Medicare Health Outcomes Survey Limited Data Sets File Specifications

INTRODUCTION TO MEDICARE HOS

The Centers for Medicare & Medicaid Services (CMS) is committed to monitoring the quality of care provided by Medicare Advantage (MA) Plans. To better evaluate this care, CMS, in collaboration with the National Committee for Quality Assurance (NCQA), launched the first Medicare managed care outcomes measure in the Health Plan Employer Data and Information Set (HEDIS^{®1}) in 1998. The measure includes the most recent advances in summarizing physical and mental health outcomes results and appropriate risk adjustment techniques. This measure was initially titled Health of Seniors, and was renamed the Medicare Health Outcomes Survey (HOS) during the first year of implementation. This name change was intended to reflect the inclusion of people with Medicare who are disabled and under age 65 in the sampling methodology.

The goal of the Medicare HOS program is to gather valid and reliable health status data in Medicare managed care for use in quality improvement activities, plan accountability, public reporting, and improving health. HOS results continue to be an important part of CMS' quality improvement activities, as current law authorizes Quality Improvement Organizations to ensure that medical care paid for under the Medicare program meets professionally recognized standards of health care. Section 722 of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003 mandates the collection, analysis, and reporting of health outcomes information. This legislation also specifies that data collected on quality, outcomes, and beneficiary satisfaction to facilitate consumer choice and program administration must utilize the types of data collected prior to November 1, 2003. Collected since 1998, the Medicare HOS is the only outcomes measure in Medicare managed care and therefore remains a critical part of assessing health plan quality.

DEVELOPMENT OF THE MEDICARE HOS

In the mid-1990s, Medicare beneficiaries were joining health maintenance organizations (HMOs) and other types of managed care organizations (MCOs) in increasing numbers. It became apparent to CMS that the Agency needed performance reporting requirements for Medicare managed care. CMS worked with NCQA to incorporate the Medicare population into NCQA's HEDIS performance measurement set. HEDIS was rapidly becoming a standard reporting requirement of purchasers in the commercial insurance market.

The integration of the Medicare population into HEDIS was achieved with the release of HEDIS 3.0. CMS, NCQA, and others felt there was a need to develop additional measures for the Medicare population including an "outcomes" measure for HEDIS.

¹ HEDIS[®] is a registered trademark of the Nation Committee for Quality Assurance

Traditionally, HEDIS contained "process" measures that assessed interventions such as mammograms for older women and retinal eye exams for people with diabetes. While evidence in the scientific literature tied the measured processes or interventions to favorable patient outcomes, there was a desire to develop an outcomes measure that captured performance across multiple aspects of care.

CMS, NCQA, Health Assessment Lab, and performance measurement experts worked together to develop a measure that would assess the physical functioning and mental well being of Medicare beneficiaries over time. It was decided that this measure should include health status questions, as well as additional items to allow for case mix adjustment, which is essential for meaningful and valid plan-to-plan comparisons of health outcomes. The HOS measure was approved for inclusion in HEDIS by the Committee on Performance Measurement, the NCQA panel that oversees the development and evolution of HEDIS.

PARTICIPATION IN HOS

All managed care plans with Medicare Advantage (MA) contracts must participate in the HOS. One thousand Medicare beneficiaries, who were continuously enrolled for a six month period, are randomly sampled from each plan and surveyed every spring (i.e., a survey is administered to a different baseline cohort, or group, each year). Two years later, these same respondents are surveyed again (i.e., follow up measurement). The following cohorts have been completed: *Cohort I* (1998-2000), *II* (1999-2001), *III* (2000-2002), *IV* (2001-2003), *V* (2002-2004), and *VI* (2003-2005).

MEDICARE HOS LIMITED DATA SETS

HOS Limited Data Sets (LDS) are comprised of the entire national sample for a given cohort (including both respondents and nonrespondents), and contain all of the HOS survey items. The HOS LDS files include plan identifiers as well as several additional fields describing plan characteristics. They also contain protected beneficiary-level health information such as date of birth; however, specific direct person identifiers (i.e., name and health insurance claim number) are not included in the LDS files. The files are SAS^{®2} data files and are generated using SAS Version 9.1.3.

