purposes of model development, the plant was divided into 10 exposure zones  
27 that corresponded closely to textile departments (e.g. fiber preparation, carding,  
28 spinning, twisting, weaving, finishing, etc.) based on the similarity of processes  
29 and characteristics of exposures. Within each exposure zone, jobs were further  
30 divided into four or more uniform job categories (UJC) in order to capture  
31 differences in PCM exposure levels by job tasks within zones. Changes in  
32 exposure levels by calendar time were accounted for in the models by inclusion  
33 of covariates for changes in processes or engineering controls based on plant  
34 records.

35  
36 The ISO Direct-Transfer Method<sup>13</sup>, with specific modifications by NIOSH, was  
37 used to analyze archived airborne dust samples from the Charleston textile  
38 facility collected in 1965 and 1968.<sup>12</sup>

39  
40 A total of 84 archived airborne dust samples were selected using stratified  
41 random sampling and analyzed by TEM to determine the diameter and length for  
42 18,840 fibers or fiber bundles. The TEM analysis used a minimum aspect ratio of  
43 3:1 to define fibers and structures for consistency with PCM methods. Only two  
44 fibers of the 18,840 fiber structures (0.01%) were found to be amphiboles and the  
45 remainder was chrysotile based on morphology. The TEM results for these  
46 samples were combined within each of 10 exposure zones in the study facility.<sup>12</sup>

1 Using the length and diameter data within each zone, counts of each fiber or fiber  
2 bundle were placed into a matrix of 24 categories based on 6 length ( $\leq 1.5$ ,  $> 1.5$   
3 to  $5.0$ ,  $> 5.0$  to  $10$ ,  $> 10$  to  $20$ ,  $> 20$  to  $40$ ,  $> 40$   $\mu\text{m}$ ) and 4 diameter ( $< 0.25$ ,  $0.25$   
4 to  $\leq 1.0$ ,  $> 1.0$  to  $\leq 3.0$ ,  $> 3.0$   $\mu\text{m}$ ) categories.

5  
6 An airborne fiber size-specific JEM was developed for this study using the  
7 adjustment factor method proposed by Quinn et al.<sup>14-16</sup> This method adjusts  
8 standard fiber concentration measures by PCM to the size-specific fiber  
9 concentrations by using proportions from the bivariate fiber size distributions  
10 derived from TEM.<sup>12</sup> Approximate estimates of fiber surface area were also  
11 developed based on the assumption that fibers and fiber bundles could be  
12 considered cylinders.<sup>12</sup>

### 13 14 **Statistical Methods**

15 The Cox proportional hazards model<sup>17</sup> was the primary method used for  
16 statistical analysis of exposure-response relationships for lung cancer and  
17 asbestosis mortality in this study. Models were fit using the PHREG procedure of  
18 SAS. Gender and race (white and other) were controlled for in all analyses by  
19 adding indicator variables to the models. Age was controlled for by using this  
20 variable as the time dimension for the model. Calendar time and time since first  
21 employment were included in the final models as continuous variables since they  
22 significantly improved the fit of the models. Models for lung cancer and  
23 asbestosis were fit including estimated cumulative exposure as either fiber count  
24 ( $[\text{fibers}/\text{ml} \cdot \text{days}]/10,000$ ) or fiber surface area ( $[\mu\text{m}^2/\text{ml} \cdot \text{days}]/10,000$ ) for single  
25 and multiple combinations of the length/diameter fiber categories (10,000 was  
26 used to provide more manageable units in model coefficients). Models were also  
27 fit for lung cancer using alternative regulatory and biologically-based exposure  
28 indices that have been proposed for assessing cancer.<sup>15</sup>

29  
30 The goodness of fit of different models was evaluated based on the -2 log  
31 likelihood (-2LL) of the models, with the lowest -2LL indicating the best fit. The  
32 statistical significance of univariate exposure measures was tested by computing  
33 a 1 degree of freedom chi-square statistic ( $\chi^2_{1 \text{ df}}$ ) based on the likelihood ratio test  
34 (difference between -2LL of models with and without inclusion of the exposure  
35 parameter).

