

# FY 2003 End of Year Enforcement & Compliance Assurance Results



*Prepared by the Office of Compliance  
Enforcement Planning, Targeting & Data Division  
Information Utilization & Targeting Branch*

*December 11, 2003*



# FY 2003 End of Year Enforcement & Compliance Assurance Results

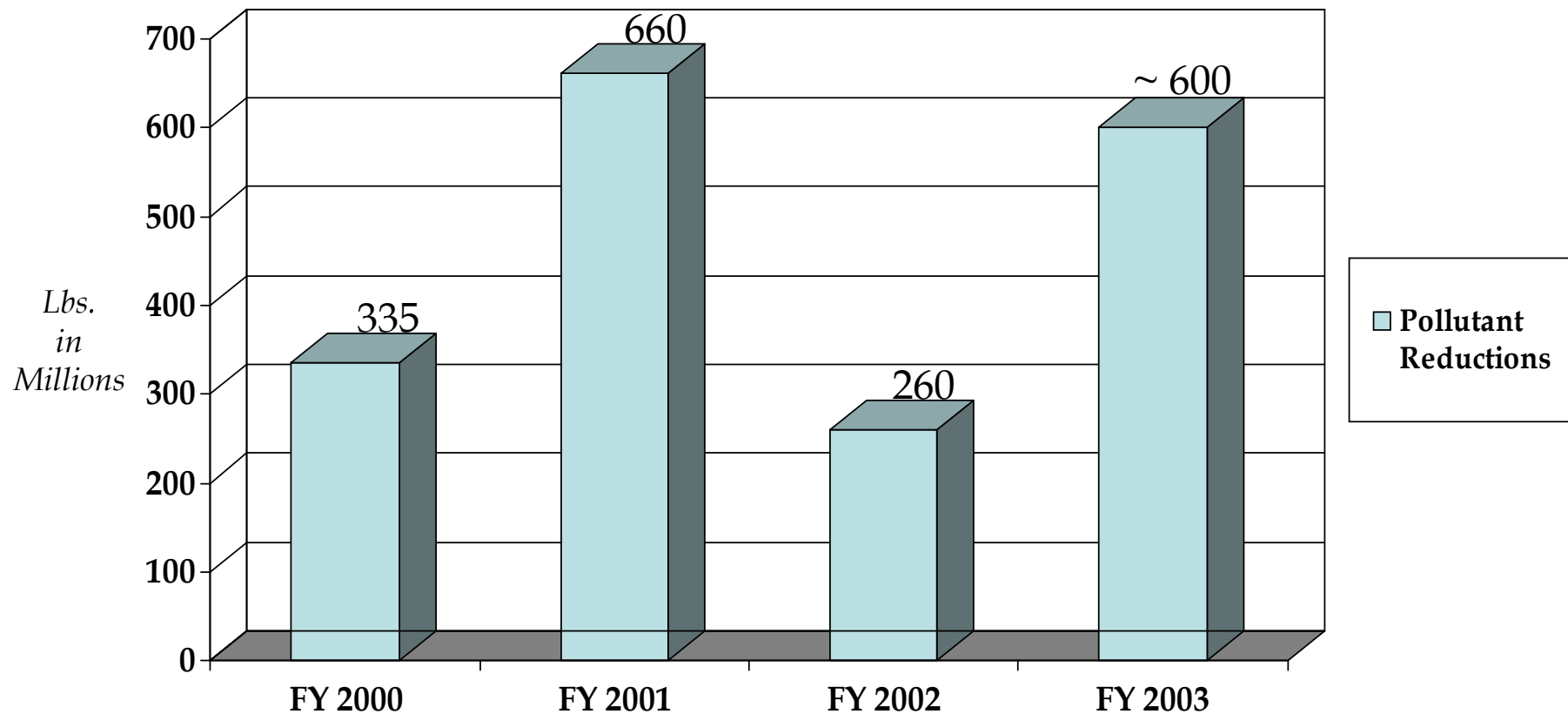
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# FY 2003 Pollutant Reductions

*Pounds of Pollutants Reduced, Treated or Properly Managed*



Data Source: Integrated Compliance Information System (ICIS), October 29, 2003 & Manual Calculations

Disclaimer: Due to enhanced data quality reviews, minor corrections may have been made to previously reported data. As such, this FY 2003 End-of-Year Report contains updated enforcement and compliance data for prior years.



