

0200

1 the, I don't know who this question is for,
2 actually, what's the rationale for the 80 to 125?

3 Is it historical or is it because it's
4 the limits of the interval?

5 DR. YU: I think that when we had this
6 discussion back to 2004, the advisory committee
7 already commenced a study design reference scaling
8 approach as well as point estimate, that's why we
9 present it to you actual value today, so we are
10 focusing on the point estimate.

11 I think what we had discussed so far
12 right now is whether it's a 15 percent, 10 percent
13 and 20 percent. It's really difficult to say which
14 number is best and we have to make some kind of
15 reasonable decision to move on.

16 And when we discussing the FDA with the
17 highly variable working groups, we feel right now
18 the point estimate for highly variable drugs at 20
19 percent is a reasonable number, otherwise if you go
20 to 10 percent and the power go down, it pretty much
21 is similar to average bioequivalence if the CV is
22 about 40 percent.

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1 And, nevertheless, I think if we get
2 enough discussion and I want to say to the chair of
3 the committee, we don't have to decide exactly the
4 number today and we can go back, investigate it and
5 we'll produce some kind of number for this issue to
6 move on.

7 DR. COONEY: Art.

8 DR. KIBBE: I think Lawrence hit on it.
9 If we're looking at products that, where the
10 reference is extremely variable, it would, it might
11 be that your point estimate would be larger than
12 like a 90 to 110 or 12 percent and still the, when
13 you do your scaling, you'd be, you would have
14 demonstrated at least scientifically that the two
15 products are equivalent and then why all of a sudden
16 does the point estimate, you know, kill you. And I
17 think you have to be careful about that.

18 DR. YU: That's correct, yes.

19 DR. COONEY: Are there any other
20 questions or comments from the committee?

21 What I would, what I would like to do, I
22 believe on this first question, it really has two

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1 parts to it. We need to go around and take an

2 individual, individual vote and I'd like to split it
3 into the, into the two individual parts.

4 The first question as presented is does
5 the committee agree with the use of a point estimate
6 constraint when applying scaled bioequivalence, and
7 then we'll come back and ask whether, if, if the
8 vote on that is yes, then we'll come back and take a
9 vote on whether we should set that limit now or as
10 suggested, perhaps leave that to some further
11 discussion.

12 DR. YU: Let me tell you I just want to
13 make a comment that in 2004 advisory committee
14 meetings, in the conclusion, the committee agreed
15 that the limits on the point estimate should be,
16 should also be used along with the reference
17 scaling, so I guess up to you want to vote again or.

18 MR. UNIDENTIFIED SPEAKER: I mean we can
19 re-affirm what we said and of course as always, our
20 vote's not binding on anybody, but I would hope that
21 maybe there would be, the agency would come out and
22 say this is what we're doing now and we're going to

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1 scale, whether you scale or not.

2 DR. YU: Correct, we're probably going

3 to scale and with some kind of point estimate based
4 on your recommendation.

5 DR. COONEY: Okay, in that case, we can
6 vote on it as a single motion. Okay.

7 Let's, before I do that, I'll ask Paul
8 and Gerry, who are non-voting members, but do you
9 have some, some additional comments that you'd like
10 to make?

11 Mel, we'll begin with you.

12 DR. KOCH: I guess I'm going to abstain
13 because I don't have enough information on that.

14 DR. COONEY: Marv.

15 DR. MEYER: I think that the limit
16 definitely needs to be narrowed and preferably to
17 something you could tie to your past history of
18 generic approvals, be that plus or minus 10 percent
19 or something of that, but have some basis that you
20 can go back to rather than an arbitrary 80 to 125.

21 DR. COONEY: Marv, was that a yes or a
22 no?

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1 DR. MEYER: A no for 80 to 125.

2 DR. COONEY: Okay, so it was yes on the
3 first, but, but you don't like the 80 to 125?

4 DR. MEYER: Correct.

5 DR. COONEY: Cynthia.

6 DR. SELASSIE: Cynthia Selassie, yes on
7 the first part and get more information on the
8 second.

9 DR. SWADENER: Marc Swadener, yes on the
10 first part, don't really know on the second part.

11 DR. COONEY: Carol?

12 DR. GLOFF: Yes on the first part, no on
13 the second part.

14 DR. COONEY: Do you have a specific
15 recommendation?

16 DR. GLOFF: I don't. There's a
17 possibility that I can be convinced that 80 to 125
18 is the appropriate range, but I'm not convinced of
19 that. At this point I feel it should be narrower,
20 but I don't have a specific recommendation.

21 DR. COONEY: I'm voting yes on the first
22 part and that I would like to see something tighter

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1 on the second part.

2 DR. MORRIS: Ken Morris, I vote yes. I
3 think the consequences of narrowing the second part
4 sort of mitigate the value of the first part.

5 DR. COONEY: Art?

6 DR. KIBBE: I'm with Ken, I think while
7 80 to 125 is not being carved in Granite, it gives
8 them, the agency, a little bit of needed flexibility
9 for those compounds where the innovator has got a
10 lot of variability and we're trying to make a
11 reasonable scientific adjudication, so I vote yes.

12 DR. COONEY: Meryl?

13 DR. KAROL: Yeah, I will vote yes on the
14 first part and I really would have to think more and
15 look at the data more to make a reasonable decision
16 on the second part.

17 DR. COONEY: We have eight yeses, with
18 one abstention, and three nos.

19 Three nos on the -- excuse me, clarity.
20 We have eight yeses and one abstention on the first
21 part. And three nos on the second part, on the
22 specific limits of 80 to 125.

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1 No, that's not, that's not right.

2 No, there were, there were two, there
3 were two yeses on the, this was a yes and this was a
4 yes.

5 And that there are, there are nine, so,

6 okay. So the, for the record, I think I have as an
7 engineer, I believe in closing the material balance
8 and we're dealing with nine bodies. We have eight
9 yeses and one abstention on the first question. And
10 we have three nos, two yeses and four ambiguous,
11 undecided on the second part.

12 I think we can call it -- I'm -- well,
13 okay. We'll call it abstentions.

14 Recognizing that this is a, this is a
15 recommendation to the agency, this is not a
16 decision, and I think we can go forward.

17 The second question is a proposal for a
18 minimum sample size of 36 subjects when evaluating
19 bioequivalency of highly variable drugs. Does the
20 committee concur?

21 DR. YU: Charlie, could I make one
22 comment before you vote, I guess the choice is

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1 pretty much very clear whether 24 or 36. I'm sure
2 48 is way out and 12 is also too low, so I guess you
3 can comment 24 and 36 to be specific, thank you.

4 DR. COONEY: Before we vote, let me open
5 this up for any questions or comments.

6 Paul.

7 DR. FACKLER: Well, I just wanted to say
8 that 36 subjects in a three-way study is the same
9 number of dosing periods as 54 in a two-way study
10 and the current guidelines I think require a minimum
11 of 12 subjects in a two-way study.

12 So while I understand the value of
13 studying 36 is higher than the value of studying 12,
14 I'm not sure that from the presentations we saw that
15 one needs 36 subjects to, with an average -- sorry,
16 a scaled average bioequivalence approach.

17 So, it's a convenient number, but I'm
18 not sure I understand the rationale for choosing 36.

19 DR. COONEY: Carol, then Art.

20 DR. GLOFF: Sorry, I'm not doing a good
21 job of hitting the button.

22 My comments are very similar to Paul's.

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1 I'm sort of puzzled when I, perhaps I misunderstood
2 the presentation, but it seemed like if you had 36,
3 it increased the chances that you can succeed in
4 demonstrating bioequivalence, but that to me isn't a
5 reason to require that companies do 36 for a highly
6 variable drug.

7 And so if I missed something, I'd be

8 happy to have that explained to me, but if that's
9 the reason, that's not really a good enough reason
10 in my mind.

11 DR. MEYER: Maybe Lawrence could answer.
12 If you had three subjects and you have three
13 sequences and you did a three-subject study, isn't
14 it possible with scaling you could pass it?

15 DR. YU: That's correct, that's why we
16 have, we need some kind of minimum number.

17 DR. MEYER: So a multiple of three,
18 presumably; is that right? Three sequences?

19 DR. YU: That's correct.

20 MR. COONEY: Don, do you want to
21 comment?

22 DR. YU: You can do three because of

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1 the, you cannot estimate the balance.

2 DR. COONEY: Art.

3 DR. KIBBE: Okay, my concern is similar
4 to Carol's and Paul's, I understand what Marvin is
5 saying, you've got to have some kind of a baseline
6 number because if you want to pass on highly
7 variable and high, whether there's a mean difference
8 in a highly variable, you've got to have power and

9 you can do a power calculation, I think.

10 Has the agency looked at the numbers
11 you'd need to get the appropriate power with varying
12 levels of variations? Because they did a million
13 simulations, isn't that right, a million
14 simulations, so I assume with a million simulations,
15 we had enough data that we could have got some
16 estimate of what the numbers would be to be able to
17 get a correct decision and was 36 the number you
18 came up with or was it 24 or was it --

19 DR. ENDRENYL: We use 24 initially
20 because that's the, we were trying to compare our
21 results with the published results, what was in the
22 literature, and then we also tried 36 to see the

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1 impact of increasing subject sample size to this
2 level.

3 So when we mentioned a minimum size, it
4 means it's up to the company to do their calculation
5 and determine the appropriate sample size to obtain
6 sufficient power; however, we were kind of wrestling
7 with the question for highly variable drug, do we
8 need a minimum sample size maybe for quality
9 purposes. In addition to power, we looked at 24 and

10 36. Will it work?

11 Of course it would work at the lower
12 variability. At higher variability it would work
13 less, but again, this is the minimum number. We're
14 not saying that if you have a drug that's 60 percent
15 you need to do 24, it's up to the company to
16 determine the appropriate sample size, you know,
17 provided that it's above a minimum number.

18 MR. UNIDENTIFIED SPEAKER: One
19 clarification, the number of subject you choose is
20 not your study is going to pass or not, here is you
21 choose a number of subject is for best estimate of
22 willing subject of reference product.

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1 DR. KIBBE: But you're asking us to tell
2 people that even though a million simulations,
3 24 worked a lot of the times, they have to do 36 and
4 what I'm asking for is where is the reason why 24,
5 you're going to make them do more than 24, because,
6 you know, in the back of my mind I'm saying well
7 maybe I'll start 30 subjects in a four-way and end
8 up with 24 because I'll lose a bunch and you'll say
9 well that's not good enough because I need 36 and
10 yet I've got power and I've got, you know, so.

11 DR. YU: I agree, I said I clarify, it
12 was 24 or 36.

13 DR. MORRIS: Yeah, can I ask a question,
14 because this is part of what I was trying to ask
15 earlier when you presented about whether or not you
16 had looked at smaller numbers, because in the, in
17 the simulations you've run, if you, if you only have
18 a sample size of 24, now is that number of subjects
19 or is that number of legs of -- that's number of
20 subjects, right?

21 DR. ENDRENYL: The number of subjects,
22 correct.

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1 DR. MORRIS: Okay.

2 DR. ENDRENYL: This simulation, of
3 course, we always participate. There's no
4 drop-outs.

5 DR. MORRIS: Yeah, right. Right, and
6 you don't have to pay them.

7 DR. ENDRENYL: That's correct, actually
8 take two minutes.

9 DR. MORRIS: But at the 24 level, even
10 with a geometric mean ratio of one, you were only,
11 what, the percentage of passing studies was like

12 80 percent; is that correct?

13 DR. ENDRENYL: Depend on CV.

14 DR. MORRIS: Well --

15 DR. ENDRENYL: Which figure are you
16 talking about here?

17 DR. MORRIS: It's slide 21. The colors
18 aren't as obvious here.

19 DR. COONEY: We'll display it in just a
20 moment.

21 MR. UNIDENTIFIED SPEAKER: Ken, you're
22 probably correct. If I may, Dr. Endrenyl has a

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1 comment regarding the sample size that may be
2 perhaps, may be useful.

3 MR. UNIDENTIFIED SPEAKER: Thank you, in
4 our simulations, the three-period studies,
5 36 subjects give their 90 percent powers, under GMR
6 is equal to one, regardless of coefficient of
7 variation if you use the scaled average
8 bioequivalence.

9 With four-period studies, 24 subjects
10 give the same result, that is 90 percent power at
11 GMR equal one, regardless of coefficient of
12 variation, provided that you use scaled average

13 bioequivalence.

14 DR. COONEY: Yes, Ken, could you.

15 MR. UNIDENTIFIED SPEAKER: First of all,
16 I do want to thank everybody for the privilege to
17 say this, Laszlo has correctly pointed out, Laszlo
18 knows we can get estimate of within subject variance
19 even doing reference to reference, just clone
20 subjects, so it's not.