36  
37 Models were fit with the assumption of either a 0, 5, 10, 15 or 20 year lag period.  
38 A lag period assumes that exposures received for a certain number of years (i.e.  
39 lag period) prior to the time at risk are irrelevant in terms of disease causation  
40 and are thus are not counted. Results are only presented in this paper for  
41 models with a 0 lag period assumption, since the fit of the models were generally  
42 not found to improve when alternative lag periods were assumed. The lack of  
43 improvement in model fit with the assumption of a lag period may be in part  
44 explained by the long follow-up of this cohort. It has been 24 years from the time  
45 when the plant stopped using asbestos (1977) and the end of follow-up (2001),

1 and thus lagging will not change estimates of exposures for much of the cohort's  
2 follow-up time.

3  
4 The primary focus in this analysis was in determining which fiber size dimension  
5 categories were most strongly related to the risk of lung cancer or asbestos  
6 based on the goodness of fit statistic (-2LL). Comparison of the actual  
7 magnitude of the regression coefficients (betas) was complicated by the high  
8 degree of correlation between the alternative size specific exposure measures.  
9 Fiber size categories that have relatively few fibers may have a larger beta  
10 coefficient than fiber size categories with a larger number of fibers even if they  
11 are equally potent when the measures are highly correlated. Thus direct  
12 comparisons of the magnitude of the betas or relative risks derived from these  
13 regression coefficients can produce misleading results.

## 14 15 **Results**

16 The bivariate distribution of fibers for all exposure zones combined is presented  
17 in Figure 1. The vast majority (93%) of the fibers were very short (i.e.,  $\leq 5 \mu\text{m}$ )  
18 and thin (i.e.,  $< 0.25 \mu\text{m}$ ), which would not have been counted using traditional  
19 PCM methods. This pattern was consistent across exposure zones, although the  
20 specific fiber size proportions varied.<sup>12</sup>

21  
22 TEM versus PCM based exposures: As a first step to determine whether or not  
23 the use of TEM resulted in an improved exposure metric as compared with PCM,  
24 we fit models that included continuous variables for cumulative exposure based  
25 on either counting method. We used the OSHA and NIOSH definitions of a fiber  
26 (i.e.,  $> 5 \mu\text{m}$  in length, with at least a 3:1 aspect ratio) for both of these analyses.  
27 An improved model fit was observed using cumulative exposure based on TEM  
28 rather than PCM with substantial reductions in the -2LL for both lung cancer  
29 (TEM:-2LL=2494.2 and PCM:-2LL=2498.7) and asbestosis (TEM:-2LL=743.6  
30 and PCM:-2LL=750.2 respectively). A strong effect of cumulative exposure to  
31 fibers (length  $> 5 \mu\text{m}$ ) was observed in the models based on either PCM or TEM  
32 for both lung cancer (PCM:  $\hat{\beta}=0.20$ ,  $\chi^2_{1\text{df}}=53.6$  and TEM:  $\hat{\beta}=0.09$ ,  $\chi^2_{1\text{df}}=58.1$ )  
33 and asbestosis (PCM:  $\hat{\beta}=0.26$ ,  $\chi^2_{1\text{df}}=78.9$  and TEM:  $\hat{\beta}=0.12$ ,  $\chi^2_{1\text{df}}=85.5$ ),  
34 although TEM-based exposure was a substantially better predictor of mortality  
35 than PCM-based exposure. The decrease in the magnitude of the coefficient  
36 ( $\hat{\beta}$ ) for the TEM versus the PCM-based exposure estimate can be attributed to  
37 the increased number of fibers counted by TEM.

38  
39 Short Fibers: In order to evaluate the possible role of shorter fibers ( $\leq 5 \mu\text{m}$ ) in  
40 lung cancer and asbestosis, analyses were performed in which models were fit  
41 for cumulative exposure to fibers  $\leq 5 \mu\text{m}$ , to fibers  $> 5 \mu\text{m}$  and to both (Table 1).  
42 For lung cancer, models based on cumulative exposure for fibers  $> 5 \mu\text{m}$  (-  
43 2LL=2494.2,  $\hat{\beta}=0.09$ ,  $\chi^2_{1\text{df}}=58.1$ ) provided only a slightly better fit to the data  
44 than models based on fibers  $\leq 5 \mu\text{m}$  (-2LL=2495.3,  $\hat{\beta}=0.016$ ,  $\chi^2_{1\text{df}}=57.1$ ). For  
45 asbestosis, models based on cumulative exposure for fibers  $\leq 5 \mu\text{m}$  (-2LL=742.0,

1  $\hat{\beta}=0.022$ ,  $\chi^2_{1df}=87.1$ ) gave a slightly better fit to the data than models based on  
 2 fibers  $> 5 \mu\text{m}$  ( $-2LL=743.6$ ,  $\beta=0.12$ ,  $\chi^2_{1df}=85.5$ ). Fitting models which included  
 3 parameters for cumulative exposure to both  $\leq 5 \mu\text{m}$  and  $> 5 \mu\text{m}$  weakened the  
 4 relationship for both exposure metrics and only slightly improved the model fit  
 5 relative to the models with each exposure variable alone. These differences  
 6 would not be considered statistically significant in a hierarchical model framework  
 7 (ie,  $\chi^2_{1df}$  of 3.84).