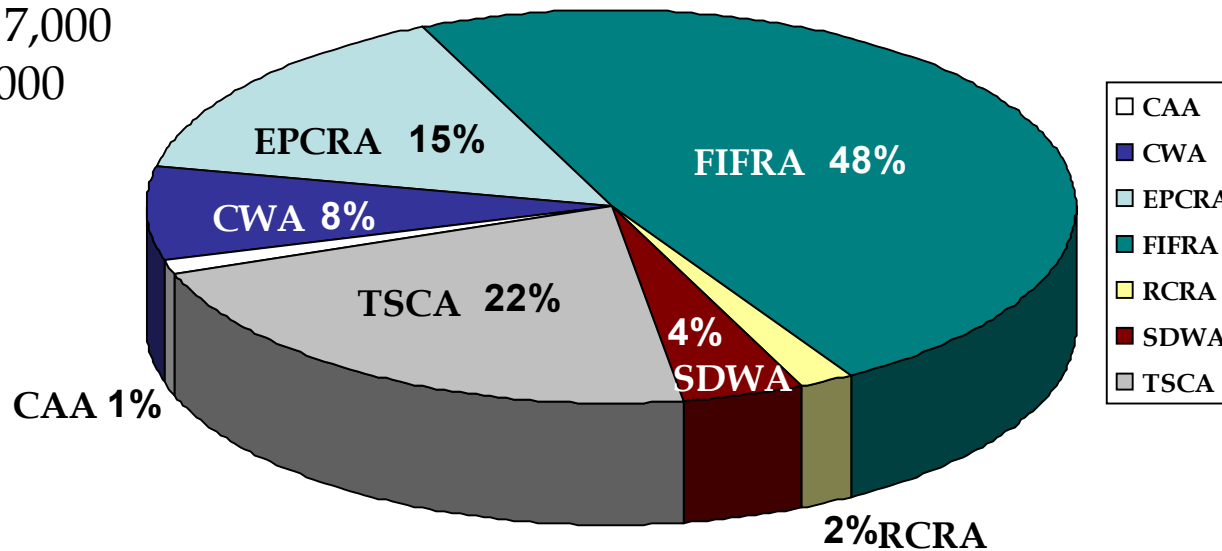
# FY 2003 Total Entities Reached by Compliance Assistance

## FY 2003 Top 5 Sectors

Hospital/Medical Facilities: 25,000  
 Schools: 19,000  
 Federal Facilities: 11,000  
 Agriculture: 7,000  
 Chemical: 4,000

## Statute & Sector Totals

FY 2003: 721,000  
 FY 2002: 590,000  
 FY 2001: 551,000



## Notes

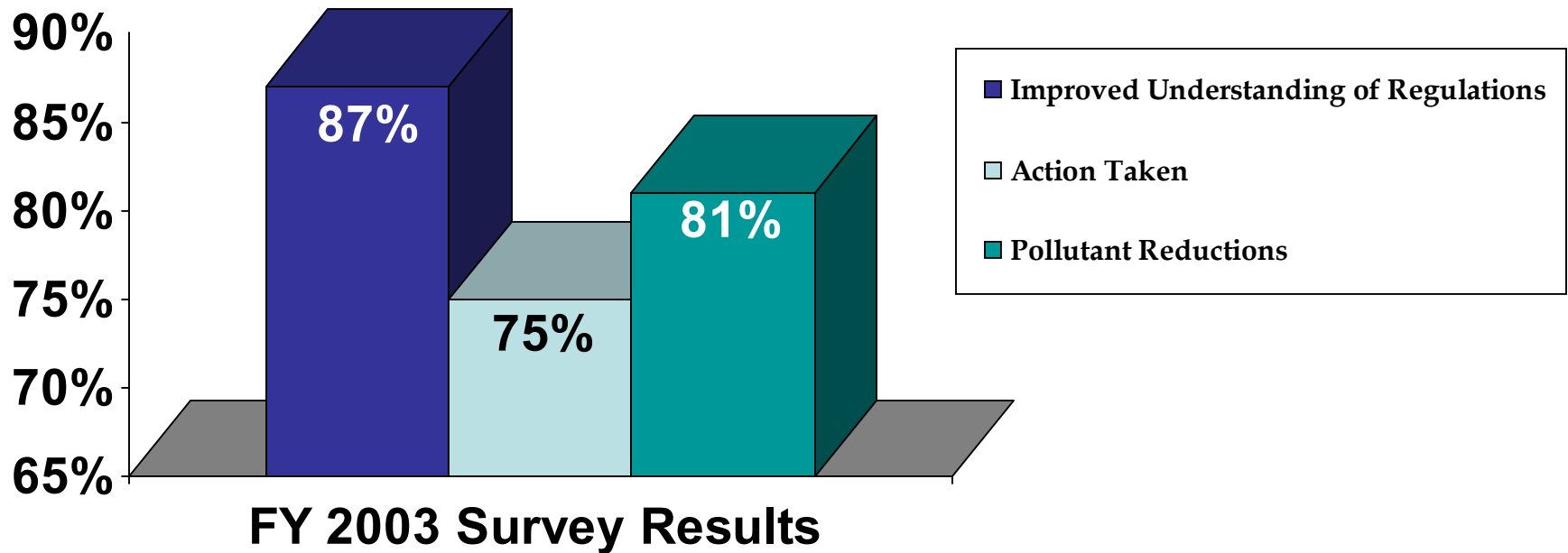
- \* Data Source: RCATS, October 29, 2003
- \* Region 4 Public Service Announcements account for an increase in FIFRA totals.
- \* Exceeded FY 2003 GPRA goal by 52%.