A signed Data Use Agreement with CMS is required to obtain an LDS data file. The Research Data Assistance Center (ResDAC) at the University of Minnesota is a CMS contractor that provides assistance to academic, government and non-profit researchers interested in using Medicare and/or Medicaid data. ResDAC is available to assist in the completion and/or review of data requisition forms for Medicare HOS research data files prior to their submission to CMS. For additional information and assistance with obtaining Medicare HOS LDS files, please visit the ResDAC Medicare HOS Web page (http://www.resdac.umn.edu/OtherDataSets/HOS.asp). ResDAC may also be contacted by calling 1-888-9RESDAC (1-888-973-7322) or by e-mailing resdac@umn.edu.

² SAS[®] is a registered trademark of the SAS Institute Inc., Cary, NC.

LIMITED DATA SET FILE SPECIFICATIONS

The following table describes the file layout by field position for the LDS files. Each cohort file contains the baseline and follow up survey items, calculated fields, as well as death information and reporting unit information. There are a total of 474 fields in the *Cohort I Merged Baseline* and *Follow Up* (1998-2000) Limited Data Set, 464 fields in the *Cohort II Merged Baseline* and *Follow Up* (1999-2001) Limited Data Set, 461 fields in the *Cohort III Merged Baseline* and *Follow Up* (2000-2002) Limited Data Set, 448 fields in the *Cohort IV Merged Baseline* and *Follow Up* (2001-2003) Limited Data Set, and 437 fields in the *Cohort V Merged Baseline* and *Follow Up* (2002-2004) Limited Data Set. The *Cohort VI Merged Baseline* and *Follow Up* (2003-2005) Limited Data Set will be available Fall, 2006.

The table provides the field name/description, type, length, additional information (including valid values), and indication of field inclusion or exclusion for each cohort file. In each cohort field column the question number indicates what the survey question number was for that year. For example, in LDS file C1R1 the field CXEDUC (page 38) was Q52 in the 1999 HEDIS Manual, but RXEDUC (page 91, also see table on following page) was Q51 in the 2001 HEDIS Manual. The text and skip patterns in this document are from the most current HEDIS Manual in which the question appears. The exact text of each question for each cohort survey can be obtained by referring to the specific HEDIS Manual used for that year of data collection. Check marks in the cohort field column are used to indicate nonsurvey items, such as administrative and analytic fields.

There are three categories of fields in the LDS files: baseline, follow up, and performance measurement. All baseline fields are derived from the baseline survey and have a "C" prefix, all follow up fields are derived from the follow up survey and have an "R" prefix, and all performance measurement fields are calculated or retrieved from other data sources and have a "P" prefix. The performance measurement fields provide characteristics of the beneficiary and the beneficiary's health plan at the time of performance measurement reporting, which occurs approximately one year after follow up data collection. In the field names the "X" following the prefix represents the cohort identifier (1, 2, 3, 4, 5) for the field name. For example, the field CXEDUC is labeled C3EDUC for Cohort III, C4EDUC for Cohort IV and C5EDUC for Cohort V. Please refer to the diagram on the following page for additional information.