8 .  
 9 Lung Cancer and TEM based categories: The findings from fitting Cox models for  
 10 lung cancer using TEM and varying cutpoints for fiber length and diameter to  
 11 estimate cumulative exposure are presented in Table 2. All combinations of  
 12 length and diameter were found to be highly statistically significant (minimum  
 13  $\chi^2_{1df}=15.9$ ,  $p<0.0001$ ) predictors of lung cancer. When examining the results for  
 14 fibers categorized by diameter only, improved model fit was observed as fiber  
 15 diameter decreases, and very thin fibers ( $< 0.25 \mu\text{m}$ :  $-2LL=2495.9$ ,  $\hat{\beta}=0.015$ ,  
 16  $\chi^2_{1df}=56.4$ ) were found to be the strongest predictors of lung cancer. Among the  
 17 models examining fiber length only, the goodness of fit of the models  
 18 substantially increased for the categories with fibers longer than  $10 \mu\text{m}$  ( $\chi^2_{1df}$   
 19 values: 60.1-62.1 for fibers  $> 10 \mu\text{m}$  in length; 53.0-54.1 for fibers  $\leq 10 \mu\text{m}$  in  
 20 length), with the strongest relationship being observed for fibers between 20 and  
 21  $40 \mu\text{m}$  in length ( $-2LL=2490.3$ ,  $\hat{\beta}=0.71$ ,  $\chi^2_{1df}=62.1$ ). Among the models  
 22 examining length and diameter simultaneously, the combined category of 20-40  
 23  $\mu\text{m}$  length and 0.25-1.0  $\mu\text{m}$  diameter produced the best fit ( $-2LL=2486.5$ ,  
 24  $\hat{\beta}=2.99$ ,  $\chi^2_{1df}=65.9$ ).

25  
 26 Asbestosis and TEM based categories: The findings from fitting Cox models for  
 27 asbestosis using TEM and varying cutpoints for fiber length and diameter to  
 28 estimate cumulative exposure are presented in Table 3. All length and diameter  
 29 combinations were found to be highly statistically significant predictors of  
 30 asbestosis (minimum  $\chi^2_{1df}=33.4$ ,  $p<10^{-8}$ ). When examining the results for fibers  
 31 categorized by diameter only, improved model fit was also seen for asbestosis as  
 32 fiber diameter decreases, and very thin fibers  $< 0.25 \mu\text{m}$  ( $-2LL=744.8$ ,  $\hat{\beta}=0.02$ ,  
 33  $\chi^2_{1df}=84.3$ ) were the strongest predictors. Among the model examining fiber  
 34 length only, a clear trend with fiber length is not seen, although fibers 10-20  $\mu\text{m}$   
 35 in length ( $-2LL=736.8$ ,  $\hat{\beta}=0.45$ ,  $\chi^2_{1df}=92.3$ ) were the strongest predictors.  
 36 Among the models examining length and diameter simultaneously, the combined  
 37 category of  $> 40 \mu\text{m}$  length and 1-3.0  $\mu\text{m}$  diameter produced the best fit of any of  
 38 the models ( $-2LL=718.5$ ,  $\hat{\beta}=22.93$ ,  $\chi^2_{1df}=110.6$ ).

39  
 40 Alternative Exposure Metrics: Cumulative exposure based on total fiber surface  
 41 area also provided highly statistically significant predictions of either lung cancer  
 42 ( $\chi^2_{1df}=59.0$ ,  $p<10^{-9}$ ) or asbestosis mortality ( $\chi^2_{1df}=81.2$ ,  $p<10^{-9}$ ). However, the  
 43 fiber surface area exposure metrics did not appreciably improve the fit of the  
 44 model for lung cancer or asbestosis relative to the fits using cumulative exposure



1 (all sizes). For lung cancer, 19 of the 32 models in Table 2 fit slightly better using  
2 cumulative exposure based on fiber count compared to fiber surface area. For  
3 asbestosis, 21 of the 32 models in Table 3 fit better using cumulative exposure  
4 based on fiber count than fiber surface area, and the differences were larger than  
5 those for lung cancer.