21 What he's saying is that you have to
22 think in terms of the problem in the study. When

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1 you're done testing it, is the idea 100 percent, is
2 that 24 subject (inaudible), that's 96, and you want
3 him to say the thing you can get with maybe two
4 subjects two times (inaudible).

5 The question I have for you, if, if the
6 point estimate weren't 100 percent, so if you're
7 starting with a minimum number, then you have to say
8 is it going to be a three-way or four-way, because
9 something may be giving you enough power on a
10 three-way and small number of subjects, smaller
11 number of subjects, exactly the same (inaudible),
12 24 subjects (difficulty with microphone), because it
13 very, very important that you set so clear some

14 minimum. I don't feel statistically we are doing
15 this.

16 DR. COONEY: Thank you.

17 Any additional comments from Marv?

18 DR. MEYER: You can't understand me
19 either, I guess. You know, I don't, 36 intuitively
20 seems like a better number than 24. No one has
21 shown me a power analysis, maybe Kam did and I
22 couldn't understand what he was saying, but it,

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1 look, folks, we're giving the people a lot, a big
2 break.

3 They can do 36 people and they're done
4 hopefully with scaling instead of having to go back
5 and do another 80 or another 100 or whatever number
6 it's going to take. So they're getting a big break,
7 so I don't see any, any terrible imposition by
8 having to do 36 instead of 24. So I would say do
9 36, on scientific range.

10 DR. COONEY: Ken.

11 DR. MORRIS: And just, so if, if 36 was
12 adopted as a minimum, is that something that can't
13 be negotiated by the company if they have other data
14 or is that still negotiable? If it were adopted,

15 not just if we recommended that.

16 DR. YU: If this minimal number is
17 adopted, certainly the response is well then I will
18 conduct a passing with 24, for example, 21, 30, so
19 you will have to use 36 subjects.

20 DR. MEYER: I do have a, I'm sorry, a
21 point of clarification. Does this say then that you
22 need a minimum of 36 subjects in order to be

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1 eligible to apply scaling to your data and if you
2 use 24, you're in the world of average
3 bioavailability and too bad?

4 DR. YU: That's why we are scientific
5 discussion, we have a good discussion this morning
6 and we trying to understand why you based on the
7 international authority, Laszlo bring that out,
8 Kamal bring that out, also Marly and the many
9 others, do you feel comfortable for the agency use
10 minimum amount of 24 instead of 36, let's put it
11 that way.

12 DR. MEYER: My question is, though, if
13 we pick 36, let's say, and a firm chooses to do 24,
14 they are no longer eligible for individual, for
15 scaling?

16 DR. YU: That's correct.

17 DR. MEYER: And we pick 24 and a firm
18 does 20, they can't scale?

19 DR. YU: That's correct.

20 DR. COONEY: Okay. Meryl.

21 DR. KAROL: Just wanted to ask Dr. Yu,
22 did I understand correctly that you said that

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1 12 might also be appropriate?

2 MR. UNIDENTIFIED SPEAKER: It's getting
3 an estimate of within subject variability you can do
4 that. I showed you the data of 11 subjects, the
5 numbers of phenothiazine were very similar, so it's
6 not that what is important it -- and I think Marv is
7 looking at minimum number of 36 subjects. Marv,
8 it's not you're giving people bonus away, you are
9 not allowing somebody who does a 24 subject study
10 and comes up with 96 observations and meets the
11 criteria, that person cannot submit their study.

12 So -- yeah, well, this is not said here,
13 it's a replicate design, it's a three-way or
14 four-way, so please, if I may appeal to your better
15 instincts, since I'm sitting here, I would tell you
16 that it's not mentioned whether it's a three-way

17 study or a four-way study, so it's the number of
18 observations. And I think that's what I would
19 suggest to you and I wanted to answer your question,
20 you can estimate, okay, but if you're setting a
21 minimum number, then I think you have to look at it
22 is it a three-way study replicate or four-way study.

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1 DR. YU: Kamal, I have a question for
2 you, just for clarification and scientific
3 understanding, that in your example when you got
4 the, if I remember correct, it was like 32, 36 and
5 so variability you used 12 subject. Assume that
6 variability CVs are 60 percent, do you think
7 12 subjects still enough to have a good estimate of
8 within subject variability?

9 DR. MIDHA: No, I think we have even
10 done simulations. It depends upon your estimate of
11 within subject variability. You make an assumption
12 that your point estimate does not vary beyond
13 100 percent. That's when you calculate number of
14 subjects.

15 So my suggestion would be that whatever
16 minimum number you propose, keep it in mind, is it a
17 three-way study, is it a four-way study, because

18 Dale correctly pointed out that when he has looked
19 at the studies, I was very impressed with the
20 presentation, Barbara said 5.5 percent are studies
21 where they have what we call highly variable drugs.
22 I would like to go and look at it, correctly ask the
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1 question, how many of them with the residual
2 variance was 60 percent. And my estimate would be
3 that would be very much smaller number than
4 5.5 percent.

5 DR. YU: I think I have to defer this
6 question to Paul because a lot of cases of failed
7 bio study we're not able to see it.

8 Paul, can you comment on it?

9 DR. FACKLER: I'm not sure I understand
10 the question that's being asked, is it that with a
11 large number of subjects in a two-way study you
12 can't see the variance?

13 DR. YU: No, how often did you see the
14 studies within subject is more than 60 percent?

15 DR. FACKLER: More than 60 percent I
16 would have to say is very small. I mean if greater
17 than 30 percent is 5.5 percent of the cases, I would
18 guess it's far less than half that number for where

19 the variance is greater than 60 percent.

20 DR. YU: Thank you.

21 DR. FACKLER: I was just going to, for
22 the record say, that still I endorse the proposal

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1 and I have no patent objection to 36 subjects, just
2 was questioning the rationale for the number.

3 Like Dr. Midha said, I think a
4 24 subject four-period study has equal value to a
5 36 subject three-period study. This is
6 108 measurements, the other is 96 measurements and I
7 don't want to complicate things by suggesting that
8 the committee try to endorse more than one option,
9 so 36 is fine, the scaled bioequivalence is fine.
10 Let me leave it at that.

11 DR. COONEY: Art, and then I'm going to
12 call the questions shortly.

13 DR. KIBBE: I think we're about ready to
14 be exhausted.

15 The number of subjects is a variable
16 scale based on the variance that you find in the
17 study that you've done and scientifically if you
18 know before you go in that you're going to have a
19 60 percent variance, then you know that you're going

20 to have to do more subjects and if you know going in
21 that you're at 35 percent, you probably can do
22 24 subjects.

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1 And the question really is is this
2 arbitrary rule-making or is it science-based quality
3 by design rule-making and I'm not convinced that
4 just saying 36 no matter what is, is good science.

5 DR. YU: I want to make one comment. I
6 want to come back here, here is another subject to
7 design, to pass or not to pass and we want to have a
8 minimum number of subject in order to best estimate
9 within subject availability.

10 Now for scientifically sound, because we
11 are concerned if a support hypothetically a sponsor
12 conduct a study with six subject, because this
13 subject is a highly variable for the reference
14 product, we can scale, they going to pass the study
15 perfectly. Are we going to accept this study with
16 6 subject or 12 subject, that's the question we're
17 talking about. We're not talking about the study
18 whether it's going to pass or not, we're talking
19 about what is the minimum subject agency should
20 require so that sponsor have to be, deal with

21 minimum.

22 For example, Paul mentioned currently we

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1 asking for 12, if you think that's a 12 (inaudible),
2 we could accept the 12 instead of have to be 36
3 here.

4 DR. COONEY: Let me, let me call the
5 question and try and bring this together.

6 We're being asked to offer our opinion
7 and take a vote on a, the question of a minimum
8 number of subjects for a bioequivalency study for a
9 highly variable drug. This will enable the sponsor
10 to use the scaled method for presenting the data.

11 Now this is not, we're not, we're not
12 dictating how many subjects they use, we are simply
13 recommending a minimum to be considered by the
14 agency in this criteria and, nor are we dictating
15 how the study will be designed.

16 So there's a lot of flexibility left in
17 the sponsor's hands as to how they want to conduct,
18 conduct its own study to achieve a successful result
19 on the trial.

20 DR. YU: Thank you, that's correct, the
21 minimum number of subjects.

22 MR. UNIDENTIFIED SPEAKER: I'm sorry, I
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1 just want to be clear on what you said, are you
2 saying that the question is should a minimum number
3 be set by the agency or are you saying that the
4 minimum number should be 36?

5 Is the question is -- is the question
6 should we set a minimum number or should we, or
7 should we set this as a minimum number?

8 DR. COONEY: My interpretation of the
9 question before us is to propose a minimum number
10 and to specify what that number should be in our
11 recommendation to the agency.

12 Okay, Meryl.

13 DR. KAROL: I would vote no. You know,
14 I think there was a good argument made that with
15 certain design, a minimum number less than 36 would
16 be appropriate, so I would vote no for the 36
17 mandatory.

18 DR. COONEY: Well, you can vote yes for
19 the minimum and suggest a lower number.

20 DR. KAROL: Yeah, I would suggest that
21 the lower number should depend upon the study design
22 and other factors, but, so I think there should be a

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1 minimum set depending upon the study.

2 DR. COONEY: And if the number were 24?

3 DR. KAROL: It might be appropriate.

4 DR. COONEY: But you still would not,
5 you still would abstain from what that number should
6 be?

7 DR. KAROL: Right.

8 DR. COONEY: So, yes, for a minimum, but
9 abstain on the number.

10 Art.

11 DR. KIBBE: I understand the agency's
12 need to have some minimum to bounce off of and my
13 own argument is where is the data that supports the
14 36. There's a number and I'm, I go with Kam, if
15 they're going to go with a more complex study and
16 they can do it with 24, so I don't know whether 36
17 is what needs to be prescriptive, so yes, and no.

18 DR. COONEY: But do you want to weigh in
19 with a recommendation on the number?

20 DR. KIBBE: No.

21 DR. COONEY: Ken.

22 DR. MORRIS: Yes on the first part and

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1 yes on the second part with the, not just because
2 Marv convinced me, but because as Marv had asked, if
3 you're not going to use scaling, then you can, you
4 can negotiate a different powering of your study, so
5 I'd say yes on both for the highly variable
6 compounds.

7 DR. COONEY: And the number of 36?

8 DR. MORRIS: And the number of 36.

9 DR. COONEY: I would vote yes, but I
10 would, would recommend a number of 24.

11 Carol.

12 DR. GLOFF: Carol Gloff, I would vote
13 yes on a minimum sample, I would vote no on 36. If
14 I need to choose between 24 and 36, I would pick 24.
15 There might be some other number lower than 36 that
16 I would be more comfortable with than 24, but if I
17 need to choose, it's 24.

18 DR. COONEY: Marc.

19 DR. SWADENER: Marc Swadener, I agree
20 with Carol.

21 DR. COONEY: Cynthia.

22 DR. SELASSIE: Cynthia Selassie. I

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1 would not choose 36 based on what I've heard,

2 specifically a three-way, you can use 36 or with a
3 four-way you can use 24, so I'm going 24 and you can
4 do a four-way.

5 DR. COONEY: Marv.

6 DR. MEYER: I agree with what's on the
7 board.

8 DR. COONEY: Mel Koch.

9 DR. KOCH: I would say yes on the first
10 part and on the second part it will depend on the
11 decision based on the statistical merit.

12 DR. COONEY: Thank you.

13 Complex math here.

14 Okay, the summary of the vote, there
15 were two abstentions, one no and six yeses, and
16 there were four people voting for 24 and three
17 people voting for 36 as the minimum number, there
18 were, there were two abstentions on the number.

19 DR. YU: Thank you, thanks for the
20 committee for the recommendations. I think we know
21 what to do next. I'm not make a joke, actually I
22 really enjoyed the discussion. I think this

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1 difficult issue. I will say if it's easy, resolved
2 a long, long time ago, that's why Kam said a

3 persistent problem. So I think we got the advice
4 from you, we really knows what to do next.

5 MR. UNIDENTIFIED SPEAKER: I agree,
6 people thought very hard on this and we heard the
7 discussions and even though it's a little bit across
8 the board, I think it's going to help us a lot.

9 DR. COONEY: In a moment I'll have the
10 coefficient of variance on the response.

11 I would like to, I would like to move on
12 to the next topic which is nanotechnology. Issues
13 and definitions. This is a very important emerging
14 area. The first presentation will be introduced by
15 Nakissa Sadrieh, science and research staff of OPS.

