OFFICE OF SECURE TRANSPORTATION

POLICY NUMBER: 6.02B

TITLE: Security Conditions

EFFECTIVE DATE: 21 July 2006

PURPOSE: Establish Office of Secure Transportation (OST) guidelines to meet the requirements of the Homeland Security Advisory System and DOE M 470.4-1 Chg1, Part 1, Section B, Security Conditions.

SCOPE: All Offices, Commands/Divisions and Branches of OST and contractors while in support of OST operations shall comply with this policy.

PROPONENT: Safety, Security and Emergency Management Division (SSEMD) / Security Branch (SB)

REFERENCES:

- DOE M 470.4-1 Chg1, Safeguards and Security Program Planning and Management, dated 8-26-05; Part 1, Section B, Security Conditions
- Homeland Security Presidential Directive-3 (HSPD-3)
- U. S. Policy on Counterterrorism

Revision Notes: Updated references and removed non-OST specific information. Policy title changed from "Threat Condition (ThreatCon)" to "Security Conditions". This policy supersedes previous policy 6.02, Revision A.

POLICY

1. General Information

- a. Homeland Security Threat Conditions (THREATCON) are known as Security Conditions (SECON) in the Department of Energy (DOE).
- b. OST is currently using THREATCON nomenclature with Homeland Security Color Code and SECON numbers. OST will transition to the DOE nomenclature of SECON as resources permit.
- c. DOE SECONs correlate to the equivalent Homeland Security THREATCONs.
- d. SECON protection measures are not prioritized within each level but should be initiated concurrently when practical.
- e. Departures from the requirements sent forth in the manual are considered an exception and must be approved through the deviation process.
- f. SECON may be applied to OST as a whole, an individual shipment, specific facility, geographic area or activity.
- g. Implementation of specific SECON security measures may be dependent upon site-specific resources in association with the landlord criteria, if applicable.

2. Responsibilities

- a. The Assistant Deputy Administrator (ADA) is responsible to negotiate the OST operational SECON level with DOE/HQ based on mission requirements.
- b. The Transportation Emergency Control Center (TECC) shall notify the OST Emergency Response Duty Officer, Facility Security Officer (FSO) and Duty Officer of any change in the SECON level of any facility, activity or shipment.
- c. The FSO will notify the off-site facilities.
- d. The FSO shall coordinate the development of site-specific checklists for each facility that are intended to support implementation of SECON changes.

- Facility Security Representatives (FSR) shall coordinate with the FSO changes to the site-specific Checklists.
- TECC Operations shall update the SECON Status Board when there is a change in SECON status.

3. Requirements

- a. The SECON level shall be conspicuously posted at all sites.
- Commands and other facilities will implement security measures as directed by SECON level and host sites.
- c. FSR will notify the TECC when security measures have been implemented and the TECC will document such notifications and inform the FSO.
- d. The TECC shall maintain a status board reflecting the current SECON for the following:
 - (1) OST.
 - (2) Each shipment.
 - (3) Albuquerque (includes the Vehicle Maintenance Facility (VMF) and Agent Operations Western Command (AOWC)).
 - (4) Pantex (includes the VMF and Agent Operations Central Command (AOCC)).
 - (5) Oak Ridge (includes the VMF and Agent Operations Eastern Command (AOEC)).
 - (6) Training and Logistics Command (TRALOC).
 - (7) National Nuclear Security Administration (NNSA) Aviation.
 - (8) Relay Stations.
 - (9) Other facilities.
- Each facility/group will establish and follow their specific implementation security measures. These facilities include but are not limited to:
 - (1) Commands.
 - (2) OMO shipments.
 - (3) TECC.
 - (4) Relay Stations.
 - (5) NNSA Aviation to include aircraft on missions.
- The FSR for each facility shall negotiate implementation of security measures in conformance with host facility requirements.
- g. The TECC shall be notified of specific implemented protective measures for the following:
 - (1) VMFs.
 - (2) Kansas City Facility.
 - (3) Maintenance Depots.
 - (4) Relay Stations.
 - (5) Other facilities.

OFFICIAL:

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Office of Secure Transportation