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# FY 2003 Compliance Assistance Centers

*EPA Sponsors 13 Compliance Assistance Centers*

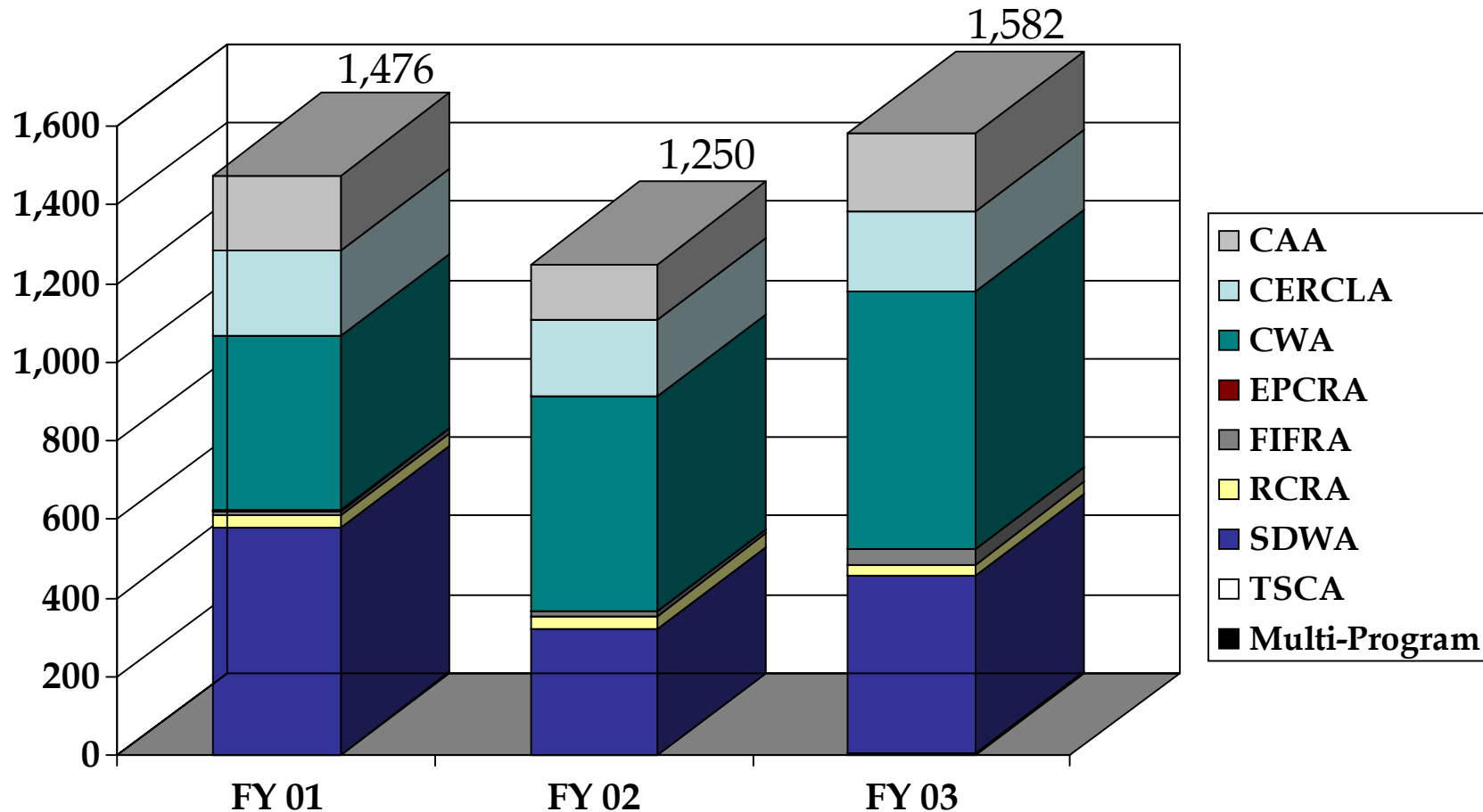


*In FY 2003 over 800,000 Entities Used the Centers*

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# EPA Administrative Compliance Orders FY 2001 - FY 2003