16 DR. SADRIEH: So, the next topic is
17 going to be on nanotechnology.

18 DR. COONEY: Excuse me, before you
19 begin, a decision has been made to postpone the
20 discussion on the critical path initiative.

21 We'll have right now the discussion on
22 nanotechnology. We'll then take a very brief break

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1 and then we will move to implementation of
2 definitions toward topical dosage forms and we'll
3 conclude the day after that.

4 DR. SADRIEH: So I guess we're going to
5 do nanotechnology now, and I, I'm going to try and
6 go a little bit fast because I think we're running
7 behind schedule.

8 We're going to have three presentations,
9 including my presentation. I'll just go over some
10 introduction and a little bit of what we're doing at
11 FDA in CDER with regard to nanotechnology and then
12 that will be followed by a presentation by
13 Dr. Jeremy Paull from Starpharma who will be talking
14 about the applicability of existing regulations to
15 the development of Dendrimer nanotechnology based
16 pharmaceutical and then Dr. Russell Lebovitz will
17 follow with a presentation on nanotechnology and
18 emerging medical and consumer products,
19 opportunities and risks and we'll have some
20 questions after that for the committee to consider.

21 What's the big deal about
22 nanotechnology? Why is everybody talking about it?

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1 I guess everybody is capitalizing on the fact that
2 the nano scale, the physical, chemical and
3 biological properties of materials may differ,
4 actually do differ in fundamental and valuable ways

5 from those of the properties of the individual atoms
6 and molecules and so there's, this has sort of led
7 to a billion dollar industry and nanotech R&D is,
8 therefore, directed towards understanding and
9 creating improved materials and systems that exploit
10 these properties.

11 And the national nanotechnology
12 initiative, which is a Government sort of group that
13 is overseeing research. A billion dollars of
14 research is being currently spent on nanotechnology
15 has come up with a definition and the definition
16 that they can actually post on their Website is that
17 nanotechnology is the understanding and control of
18 matter at dimensions of roughly 1 to 100 nanometers,
19 where unique phenomena enable novel applications
20 encompassing nano scale science, engineering and
21 technology, nanotechnology involves imaging,
22 measuring, modeling and manipulating matter at this

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1 length scale.

2 While the FDA doesn't really have its
3 own definition at this time, we have adopted this
4 definition, we're sort of working along with this
5 definition until something else comes to us and

6 actually that's going to be the topic of one of the
7 questions that we have for you. So at this point,
8 this is the definition that we're going with.

9 And actually we're having very, a public
10 meeting next week and I think that that's going to
11 be one of the topics that's going to be under
12 discussion, too.

13 So what are some applications of the
14 nanoparticles in drug discovery in biology and this
15 is a list from a report that came out last year and
16 really there are many applications, it ranges from
17 fluorescent biological markers, detection of
18 proteins, probing of DNA structures, separation of
19 purification of biological molecules and cells, MRI
20 contrast enhancement, tumor destruction via heating,
21 tissue engineering, drug and gene delivery.

22 These are some of the potential

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1 applications and, however, the trend's really in
2 medicine, they focus mostly on fixes in -- and are
3 geared towards drug discovery and drug delivery.
4 However, there's hope that in the future the goal is
5 going to be to make some nanoparticles that are
6 going to be multi-functional and maybe even

7 controllable by external signals and potentially
8 local environments.

9 So, with regard to drug delivery, what
10 are some potential opportunities that are being
11 looked into as sort of like an impetus for following
12 this technology. And really there are enhanced
13 properties that might result from actually being
14 able to develop some formulations with nanoparticles
15 into a nanotechnology, these could be such as
16 increasing solubility, rate of dissolution, oral
17 bioavailability or affording targeting capacity.
18 There might also be some enhanced dosing
19 requirements and these could be that probably lower
20 doses might need to be administered, you might have
21 a better side effect profile and there might be some
22 more convenient dosage forms that you might be able

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1 to use, so instead of, for example, using an IV
2 administration, you might be able to do a
3 Transdermal, if, if there's a way of doing that.

4 So, with regards to FDA regulated
5 products, what are some of the things that we think
6 we're going to be seeing. And this list, actually I
7 noticed that I have, I don't have foods in here, but

8 that also should be included. Drugs, basically both
9 novel, new molecular entities or delivery systems
10 are included, medical devices, biotechnology
11 products, tissue engineering, vaccines, cosmetics or
12 combination products. And as I said, foods are
13 also, should be included in this list.

14 With regards to sort of drugs, which is
15 what our interest is in in CDER, what we think of as
16 a combination product when we say something like a
17 combination product, well we're talking about some
18 of these multi-component systems that may comprise
19 of a carrier or a delivery system, a therapeutic
20 agent, an imaging agent and a targeting agent, but
21 you might also be able to design some implantable
22 microchip-based delivery systems that would deliver

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1 drugs under different control conditions or you
2 might have injectable delivery systems such as
3 Transdermal micro needles. These are some examples.

4 So the big question is are nanomaterials
5 new to the FDA. And so the answer to that is
6 probably no, because we already have some drugs on
7 the market and while when we were approving them we
8 didn't call them nanotechnology products, I guess in

9 retrospect with people looking at them and looking
10 at the definition, with the size being under 100
11 nanometers and they are calling these
12 nanotechnology, so really a lot of imaging agents,
13 such as Gadolinium, MRI contrast agents or I think
14 we have also an iron oxide contrast agent on the
15 market. They have particle sizes that are within
16 the definition. There are some re-formulated
17 products of already approved drugs where they
18 re-formulated them with this nanocrystal technology
19 to make smaller particles and these could be
20 considered to be nanotechnology, there's I think
21 immunosuppressant and antiemetic. Liposomal
22 products are being considered as nanotechnology

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1 products and also there's a -- last year I think was
2 a sort of a novel formulation nanoparticle based
3 formulation of a previously approved anti-tumor
4 agent was approved and that sort of like got the
5 closest maybe to calling something nanotechnology.

6 But, there was also devices that contain
7 silver nanoparticles such as an anti-bacterial wound
8 dressing, there's an engineered calcium phosphate
9 that you could get some microstructure composition

10 and performance of human bone and there's also a
11 dental restorative that has nanoparticles in there.
12 There are cosmetics on the market that claim to have
13 nanosomes in them, whatever those mean, and then
14 there are sunscreens on the market that have
15 titanium oxide and zinc oxide that are set to be in
16 the nano size range.

17 Basically if the formulation is opaque,
18 the particles are called (inaudible) nano size, if
19 it's transparent, it's likely that the particles may
20 be the titanium dioxide and zinc oxide may be in the
21 nano size range.

22 However, the actual size of the

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1 particles is not really known at this time.

2 So what are some activities that are
3 currently ongoing within the FDA in the area of
4 nanotechnology. The, within the office of the
5 commissioner there's an interest group that
6 basically where all the centers are represented and
7 they get together and discuss issues that are
8 relevant to each center to try and maintain some
9 discussion and a certain level of awareness and
10 consistency.

11 There are working groups within the
12 individual centers, so within CDER we have a
13 nanotechnology group where we discuss issues. There
14 are, there's an internal nanotechnology task force
15 that was established recently by the acting
16 commissioner and actually the first sort of duties
17 of this task force will be the public meeting that's
18 scheduled for next Tuesday where the FDA is going to
19 be listening to what people, the industry basically
20 has to say about nanotechnology, what we should
21 know, what should be important to us so that we can,
22 so that the task force can go back and try and

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1 decide what type of policy might be relevant for
2 nanotechnology products.

3 We have an MOU in place between FDA, NCI
4 and and NIST to try and understand properties of
5 nanomaterials and we also have some ongoing research
6 within, within the center and actually within NCTR,
7 also, which is another part of FDA, which does
8 toxicology research.

9 So, and there are various research
10 products, I don't have time to go into those right
11 now, but we are looking into various aspects from

12 trying to understand characteristics to looking at
13 safety of nanoparticles.

14 And so from internal discussions, what,
15 what have we come up with? Basically we feel that
16 it is likely that specific consideration may need to
17 be given to nanoparticle-containing products in a
18 couple of areas. One is in the characterization of
19 the material and the other one is possibly in the
20 safety.

21 And again, I'm not going to go into
22 detail here, but when we talk about

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1 characterization, we're talking about trying to
2 understand the parameters or the characteristics
3 that really sort of affect the product's performance
4 or quality and that are going to be important and
5 being able to actually measure these properties in a
6 consistent fashion.

7 So, and these things, for nanoparticles,
8 these methodologies for actually being able to
9 characterize nanoparticles may be quite different
10 from those of small molecules.

11 So, this is an area where probably need
12 to have some, some discussion to try and develop.

13 And now with regards to safety, a lot of discussion
14 is being sort of focused on this right now, a lot of
15 criticism sometimes about whether the safety can be
16 adequately assessed at this time and we basically
17 feel that our safety screen is probably adequate
18 right now; however, we do understand that there are
19 new -- methods being developed and that maybe some
20 of those might be applicable and they may be good in
21 trying to predict certain types of safety concerns
22 that we might not be able to predict at this time.

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1 So, really, the current thinking within
2 CDER, CDER's working, nanotechnology working group
3 is that the current requirements for safety testing
4 of our products is very rigorous, however if
5 research identifies toxilogical risks that are
6 unique to nanomaterials, then additional testing
7 requirements may become necessary.

8 However, at this time there are no
9 testing requirements that are specific to
10 nanotechnology products.

11 And what about having guidance
12 documents. Well usually guidance is set, built on
13 precedence and from review and, you know, from

14 review information and from extensive literature and
15 this is really not the case for nanotechnology.
16 There is, we're sort of like in the early phases
17 right now. There isn't that much information that
18 would help us get from the regulatory perspective
19 and because nanotechnology is an evolving field and
20 we're still learning, CDER is not anticipating any
21 new pre-clinical or CMC guidance documents regarding
22 nanomaterials in the future. However, it doesn't
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1 mean that we're not going to have any ever. This is
2 just for the near future.

3 And the review process basically, the
4 effectiveness of the agency's regulatory approach to
5 meet unique challenges that may be presented by the
6 use of nanotechnology materials in FDA-regulated
7 products is currently being evaluated and the task
8 force is one of these sort of, sort of tools that is
9 being used for doing this. However, in the meantime
10 and based on the available information, the review
11 process for products containing nanomaterials is
12 likely to essentially remain the same as that used
13 for products that do not contain nanomaterials.

14 And that was the introduction, so I

15 think maybe Jeremy and others who have to catch a
16 plane, maybe you can come and do your presentation.

17 DR. COONEY: I think we'll move on it,
18 right to the next presentation and come back with
19 questions for you later.

20 DR. PAULL: Thank you, and in case
21 there -- thanks to the advisory committee for giving
22 me the opportunity to speak to you today about the

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1 applicability of existing regulations on the
2 development of the Dendrimer technology-based
3 pharmaceutical product.

4 As I guess the key messages that I
5 wanted to try and get across to you today are that
6 nanotechnologies are obviously enabling
7 technologies, that it allows us to achieve things
8 that we haven't been able to achieve previously.

9 Starpharma has developed this
10 Dendrimer-based product as a product and not a
11 technology. The Dendrimer technology has allowed us
12 to achieve the efficacy and that sort of thing with
13 the Dendrimer that we're using, but that's been
14 developed in the context of existing regulatory
15 framework and as Nakissa obviously has said, it

16 doesn't mean that there aren't challenges now and
17 ones in the foreseeable future with development of
18 nanotech products.

19 Just to sort of give a bit of background
20 to regulation on nanotech, I don't know, don't need
21 to speak to anyone in this room about regulation,
22 but I suppose, but I suppose what we're trying to

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1 achieve is a balance between risk and benefit.

2 As Nakissa said, there's often a call
3 for regulation or guidance in relation to
4 nanotechnology, but I suppose for that to occur in
5 my mind it's sort of you need a nanotechnology to be
6 a single, definable and perhaps a single entity.

7 And I suppose looking at the definition
8 that, again, Nakissa put up previously, and I don't
9 want to go through again, but you can see that
10 within that definition there's a huge scope for a
11 different range of products in there and, you know,
12 does a product with, the size of 100 nanometers have
13 the same properties as one nanometer and how do you,
14 how would you regulate those as a single product I
15 suppose is challenging and then there's the things
16 that are outside of the nano scale, but you use the

17 nano word as marketing which might make things
18 difficult as well.

19 And there's a couple of common examples
20 there. So, the bottom line is I suppose that
21 specific regulation of non-specific technology is
22 going to be challenging and if not inappropriate, I

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1 suppose.