6  
7 The findings from fitting models for lung cancer using cumulative exposure based  
8 on previously proposed biologically based exposure indices are presented in  
9 Table 4. All exposure indices were highly statistically significant predictors of  
10 lung cancer mortality. The best fit (-2LL=2488.7) was provided by the model  
11 using the exposure index developed by Berman et al<sup>3</sup> which was based on a re-  
12 analysis of rat asbestos inhalation studies. Although this model included one  
13 more model parameter than the others, the improvement in fit was substantial  
14 compared with the other models. The next best fitting model was the one using  
15 the index proposed by Lippman<sup>18</sup> which differed from the Berman<sup>3</sup> model by 3.1  
16 units in the -2LL. The indices proposed by Pott<sup>19</sup>, Stanton<sup>1</sup>, and Quinn et al.<sup>15</sup> did  
17 not fit the data as well. The Berman<sup>3</sup> model fit the data just slightly better  
18 (-2LL=2488.7) than a model with a single parameter for fibers > 40  $\mu\text{m}$  and <  
19 0.25  $\mu\text{m}$  diameter (-2LL=2489.3) and not as well as a model for fibers 20-40  $\mu\text{m}$   
20 in length and 0.25-1.0  $\mu\text{m}$  in diameter (-2LL=2486.5) (Table 2).

21  
22 Finally, an attempt was made to fit multivariable models including several  
23 categories of length and diameter in the same model for either lung cancer or  
24 asbestosis using forward and backward selection techniques. These models  
25 generally failed because of the high degree of correlation between the exposure  
26 variables. The Pearson correlation coefficients between the categories of  
27 cumulative exposure displayed in Tables 2 and 3 estimated at the end of the  
28 study for each individual ranged from 0.28 to 0.99 and were all highly statistically  
29 significant ( $p < 0.0001$ ). These correlations were particularly strong among the  
30 length categories that included fibers < 0.25  $\mu\text{m}$  in diameter, which ranged from  
31 0.93 to 0.99.

### 32 33 **Discussion**

34 This is the first epidemiologic investigation that has examined the association  
35 between respiratory diseases and asbestos using fiber size specific TEM based  
36 estimates of exposure. Perhaps our most striking finding is that exposure  
37 estimates derived from TEM are superior to those derived from PCM in terms of  
38 predicting mortality for both lung cancer and asbestosis mortality. Models using  
39 cumulative exposure based on TEM provided a far better fit to the data than  
40 those based on PCM. This finding may have important policy implications for  
41 evaluating and controlling risks associated with asbestos exposures in both the  
42 workplace and general environment. Although the costs of TEM methods may  
43 make them impractical in some settings, there are techniques available to adjust  
44 PCM metrics with a limited number of TEM air sample analyses or to predict the  
45 airborne fiber size concentrations in biologically-relevant fiber size categories  
46 using product and process information.<sup>16</sup> Also, there may in the future be

1 automated or direct reading instruments that could provide these measurements  
2 in a more efficient manner.

3  
4 Exposures based on any of the combinations of fiber size length and diameter  
5 examined in this study appeared to be highly significant predictors of both lung  
6 cancer and asbestosis. Interpretation of these findings is greatly complicated by  
7 the high degree of correlation between the cumulative exposure measures based  
8 on the various combinations of length and diameter examined in this study. It is  
9 possible that some of the associations are spurious and are solely explained by  
10 the correlation between a particular size category and another size category that  
11 is etiologically related to the diseases under study. The high degree of  
12 correlation between the exposure measures also complicates the interpretation  
13 of the magnitude of the regression parameters observed in the various models  
14 fitted. Because there was a much larger number of short fibers than long fibers,  
15 the regression coefficients for short fibers would be expected to be much smaller  
16 than for long fibers even if they were perfectly correlated. Unfortunately, we only  
17 had limited success in fitting models with more than one cumulative exposure at  
18 a time due to the high degree of collinearity between these exposure variables.  
19 Despite these limitations we believe our findings provide evidence regarding the  
20 relative hazards of different fiber dimensions because of the patterns observed in  
21 the strength of predictions of lung disease mortality by fiber dimension.