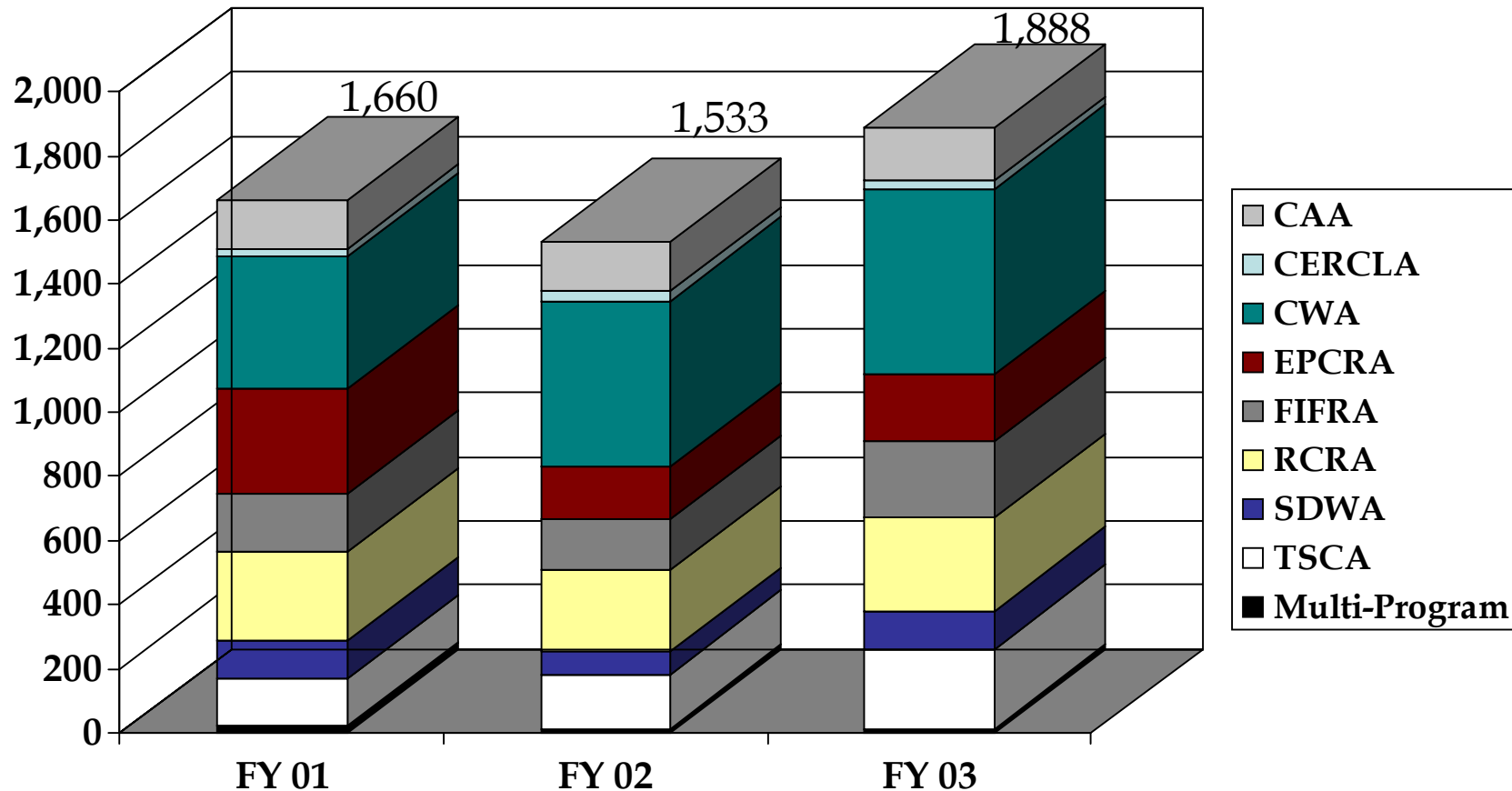


Data Source: Integrated Compliance Information System (ICIS), October 29, 2003

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# EPA Administrative Penalty Complaints FY 2001 - FY 2003



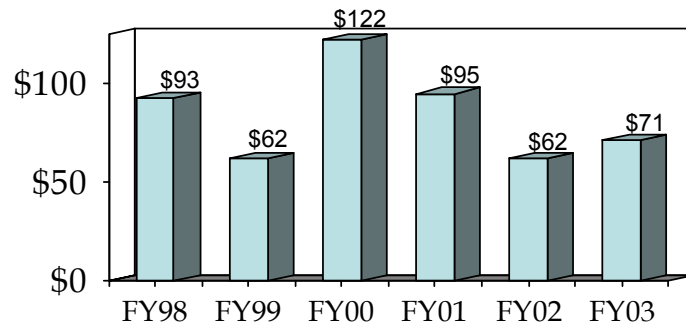
Data Source: Integrated Compliance Information System (ICIS), October 29, 2003

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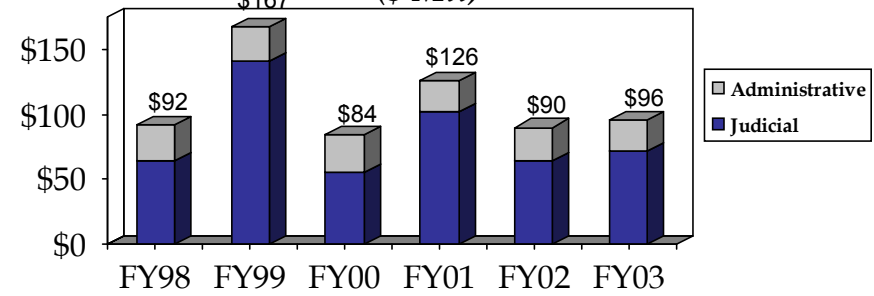