2 I'll give you a bit of background on
3 Dendrimers. They are precise defined nano
4 structures and they have significant potential for
5 structural diversity. And given that it's difficult
6 to generalize about their properties, you know, the,
7 the properties of a Dendrimer are dependent on the
8 core molecule that you use, the branching molecules
9 that you use to build up the structure and of course
10 the active surface groups on the outside of the
11 Dendrimer.

12 Many applications of Dendrimers as a
13 stand-alone pharmaceutical; in a formulation,
14 obviously, as drug delivery agents, in vitro
15 diagnostics, in vivo diagnostics and potential
16 combinations of all of the above.

17 And when thinking about Dendrimer

18 technology or whether they're, they're a new sort of
19 class of molecule that's being developed as
20 pharmaceuticals at this point and as other agents as
21 well, they do use techniques that are similar to
22 traditional small molecule synthesis, so as an

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1 example here, but you've got a (inaudible) bond
2 formation, due to prediction of reactive groups and
3 so on to build up that structure, so standard
4 techniques.

5 One of the things that makes Dendrimers
6 a bit unique I suppose is that they also, because of
7 their size and their polarity, techniques used in
8 manufacture are common to other large molecules and
9 biological molecules and that sort of thing and one
10 of the techniques we used for purification is ultra
11 filtration.

12 I suppose that one of the things that
13 makes Dendrimers quite unique is the ability to add
14 active surface groups to the outside of this
15 Dendrimer structure in a controlled and precise way
16 and giving a polyvalent sort of presentation of
17 those active molecules.

18 And one of the things that Starpharma

19 has recently patented and believed that is a
20 significant advance in the technology of Dendrimers
21 is the ability to control precisely the placement of
22 different, different active molecules in a precise
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1 location and in a controlled way on the surface of
2 the Dendrimer to give specific properties. And I
3 guess in the context of quality by design, we're at
4 an early stage, but if we're able to say exactly
5 what the Dendrimer will look like, then that's a
6 significant advance in our sort of design
7 techniques.

8 Dendrimers obviously have the ability to
9 be drug delivery agents and as one of the committee
10 members mentioned this morning, perhaps these sorts
11 of molecules could almost be considered excipients
12 in a formulation. And this diagram just shows
13 delivery of either a covalently bound molecule to
14 the outer surface of a Dendrimer encapsulated
15 molecules within the structure.

16 So I guess that, all forms of nanotech
17 have unique properties because of their size. For
18 example, particles of a drug product or some
19 material may be better or more favorable and give

20 better properties and functions that they are in the
21 nano scale. In contrast to molecules -- particles
22 being smaller, Dendrimers are sort of different

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1 because the structures are larger than small
2 molecules and I guess the point here is that we've
3 got within nanotechnology, we've got two very
4 different things and can you regulate that as a
5 single technology.

6 I'll just quickly go through some of the
7 key things that Starpharma has considered in the
8 development of its Dendrimer product, which,
9 residents of the committee I'll just call the Star
10 Rx. Existing reg framework has allowed I guess for
11 classification of this product as a drug. Now it's
12 not, it's obviously not a cosmetic, it's not a
13 device, it's not a biologic, because of the function
14 of the product is intended to be a prevention for
15 HIV, HSV 2 given that pharmaceutical, it's got an
16 anti-viral mode of action and potential clinical
17 utility as a vaginal microbicide, clearly makes it a
18 drug.

19 However, it is a topically applied
20 product. We believe the active which is not

21 absorbed which I'll come to further. It's possible
22 that it could being interpreted as a sort of barrier
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1 to, barrier to a virus entry into the body, you
2 know, in future products like this could potentially
3 be a considered device, but again, that's something
4 that the agency will need to consider.

5 As I mentioned before, Dendrimers could
6 be drug delivery agents and one of the challenges I
7 guess may be for FDA to consider regulation of
8 molecules as devices which is a new thing I guess.

9 In terms of manufacturing
10 characterization, this is a product that we're
11 developing, it's, you know, existing industry,
12 manufacturing norms and expectations apply, run
13 right through these, but the standard things,
14 particularly, you know, we still control what we,
15 the raw materials and that sort of thing that we put
16 into the manufacturing.

17 As I mentioned previously, we use a
18 combination of sort of large and small molecules,
19 synthetic processes that obviously is a challenge in
20 manufacture, but also a challenge for regulators to
21 understand and consider.

22

API starting materials are often not

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1 commercially available for these types of products.

2 We have to synthesize them ourselves and, you know,

3 we talk with you, obviously need to consider how GMP

4 applies to that.

5 Characterization is probably the biggest

6 challenge, along with sort of determination of

7 safety, but characterization I guess, traces show

8 Starpharma has sort of worked to identify impurities

9 and that sort of thing in the Dendrimer product and

10 to reduce those, this evolution from the purple down

11 to the green, and then we develop different

12 techniques that with the same product here and we

13 see more -- new impurities and that sort of thing.

14 I don't know how many can see that too well here,

15 but we are still improving.

16 I guess one of the questions is that we,

17 Starpharma is definitely understanding, you know, we

18 need to understand those impurities. We understand

19 why they are there and I guess hopefully sort of

20 incorporating the quality by design and process

21 understanding to help us understand what those

22 impurities are.

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1 On impurities, I guess the level of
2 impurities that are in there, we look to try and
3 minimize those as much as possible. One of the
4 things with Dendrimer technology is that minor
5 impurities in the capping material of the Dendrimer
6 can, can lead to significantly miscapped material,
7 so a tiny impurity in the capping material, if
8 translated on to an H Dendrimer structure can lead
9 to high impurities.

10 But I suppose again we, we understand
11 what they are, we characterize them in terms of
12 knowing exactly what they are through identification
13 process, but also in terms of safety and efficacy
14 and I guess we need to consider the correlation of
15 safety and efficacy and impurity profile and whether
16 we can achieve what's normally expected of small
17 molecule synthesis for those large molecules.

18 The other aspects of development I
19 guess, we're looking at the absorption and
20 (inaudible) that sort of thing of Dendrimers, again
21 this product that we're developing is a topical
22 product due to the size and polarity of the

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1 molecule. It's not expected to be dissolved and,
2 indeed, it hasn't been detected by the methods that
3 we've used in either animals or humans.

4 We have an assay LOQ of .5 microgram per
5 mill which for a six day and a half kilo dalton
6 Dendrimer, that translates to a 30 nano molar LOQ.
7 I suppose one of the things that we have tried to do
8 a lot is to reduce that LOQ to levels that are I
9 guess expected in, to be seen in, with smaller
10 molecules and it is difficult for those larger
11 molecules.

12 But I guess if we think about the sizes,
13 which is sort of a message of this meeting, we have
14 in all our studies, we've never detected the drug at
15 or above this level. If it was in the assay -- in
16 the plasma at these levels after the topical
17 administration, I think in a sense it has been
18 qualified in terms of its safety and efficacy and
19 that sort of thing, so I guess thinking about
20 whether we do need to apply small molecule,
21 traditional small molecule thinking to this sort of
22 product is something that we need to think about.

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1 Characterization of metabolites and

2 degradation is obviously a challenge for these large
3 molecules. There are many places at which these
4 things can metabolize, be broken down and I guess
5 one opportunity through an analytical web to analyze
6 all those for us is probably something we need to
7 try and minimize.

8 Safety and efficacy, I won't go through
9 those, but it's fair to say that we've done as with
10 any product intensive toxicology and pharmacology
11 studies.

12 That's shown the product's safe for use
13 in humans and again, we've applied standard sort of
14 small molecule or standard product development
15 techniques to the development of this product and to
16 I guess reiterate what Nakissa said, at the moment
17 we see no special safety or efficacy study
18 considerations for Dendrimer-based products.

19 Regulatory interaction, this is
20 obviously a huge opportunity for us to interact with
21 the committee and have a discussion about the
22 development of those products and the more frequent,

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1 the better from our point of view. Some of the, you
2 know, training sessions for risk assessment and that

3 sort of thing would be a huge benefit to companies
4 developing those products.

5 Obviously engagement on both the parties
6 behalf is important. In the interest of time, I
7 won't spend any time on this, but environmental and
8 OH&S considerations are the same for any other
9 product at this stage of the development and for
10 this Dendrimer product.

11 So I guess other nanotech-based
12 products, considerations that need to be thought
13 about are are these products able to be consistently
14 manufactured, which we've been able to do for
15 Dendrimers, are the products well-characterized,
16 does the safety profile of how the products, for
17 their intended use and do they perform as is
18 required and expected of them.

19 I guess our thoughts are that the FDA
20 regulation should be applied to new nanotech
21 materials as they are incorporated into products
22 regulated by FDA, so as we've done, and perhaps

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1 consumer products containing nanotech materials
2 should be overseen by FDA if they present certain
3 public health issues.

4 So in summary, I guess existing
5 regulations have adequately addressed the
6 development of a Dendrimer nanotech knowledge-based
7 pharmaceutical product that we are developing. The
8 development challenges come from the science, we've
9 found, not from regulation, so talking about the
10 science with the agency and, you know, is, it's
11 important under the, under the existing regs. And
12 we're attempting to employ risk-based approaches and
13 quality by design, but further interaction with the
14 agency on that certainly would be beneficial.

15 Thank you.

16 DR. COONEY: Thank you. Are there
17 questions or comments?

18 Yes, Mel.

19 DR. KOCH: Yes, you're familiar with the
20 company Dendrotech, the company, I mean there's been
21 a tremendous amount of characterization and a number
22 of things leading up to each generation and how to

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1 characterize the purity, et cetera, yeah, but many
2 of the things that you had mentioned in, you know,
3 other product type considerations and concerns, been
4 a fair number of actual products that have come out

5 of this, mostly in the agricultural formulation and
6 other distribution aspects.

7 DR. PAULL: Yeah. And I guess, yeah,
8 there are sort of Dendrimer products in other, in
9 commercial, in sort of consumer and other commercial
10 applications.

11 DR. KOCH: One thing maybe I'd mention
12 in, just in general, I think it was also implied in
13 the initial presentation, you know, the nano is not
14 necessarily -- well it is not new, it will be, it's
15 just a very interesting exercise now that the tools
16 are there to characterize nanomaterials to see how
17 much of a distribution of nanomaterials exist in
18 existing products which may influence everything
19 from dissolution, then bioavailability, then a
20 number of things that it's going to be an
21 interesting challenge for the agency if we do decide
22 that nano presents something different, how much of

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1 that something different exists in what's out there
2 today.

3 Excipients, API, the whole thing.

4 DR. COONEY: I think there are two
5 particularly important points that you're making,

6 Mel, one is the need to continuously focus on the
7 analytical techniques that will allow you to measure
8 the range of properties that are important to the
9 function and perhaps the safety of these products.

10 And the second is that as one does that,
11 there's a strong learning opportunity based upon
12 experience that has been there today that we should
13 certainly be prepared to capture.

14 Any other questions? Thank you very
15 much.

16 Okay, the next presentation.

17 DR. SADRIEH: The next presentation is
18 by Dr. Russ, Russell Lebovitz, on the regulatory
19 approach to nanomaterials, unique benefits versus
20 unique risks --

21 DR. LEOVITZ: First things first,
22 thanks very much to the committee for the

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1 opportunity to speak before you today. In the
2 spirit of full disclosure as requested before, as a
3 consultant to the pharmaceutical and biotech
4 industries, I have innumerable financial ties with
5 large and small companies. I'm very proud of all
6 those and hope to have more in the future.

7 That having been said, my presentation
8 today is not representing any company and all of my
9 expenses were paid on my own. Nakissa asked me to
10 come and not speak about any particular product or
11 company, but I think she asked me to speak today
12 because I have worked with a number of these
13 technologies, at least six or seven, representing
14 companies on the technology side and I may have a
15 more broad perspective on what some of the issues
16 are, not with one class of products, but with a
17 broad class of products. And what I'd like to do
18 today is at least share some of my experiences and
19 hopefully it will be useful to you.

20 So what I want to accomplish in the next
21 15 minutes or so, first I've been asked to address
22 issues related to commercialization and regulation

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1 of nanomaterials, and specifically with respect to
2 the life sciences.

3 So, I'd like to address three issues in
4 that context. First I'd like to explore in this
5 presentation a definition of nanotechnology that
6 takes into account several things that have been
7 mentioned before, but they are very important here.

8 And in the field of nanotechnology, in almost all
9 cases there are no new atoms and very rarely are
10 there new molecules.

11 So, the real question we're trying to
12 address here with nanotechnology and you should be
13 thinking about, what is it about nanotechnology that
14 make the familiar so different.