DESCRIPTION OF FILE SPECIFICATIONS TABLE

 \checkmark =Included nonsurvey item

| | | | | | | | | <u> </u> | |
|---|---|---------------|-----------------|--|--------------------------------|---|--------------------------------|--------------------------------|---------------------------------|
| C=Baseline | FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields in C2R2 [†] | FIELDS IN C3R3 [‡] | FIELDS IN C4R4 [§] | FIELDS IN C5R5 |
| X=Cohort identifier 1, 2, 3, 4, or 5 | CXHTHID NCQA Healthcare Organization ID at Baseline | Char | 7 | Organization ID supplied by NCQA at the time of baseline sampling | N/A | N/A | 1 | 1 | $\langle \checkmark$ |
| R=Follow Up | RXQSMKAD Follow Up Survey: Smoking Advice Question | Num | 3 | Beneficiary's response from the follow up survey: In the last 6 months, on how many visits were you <u>advised to quit</u> smoking by a doctor or other health provider in your plan? 1 = None 2 = 1 visit 3 = 2 to 4 visits 4 = 5 to 9 visits 5 = 10 or more visits | | Q45 Q45 in Cohort Not included fo | | N/A nd 5 | N/A |
| | RXURNLKG Follow Up Survey: Urine Leakage Question | Num | 3 | 6 = I had no visits in the last 6 months Beneficiary's response from the follow up survey: Many people experience problems with urinary incontinence, the leakage of urine. In the last 6 months , have you accidentally leaked urine? 1 = Yes (Go to Q45) 2 = No (Go to Q48) | ~ | N/A ohorts 4 and 5 ided for Cohor | | Q44 | Q44 |
| P=Performance | PXPLSTDT Plan Contract Start Date at the Time of Performance Measurement Reporting | Num | 8 | Plan contract start date as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the follow up survey administration MMDDYY10. format | V | J | V | V | 1 |
| | CXEDUC Baseline Survey: Education Question | Num | 3 | Beneficiary's response from the baseline survey: What is the highest grade or level of school that you have completed? 1 = 8 th grade or less 2 = Some high school, but did not graduate 3 = High school graduate or GED 4 = Some college or 2 year degree 5 = 4 year college graduate 6 = More than a 4 year college degree | Q52 | Q51 | Q51 | Q51 | Q51 |
| | RXEDUC Follow Up Survey: Education Question | Num | 3 | Beneficiary's response from the follow up survey: What is the highest grade or level of school that you have completed? 1 = 8 th grade or less 2 = Some high school, but did not graduate 3 = High school graduate or GED 4 = Some college or 2 year degree 5 = 4 year college graduate 6 = More than a 4 year college degree | Q51 | Q51 | Q51 | Q53 | Q53 |

Limited Data Sets File Specifications

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | IN . | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|--------------|-----------------------------------|-----------------------------------|------------------------------------|
| CXPATID Anonymous Patient ID at Baseline | Num | 8 | Unique number assigned to each beneficiary in the baseline sample | ~ | \checkmark | 1 | 1 | \checkmark |
| CXPLAN Plan Identification Number at Baseline | Num | 8 | Anonymous plan identification number assigned to each plan at the time of baseline sampling | ~ | ~ | 1 | 1 | ~ |
| CXRECID Record Identifier at Baseline | Char | 1 | Baseline record identifier | ~ | 1 | 1 | 1 | 1 |
| CXRPTYR Baseline Reporting Year | Num | 8 | Reporting year for the baseline survey | 1 | \checkmark | 1 | 1 | ~ |
| CXCNTRNM Plan Contract Number at Baseline | Char | 5 | Unique contract number at the time of baseline sampling | 1 | ~ | 1 | 1 | 1 |
| CXPLANNM Plan Name at Baseline | Char | 50 | Plan name at the time of baseline sampling | 1 | 1 | 1 | 1 | 1 |
| CXLNBUSS Line of Business at Baseline | Num | 3 | Line of business at the time of baseline sampling 1 = Health Maintenance Organizations (HMO) 2 = Point Of Service plans (POS) 3 = Preferred Provider Organizations (PPO) | 1 | 1 | 1 | V | |
| CXMODEL Model Type at Baseline | Num | 3 | Model type at the time of baseline sampling 1 = Group Practice Model (Group) 2 = Individual Practice Association (IPA) 3 = Mixed 4 = Network 5 = Staff Model (Staff) 6 = Other | ~ | | ~ | V | |