# EPA Dollar Value of Concluded Enforcement Actions FY 1998 – FY 2003

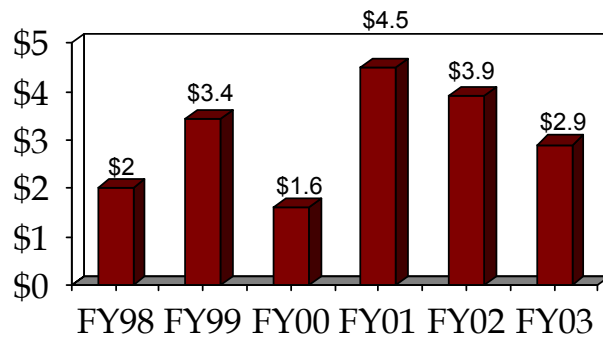
*Criminal Penalties (\$ Mil)*



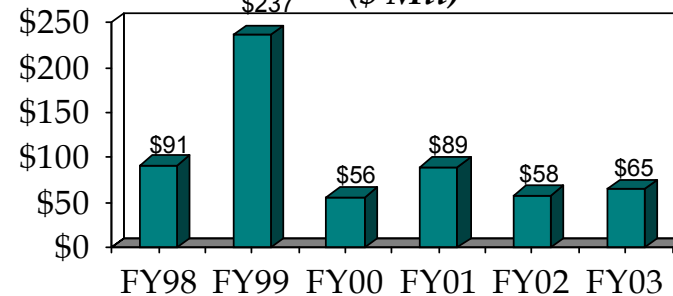
*Total Civil Penalties (\$ Mil)*



*Injunctive Relief (\$ Bil)*



*Supplemental Environmental Projects (\$ Mil)*



*Data Source: Integrated Compliance Information System (ICIS), October 29, 2003*

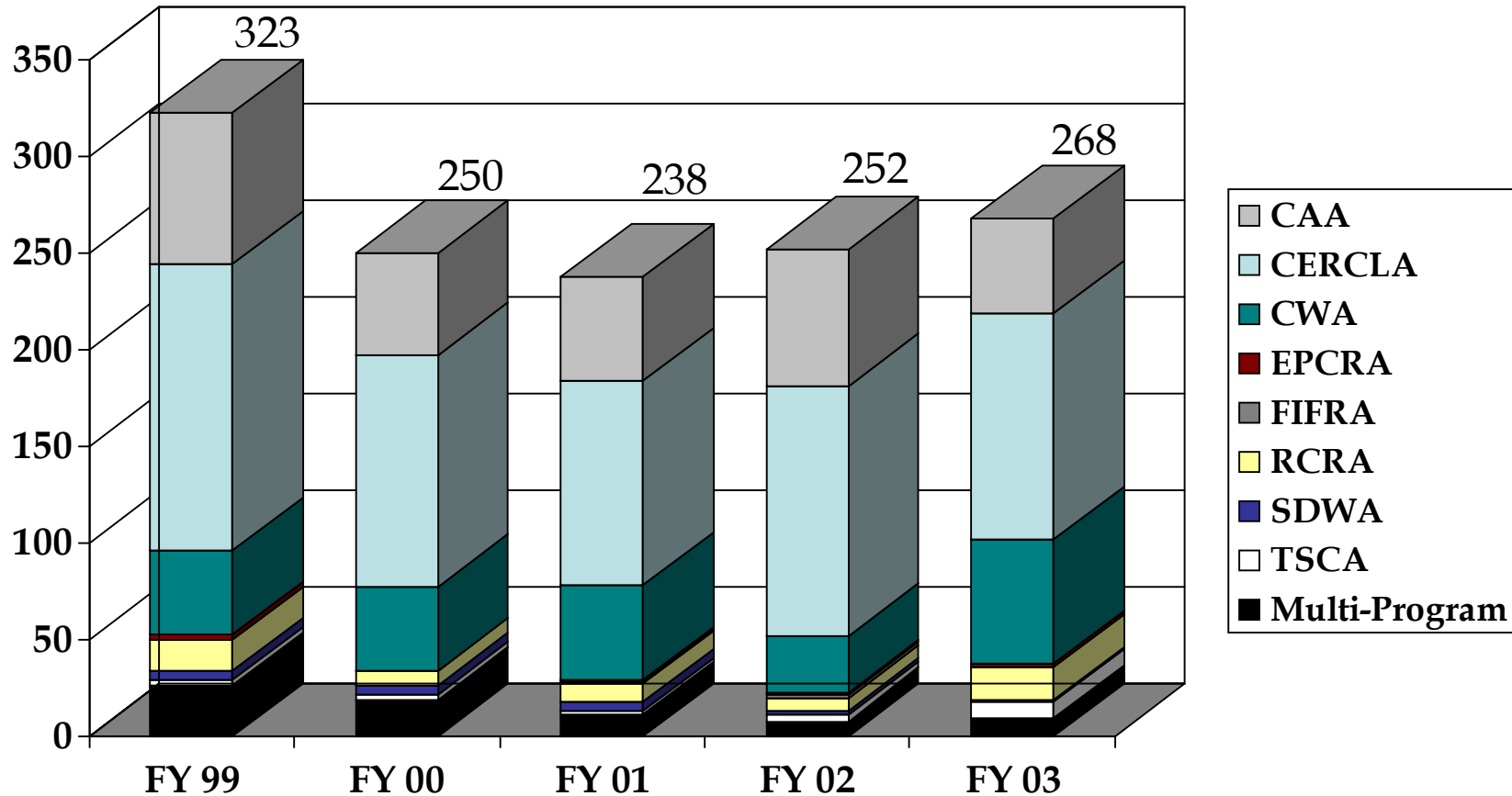
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# EPA Civil Judicial Referrals to DOJ FY 1999 - FY 2003