15 Second is we've, as Nakissa discussed
16 and as Jeremy discussed, this is a broad range of
17 materials that are all lumped into this term called
18 nanotechnology and nanomaterials, so what I want to
19 do is explore a possible taxonomy of nanomaterials
20 that may be relevant to the life sciences and it's
21 just all nanomaterials do not follow a common set of
22 rules. It's not like quantum mechanics or chemistry

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1 where everything follows clear rules, so that we
2 need some sort of a taxonomy, particularly for the
3 life sciences. And if we can ultimately agree on a
4 taxonomy, each class may have to be regulated
5 differently, and that's my third point, which is,
6 I'll try to suggest a pathway and a relevant
7 regulatory structure based on this taxonomy that
8 could be useful for nanomaterials in the life

9 sciences, so, that's a tall order and I don't
10 necessarily expect to convince anyone of anything,
11 but let's, let's go on an exploration together.
12 That's really what this is about.

13 What nanomaterials are, at least from my
14 perspective, first of all, as I said before, they
15 are not monolithic at all. The compositions that
16 people talk about span well-known organic chemistry,
17 inorganic chemistry, polymer chemistry and biology.
18 For example, what Jeremy was talking about,
19 Dendrimers, you could just look at them as radial
20 polymers, they are just instead of being linear
21 polymers, they are branched and you see that each
22 time you add a new layer, you get a bigger, it's a

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1 radial expansion, but it follows a lot of the rules
2 of polymer chemistry.

3 There are plenty of polymers already
4 understood and approved in the life sciences, so
5 rules for polymers, unless there's something unique
6 about these Dendrimers, can be applied there as
7 well.

8 Second, while people talk about
9 nanomaterials, sort of about nano and talk about a

10 one to nanometer size, what's really important here
11 to get to that issue of why is the familiar so
12 different, it's not the size, it's the complexity.

13 What we're talking about here and I'll
14 get to it in a second is all about super molecular
15 aggregates, aggregate properties of molecules for,
16 and atoms which we're already very familiar with,
17 but when they aggregate at the nanometer scale,
18 certain properties change. And so the complexity
19 and composition and structure of what we call
20 nanomaterials range from ultra pure single species,
21 as Jeremy was talking about, and others that would
22 be, look very much like a small molecule drug or an
0259

1 ultra pure polymer to formulations that are
2 incredibly hetero dispersed on a macro molecular
3 level and you have to be able to take all of that
4 into account.

5 But what I want, if there's only one
6 take home lesson today, it's the complexity of these
7 materials which make them difficult to regulate and
8 understand.

9 And so size is easy to address, it's the
10 complexity and the heterodispersity and the

11 heterogeneity that this agency has to eventually
12 address and you'll see, it's not so different than
13 the transition from pure, ultra pure small molecules
14 to biologicals which have micro heterogeneity at the
15 post translational modification. This just
16 introduces a whole other class that has a much
17 greater degree of complexity.

18 So, again, how is nanotechnology
19 relevant to drug and device approval processes.
20 First, are their new atomic elements that are
21 represented in nanotechnology. Absolutely,
22 positively not.

0260

1 Are there new types of molecules; very,
2 very rarely, and I can think of really three
3 examples that are somewhat unique to nanotechnology,
4 partly because they were sort of discovered as this
5 field evolved. One is Florines, one is carbon
6 nanotubes and another is Dendrimers, and outside
7 that, we're really talking about molecules we are
8 very familiar with and atoms that we are very
9 familiar with.

10 So, but it's really what's in yellow on
11 this slide, the novel super molecular aggregation

12 properties that have to be dealt with and I'll show
13 you some examples of what happens when you aggregate
14 things at the nanoscale. And it's quite striking.

15 So you get nanometer scale crystalline
16 forms, the packing of the crystals can be different
17 and then there are non-crystalline forms like
18 liposomes, all sorts of different aggregation states
19 and it's really understanding the heterogeneity of
20 those aggregation states and which ones have
21 activity and which ones have toxicity. That will be
22 the challenge of the agency here.

0261

1 The other piece that people will be
2 bringing before the agency are what they'll call
3 sort of multi-functional nanoparticles, and what
4 that really is is a small particle that has a bunch
5 of things attached to it. May have an antibody that
6 will target at one place, may have a small molecule
7 that we're familiar with that will help for in vivo
8 imaging and it may have a therapeutic attached to
9 it.

10 The issue there is that as you build
11 these things, you can't build something at that
12 level of complexity the way you can build a small

13 molecule where every single particle is exactly the
14 same.

15 So in this, case even if it's the exact
16 same composition, the number of orientations of all
17 these molecules on the surface of that particle can
18 make a great deal of difference. How do we measure
19 those things. How do we understand how the
20 orientation has an affect on whether it's
21 predominantly safe or predominantly toxic.

22 So, I will talk about efficacy issues

0262

1 and potential benefits. So, again, I sort of
2 referred to this, but why do nanomaterials tend to
3 have unusual and unexpected properties.

4 And again, what I want to put before you
5 is it's because of the state the super molecular
6 aggregates, it's the super molecular structure here.
7 When you get down to the size between 1 and 100
8 nanometers in diameter, some very striking things
9 change.

10 One thing that changes is that as we all
11 understand is as you deal with smaller and smaller
12 particles, surface to volume properties change a
13 great deal, so as you get in this size range, the

14 surface properties predominate much more than those
15 same atoms and molecules would on a larger aggregate
16 and a larger crystal size. And those surface
17 properties can have tremendous biological benefits
18 and tremendous biological risks in a life science
19 setting.

20 So, you know, as I say in this slide,
21 nanomaterials may have unique physical and chemical
22 properties compared with larger particulate

0263

1 aggregates of the exact same materials in the exact
2 same proportions. Since the size of nanomaterials
3 now is on the order of that of medically useful
4 electromagnetic radiation, which is also in the
5 nanometer or 100 nanometer scale, you also change
6 the optical electrical properties of these.

7 So they interact, they are almost the
8 size of the wavelength of certain medically useful
9 electromagnetic radiation, so the consequence of
10 interacting these types of particles in a biological
11 setting with electromagnetic radiation of varying
12 frequencies has very different consequences than
13 those materials might if they are on a macro scale,
14 if they were floating free as ions in solution. So

15 this, the actual scale here makes a difference.

16 And the last is that because of their
17 size, because of their surface properties, they
18 would be expected to have a very different
19 biodistribution depending on that super molecular
20 aggregation property of the particular particle.

21 So I'm going to give some examples here
22 and then I'll show some pictures of them.

0264

1 So liposomes, these are a category of
2 products that generally carry drugs either within
3 the artificial membrane or within the aqueous
4 compartment of the vesicle. It's well known now
5 that the size and the surface components and the
6 orientation of certain components on the surface
7 completely determine both the stability in the body,
8 the ability to elute immediate sequestration by the
9 reticular endothelial system. So it determines the
10 half life, it determines where they go, even though
11 the compositions may be very much the same.

12 Second, there are classes of molecules
13 like quantum dots, classes of molecules like gold
14 nano shells that eventually you'll hear about that
15 because of their size, the size of these particles

16 with the exact same composition has completely
17 different interaction with electromagnetic radiation
18 and I'll show you some examples of that in a very
19 striking manner.

20 And last, carbon nanotubes are a really
21 interesting class of molecules, the full range for
22 which just discovering them won two Nobel prizes or
0265

1 one Nobel prize for two individuals, but the way you
2 build the nanotubes, they are all identical, but the
3 angles of the carbon bonds and the way you roll it
4 up completely changes the properties from being
5 super conducting to being semi-conducting just like
6 silicones to being non-conducting at all.

7 So, you change the physical properties
8 by that aggregation state and just as an example at
9 the top, what you see is light of one given
10 wavelength shining on, this is, this happens to be
11 quantum dots, it could be gold nano shells, all of
12 which are exactly the same in composition, but they
13 are slightly different sizes, and because of their
14 size, even though they are exactly the same atoms
15 and molecules, you get different interaction with
16 electromagnetic radiation. So as they get bigger,

17 they absorb and they emit at different wavelengths
18 and the color changes and it's tunable to a certain
19 extent.

20 So, there are certain things that one
21 could do in a biological, life science and medical
22 setting where that sort of tunability is very

0266

1 important.

2 On the right are carbon nanotubes. What
3 you see is that what they really are, sort of like a
4 chicken-wired chain-linked fence rolled up, but the
5 angle that you roll it up completely determines the
6 physical, chemical and electrical properties.

7 So the very, it's the subtlety that
8 determines the properties of these things. On the
9 left what you see is just an example of liposome, at
10 the bottom, and sort of the scan that was taken
11 showing that as you change the size and as you
12 change the orientation of the surface components on
13 the liposome, you completely change the half life in
14 the blood.

15 So in orientation one and size one,
16 these things are cleared within five minutes within
17 the liver. You change the size and the orientation

18 of the surface components just a little bit and you
19 get a half life of 24 to 48 hours circulating freely
20 in the plasma.

21 So, let's move into, you know, how do we
22 approach this and what is the context at least that

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1 I think makes sense to put this in. And I've done
2 it by what I'm calling generation one, generation
3 two and generation three molecules.

4 Really when drugs started out, what we
5 knew about were small molecules. A lot was built on
6 the regulation of small molecules and it's still the
7 center of what's goes on with this agency and it's
8 the center of what goes on in the pharmaceutical
9 industry, although that's changing.

10 These are small molecules, very regular
11 polymers, they are in devices that might be a metal
12 alloy that we have a lot of experience with, but the
13 issues around that class of agents are purity,
14 uniformity and regularity of structure. You can
15 make them, whether you make one mil of them or you
16 make a vat bigger than this room, they are all the
17 same, they behave the same, they are simple and they
18 have a defined structure.

19 Generation two are synthetic
20 biologicals, recombinant proteins and peptides,
21 humanized antibodies, synthetic nucleic acids, but
22 that turns out to be is you have a purity of the
0268

1 backbone. To the extent you can make them the
2 primary structure of the proteins is the same, but
3 what you find is when you produce these in a
4 biological setting or you introduce them into a
5 living organisms, there's micro heterogeneity you
6 can't control.

7 The post translational modification
8 that's turned out to be an issue that's taken a
9 while to figure out how do you generalize something
10 if the micro heterogeneity is, it's not exactly the
11 same, even though it's the same protein as defined
12 by amino acid sequence. And these are issues that
13 the agency is dealing with and they'll call that a
14 micro heterogeneity issue.

15 Now what I want to talk about next is
16 there are going to be materials brought before the
17 agency and that people are trying to commercialize
18 that I'll call generation three, which are synthetic
19 nanomaterials, some of which I've shown you pictures

20 of, some which other people have presented.

21 The idea here whether it's a
22 multi-functional nanoparticle, a nanotube that's

0269

1 carbon or metallic, it's, you have size
2 heterogeneity, you have isomerization and tremendous
3 isomer heterogeneity and then when you put them
4 altogether, the orientation of the exact same
5 components in that aggregate vary, so how do you
6 deal with that.

7 Again, if you look at this, the key
8 point of this is the arrow goes to the right, we're
9 dealing with structural complexity, it's not about
10 the size, it's about the complexity.

11 So I just sort of tried to come up with
12 the idea of a taxonomy here, there's nothing new.
13 It's just, you know, what are the types of classes
14 that are already dealt with and the checkmarks here
15 mean nothing more than this is probably in my
16 estimation the frequency at which nanomaterials will
17 come before the agency.

18 So there will be some of these small
19 molecule nanomaterials, but most will be drug
20 delivery agents. There will be a lot of

21 nanomaterials in medical devices and again, there,
22 you know, for the small molecule drug, for the
0270

1 biologicals, this is in a therapeutic sense, there
2 are very defined rules and anything that fits into
3 each of these categories based on purity and
4 complexity can be dealt with existing regulations.

5 Those that fall outside, then there will
6 have to be new rules and regulations, but really the
7 key is to do our best whenever possible to take
8 something and say, ah, this looks like, it behaves
9 like, it can be manufactured like something we
10 already know, forget calling it nano whatever, we
11 can deal with it. Things that fall outside will be
12 the challenge and I'll get to that in one second.

13 This is the same things, it's almost the
14 same slide. This is for diagnostics, so same thing,
15 we have lots of in vivo diagnostics that are small
16 molecules, biologicals, delivery in carriers, we
17 also in diagnostics have ex vivo, in vitro.

18 Same thing, we know how to deal with
19 lots of categories, what we have to be able to do is
20 to ask a company that's bringing a new potential
21 product before the agency to help us understand it

22 so it fits into things we already understand when it
0271

1 does and when it doesn't, then the burden needs to
2 be on that company to help us understand how these
3 new products will be regulated.