* C1R1 = Cohort I Merged Baseline and Follow Up Limited Data Set † C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set ‡ C3R3 = Cohort III Merged Baseline and Follow Up Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|----------|
| <i>CXMARKET</i> Plan Market Area Code/Indicator at Baseline | Char | 2 | CMS market area code/indicator at the time of baseline sampling | ~ | \checkmark | 1 | 1 | ~ |
| CXMANAME Plan Market Area Name at Baseline | Char | 30 | CMS market area name at the time of baseline sampling | 1 | ~ | 1 | 1 | 1 |
| CXVENDOR Baseline Survey: Survey Vendor | Num | 3 | Baseline Survey Vendor: 1 = DSS 2 = GHS 3 = Solucient (formerly HCIA) 4 = Synovate (formerly Market Facts) 5 = NRC or DataStat, Inc.* 6 = Roper Starch (formerly Response Analysis)** | - | 1 | 1 | 1 | 1 |
| | | | * NRC for <i>Cohorts I, II, and III Baseline</i> surveys, DataStat, Inc. for <i>Cohort V Baseline</i> survey ** Roper Starch for <i>Cohorts I, II, and III</i> <i>Baseline surveys</i> | | | | | |
| CXDFTYPE Data File Type (Cohort III Baseline Only) | Char | 2 | Data file type 1F = Cohort I Follow Up 3B = Cohort III Baseline | N/A | N/A | 1 | N/A | N/A |
| <i>CXHTHID</i> NCQA Healthcare Organization ID at Baseline | Char | 7 | Organization ID supplied by NCQA at the time of baseline sampling | N/A | N/A | 1 | 1 | ~ |
| CXSUBID NCQA Submission ID at Baseline | Char | 6 | Submission ID supplied by NCQA at the time of baseline sampling | N/A | \checkmark | 1 | 1 | 1 |
| CXSPECID NCQA Special Area ID at Baseline | Char | 10 | Special area ID supplied by NCQA at the time of baseline sampling | N/A | N/A | 1 | 1 | ~ |
| CXPLANID Plan Identification Number at Baseline | Char | 6 | Plan identification number at the time of baseline sampling. | ~ | \checkmark | 1 | 1 | ~ |
| CXSTATE Beneficiary's Baseline Social Security Administration (SSA) State Code | Char | 2 | Beneficiary's SSA state code from the baseline member level record | 1 | \checkmark | 1 | 1 | <i>√</i> |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|----|
| CXSTABV Beneficiary's Baseline SSA State Two Letter Abbreviation | Char | 2 | Beneficiary's two letter state abbreviation based on the baseline SSA state code | ~ | 1 | 1 | 1 | 1 |
| CXSTNAME Beneficiary's Baseline SSA State Name | Char | 20 | Beneficiary's state name based on the baseline SSA state code | ~ | \checkmark | ~ | 1 | ~ |
| CXCOUNTY Beneficiary's Baseline SSA County Code | Char | 3 | Beneficiary's SSA county code from the baseline member level record | ~ | 1 | 1 | 1 | 1 |
| CXCTNAME Beneficiary's Baseline SSA County Name | Char | 25 | Beneficiary's county name based on the baseline SSA county code | ~ | ~ | 1 | 1 | ~ |
| CXZIPCOD Beneficiary's Baseline ZIP Code | Char | 9 | Beneficiary's ZIP code from the baseline member level record | ~ | \checkmark | 1 | 1 | ~ |
| CXRACE Beneficiary's Baseline Race (CMS) | Num | 3 | Beneficiary's race from the baseline member level record. This information is derived from CMS' EDB. 0 = Unknown 1 = White 2 = Black 3 = Other 4 = Asian 5 = Hispanic 6 = North American Native | <i>✓</i> | ~ | ~ | ~ | ✓ |
| CXGENDER Beneficiary's Baseline Gender (CMS) | Num | 3 | Beneficiary's gender from the baseline member level record. This information is derived from CMS' EDB. 1 = Male 2 = Female | ~ | √ | 1 | 1 | ~ |
| CXDOB Beneficiary's Date of Birth | Char | 8 | Beneficiary's date of birth (DOB) from the baseline member level record. This information is derived from CMS' EDB. | ~ | 1 | 1 | 1 | 1 |
| CXDOD Beneficiary's Date of Death | Char | 8 | Beneficiary's date of death (DOD) from the baseline member level record. This information is derived from CMS' EDB. | | ~ | 1 | 1 | 1 |

