

Centers for Medicare and Medicaid Services
 Enforcement Actions Against Medicare Advantage Organizations and Prescription Drug Sponsors
 January 2006-October 2008

| Organization Name | Contract Number (H=MA-PD; S=PDP) | Date Action Taken | Basis for Action | Action Taken | Status |
|---------------------------------|---|-------------------|---|--|---------------------------------|
| MD Medicare Choice | H5729 | 09/30/08 | Insolvency | Immediate Termination | Termination |
| Health Net | PDP (S5678) | 01/08/08 | Several Contract Violations | Suspension of enrollment and marketing | Sanction Lifted March 2008 |
| SDM HealthCare | MA-PD (H4009) | 12/07/07 | Several Contract Violations | Suspension of enrollment and marketing | Sanction lifted September 2008 |
| Chesapeake | PFFS (H7845) | 10/07/07 | Marketing Violations | Suspension of enrollment and marketing | Sanction Lifted Nov 2007 |
| Coventry | PFFS (H0846) | 09/07/07 | Marketing Violations | \$264,000 CMP | Resolved - Settlement \$190,000 |
| Humana | MA-PD (H1804), RPPO (R5826), PDP (S588)4 | 09/07/07 | Marketing Violations | \$75,000 CMP | Resolved Paid in full |
| SunCoast | MA-PD (H5942) | 08/07/07 | Insolvency | Immediate Termination | Termination |
| AHC | MA PD (H1034) | 07/07/07 | Multiple Contract Violations | Immediate Termination | Termination |
| AHC | MA PD (H1034) | 04/01/07 | Multiple Contract Violations | Proposed Non-renewal | Termination |
| Torchmark-First United American | PDP (S5580) | 03/07/07 | Failure to Issue ANOCs in a Timely Manner | \$15,000 CMP | Resolved Paid in full |
| Florida Health | MA-PD (H1035) | 03/07/07 | Failure to Issue ANOCs in a Timely Manner | \$10,000 CMP | Resolved Paid in full |
| Freedom Health | MA-PD (H5427) | 03/07/07 | Failure to Issue ANOCs in a Timely Manner | \$5,000 CMP | Resolved Paid in full |
| Vista Health | MA-PD (H1076 H5850) | 03/07/07 | Failure to Issue ANOCs in a Timely Manner | \$11,050 CMP | Resolved Paid in full |
| HealthNet | PDP (S5678) | 03/07/07 | Failure to Issue ANOCs in a Timely Manner | \$10,000 CMP | Resolved Paid in full |
| HealthNet | MA-PD (H0755) | 03/07/07 | Failure to Issue ANOCs in a Timely Manner | \$15,000 CMP | Resolved Paid in full |
| SunCoast | MA-PD (H5942) | 03/07/07 | Failure to Issue ANOCs in a Timely Manner | \$2,100 CMP | Resolved Paid in full |
| Wellpoint | PDP (S5596 S5726) | 03/07/07 | Failure to Issue ANOCs in a Timely Manner | \$20,000 CMP | Resolved Paid in full |

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| United HealthCare | PDP (S5805 S5820 S5921) | 03/07/07 | Failure to Issue ANOCs in a Timely Manner | \$75,000 CMP | Resolved Paid in full |
| United HealthCare | MA-PD (H0151, H0316, H0319, H0401, H0620, H0624, H0710, H1080, H1108, H1111, H1303, H1509, H1717, H2001, H2003, H2111, H2228, H2406, H2408, H2624, H2802, H2803, H3209, H3379, H3456, H3659, H3812, H3912, H3921, H4102, H4406, H4456, H4522, H4604, H5008, H5253, H5417, H5440, H5500, H5507, H5516, H5527, H5532, H9011) RPPD (R3175, R5287) | 03/07/07 | Failure to Issue ANOCs in a Timely Manner | \$130,000 CMP | Resolved Paid in full |
| Humana | MA-PD (H0307, H1036, H1406, H1407, H1804, H2649, H4510), RPPD R5826 | 03/07/07 | Failure to Issue ANOCs in a Timely Manner | \$120,000 CMP | Resolved Paid in full |
| Elder Health | PDP (S1566 S5822) | 03/07/07 | Failure to Issue ANOCs in a Timely Manner | \$4,000 CMP | Resolved Paid in full |
| Elder Health | MA-PD (H2108 H3949 H4528) | 03/07/07 | Failure to Issue ANOCs in a Timely Manner | \$15,000 CMP | Resolved Paid in full |
| Universal | MA-PD (H5820) | 02/07/07 | Financial Solvency Concerns | Suspension of enrollment and marketing | Sanction Lifted Feb 2008 |
| Doctor Care, Inc. | MA-PD (H5411) | 12/06/06 | Financial Solvency Concerns | Immediate Termination | Termination |
| Doctor Care, Inc. | MA-PD (H5411) | 10/06/06 | Financial Solvency Concerns | Suspension of enrollment and marketing | Termination |