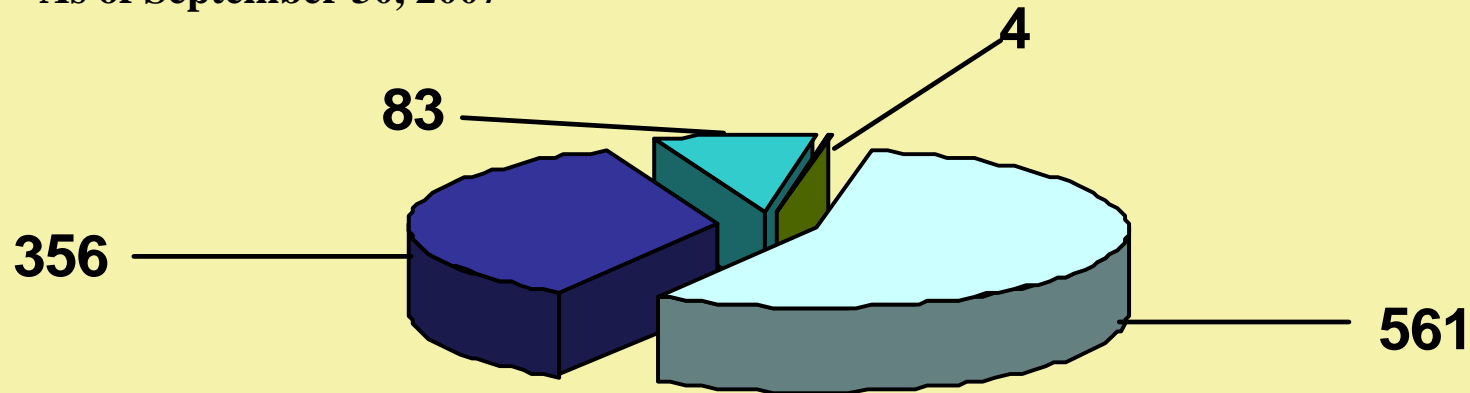


CMS Enforcement Statistics Report
Open and Closed cases by type
As of September 30, 2007

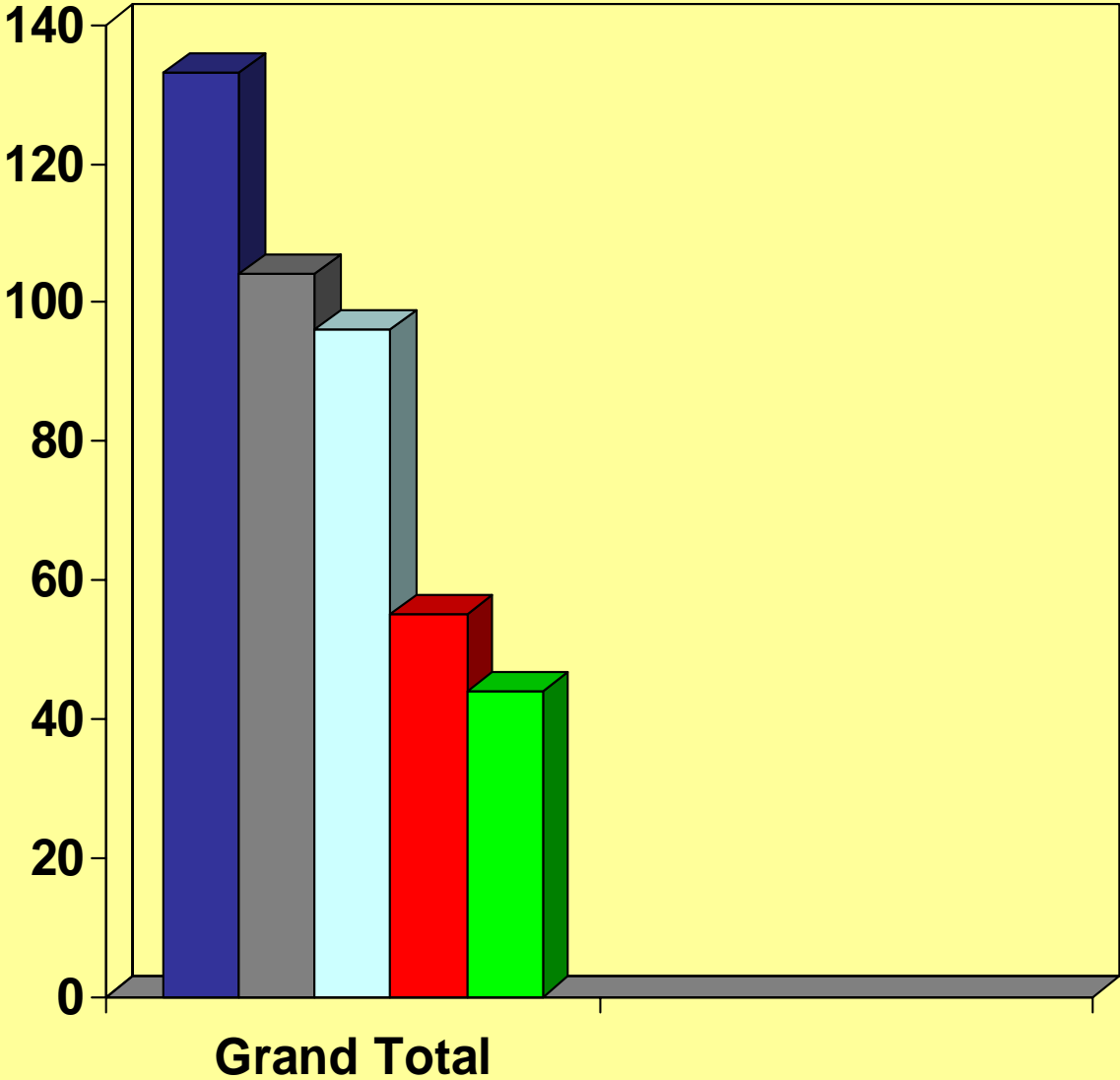


Complaint Type	Total	Open	Closed
Transactions and Code Sets (TCS)	561	86	475
Security	356	133	223
National Provider Identifier (NPI)	4	0	4
Other- Includes invalid and test cases	83	5	78
Total	1004	224	780

Open – Outstanding issues remain. Entity may be under a corrective action plan or additional information from either the complainant, the filed against entity, or both is being sought.

Closed – No further action required. All issues have been sufficiently resolved. Please note that 39 of the 223 security cases have been closed via corrective actions.

Summary of Most Common Security Complaints



- 164.308(a)(4)(i) Information Access Management
- 164.308(a)(5)(i) Security Awareness and Training
- 164.312(a)(1) Access Control
- 164.310 (b) Workstation Use
- 164.310 (d)(1) Device and Media Controls