[†] C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set

[‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD TYPE | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|----|-----------------------------------|-----------------------------------|------------------------------------|
| CXACCRDT Beneficiary's Baseline Accretion Date into Plan | Char | 8 | Beneficiary's accretion date into plan from the baseline member level record. | ~ | 1 | | 1 | 1 |
| CXTERMDT Beneficiary's Baseline Termination Date from Plan | Char | 8 | Beneficiary's termination date from plan from the baseline member level record. | ~ | 1 | | 1 | 1 |
| CXESRDID Beneficiary's Baseline ESRD Status | Num | 3 | Beneficiary's End Stage Renal Disease (ESRD) status at baseline. This information is derived from CMS' EDB. 0 = No ESRD 1 = ESRD | 1 | 1 | 1 | 1 | |
| CXINSTUT Beneficiary's Baseline Institutional Status | Num | 3 | Beneficiary's institutional status at baseline. This information is derived from CMS' EDB. 0 = Out of institution 1 = Institutionalized 2 = Eligible for nursing home care | 1 | 1 | 1 | 1 | 1 |
| <i>CXHOSPIC</i> Beneficiary's Baseline Hospice Status | Num | 3 | Beneficiary's hospice status at baseline. This information is derived from CMS' EDB. 0 = No hospice start date present 1 = Hospice start date present | | 1 | ~ | 1 | ~ |
| CXMEDICD Beneficiary's Baseline Medicaid Status | Num | 3 | Beneficiary's Medicaid status at baseline. This information is derived from CMS' EDB. 0 = Out of Medicaid 1 = In Medicaid | | 1 | 1 | 1 | 1 |
| CXRSENT Beneficiary's Baseline Reason for Entitlement | Num | 3 | Beneficiary's reason for entitlement at baseline. This information is derived from CMS' EDB. 10 = Aged without ESRD 11 = Aged with ESRD 20 = Disabled without ESRD 21 = Disabled with ESRD 31 = ESRD only | 1 | V | ~ | V | <i>✓</i> |

[†] C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set

[‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----|------------------------------------|
| <i>CXPROTIF</i> Protocol Identifier Flag | Num | 3 | Beneficiary's survey protocol from the baseline member level record 1 = Follow up – no proxy at baseline 2 = Follow up – proxy at baseline 3 = Baseline 4 = Spanish 5 = PACE 6 = Chinese | N/A | N/A | N/A | ~ | ~ |
| CXSURIND Survey Indicator Variable (Cohort V Baseline Only) | Num | 3 | Beneficiary was sampled for inclusion in: 1 = Baseline survey only 2 = Follow up survey only 3 = Both baseline and follow up surveys | N/A | N/A | N/A | N/A | 1 |
| CXGENHTH Baseline Survey: General Health Question | Num | 3 | Beneficiary's response from the baseline survey: In general, would you say your health is: 1 = Excellent 2 = Very good 3 = Good 4 = Fair 5 = Poor | Q1 | Q1 | Q1 | Q1 | Q1 |
| CXHTHTRN Baseline Survey: Health Transition Question | Num | 3 | Beneficiary's response from the baseline survey: Compared to one year ago, how would you rate your health in general now? 1 = Much better now than one year ago 2 = Somewhat better now than one year ago 3 = About the same as one year ago 4 = Somewhat worse now than one year ago 5 = Much worse now than one year ago | Q2 | Q2 | Q2 | Q2 | Q2 |

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| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----|------------------------------------|
| CXVIGACT Baseline Survey: Vigorous Activities Question | Num | 3 | Beneficiary's response from the baseline survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? <u>Vigorous activities, such as running,</u> lifting heavy objects, participating in <u>strenuous sports</u> 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3a | Q3a | Q3a | Q3a | Q3a |
| CXMODACT Baseline Survey: Moderate Activities Question | Num | 3 | Beneficiary's response from the baseline survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? <u>Moderate activities, such as moving a</u> table, pushing a vacuum cleaner, bowling, or playing golf 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3b | Q3b | Q3b | Q3b | Q3b |
| <i>CXLIFT</i> Baseline Survey: Lifting Groceries Question | Num | 3 | Beneficiary's response from the baseline survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? Lifting or carrying groceries 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3c | Q3c | Q3c | Q3c | Q3c |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set