22  
23 Short Fibers: Fibers shorter than 5  $\mu\text{m}$  have traditionally not been counted by  
24 methods used for regulatory standards for asbestos because these methods  
25 were developed to provide a reproducible index of fiber exposure. The findings  
26 from our analysis show that cumulative exposure to all fiber size indices,  
27 including fibers  $\leq 5 \mu\text{m}$  in length, were highly statistically significant predictors of  
28 lung cancer or asbestosis mortality. However, because of the correlations in  
29 these fiber size distributions, it is not possible to clearly distinguish between a  
30 biological basis for a specific fiber dimension (e.g.,  $\leq 5 \mu\text{m}$ ) versus a simple  
31 association with exposures to the longer fibers in this facility. The models  
32 comparing the shorter ( $\leq 5 \mu\text{m}$ ) and longer ( $> 5 \mu\text{m}$ ) fibers did not completely  
33 resolve this question. That is, for asbestosis cumulative exposure to fibers  $\leq 5$   
34  $\mu\text{m}$  in length provided a slightly better fit to the data than did fibers  $> 5 \mu\text{m}$ , while  
35 for lung cancer, cumulative exposure to fibers  $> 5 \mu\text{m}$  provided a slightly better fit  
36 (in univariate analyses). Multivariate models containing cumulative exposure  
37 indices for both fiber dimensions ( $\leq 5 \mu\text{m}$  and  $> 5 \mu\text{m}$  in length) did not  
38 significantly improve the fit of either lung cancer or asbestosis models over those  
39 containing a single parameter for fiber length. In contrast, other findings in this  
40 study did provide support for a role of increasing fiber length (especially  $> 10 \mu\text{m}$ )  
41 in predicting lung cancer mortality, while a trend with fiber length was not as  
42 apparent for asbestosis.

43  
44 Fiber Diameter: Cumulative exposure measures based on very thin fibers ( $< 0.25$   
45  $\mu\text{m}$ ) were consistently found to provide the strongest predictions for both lung  
46 cancer and asbestosis mortality. This is an important finding given that very thin

1 fibers are not identifiable using PCM methods, which has a limit of resolution of  
2 approximately 0.2-0.3  $\mu\text{m}$ .<sup>13</sup> PCM-based methods have been used in all of the  
3 prior epidemiologic research, which may have resulted in a large degree of  
4 exposure misclassification in these studies. This misclassification would be  
5 particularly severe for chrysotile asbestos since these fibers are generally thinner  
6 than amphiboles. This could conceivably explain the large discrepancy in the  
7 slopes for lung cancer that have been previously reported from studies of  
8 chrysotile exposed workers in Quebec<sup>20</sup>, and of our study population.<sup>21</sup> There  
9 is some evidence indicating that the asbestos fibers used in textiles were  
10 considerably longer and thinner than those generated in chrysotile mining and  
11 milling operations.<sup>22-23</sup> This would be expected since long fibers would be highly  
12 desirable for producing some textile products.<sup>23</sup>

13  
14 Our findings for lung cancer and fiber diameter are consistent with predictions  
15 made by Stanton et al.<sup>1</sup> based upon toxicologic data that lung cancer is most  
16 strongly related to exposure to fibers < 0.25  $\mu\text{m}$  in width. Our findings are less  
17 consistent with the predictions of Lippman<sup>18</sup> that lung cancer and asbestosis risk  
18 is related to exposure to fibers > 0.15  $\mu\text{m}$  in diameter; however, we did not  
19 specifically investigate this hypothesis since we could not examine the category  
20 of > 0.15  $\mu\text{m}$ . Most recently, Berman et al.<sup>3</sup> in a re-analysis of rat inhalation  
21 studies performed by Davis and coworkers<sup>2</sup> reported that respiratory cancer risk  
22 was most strongly related to exposures to very thin fibers (< 0.3  $\mu\text{m}$ ), which is  
23 similar to our findings. Berman et al.<sup>3</sup> also reported that lung cancer risk was  
24 related to fibers with a diameter greater than 5  $\mu\text{m}$ . Exposures based on thick  
25 fibers (> 3.0  $\mu\text{m}$ ) were not found to be especially strong predictors of lung cancer  
26 or asbestosis mortality in our investigation.