## *New Methodology*



Data Source: Integrated Compliance Information System (ICIS), October 29, 2003

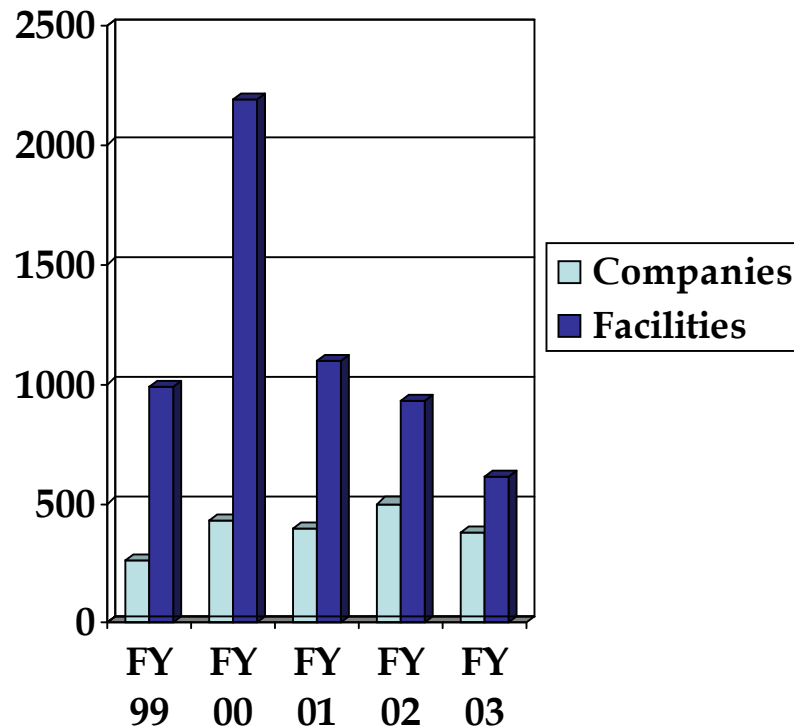
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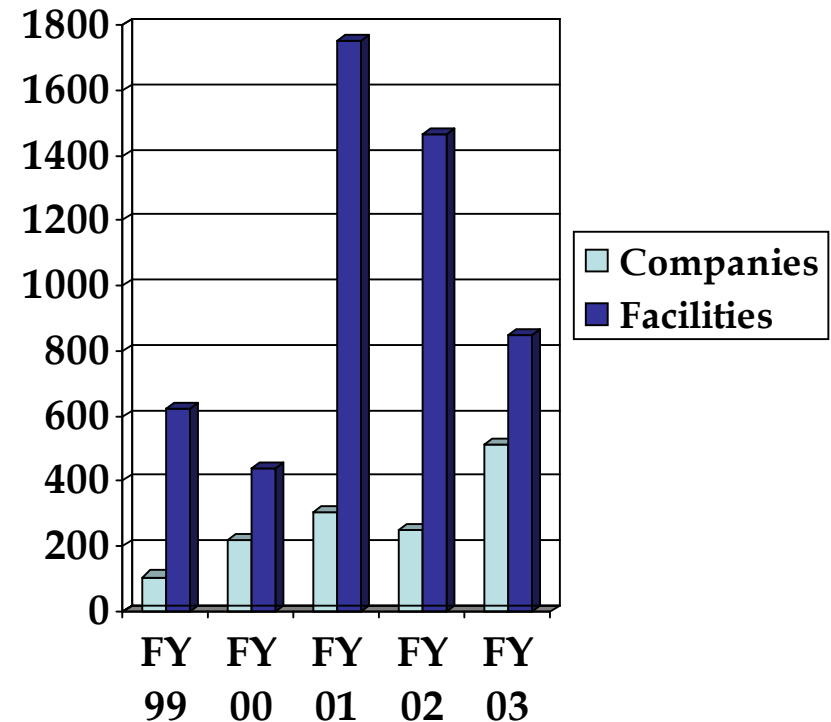
# EPA Voluntary Disclosure Programs FY 1999 – FY 2003

*Number of Companies Disclosing & Correcting Violations*

**Audit Policy Disclosures**



**Audit Policy Resolutions  
Correcting Violations**



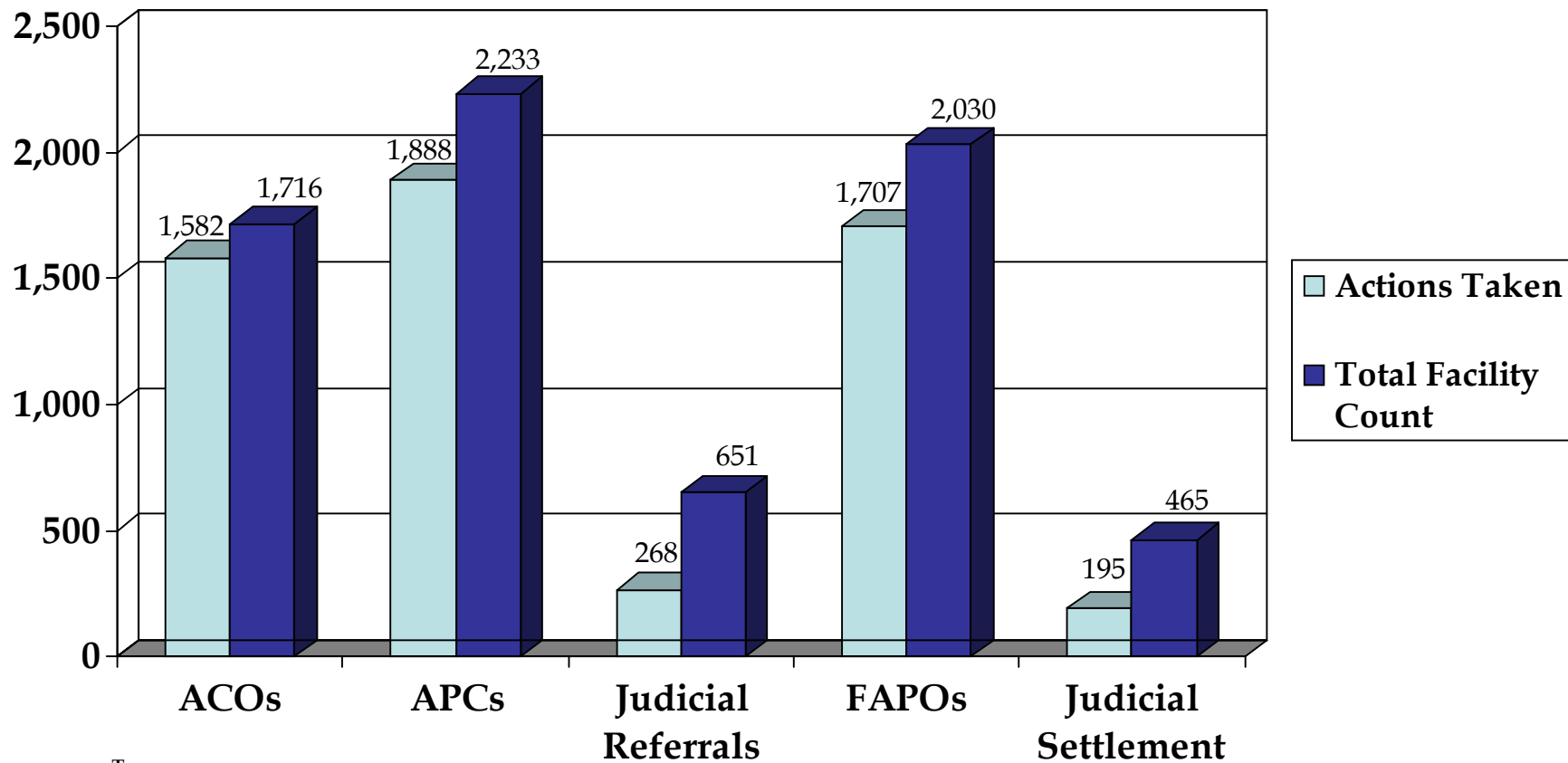
*Data Source: Integrated Compliance Information System (ICIS), October 29, 2003*