4 And the last two or three slides in
5 concluding, I just want to address, throw some ideas
6 out. None of these are nixed in stone. This is a
7 very fluid field, very dynamic, but first is,
8 hopefully we'll all agree that nanomaterials are
9 generally very well kept, characterized atoms and
10 molecules, but they are in novel aggregation states.
11 That's what we have to remember and that's what we
12 have to deal with.

13 Second, again, the nanometer scale, I
14 could give you every small molecule drug that's ever
15 been dealt with by this agency is at the nanometer
16 scale, case closed. So there's nothing special
17 about one nanometer. But, nanoparticles are likely
18 to have very different biodistribution toxicity and
19 pharmacokinetics profiles than larger aggregates of
20 the same materials. So we have to understand what
21 probably happens is when you get macro aggregates of
22 a lot of these materials, once you get past a

0272

1 certain size, the properties are the same.

2 Once you get into that sort of
3 200 nanometer range, then every time you change the
4 sizes from 200 nanometers down to 5 or
5 10 nanometers, you really change properties. So it
6 sort of has some analogy to what you see in quantum
7 effects as you get smaller.

8 All of the changes here, the
9 electromechanical properties happen in that range
10 partly because of their interaction with light, but
11 the idea here is that these are still very familiar
12 molecules.

13 And last on this slide, the composition
14 and structure of nanomaterials, they are chemicals,
15 they are chemicals, they are atoms and molecules.
16 We have tools that allow us to address things and
17 with great complexity and down to great structural
18 details, mass spectrometry, NMR, X-ray
19 crystallography, spectroscopy, we just have to
20 figure out and work with companies and companies
21 have to work with the agency to see how some
22 particular combination of those tools that already

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1 exist and we understand apply uniquely to their
2 molecules and help us understand what they have at
3 every stage.

4 The complexity of nanoparticles
5 definitely presents new challenges. Hopefully if
6 that's the only case I've made, that's the only case
7 I need to make with respect to characterization of
8 the size, orientation and particularly isomerization
9 states. Existence, existing agency protocols,
10 guidelines and requirements for drugs, biologicals,
11 devices are directly applicable to most known and
12 anticipated instances of nanoparticles and
13 nanomaterials, as long as they fall within the
14 complexity we understand of existing materials that
15 have already been approved. Those that fall
16 outside, we'll have to deal with them on a
17 case-by-case basis.

18 There will need to be a shift in
19 emphasis towards characterizing complex isomeric
20 states, that's something you don't look at very
21 often. Certainly can do simple isomeric states, how
22 many drugs have been shown to be important certainly

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1 with a, if they have a single stereo isomeric

2 center, it turns out to be very important. I'll
3 look at molecules that may have multiple, hundreds
4 of isomeric centers, it becomes a little more
5 complex.

6 Development of appropriate analysis
7 tools by applicants in my opinion should be part of
8 the pre-clinical approval process. If you bring a
9 tool ahead, you have to understand, you have to, if
10 you bring a new product, you have to bring a tool
11 that helps to understand what it is. It's very hard
12 to say we have this great thing, we think it's
13 wonderful, now someone has to go out and analyze it.

14 The issue with that is that as people
15 develop tools for a particular product, the agency
16 may want to use those for multiple products and
17 there may be IP issues that we should think about in
18 advance. There's a very useful tool that enables
19 the analysis of a whole class of molecules, then you
20 don't want that IP being restricted to one company
21 to get their product through and no other products
22 can come through on the basis of IP for analysis.

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1 It's just interesting. I think these are issues
2 that will come through.

4 biologicals and nanoparticles become inherently more
5 complex and heterogenous, the ability to assess and
6 control the reproducibility and uniformity of their
7 manufacture so that you know what you have I think
8 represents the biggest risk and also the biggest
9 challenge. And subtle changes, as hopefully I've
10 shown you, in complex structures at the super
11 molecular level can have dramatic effects on not
12 only their color and electrical conductivity, but
13 more importantly their safety and their efficacy.

14 Thanks.

15 DR. COONEY: Are there questions or
16 comments from the committee?

17 I, I have one, one comment. You
18 emphasized several times that it's not about size,
19 yet I was struck by one particular comment you made
20 that it's the dependence of physical properties on
21 size, so I think I would take exception of size,
22 but, but because it is, it is a unique size range

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1 that creates certain complexities and certain
2 properties, but it's not just size, it's a lot about
3 the heterogeneity, isomeric forms that are present
4 as well.

5 DR. LEBOVITZ: What I wanted to point
6 out is we deal with lots of things all the time that
7 are in that size range, it's really the aggregates
8 at that size that change the properties. That's
9 what's really important, so.

10 DR. COONEY: One other observation.
11 There were, there were two words that have come up,
12 one is nanotechnology and the other is nanomaterials
13 and I noticed that your presentation was dominated
14 by nanomaterials and perhaps there's an important
15 point here relative to nanotechnology.

16 DR. LEBOVITZ: Well to a certain extent,
17 I mean it's the same way there's biotechnology, but
18 everyone here in the agency deals with biomaterials.
19 I'm trying to pull it into the real world as opposed
20 to sort of a generic field, you know, I want to deal
21 with what actually comes out of that, because
22 nanotechnology can be processes for making things,

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1 but here I want to talk about the materials that
2 would actually need to be regulated.

3 DR. COONEY: I think that's a point we
4 may want to come back to in a little bit.

5 Thank you. Mel.

6 DR. KOCH: I just wanted to add
7 something. You mentioned the composition and
8 structure of these materials is possible to
9 characterize with today's analytical tools. It's
10 really a combination of tools, arrays of tools and
11 to build on what Charles mentioned in size, you've
12 got shape and other things as you indicated with
13 some of the bending in the structures, so I think
14 those array of traditional tools has to be enhanced
15 and find ways to combine it's -- NMR has a difficult
16 time getting down to those type of.

17 DR. LEBOVITZ: And just sort of a
18 comment to that and to sort of put things in
19 perspective, with nanomaterials, it's very likely
20 that they'll be products that people will want to
21 commercialize that instead of having two isomers,
22 might have in the best way they can manufacture

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1 hundreds of components and yet if they can make
2 those hundreds of components exactly the same every
3 time, that's what I mean by dealing with the
4 complexity.

5 If you have 100 different components but
6 they are always the same and it's predictable, then

7 maybe that's what's permissible in this third
8 generation. Right now that sort of complexity and
9 that sort of heterogeneity would be impossible to
10 deal with, because you can't make most of these
11 materials as single species.

12 DR. COONEY: Thank you very much.

13 Now we also, we also have an opportunity
14 to come back around, ask questions of Nakissa, but
15 perhaps the, what we should do is to focus on the
16 questions that are being put to the committee and we
17 have a series of four, four questions.

18 MS. SADRIEH: Four questions, and I
19 think we started talking about actually some of the
20 questions that we have.

21 The first one is is the NNI definition
22 of nanotechnology adequate for our needs and if not,

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1 how should we define nanotechnology. And I think we
2 started that discussion when we brought up the issue
3 of size and whether we need to focus on size being.
4 You know the criteria or whether there are other
5 things that need to be considered. And I think
6 there's also the idea of, you know, for different
7 types of products, also, I mean for drugs maybe you

8 might have a certain kind of definition whereas for
9 a device, the definition might need to be slightly
10 modified.

11 And so one wonders whether there needs
12 to be a general definition or do we need to have
13 very detailed definitions. And I guess some of it
14 is sort of what we want to do with that definition
15 that -- I think, you know, people will sort of ask
16 that question. But this is something. A criticism
17 has come to us that we don't have a definition, and
18 so I think we're looking to this committee to try
19 and help us sort of figure out how one would go
20 about actually the, defining nanotechnology for our
21 purposes.

22 DR. COONEY: Okay, well let's take these

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1 issues one at a time and I'd like to open this up
2 for comment.

3 MS. WINKLE: Okay, you want to put the
4 definition back up that was in your slide?

5 DR. COONEY: Yeah, slide four of your
6 presentation.

7 Ken.

8 DR. MORRIS: Yeah, I'll wait for the

9 definition to come up, but, yeah, I mean I guess,
10 you know, we don't have to discuss what the
11 definition of nanometer is. I think we have that
12 pretty well in hand.

13 But depending on whether or not you're
14 talking about drugs or devices, I mean it may be too
15 broad to try to define nanotechnology without,
16 without defining where, or without deciding what
17 you're talking about, whether you're talking about a
18 drug or a device or, you know, a diagnostic or
19 whatever it is. It may be that we can't do it in
20 such a way that it will be useful unless we tie it
21 to that. Because if you look at drugs, I mean as
22 the last presenter said, I'm sorry, I've blown his

0282

1 name off along with the rest of my memory of the
2 day, but all activity of crystalline small molecule
3 drugs depends on the nano scale domain structure
4 that exists now, it always has, it always will. The
5 question is does the efficacy or does the
6 performance depend on the maintenance of the
7 nanostate and being able to act like it's in the
8 nanostate.

9 So, if you make nanocrystals, quantum

10 dots or however you want to do it and then they all
11 aggregate, then you can call it nano if you want to,
12 but it's not behaving in a mechanism that's, it's
13 not behaving in a manner that's really manifesting
14 the fact that it's a nano-sized material.

15 Do you know what I mean?

16 DR. SADRIEH: Yeah, it's all very
17 interesting, your example, because I think that may
18 be the case for the sunscreen materials, it may be
19 using nanoscale titanium dioxide, but actually they
20 are aggregating and when you're actually applying
21 them, they are no longer in the nano state, however
22 public perception is that these are in the --

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1 (Digital tape malfunction)

2 The actual ingredients that were put in
3 there or what actually ends up after you put, you
4 formulate, put the excipients and everything, so
5 that whole thing I think sort of --

6 MR. UNIDENTIFIED SPEAKER: Yeah, I think
7 if it comes down that the performance depends on it
8 manifesting its nanostructure, then, then there's a
9 distinction, otherwise -- or difference, I should
10 say. Otherwise, if it's just small particles that

11 end up aggregating and behaving like the larger
12 particle, it's a distinction without a difference is
13 I guess the point.

14 MR. UNIDENTIFIED SPEAKER: Just to
15 amplify that just a tiny bit, I have a feeling that
16 because terms like this get really popular in the
17 advertising area and let's sell this because I can
18 call it nano and everybody will think it's new and
19 it's the thing to do, I think we're going to have to
20 be real careful scientifically to be very
21 prescriptive in our definitions going forward.

22 I don't see sitting here today re-doing

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1 this definition. It's a good functional one to
2 start with, but as the agency starts to see
3 products, you're going to be faced with a
4 terminology challenge and, you know, as you said
5 with sunscreen, that could be just simply an
6 advertising gimmick for the company and when you
7 really look at what they're producing, so, good
8 luck.

9 MS. UNIDENTIFIED SPEAKER: Well I think,
10 yeah, that's why we've been sort of using this
11 definition because we really can't come up with

12 anything else at this time and there are very few
13 real nano technology products that have, you know,
14 been submitted.

15 I mean if you think about it, any
16 product, you know, when it's sort of like binds to a
17 receptor or something is nanomaterial at that stage.

18 So, you know, either everything we've
19 seen has been nanomaterials or, you know, we really
20 haven't seen any of them. And so I think that
21 actually waiting to see to understand the field a
22 little bit more is probably a prudent thing to do,

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1 but, you know, we wanted to kind of bring the issue
2 in front of the committee and because it is
3 something that we have been criticized for to some
4 extent, but that we do not have an actual
5 definition.

6 So --

7 MR. UNIDENTIFIED SPEAKER: One of the
8 things that I do not intend to do is to wordsmith a
9 definition.

10 MS. UNIDENTIFIED SPEAKER: No, I didn't
11 expect us to actually come up with a --

12 MR. UNIDENTIFIED SPEAKER: But I would

13 like to get comment from the committee on elements
14 of that definition, what it might include and focus
15 on.

16 MR. UNIDENTIFIED SPEAKER: Cynthia.

17 DR. SELASSIE: I think it's dependent on
18 the size and that's what most people talk about. On
19 the mean when they talk about nanotechnology, but I
20 think it's also important that somehow it reflects
21 the fact that there's also complexity, as Dr.
22 Lebovitz mentioned, complexity in structure and

0286

1 composition.