27  
28 Fiber Length: Exposures using relatively long fibers were found to be the  
29 strongest predictors of lung cancer mortality in this study. Cumulative exposure  
30 to fibers 20-40  $\mu\text{m}$  in length demonstrated the strongest association, but  
31 cumulative exposure to fibers 10-20  $\mu\text{m}$ , and > 40  $\mu\text{m}$  also showed very strong  
32 associations with lung cancer mortality. These findings are largely consistent  
33 with predictions based upon experimental studies. Stanton et al.<sup>1</sup> proposed  
34 based on studies in rats that asbestos fibers > 8  $\mu\text{m}$  in length are most important  
35 in predicting respiratory cancer risk. Lippman<sup>18</sup> in a review of toxicologic and  
36 human lung burden studies suggested that fibers > 10  $\mu\text{m}$  are the most important  
37 predictors of lung cancer risk. The findings from the Berman et al.<sup>3</sup> re-analysis of  
38 rat inhalation studies suggest that the strongest predictor of lung tumor response  
39 were fibers > 20  $\mu\text{m}$  in length.

40  
41 Berman et al.<sup>3</sup> also reported that the carcinogenic potency of fibers increased with  
42 fiber length and that fibers longer than 40  $\mu\text{m}$  and thinner than 0.3  $\mu\text{m}$  had 500  
43 times the potency of fibers between 5 and 40  $\mu\text{m}$  in length and thinner than 0.3  
44  $\mu\text{m}$ . Potency comparisons based on fiber count as the exposure metric can be  
45 misleading when the fiber dimensions are correlated. This is because exposure  
46 to a fiber count of lower frequency (long fibers) can appear to have a greater

1 potency than exposure to a fiber count of greater frequency (short fibers) due to  
2 the reduced magnitude of the exposure metric, while the disease response  
3 remains fixed. . As discussed earlier, fiber size correlations were clearly an  
4 issue in the current study, but it may also have been an issue in the Berman et  
5 al<sup>3</sup> analysis of data from multiple experiments because it was not feasible to  
6 generate monodispersed aerosol fiber size distributions. Because of these  
7 correlations, we were not able to evaluate the fiber-length potency estimates of  
8 Berman et al<sup>3</sup> from the results in our study. Independent data from other cohorts  
9 with exposures to different fiber size distributions are needed to further elucidate  
10 the role of fiber dimension in predicting lung disease.

11  
12 Our findings for asbestosis did not provide consistent support for previous  
13 predictions by Lippman<sup>18</sup> who suggested that the risk of asbestosis would be  
14 most strongly related to the surface area of fibers with lengths greater than 2  $\mu\text{m}$ .  
15 Using surface area did not improve the fit for most of our models for asbestosis,  
16 although there was improvement in model fit for some size categories. Surface  
17 area may not have been a stronger predictor of asbestosis risk because of the  
18 relatively crude method used for estimating surface area in our study. Our  
19 findings also suggest a role for both short and long fibers in predicting asbestosis  
20 risk. Short fibers ( $\leq 5 \mu\text{m}$ ) were stronger predictors of asbestosis than longer  
21 fibers ( $> 5 \mu\text{m}$ ), but in more detailed analysis (Table 3) the strongest association  
22 observed was with relatively long fibers (i.e., 10-20  $\mu\text{m}$ ).

23  
24 Study Limitations: There are several important limitations of our study that  
25 should be considered in interpreting our findings. Our study was unable to  
26 include other risk factors for lung cancer, most notably cigarette smoking.  
27 Substantial confounding by smoking is generally regarded to be unlikely in  
28 analyses where comparisons are made between different groups within a study  
29 population<sup>25</sup>, such as those performed in this study. However, based on previous  
30 studies for lung cancer, an interaction between smoking and asbestos is likely.  
31 This implies that our findings represent risks that are a mix of higher risks for  
32 smokers and lower risks for non-smokers.

33  
34 Inherent limitations in the exposure data and the resulting uncertainties in the  
35 estimation of exposures is a major limitation of this study as it is generally with all  
36 retrospective cohort mortality studies. The original JEM developed by Dement  
37 et al<sup>7</sup> was based on an unusually large database which included nearly 6000  
38 airborne samples covering virtually the entire study period. However, the  
39 number of TEM based samples that were used to adjust the JEM in this study  
40 was quite small (n=84). Furthermore, the TEM samples were taken during a  
41 relatively short period of the study (1965-1968). Thus an inherent assumption in  
42 development of the JEM is that airborne fiber size characteristics have remained  
43 constant over a study period covering the late 1930s through the end of asbestos  
44 textile production in approximately 1977.<sup>1</sup> This assumption seems reasonable  
45 since production methods and equipment remained essentially unchanged over

