



*United States of America  
Department of State*

**2001-2002  
Hubert H. Humphrey  
Doctoral Fellowships  
in Arms Control, Nonproliferation and  
Disarmament**

*Doctoral and law fellowships to support studies in arms control,  
nonproliferation and disarmament*

## **Hubert H. Humphrey Doctoral Fellowships in Arms Control, Nonproliferation and Disarmament**

The Department of State sponsors the Hubert H. Humphrey Fellowships in Arms Control, Nonproliferation and Disarmament designed to encourage specialized training and research in the arms control field. Formerly sponsored by the U.S. Arms Control and Disarmament Agency (ACDA), twenty-two rounds of competition have been held since the program's inception in 1979, and a total of 93 awards have been issued. A twenty-third round, in which up to two fellows will be selected, will be conducted in 2001. Named in honor of the late Senator Humphrey, who fostered ACDA and its goals throughout his career in the U.S. Senate, the fellowships are intended for the support of advanced graduate students who have completed all of their doctoral requirements, except for the dissertation. This program is part of the Department's legislatively mandated responsibilities for the "conduct, support, and coordination of research for arms control, nonproliferation, and disarmament policy formulation."

Students across the range of academic disciplines, including, but not limited to, political science, economics, law, sociology, psychology, physics, chemistry, biology, public policy, philosophy, international relations, engineering, and operations research, may apply. Proposals should reflect research designed to contribute to a better understanding of current and future arms control, nonproliferation and disarmament issues. Although special attention will be paid to research with direct policy or technical implications, innovative theoretical or empirical efforts will also be considered. Historical, quantitative, and policy analyses are all appropriate for this program. The relevance of the research to arms control, nonproliferation, or disarmament issues and policies is an essential criterion for evaluation.

### **Eligibility**

The program is open only to applicants who are citizens or nationals of the United States at the time of application and who, by the date the fellowship begins, will have completed academic requirements for the doctorate, except dissertation, at a U.S. college or university, and whose dissertation proposals have been approved in accordance with university procedures. J.D. candidates preparing to enter their third or final year of law school are eligible if the proposed research project would represent a substantial amount of credit toward third-year requirements and would result in a paper that would, for example, be appropriate for publication in a law journal.

### **Application**

Each applicant must submit, by March 31, 2001, the following:

- A signed and completed application,
- A dissertation or J.D. research proposal (not exceeding five double-spaced, typewritten pages in length) describing the topic, the research design, the methodology to be employed in the research, and the relevance of the research to arms control, nonproliferation, and disarmament issues and policies,
- A concise bibliography of works related to the research topic,
- Official transcripts of all graduate school course work,
- A signed statement by the applicant's research/dissertation adviser or dissertation committee, as appropriate, that the proposal has been approved in accordance with university or departmental procedures.

<i>Important dates</i> <i>The following schedule applies to the twenty-third competition. Awards are for the 12 month period beginning September 1, 2001 or January 1, 2002.</i>
<i>Application deadline</i> <i>March 31, 2001</i>
<i>Announcement of selections</i> <i>June 30, 2001</i>

• Evaluation forms from three academic references who must be familiar with both the applicant's abilities and the research proposal, one of whom should be the dissertation/research

adviser. These forms must be sent by the academic references directly to the Hubert H. Humphrey Fellowship Program office.

Applicants must ensure that all application materials are postmarked by the March 31, 2001 deadline in order to receive consideration for an award

### **Evaluation and Selection.**

Fellowships will be awarded on the basis of merit: proposals will be evaluated according to the clarity of research goals, soundness of research design, originality, and relevance. Further factors that will be considered in the selection are the overall feasibility of the project, graduate course grades, and the recommendations of the three references.

A committee of Department officials from the Bureaus of Arms Control, Nonproliferation, Political-Military Affairs, and Verification & Compliance will evaluate applicants with the assistance of academic and private sector consultants. The committee will make the final selections in merit order, although in the case of applications deemed to be of essentially equal merit, such additional factors as institutional, geographical, and disciplinary distribution will be considered. Selections will be made without regard to race, color, national origin, religion, sex, age, or physical handicap. Employees of State Department or their relatives are not eligible for this program.

### **Terms of Fellowships**

The fellowships awarded for doctoral dissertation research will be for a 12-month period starting in September 2001 or January 2002. Each fellow will receive a stipend of \$8,000. In addition, State will pay any applicable tuition and fees for 1 year, up to a maximum of \$6,000, to the institution in which the fellow is enrolled. For J.D. candidates, who do not devote a full year to research, the fellowship stipend of \$8,000 and the tuition grant of up to \$6,000 will be prorated by dividing the number of credits assigned to the writing of the J.D. paper by the total number of credits required to be taken during the final year by the fellow's law school; in no case, however, shall the stipend be smaller than \$1,600, nor shall the tuition be less than 20% of the tuition charged by the law school up to \$6,000. No dependency allowances will be paid. No applicant will be eligible for more than one Hubert H. Humphrey Fellowship although, in exceptional cases, extensions for doctoral candidates of up to 3 additional months may be granted (prorated at \$670 per month).