[‡] C3R3 = Cohort III Merged Baseline and Follow $\hat{U}p$ Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----|------------------------------------|
| CXCLMBSV Baseline Survey: Climbing Several Flights of Stairs Question | Num | 3 | Beneficiary's response from the baseline survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? Climbing several flights of stairs 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3d | Q3d | Q3d | Q3d | Q3d |
| CXCLMBON Baseline Survey: Climbing One Flight of Stairs Question | Num | 3 | Beneficiary's response from the baseline survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? <u>Climbing one flight of stairs</u> 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3e | Q3e | Q3e | Q3e | Q3e |
| CXBEND Baseline Survey: Bending, Kneeling, or Stooping Question | Num | 3 | Beneficiary's response from the baseline survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? Bending, kneeling, or stooping 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3f | Q3f | Q3f | Q3f | Q3f |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = Performance Measurement | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----|------------------------------------|
| <i>CXWLKMI</i> Baseline Survey: Walking More than a Mile Question | Num | 3 | Beneficiary's response from the baseline survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? <u>Walking more than a mile</u> 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3g | Q3g | Q3g | Q3g | Q3g |
| <i>CXWLKBKS</i> Baseline Survey: Walking Several Blocks Question | Num | 3 | Beneficiary's response from the baseline survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? <u>Walking several blocks</u> 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3h | Q3h | Q3h | Q3h | Q3h |
| <i>CXWLK1BK</i> Baseline Survey: Walking One Block Question | Num | 3 | Beneficiary's response from the baseline survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? <u>Walking one block</u> 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3i | Q3i | Q3i | Q3i | Q3i |

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| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|---|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| CXBATHDR Baseline Survey: Bathing and Dressing Question | Num | 3 | Beneficiary's response from the baseline survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? Bathing or dressing yourself 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3j | Q3j | Q3j | Q3j | Q3j |
| CXPCUTTM Baseline Survey: Physical Health Limiting Time Spent on Activities Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of your physical health? Cut down on the amount of time you spent on work or other activities 1 = Yes 2 = No | Q4a | Q4a | Q4a | Q4a | Q4a |
| CXPACMPL Baseline Survey: Physical Health Limiting Amount Accomplished Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , have you had any of the following problems with your work or other regular daily activities as a result of your physical health ? <u>Accomplished less than you would like</u> 1 = Yes 2 = No | Q4b | Q4b | Q4b | Q4b | Q4b |

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| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD TYPE | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|---|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----|------------------------------------|
| CXPLMTKW Baseline Survey: Physical Health Limiting the Kind of Activities Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , have you had any of the following problems with your work or other regular daily activities as a result of your physical health ? Were limited in the kind <u>of work or other activities</u> 1 = Yes 2 = No | Q4c | Q4c | Q4c | Q4c | Q4c |
| <i>CXPDIFWK</i> Baseline Survey: Physical Health Causing Difficulty Performing Activities Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of your physical health? Had difficulty performing the work or other activities (for example, it took extra effort) 1 = Yes 2 = No | Q4d | Q4d | Q4d | Q4d | Q4d |
| CXECUTTM Baseline Survey: Emotional Problems Limiting Time Spent on Activities Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of any emotional problems (such as feeling depressed or anxious)? Cut down on the amount of time you spent on work or other activities 1 = Yes 2 = No | Q5a | Q5a | Q5a | Q5a | Q5a |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----|-----------------------------------|-----|------------------------------------|
| CXEACMPL Baseline Survey: Emotional Problems Limiting Amount Accomplished Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , have you had any of the following problems with your work or other regular daily activities as a result of any emotional problems (such as feeling depressed or anxious)? <u>Accomplished less than you would like</u> 1 = Yes 2 = No | Q5b | Q5b | Q5b | Q5b | Q5b |
| CXENTCRF Baseline Survey: Emotional Problems Limiting Carefulness Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , have you had any of the following problems with your work or other regular daily activities as a result of any emotional problems (such as feeling depressed or anxious)? <u>Didn't do work or other</u> <u>activities as carefully as usual 1 = Yes 2 = No</u> | Q5c | Q5c | Q5c | Q5c | Q5c |
| CXSOCLMT Baseline Survey: Extent Health Interfering with Social Activities Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , to what extent has your physical health or emotional problems interfered with your normal social activities with family, friends, neighbors, or groups? 1 = Not at all 2 = Slightly 3 = Moderately 4 = Quite a bit 5 = Extremely | Q6 | Q6 | Q6 | Q6 | Q6 |