2 DR. COONEY: Ken.

3 DR. MORRIS: Yeah, I think it's not
4 necessarily complex, you know, it can be quite
5 simple and the, what's deceptive about that is that
6 if you look at a simple issue like, you know, the,
7 how much drug is in your tablet, you know, how much
8 drug is in your tablet is fine, but now you've got
9 to say how much drug is in your tablet, how is it
10 dispersed, is it aggregated. I mean there's a lot
11 of, as Mel points out, an awful lot of challenge to
12 be had in terms of just determining what the
13 structure and characteristics are.

14 If you look at powder X-ray defraction
15 of nanoparticles for all the world, they look like
16 they are amorphus, you know, and nanocrystalline.
17 So, I think there's a lot of challenges there.

18 But I guess to me, you know, as you say
19 right now, the definition is, is, is necessarily a
20 little vague, but eventually as you start to look at
21 the distribution of nano with drug delivery
22 categories, even you might have to modify it to

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1 reflect whether or not there are specific elements
2 of the state of the system that are going to be
3 required to assess its performance.

4 DR. COONEY: Mel.

5 DR. KOCH: Yeah, I guess just to follow
6 up on what Ken is saying somewhat, I mentioned
7 earlier, I think you'll find that almost, well many
8 of the unit operations used today in formulation,
9 everything from crystallization to milling and other
10 things involve going through things that are done at
11 that scale and I think if the agency is being
12 questioned in terms of dealing with nano scale
13 science, it's been doing it for a long time and I
14 think it's just now into the characterization.

15 And very seldom in many of these things
16 are you going to have just nano scale, but you're
17 going to have macro down through nano and it's
18 really, is there a novel application that comes
19 because you're dealing in that range.

20 MR. UNIDENTIFIED SPEAKER: Perhaps it's
21 more than application, it's, it's the enabling
22 aspect of it, enabling application and perhaps

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1 enable risk. If we think about it in terms of
2 identifying where are the uncertainties and the
3 risks that are associated with, with the unique
4 properties.

5 MR. UNIDENTIFIED SPEAKER: I would like
6 to, I'm going to summarize, so if you want it in a
7 summary, tell me now.

8 MR. UNIDENTIFIED SPEAKER: Yeah, I can
9 do it in a summary or when I vote, I guess, if we're
10 voting.

11 MR. UNIDENTIFIED SPEAKER: No, we're not
12 going to vote.

13 MR. UNIDENTIFIED SPEAKER: Right, to the
14 extent that this encompasses the fact that nano has
15 a specific meaning with respect to dimensions as

16 well as that it ties it to the enabling aspects of
17 the, it has to be tied to the enabling aspects of
18 the size scale, I think it's fine as it is.

19 MR. UNIDENTIFIED SPEAKER: My
20 interpretation, this is a non-voting set of
21 questions; is that, is that correct?

22 MS. UNIDENTIFIED SPEAKER: Yes. Yes. A
0289

1 rhetorical question, maybe.

2 MR. UNIDENTIFIED SPEAKER: I would like
3 to, I would like to make several suggestions really
4 as a summary of what, what I have heard people speak
5 to.

6 As you think about, as you think about a
7 definition and you probably need functional
8 information in order to define the scope of the task
9 force, working groups and the like, one comment was
10 made that any definition should be cognizant of the
11 context, drugs versus devices, because that puts it
12 into frameworks that the agency is working with now.

13 Second, I would strongly suggest that
14 the definition focus on nanomaterials and not
15 nanotechnology, because it's materials that I
16 believe that the agency is going to be asked to

17 regulate as drugs or devices.

18 Third, whatever nomenclature is used
19 here may have some labeling implications at some
20 point that may be driven by sponsors who wish to use
21 nano something in their label, and so there are
22 implications in that regard.

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1 Fourth, it is about size, but it's about
2 what size represents in terms of properties and
3 materials and risks that are associated with it.

4 Next, that it's important to recognize
5 the complexity that results as a consequence of the
6 size and the materials, the compositions of these
7 materials.

8 And lastly, to recognize that there is a
9 process dependence of the properties, not unlike
10 everything else we've talked about in this committee
11 I think while I've been here, before I've been here
12 and probably after I will be gone.

13 DR. COONEY: Paul.

14 DR. FACKLER: I was just going to ask a
15 question, at what point do you differentiate between
16 a nanoparticle device and an excipient?

17 MR. UNIDENTIFIED SPEAKER:

18 100 nanometers.

19 (Laughter)

20 MR. UNIDENTIFIED SPEAKER: It is a, I
21 think, I think, I think I would add a point, another
22 point in these comments in that there is a continuum

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1 and recognizing that sharp boundaries may not be
2 constructive.

3 Lastly, in a definition like this, you
4 have, it's very precise, particles, 1 to 100, but
5 then there's a qualifier where unique phenomena
6 enable novel applications and if structures like
7 that are retained, then I could use nanomaterials
8 and argue that they did not enable any novel
9 applications, but I wanted to use them anyway.

10 So you may not want to have
11 qualifications in your definition and simplicity
12 could be quite useful.

13 MR. UNIDENTIFIED SPEAKER: I have to --

14 MR. UNIDENTIFIED SPEAKER: Briefly,
15 because we're going to go on to the next question.

16 MR. UNIDENTIFIED SPEAKER: Okay, I have
17 to disagree with that. I think you have to have the
18 enable novel applications in there, otherwise who

19 cares if it's nano or not. Make it, submit it and
20 we'll deal with it, as long as there are techniques
21 to characterize it.

22 Sorry, Charlie.

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1 MR. UNIDENTIFIED SPEAKER: I like
2 ambiguity.

3 All right, are there any other comments
4 on this? Is that at least helpful, I hope?

5 MS. UNIDENTIFIED SPEAKER: That is,
6 thank you.

7 MR. UNIDENTIFIED SPEAKER: I'd like to
8 go to the next question, should we request more
9 information from sponsors in areas of
10 characterization and safety of
11 nanomaterial-containing products and if so, what
12 type of information should be requested.

13 Art.

14 DR. KIBBE: I think that we have to
15 approach it like we do any new product and ask the
16 sponsor what are the claims that they are ascribing
17 to their product, how do they prove that those
18 claims work and how do we know their product is safe
19 for use.

20 And we've applied those rules to
21 everything everybody's ever made. Why are we afraid
22 of nano technology in any different way than

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1 anything else. When biotechnology-produced products
2 came along, we asked the same general questions, but
3 there were unique sets of answers because of the
4 nature of those products.

5 This is going to be asked the same
6 questions and if there are unique sets of answers,
7 then the technology and the development of it by the
8 sponsors will give us that.

9 So, I don't think we have to help the
10 agency come up with new questions. The questions
11 are clear and I think the sponsors have to come up
12 with the answers that are appropriate.

13 DR. SADRIEH: I'm glad you said that,
14 because this has been kind of our policy at this
15 point that, you know, we deal with products on a
16 case-by-case basis and really we ask the questions
17 that are relevant for the particular product that is
18 being looked at. And I think for these products,
19 the same rules should probably apply, but again, as
20 I said, this is another area where we get questioned

21 on that there might be some specific safety concerns
22 and, you know, these are very strange materials, you
0294

1 can't characterize them in normal ways, so you have
2 to have special rules for dealing with them and you
3 know we, we can't conceive of what these things are,
4 you know, what are you going to ask more than what
5 we actually do right now and I think that it's, it's
6 good for us to hear that others think the way we do.

7 MR. UNIDENTIFIED SPEAKER: If you ask
8 enough questions, you could guarantee never getting
9 the materials.

10 DR. COONEY: Ken.

11 DR. MORRIS: Yeah, I guess I don't see
12 how we can, we can at the same time, you know,
13 advertise that quality by design is what everybody
14 is striving for and then ask for this sort of
15 information.

16 DR. COONEY: Any other comments?

17 I would just offer one additional point,
18 that if, if you're going to identify nanomaterials,
19 you simply might ask the sponsors for their
20 definition and characterization to allow it to be
21 called a nanomaterial. But I, I certainly agree

22 very much with what Art said.

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1 Mel?

2 DR. KOCH: One last point, I'd also
3 recommend that the agency doesn't go all the way
4 from the other side and ask the question of each
5 product in terms of how much nanomaterial does your
6 formulation contain.

7 DR. SADRIEH: We haven't done that.

8 DR. COONEY: Okay. Let's go to the
9 third question.

10 Other than the steps being taken and
11 being planned, what more can we do at this time?

12 DR. SADRIEH: And the steps I mentioned
13 were really, you know, having these working groups
14 and public meetings, initiating research
15 collaborations and memorandum of understanding with
16 various sort of Government organizations, doing our
17 own research in-house. We just sort of wanted to
18 know if there are specific things that we can do to
19 increase our knowledge, awareness, expertise.

20 DR. COONEY: Art.

21 DR. KIBBE: In general --

22 DR. SADRIEH: With the resources that we

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1 have.

2 DR. KIBBE: In general, every new idea
3 has a, kind of an acceptance or non-acceptance
4 lifecycle and when, as soon as someone mentions it,
5 it's the hot thing and everybody thinks it can do
6 millions of things it can't do and then the things
7 that it can't do kind of disappoint us and then we
8 don't like the stuff anymore and then it finally
9 gets back to an even keel.

10 And I think one of the nice things that
11 the agency can do for the public is to keep them
12 from being bamboozled by people claiming
13 nanotechnology does things it doesn't do and can't
14 do and making claims for things that aren't
15 substantiated.

16 And I think I'm afraid that people will
17 bring out things that are -- just marginally have
18 any nanoparticles at all, do nothing unique and
19 claim all sorts of things for it and I don't know
20 how you can get involved in that, but I would like
21 to have that cut off short.

22 DR. COONEY: Any additional comments?

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1 I think the feeling is keep up the good
2 work with what you're doing right now.

3 DR. SADRIEH: That's what we wanted to
4 hear.

5 DR. COONEY: The last question.

6 Should we consider a subcommittee on
7 nanotechnology to help address some of our concerns?

8 Ken?

9 DR. MORRIS: Yeah, I, yeah, right, no,
10 I, I'm not so sure it's just not premature, you
11 know, until there are actual, actual issues. I
12 mean, Saul, you're always of course welcome to
13 contact people who have expertise in the area to
14 help advise, but I'm not, I don't see, I don't know
15 that I see the burning issue to do so now unless
16 there's topics that we're not aware of.

17 DR. SADRIEH: So this is something that
18 we can maybe wait and reassess next year or so.

19 DR. MORRIS: Yeah, it may be perfectly
20 appropriate, you know, but we have the manufacturing
21 subcommittee and we don't meet, we never seem to
22 meet, so I don't know until there's a real burning

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1 need.

2 DR. COONEY: Carol.

3 DR. GLOFF: Yeah, I would just take that
4 a step further than what Ken said and ask the
5 question back to the agents, is there a reason why
6 you would like a nanotechnology subcommittee, are
7 there concerns that we're not thinking of or are not
8 aware of that you're thinking a subcommittee would
9 be appropriate? I'm not thinking of any, but you
10 know a lot more about what you're facing than we do.

11 DR. SADRIEH: But some of it I think is
12 really to bring some additional expertise that we
13 may not have in-house, so if a committee actually is
14 made up of experts that we do not actually have
15 here, maybe we might be given some advice that we
16 would not have thought about ourselves. That's
17 really more an advisory board really type of
18 function.

19 DR. COONEY: Helen.

20 DR. WINKLE: That's what I was going to
21 say, the same thing Nakissa said, I think we thought
22 of it as a way to bring some experts together to

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1 begin to look at some of the issues and problems
2 that we may have and sort of be proactive in solving

3 these before we were, had to determine how we were
4 going to regulate them.

5 I think that was the main thing we were
6 thinking about, is having some expertise. We've
7 done this with several other topics like process
8 analytical technologies and we were thinking that
9 maybe something for nanotechnologies may be
10 appropriate.

11 DR. GLOFF: I guess, Charlie, I'll just
12 respond then to my question if that's what the
13 agency is looking for, I certainly am not opposed to
14 a subcommittee. I don't expect I'd end up on it,
15 but.

16 DR. MORRIS: Yeah, I don't have any
17 great opposition to it, it's just a little different
18 than process analytical technology. We had a clear
19 need that we had, you know, that everybody knew was
20 there.

21 I'm just saying is that if you, if you
22 start talking about bringing people into it with

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1 expertise in what we're calling nanotechnology,
2 which includes characterization, fabrication,
3 material science, mechanical engineering, you're

4 talking about an awfully broad category of expertise
5 to select from, so I don't even know how you put
6 that committee together until you say what are the
7 problems, you know. Otherwise you could go to
8 material science organizations.

9 DR. COONEY: I think I would weigh in on
10 this that once, once there are some very specific
11 issues, that the creation of a subcommittee, a
12 subcommittee has some permanency, even if it's
13 short-term to it, so identification of those issues.