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# EPA Civil Enforcement Program

## Total Facilities Addressed by FY 2003 Cases



**Terms**

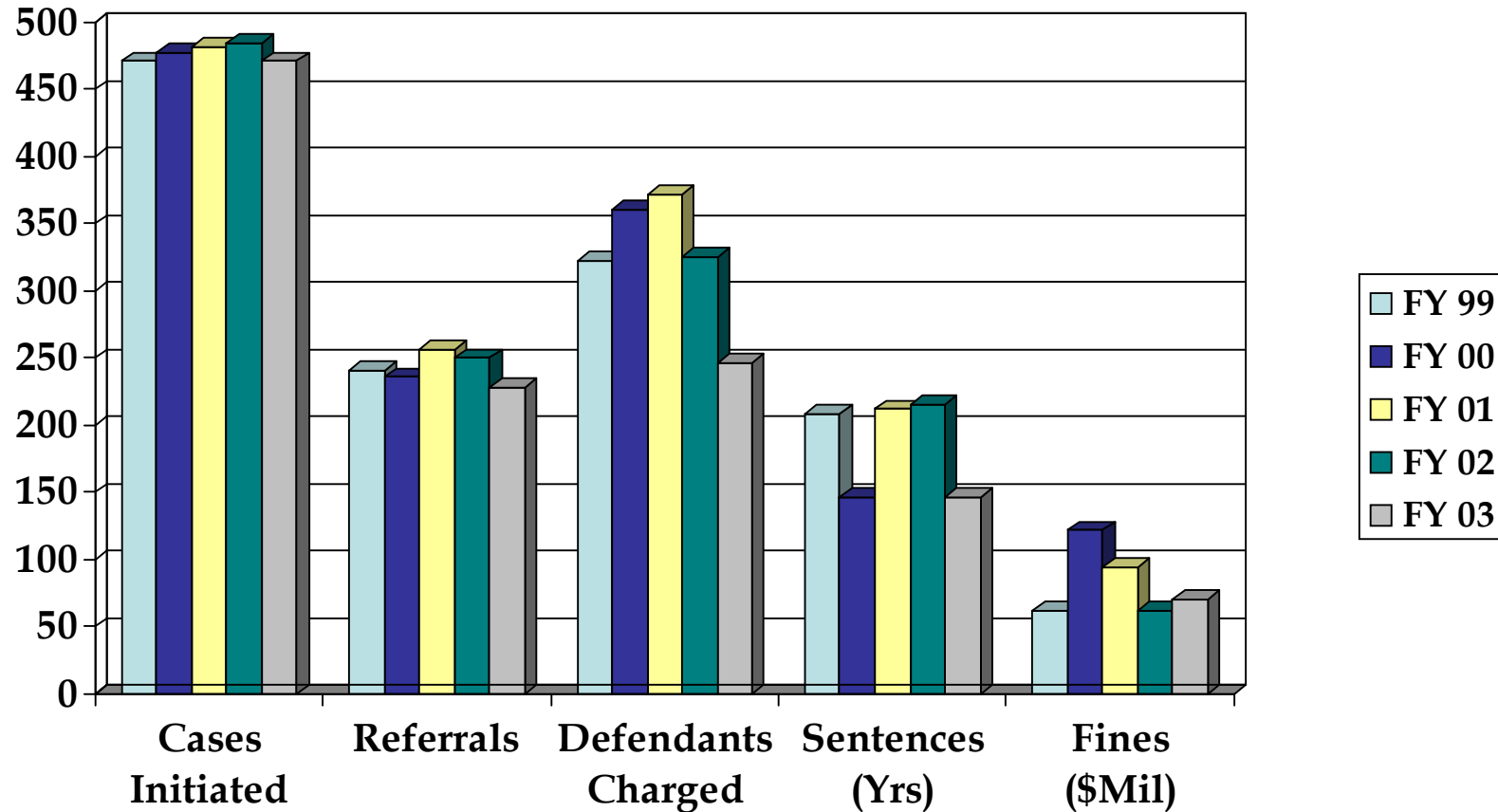
- \* Administrative Compliance Orders (ACOs)
- \* Administrative Penalty Complaints (APCs)
- \* Final Administrative Penalty Order (FAPO) Settlements