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|--|---------------|-----------------|---|-----------------------------------|----|-----------------------------------|----|------------------------------------|
| CXPNMAGT Baseline Survey: Bodily Pain Question | Num | 3 | Beneficiary's response from the baseline survey: How much bodily pain have you had during the past 4 weeks? 1 = None 2 = Very mild 3 = Mild 4 = Moderate 5 = Severe 6 = Very severe | Q7 | Q7 | Q7 | Q7 | Q7 |
| <i>CXPNINTF</i> Baseline Survey: Pain Interfering with Work Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , how much did pain interfere with your normal work (including both work outside the home and housework)? 1 = Not at all 2 = A little bit 3 = Moderately 4 = Quite a bit 5 = Extremely | Q8 | Q8 | Q8 | Q8 | Q8 |

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|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| CXFULPEP Baseline Survey: Full of Pep Question | Num | 3 | Beneficiary's response from the baseline survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Did you feel full of pep?</u> 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9a | Q9a | Q9a | Q9a | Q9a |
| CXNERVS Baseline Survey: Nervous Question | Num | 3 | Beneficiary's response from the baseline survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Have you been a very nervous person?</u> 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9b | Q9b | Q9b | Q9b | Q9b |

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|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| CXDNDMPS Baseline Survey: Down in the Dumps Question | Num | 3 | Beneficiary's response from the baseline survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Have you felt so down in the dumps that nothing could cheer you up</u> ? 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9c | Q9c | Q9c | Q9c | Q9c |
| CXPCEFUL Baseline Survey: Calm and Peaceful Question | Num | 3 | Beneficiary's response from the baseline survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Have you felt calm and peaceful?</u> 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9d | Q9d | Q9d | Q9d | Q9d |

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|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| CXENERGY Baseline Survey: Lots of Energy Question | Num | 3 | Beneficiary's response from the baseline survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Did you have a lot of energy</u> ? 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9e | Q9e | Q9e | Q9e | Q9e |
| CXBLSAD Baseline Survey: Downhearted and Blue Question | Num | 3 | Beneficiary's response from the baseline survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Have you felt downhearted and blue</u> ? 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9f | Q9f | Q9f | Q9f | Q9f |

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|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| CXWRNOUT Baseline Survey: Feeling Worn Out Question | Num | 3 | Beneficiary's response from the baseline survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Did you feel worn out</u> ? 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9g | Q9g | Q9g | Q9g | Q9g |
| CXHAPPY Baseline Survey: Happy Question | Num | 3 | Beneficiary's response from the baseline survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Have you been a happy person?</u> 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9h | Q9h | Q9h | Q9h | Q9h |

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|--|---------------|-----------------|---|-----------------------------------|-----|-----------------------------------|-----|------------------------------------|
| CXTIRED Baseline Survey: Feeling Tired Question | Num | 3 | Beneficiary's response from the baseline survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Did you feel tired</u> ? 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9i | Q9i | Q9i | Q9i | Q9i |
| CXSCLACT Baseline Survey: Amount of Time Health Interfering with Social Activities Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , how much of the time has your physical health or emotional problems interfered with your social activities (like visiting with friends, relatives, etc)? 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q10 | Q10 | Q10 | Q10 | Q10 |

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|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------|
| CXSCKESY Baseline Survey: Sick Easier Question | Num | 3 | Beneficiary's response from the baseline survey: How TRUE or FALSE is each of the following statements for you? <u>I seem to get sick a little</u> <u>easier than other people</u> 1 = Definitely true 2 = Mostly true 3 = Don't know 4 = Mostly false 5 = Definitely false | Q11a | Q11a | Q11a | Q11a | Q11a |
| CXASHLTH Baseline Survey: As Healthy Question | Num | 3 | Beneficiary's response from the baseline survey: How TRUE or FALSE is each of the following statements for you? <u>I am as healthy as anybody I know</u> 1 = Definitely true 2 = Mostly true 3 = Don't know 4 = Mostly false 5 = Definitely false | Q11b | Q11b | Q11b | Q11b | Q11b |
| CXHTHWSE Baseline Survey: Future Health Question | Num | 3 | Beneficiary's response from the baseline survey: How TRUE or FALSE is each of the following statements for you? <u>I expect my health to get worse</u> 1 = Definitely true 2 = Mostly true 3 = Don't know 4 = Mostly false 5 = Definitely false | Q11c | Q11c | Q11c | Q11c | Q11c |