1 this time frame as did the engineering controls for asbestos dust, which were  
2 installed in the 1930s.<sup>5,7</sup> Although difficult to quantify, there is likely to have  
3 been substantial errors in exposure misclassification in this study, which may  
4 generally (but not always) be expected to result in a dilution of the risk and a  
5 dampening of the exposure-response relationship.<sup>25</sup>

6  
7 Perhaps the most serious limitation of our investigation is the high degree of  
8 correlation between the size-specific cumulative exposure measures used in our  
9 study. These correlations severely limit the interpretation of our findings in  
10 several respects, especially with regard to teasing out the precise role of fiber  
11 dimension in predicting asbestos-related lung disease. While we believe this  
12 study is an important first step forward, similar studies need to be conducted in  
13 asbestos cohorts with different fiber size distributions. Pooled analyses of  
14 several cohorts may be necessary before we can fully resolve questions  
15 concerning the role of fiber dimension in lung diseases in asbestos-exposed  
16 workers.

17  
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24 earlier draft of this manuscript.

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26  
27

1 Table 1: Results from Cox models for lung cancer and asbestosis using PCM  
 2 based cumulative exposure (fiber-days/ml/10,000) for fibers > 5 µm in length,  
 3 and from TEM based cumulative exposure for fibers ≤ 5 µm and > 5 µm in  
 4 length.<sup>a</sup>  
 5

Model	Lung Cancer			Asbestosis		
	-2LL	Beta	$\chi^2_{1df}$ (p)	-2LL	Beta	$\chi^2_{1df}$ (p)
PCM L>5 µm	2498.7	0.20	53.6 (<0.0001)	750.2	0.26	78.9 (<0.0001)
TEM L≤5 µm	2495.3	0.016	57.1 (<0.0001)	742.0	0.022	87.1 (<0.0001)
TEM L>5 µm	2494.2	0.090	58.1 (<0.0001)	743.6	0.120	85.5 (<0.0001)
TEM L≤5 µm	2493.8	0.005	0.4 (0.52)	740.9	0.013	2.7 (0.10)
TEM L>5 µm		0.060	1.5 (0.23)		0.048	1.0 (0.31)

6  
 7 <sup>a</sup> Results from models with a 0 year lag that included variables controlling for  
 8 gender, race, calendar time and time since first employment.

1 Table 2: Results from Cox models for **lung cancer** using TEM based cumulative  
 2 exposures (fiber-days/ml/10,000) based on combinations of fiber length and  
 3 diameter.<sup>b</sup>

4

Diameter ( $\mu\text{m}$ )	Length ( $\mu\text{m}$ )						
	$\leq 1.5$	1.5-5	5-10	10-20	20-40	> 40	All
<b>&lt; 0.25</b>							
Beta	0.023	0.047	0.259	0.493	1.311	3.503	0.015
-2LL	2499.2	2496.7	2498.0	2496.2	2492.5	2489.3	2495.9
$\chi^2_{1df}$	53.2	55.7	54.3	56.2	59.9	63.0	56.4
<b>0.25-1.0</b>							
Beta	1.089	0.237	0.646	1.190	2.986	2.861	0.134
-2LL	2513.9	2516.7	2504.5	2501.9	2486.5	2495.5	2506.4
$\chi^2_{1df}$	38.5	35.6	47.8	50.5	65.9	56.9	46.0
<b>1.0-3.0</b>							
Beta		1.693	1.061	1.840	3.558	14.107	0.490
-2LL		2536.1	2512.1	2503.9	2495.4	2493.4	2506.6
$\chi^2_{1df}$	na <sup>a</sup>	16.2	40.3	48.5	57.0	59.0	45.8
<b>&gt;3.0</b>							
Beta			5.268	4.935	7.562	5.978	2.047
-2LL			2536.4	2518.0	2517.7	2516.1	2509.4
$\chi^2_{1df}$	na <sup>a</sup>	na <sup>a</sup>	15.9	34.3	34.7	36.3	42.9
<b>All</b>							
Beta	0.023	0.041	0.164	0.323	0.705	1.255	0.013
-2LL	2498.7	2498.2	2499.3	2492.2	2490.3	2491.8	2494.7
$\chi^2_{1df}$	53.7	54.1	53.0	60.1	62.1	60.5	57.6

5 <sup>a</sup> These categories do not meet the 3:1 length to width fiber definition that was a  
 6 part of our TEM analysis counting rules. There were, however, a very small  
 7 percentage (<0.1%) of fibers counted that did fall into these categories.