14 But perhaps in a workshop that you might
15 organize to bring expertise together, without
16 creation of a subcommittee, necessarily, that might
17 be very helpful to do that. I'm delighted to
18 recommend that this be deferred to the next chair of
19 this committee.

20 DR. KOCH: Just maybe.

21 DR. COONEY: Any more brief comments?

22 DR. KOCH: Just a brief comment and

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1 that's I just advise against putting a group
2 together that was just related to one, say, Pharma
3 industry. I mean there's a lot happening broadly
4 that can be tapped. Some of it comes and there has

5 just been a niche conference which is a part of the
6 council for chemical research to address
7 nanotechnology on a number of industries it has an
8 impact on.

9 So to draw from that in putting a
10 subcommittee together eventually, that is drawing
11 from a lot of activity.

12 DR. COONEY: Recognizing the diversity,
13 thank you.

14 DR. SADRIEH: Thank you.

15 DR. COONEY: So, the conclusion is that
16 there's no need for a subcommittee on this specific
17 issue, but to encourage you to convene the necessary
18 expertise to identify the relevant issues in the
19 context of the agency's application.

20 DR. SADRIEH: Thank you.

21 DR. COONEY: I have some good news and
22 some bad news.

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1 The bad news is I'm not going to call a
2 break for the committee. The good news is I'm told
3 that the next topic is brief and given that this is
4 a Friday afternoon and we're pushing 4:00, I hope
5 that if any members of the committee need to slip

6 out, please feel free to do so and we'll welcome you
7 back.

8 DR. BUHSE: Okay, I'm actually, a whole
9 different topic now. I'm here back talking with you
10 guys again, I was here a couple times over the last
11 few years talking about topical dosage form
12 definitions.

13 You've heard from several people in the
14 agency and I included in your background packet some
15 of the information you heard about about some of the
16 ambiguities that were in our definitions causing
17 some confusion during review about whether something
18 should be an ointment or a cream or a cream or a
19 lotion or et cetera.

20 And so what I'm here today to do is to
21 talk about the implementation of our revised
22 definitions and not to talk about the definitions

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1 themselves, so.

2 We talked with you in 2003 at the
3 advisory committee and at that time you recommended
4 that we take some of the scientific work we had done
5 and some of our proposed definitions and publish
6 them for others to see. And so we did that in a

7 peer review journal publication which should be part
8 of your background packet. And that includes not
9 only our proposed definition, but also a decision
10 tree that one could go through to determine what to
11 cause your -- what to call your specific
12 formulation.

13 Since the publication of that journal
14 article, we also then went ahead and updated our
15 CDER standards manual which is what we use when
16 we're reviewing an application to determine what a
17 dosage form should be called.

18 And the two review divisions, ONDQA and
19 OGD, have also begun applying these new definitions
20 as appropriate when they get new, new drug and
21 abbreviated new drug applications and asking
22 sponsors to consider changing their, what they're

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1 calling their product if they deem it to be
2 inappropriate and also referring them to our journal
3 article as necessary and the decision tree that is
4 in there.

5 Obviously, it's easy to talk about going
6 forward with new definitions, but there's also, we
7 needed to come up with an implementation plan in

8 terms of the drugs that are currently on the market
9 and also in terms of even some new drugs that come
10 in that may be referencing older drugs that may or
11 may not be appropriately named according to our new
12 definitions.

13 So our implementation plan is
14 essentially talking with USP, which we have been
15 doing, because their definitions do not exactly
16 mirror now what is in the CDER standards manual, and
17 so they will be taking our definitions to their
18 dosage form committee I believe coming up in
19 December and talk about what they want to do within
20 the USP and the definitions there.

21 We also are recommending that all new
22 drug applications that are not referencing an

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1 existing product conform to our new definitions and
2 like I mentioned previously, we have been doing that
3 over the last few months and year or so.

4 And we also want to take a look at
5 perhaps just some innovator products that maybe
6 there are no current generics, so it's actually a
7 simpler case, there may just be one product on the
8 marketplace and consider asking them to change their

9 name, if appropriate, before we end up with multiple
10 generics on the market as well.

11 And we'd also like to eliminate, there
12 are a few products out there that have some unique
13 names that, that were not included as part of our
14 new definitions, things like topical emulsion or
15 emolient cream are out there and we want to
16 eliminate those terms from some products.

17 So that leaves for later consideration
18 products that have generics, so you, it would be
19 products where we may have, where the innovator
20 product may be properly labeled -- or improperly
21 labeled and the generics obviously have to mimic
22 their label, so we're looking at changing more than

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1 one product -- having to change more than one
2 product at once. We can't just ask the innovator to
3 change.

4 We also want to, obviously if there's a
5 new abbreviated drug application that's referencing
6 a product that may be misnamed, we need to consider
7 how to do that as well.

8 And then of course there's a whole host
9 of over-the-counter products that go on to the

10 marketplace without coming through the agency that
11 we need to consider what to do with.

12 And you may -- I'm going to tell you why
13 we're waiting to address all those products and the
14 main reason why is we want to assess how big the
15 problem is. In our scientific study that we did,
16 we, for prescription products, those are products
17 that would have an NDA or ANDA and some
18 over-the-counter, we've looked at over 30 in our lab
19 and we found about only one that we felt should have
20 been named something else.

21 So we don't think it's a big issue, but
22 we need to really take a look at the products we

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1 currently have approved and determine how many
2 products are we talking about changing the label of.
3 And the main reason we want to do that is we want to
4 make sure we don't have a disruption in the
5 marketplace where a clinician is used to prescribing
6 a specific product that's called something something
7 cream and suddenly we're going to be calling it an
8 ointment and we also want to address the legal
9 issues, especially on the products that have
10 multiple generics and some of the over-the-counter

11 products.

12 Obviously our future goal is to have all
13 products, prescription, over-the-counter, comply
14 with our new definition, so because our ultimate
15 goal was that when a clinician or a consumer used a
16 topical product, they would, they would be able to
17 in their mind anyway predict the properties and how
18 that product would work for them based on the name
19 on the label.

20 So when they are going there, getting an
21 ointment, we want them to have a product that
22 reflects what an ointment should be, i.e., with a

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1 cream and a lotion as well.

2 So we would like all the products that
3 are out there on the marketplace to have new, to
4 comply with our new definitions, but it's the
5 timeline for the existing products that's not yet
6 decided, but we are moving forward with new products
7 and we, once we assess how many products are
8 actually not complying with our new definitions,
9 then we'll determine what to do with them and what
10 the timeline will be with them.

11 And that is the update.

12 DR. COONEY: Cindy, thank you.

13 DR. BUHSE: Question?

14 DR. COONEY: Art?

15 DR. KIBBE: I'll apologize for the loss
16 of magma, but it's an old-fashioned term and I think
17 Cindy killed it, over my objection.

18 DR. BUHSE: Our favorite.

19 DR. KIBBE: Have we ever come to an
20 established viscosity cut-off for the transition
21 between --

22 DR. BUHSE: We ended up going away from

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1 viscosity and more to whether it --

2 DR. KIBBE: Pourability.

3 DR. BUHSE: Pour, conformed container,
4 those terms I believe are in the footnote of the
5 definition.

6 DR. COONEY: Mel, then Marc.

7 DR. KOCH: Yeah, just a quick
8 recommendation that we heard a little bit yesterday
9 of some of the definition in translation between the
10 ICH and other groups and I'd just advise that if we
11 pick certain terms that we make sure that they are
12 translatable into some of these other groups.

13 DR. COONEY: Important point. Marc?

14 Are there any other specific comments
15 for Cindy at this point? Gerry.

16 MR. MIGLIACCIO: Yeah, just a question,
17 Cindy.

18 When would, for the existing products,
19 when do you think we'll see a proposed rule on this?
20 What's the, what's your time frame?

21 DR. BUHSE: Well, currently we're
22 looking, we're seeing how big the problem is and

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1 then we're going to decide what to do, so I would
2 not look for anything in the near future I guess I
3 would say.

4 DR. COONEY: Okay, Marc.

5 DR. SWADENER: Yes, as you heard earlier
6 yesterday, I think, Helen announced that this is my
7 last meeting in my four-year term and I personally
8 want to thank everybody on the committee and the
9 past members that I've met, been able to meet. I am
10 very, very impressed and I thank you very much for
11 allowing me to be part of your lives over these four
12 years.

13 DR. COONEY: Helen.

14 DR. WINKLE: I want to thank Marc, too,
15 and since he's brought it up, I also would like to
16 just put in a little pitch for a new consumer rep.
17 We have had a difficult time finding a consumer rep
18 for this committee. We have looked at several
19 people and submitted applications for them to join
20 the committee and so far have been unsuccessful in
21 finding anyone.

22 So, if there's any suggestions either

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1 from the committee or even from the audience as to
2 possible candidates to fill Marc's shoes or sort of
3 fill Marc's shoes, that's not possible, I would
4 really appreciate that.

5 MR. UNIDENTIFIED SPEAKER: Does a
6 consumer rep, by definition, have to be somebody who
7 isn't a consumer?

8 DR. COONEY: Helen?

9 DR. WINKLE: All right, I would like to
10 wrap up and just thank the committee I think for a
11 very excellent discussions over the last two days.

12 I think yesterday's discussion was
13 especially good, both on ICH and quality by design.
14 I think the presentations that we made on quality by

15 design make it very obvious to the committee that I
16 think we're making a lot of progress in OPS and I
17 appreciate the committee's recommendations to us on
18 how to continue to make progress and how to move
19 ahead in our future endeavor.

20 So I thought that was an excellent
21 conversation and I know all three of my office
22 directors will take back to their offices some of

0312

1 the recommendations that were made and incorporate
2 those into their future thinking.

3 The discussion on bioequivalence today,
4 although long, I think was very helpful to us. I
5 don't know if the walls of Jericho have come down
6 yet, but I guess we took another hit at them and
7 maybe we can sort of reach some conclusions after
8 today's discussions so we can bring them all the way
9 down.

10 I think you helped re-affirm some of the
11 thoughts that we already had. I think we do still
12 need to have a better scientific data -- more
13 scientific data to make some decisions on what the
14 minimum number would be for doing the studies, but I
15 think today's discussion will be very beneficial to

16 us in helping us make those final decisions.

17 I enjoyed Steve's introduction to the
18 thinking on risk assessment. I think as we move
19 forward with quality by design and some of the other
20 concepts around the new thinking that we have for
21 the 21st Century and how we are going to regulate
22 products, I think risk assessment does play a large

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1 part and even though we had a question that was
2 focused on whether we should continue to look at
3 risk assessment based on our resources, I actually
4 don't think we have a choice.

5 I think we have to figure out the
6 resources to look at risk assessment because I think
7 we can't move forward without that in our future
8 thinking and our future regulatory decision-making
9 processes.

10 I was sorry to have to postpone critical
11 path, but I think all of us will be glad to go home
12 now instead of an hour and a half from now, but we
13 are all looking forward, Nakissa and myself and
14 Shirley Murphy in presenting what the agency is
15 doing on critical path and how that's affecting OPS
16 and what we're doing, so I look forward to that

17 discussion in the future.

18 Lastly, I just want to say thank you to
19 Art and Marv for joining us. I don't know how we've
20 had committee meetings without you in the past since
21 you've left. I mean your comments are very helpful
22 to us in our thinking and I appreciate you being

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1 here.

2 I want to give my best wishes to Cynthia
3 and Meryl and Marc and Charlie for the, and to tell
4 them how much we've appreciated having them on the
5 committee and like I said, you never really go away,
6 you could be back at any moment to help us with some
7 of the issues.

8 Lastly, though, I especially want to
9 thank you, Dr. Cooney, for all the work that he's
10 done as the chair of this committee. I remember it
11 seems just like yesterday we talked on the phone,
12 met about the various things we wanted to do. I
13 think we've made progress. Maybe not as much
14 progress as we talked about two years ago, but
15 definitely progress and I don't think that would
16 have been capable without his help, so I really want
17 to congratulate Charlie on that. (Applause). And I

18 will announce that the new chair will be Ken Morris
19 and we look forward to working with him closely in
20 the next few years, that's if they'll let him sit at
21 the table, so.

22 Anyway, thanks again for the -- last two
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1 days and we look forward to seeing you again in
2 about six months, thank you.

3 DR. COONEY: Thank you, Helen, thank you
4 to all the committee members and safe journey home.
5 It's been a pleasure to have had the chance to work
6 with you all. Thank you.

7 (Meeting adjourned 4:10 p.m.)

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