Data Source: Integrated Compliance Information System (ICIS), October 29, 2003 & Manual Counts

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# EPA Criminal Enforcement Program FY 1999 - FY 2003



*In Addition to FY 2002 and 2003 Criminal Cases there were 190 and 37 Homeland Security Cases Respectively*

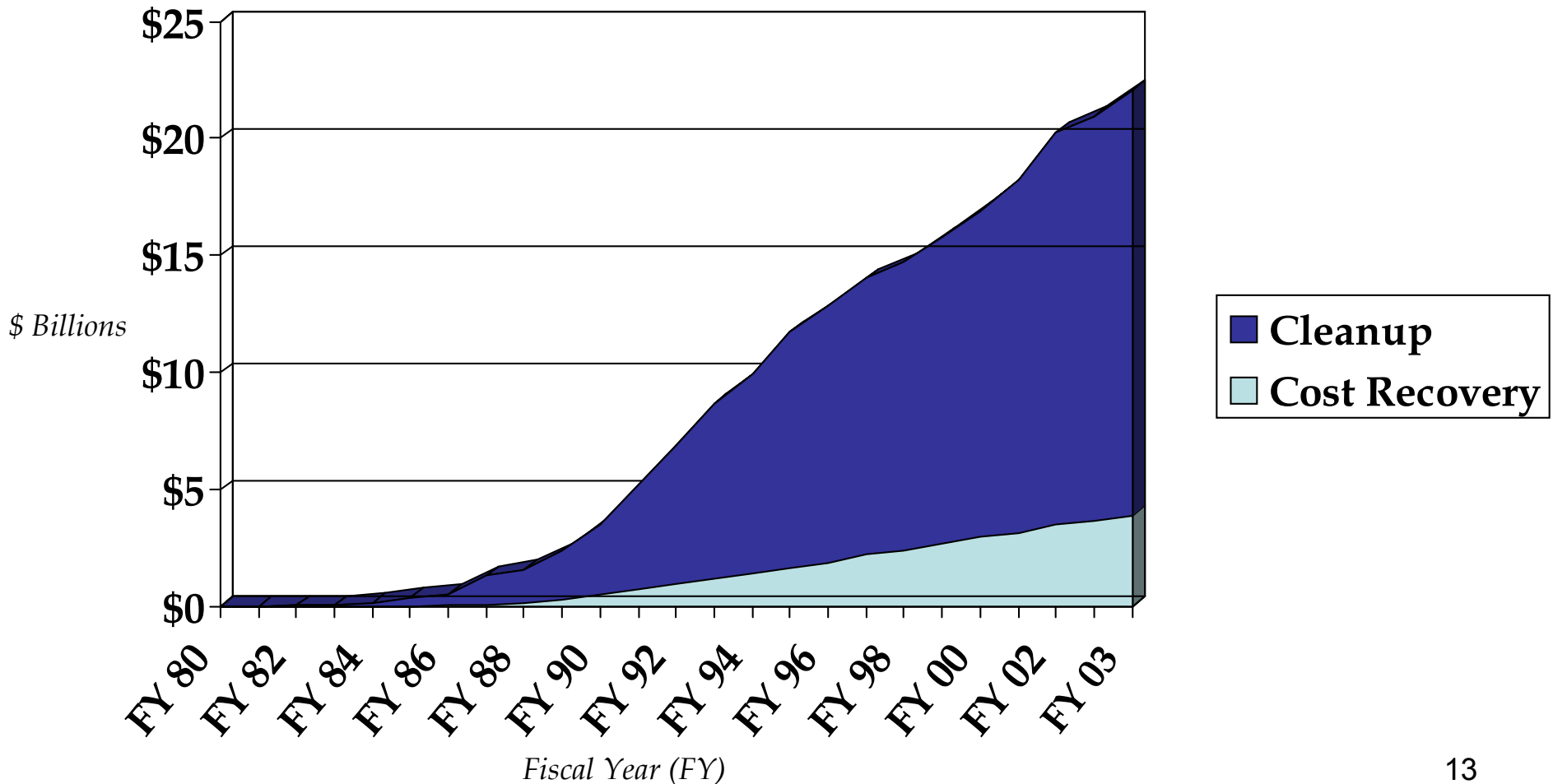
Data Source: Criminal Docket, October 29, 2003

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# Cumulative Cleanup & Cost Recovery FY 1980 - FY 2003

*More than \$22 Billion for Potentially Responsible Parties (PRP)  
Commitments for Cleanup & Cost Recovery Since 1981*



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