8 <sup>b</sup> Results from models with a 0 year lag that included variables controlling for  
 9 gender, race, calendar time and time since first employment.

1 Table 3: Results from Cox models for **asbestosis** using TEM based cumulative  
 2 exposures (fiber-days/ml/10,000) based on combinations of fiber length and  
 3 diameter.<sup>b</sup>  
 4

Diameter ( $\mu\text{m}$ )	Length ( $\mu\text{m}$ )						
	$\leq 1.5$	1.5-5	5-10	10-20	20-40	> 40	All
<b>&lt; 0.25</b>							
Beta	0.032	0.062	0.346	0.679	1.802	5.088	0.020
-2LL	750.2	749.2	748.7	746.9	747.1	741.7	744.8
$\chi^2_{1df}$	78.9	79.8	80.4	82.2	82.0	87.4	84.3
<b>0.25-1.0</b>							
Beta	1.825	0.323	0.848	1.584	4.189	3.710	0.182
-2LL	741.1	767.3	753.3	747.4	735.8	763.8	753.0
$\chi^2_{1df}$	88.0	61.8	75.8	81.7	93.3	65.3	76.1
<b>1.0-3.0</b>							
Beta		3.009	1.321	2.513	4.737	22.932	0.678
-2LL		782.9	770.2	754.4	751.3	718.5	754.8
$\chi^2_{1df}$	na <sup>a</sup>	46.2	58.9	74.7	77.8	110.6	74.3
<b>&gt;3.0</b>							
Beta			6.768	6.138	10.335	7.057	2.474
-2LL			795.7	784.6	767.6	795.5	772.3
$\chi^2_{1df}$	na <sup>a</sup>	na <sup>a</sup>	33.4	44.5	61.5	33.6	56.8
<b>All</b>							
Beta	0.032	0.053	0.215	0.448	0.968	1.691	0.019
-2LL	748.7	749.6	749.7	736.8	742.2	753.4	741.3
$\chi^2_{1df}$	80.4	79.5	79.4	92.3	86.9	75.7	87.8

5

6 <sup>a</sup> These categories do not meet the 3:1 length to width fiber definition that was a  
 7 part of our TEM analysis counting rules. There were, however, a very small  
 8 percentage (<0.1%) of fibers counted that did fall into these categories.

9 <sup>b</sup> Results from models with a 0 year lag that included variables controlling for  
 10 gender, race, calendar time and time since first employment.  
 11



1 Table 4: Results for lung cancer from modeling cumulative exposure using  
 2 alternative indices of fiber exposure.

3

Reference	Index Criteria <sup>a</sup>	Beta(SE)	$\chi^2_{1df}$ (p value)	Model -2LL
Pott 1987	D<1, L>3	0.058(0.006)	57.0 (<0.0001)	2495.3
Stanton et al. 1981	D<0.25, L>8.0 <sup>b</sup>	0.334(0.034)	59.3 (<0.0001)	2493.1
Lippman 1990	D>0.15 <sup>c</sup> , L>10.0	0.412(0.042)	60.6 (<0.0001)	2491.8
Quinn et al. 2000	D<6.0, L>5.0	0.090(0.009)	58.1 (<0.0001)	2494.2
Berman et al. 1995	D<0.25, 5<L<40	0.036(0.045)	0.65 (0.42)	2488.7
	D<0.25, L>40	2.81 (0.956)	7.21 (0.007)	

4

5 <sup>a</sup> Diameter (D) and length (L) in  $\mu\text{m}$ . All of the indices also include the criteria  
 6 that the aspect ratio (length:diameter) is at least 3:1 except for Pott's which was  
 7 5:1. It was not possible to use a 5:1 aspect ratio because this was not the  
 8 criteria used in our fiber counting procedure.

9 <sup>b</sup> Stanton et al. proposed a length criterion of greater than 8  $\mu\text{m}$ . However we  
 10 used greater than 10  $\mu\text{m}$  since that was the closest category cut-off in our  
 11 study.

12 <sup>c</sup> Lippman proposed a diameter criterion of greater than 0.15  $\mu\text{m}$ . However we  
 13 used a cutoff of  $\geq 0.25 \mu\text{m}$  since that was the closest category in our study.

14

15

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Figure 1: Distribution of asbestos fibers and fiber bundles by length and diameter based on TEM analysis of archived airborne samples from Charleston, South Carolina textile facility (all departments, jobs, and operations combined). Bars with grey tops indicate categories of fibers not counted by PCM.