Each fellow will be required to submit to DOS/VC quarterly progress reports, approved by the research/dissertation adviser, beginning three months after commencement of the fellowship. Fellows must also submit a copy of the final dissertation or research paper when completed and approved by the academic institution, together with a five to ten page summary of the principal findings. The thesis and summary may be circulated to interested readers inside and outside the government.

Fellows will not have access to classified information nor may they conduct research on State premises. Consequently, they will not be processed by State for a security clearance, nor will any existing security clearance they may hold be accepted as a basis for allowing access to classified information in connection with this program. Receipt of a fellowship does not constitute employment by the Department of State, nor does it entitle fellows to any rights or privileges as employees of DOS or the United States Government.

### **Areas of Research Interest**

In general, students across the spectrum of graduate disciplines are encouraged to apply for the fellowship program. Their topics may have immediate policy or technical relevance, or they may examine an issue or problem in a historical or empirical perspective. Studies of contemporary politics, technical monographs, or methodological contributions would also be acceptable, if they meet the standards of excellence and

relevance. To guide potential applicants in judging the relevance of proposed topics, the following are the main areas of interest:

- nuclear nonproliferation
- strategic arms control
- multilateral and regional arms control
- weapons development and acquisition
- missile nonproliferation
- conventional arms sales and technology transfers
- nuclear testing policy
- biological and chemical weapons issues
- treaty verification and compliance
- fissile material cutoff
- economics of arms production and sales
- the public and arms control
- conventional force reductions and demining
- security assistance
- contingency planning and operations
- critical infrastructure protection

*Please direct all correspondence for this program to:*

*Hubert H. Humphrey Doctoral Fellowship Program*

*U. S. Department of State  
Bureau of Verification & Compliance*

*2201 C Street NW, Room 4930  
Washington, DC 20520*

*For information call: 202-647-4153*

Among the proposals that have recently received fellowship support are:

*Chemical Weapons Convention Verifiability: Lessons from Iraqi and North Korean Non-Compliance with UNSCOM Chemical Weapons Verification Procedures.*

*Optimizing Neutron Detector Configuration for Wide Area Tracking System Application in the Presence of Natural Neutron Background.*

*The Paradox of Confidence- and Security-Building Measures: Is Peace a Prerequisite for Peace.*



**APPLICATION FORM**

**HUBERT H. HUMPHREY DOCTORAL FELLOWSHIP PROGRAM 2001 - 2002**  
United States Department of State, Bureau of Verification & Compliance  
2201 C Street NW, Room 4930  
Washington, DC 20520

Name (*last, first, middle*):

Social Security Number: - -

Place of birth (*city, state, country*):

Date of birth:

Current mailing address:

Permanent mailing address:

telephone number:

telephone number:

Are you a citizen or national of the United States?

Title of dissertation:

Major field of study:

Institution from which you will receive the doctorate degree:

Colleges or universities attended:  
(including present institution)

Dates attended

Degree

Date awarded

Publications (include title, copyright date and publisher; use additional sheets if necessary):

Anticipated foreign travel or contact with foreign nationals (use additional sheets if necessary):

Purpose:

Check the funding start date desired:

September 1, 2001

January 1, 2002

Will you be employed during the fellowship period?

If so, in what capacity?

How many hours per week?

List any other awards, fellowships, or grants you expect to receive during the fellowship period.

Name of dissertation advisor:

List the three references (including your dissertation adviser) who will submit recommendation forms on your behalf (*these individuals should be familiar with both your general abilities and the dissertation proposal*). Their completed forms should be sent directly to: Hubert H. Humphrey Fellowship Program, U.S. Department of State, Bureau of Verification & Compliance, 2201 C Street NW, Room 4930, Washington, DC 20520.

Name

Address and Telephone Number

1.

2.

3.

If you are a J.D. candidate, how many credits will you receive for writing your research paper?

How many credits does the law school require students to take in the third year?  
(see the Fellowship brochure for information on the credit requirements for J.D. applicants)

Under the authority of Title 22 U.S. Code, Section 2571, and Title 5 U.S. Code, Section 301, personal information is requested in order to evaluate your application for a fellowship. This information will become part of the Bureau's system of records on individuals participating in the fellowship program. The information provided will not be divulged without your written authorization to anyone other than for routine uses as listed in the *Federal Register*.

Providing the information is voluntary. However, failure to complete the application form could result in not being awarded a fellowship.

I understand that false statements may result in my disqualification.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date



5. Do the candidate's grades adequately reflect his/her ability to carry out research?

6. Of all students you have taught, in what quarters (with 1 as the highest) would he/she be placed for each of the following attributes?

a. Research ability	1	2	3	4	quartile
---------------------	---	---	---	---	----------

b. Analytical ability	1	2	3	4	quartile
-----------------------	---	---	---	---	----------

c. Writing ability	1	2	3	4	quartile
--------------------	---	---	---	---	----------

d. Perseverance	1	2	3	4	quartile
-----------------	---	---	---	---	----------

e. Originality	1	2	3	4	quartile
----------------	---	---	---	---	----------

7. Do you know of any outside commitments (course requirements, teaching, and other work) that might seriously interfere with the candidate's ability to complete the dissertation within 1 year?

8. Additional comments (additional pages may be used if necessary):

---

*Signature of Referee*

---

*Name (printed)*

---

*Department*

---

*University*