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|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------|
| CXHTHEXT Baseline Survey: Excellent Health Question | Num | 3 | Beneficiary's response from the baseline survey: How TRUE or FALSE is each of the following statements for you? <u>My health is excellent</u> 1 = Definitely true 2 = Mostly true 3 = Don't know 4 = Mostly false 5 = Definitely false | Q11d | Q11d | Q11d | Q11d | Q11d |
| CXDIFBTH Baseline Survey: Bathing Question | Num | 3 | Beneficiary's response from the baseline survey: Because of a health or physical problem, do you have any difficulty doing the following activities? Bathing 1 = I am unable to do this activity 2 = Yes, I have difficulty 3 = No, I do not have difficulty | Q12a | Q12a | Q12a | Q12a | Q12a |
| CXDIFDRS Baseline Survey: Dressing Question | Num | 3 | Beneficiary's response from the baseline survey: Because of a health or physical problem, do you have any difficulty doing the following activities? <u>Dressing</u> 1 = I am unable to do this activity 2 = Yes, I have difficulty 3 = No, I do not have difficulty | Q12b | Q12b | Q12b | Q12b | Q12b |

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|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|------|------------------------------------|
| CXDIFEAT Baseline Survey: Eating Question | Num | 3 | Beneficiary's response from the baseline survey: Because of a health or physical problem, do you have any difficulty doing the following activities? <u>Eating</u> 1 = I am unable to do this activity 2 = Yes, I have difficulty 3 = No, I do not have difficulty | Q12c | Q12c | Q12c | Q12c | Q12c |
| CXDIFCHR Baseline Survey: Getting In/Out of Chairs Question | Num | 3 | Beneficiary's response from the baseline survey: Because of a health or physical problem, do you have any difficulty doing the following activities? Getting in or out of chairs 1 = I am unable to do this activity 2 = Yes, I have difficulty 3 = No, I do not have difficulty | Q12d | Q12d | Q12d | Q12d | Q12d |
| CXDIFWLK Baseline Survey: Walking Question | Num | 3 | Beneficiary's response from the baseline survey: Because of a health or physical problem, do you have any difficulty doing the following activities? <u>Walking</u> 1 = I am unable to do this activity 2 = Yes, I have difficulty 3 = No, I do not have difficulty | Q12e | Q12e | Q12e | Q12e | Q12e |

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|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| CXDIFTOL Baseline Survey: Using the Toilet Question | Num | 3 | Beneficiary's response from the baseline survey: Because of a health or physical problem, do you have any difficulty doing the following activities? <u>Using the toilet</u> 1 = I am unable to do this activity 2 = Yes, I have difficulty 3 = No, I do not have difficulty | Q12f | Q12f | Q12f | Q12f | Q12f |
| CXCPNEXR Baseline Survey: Chest Pain/Pressure on Exertion Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , how often have you had any of the following problems Chest pain or pressure when you exercise 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q13a | Q13a | Q13a | Q13a | Q13a |
| CXCPNRST Baseline Survey: Chest Pain/Pressure at Rest Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , how often have you had any of the following problems <u>Chest pain or pressure when resting</u> 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q13b | Q13b | Q13b | Q13b | Q13b |

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|--|---------------|-----------------|---|-----------------------------------|------|-----------------------------------|-----------------------------------|------|
| CXSOBFLT Baseline Survey: Orthopnea Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , how often have you felt short of breath under the following conditions? <u>When lying down flat</u> 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q14a | Q14a | Q14a | Q14a | Q14a |
| CXSOBSIT Baseline Survey: Dyspnea while Sitting/Resting Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , how often have you felt short of breath under the following conditions? <u>When sitting or resting</u> 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q14b | Q14b | Q14b | Q14b | Q14b |
| CXSOBWLK Baseline Survey: Dyspnea when Walking Less than One Block Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , how often have you felt short of breath under the following conditions? <u>When walking less than one block</u> 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q14c | Q14c | Q14c | Q14c | Q14c |

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| FIELD NAME / DESCRIPTION C = Baseline R = Follow Up P = Performance Measurement | FIELD TYPE | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|--|-----------------------------------|------|-----------------------------------|-----------------------------------|------|
| CXSOBSTR Baseline Survey: Dyspnea when Climbing One Flight of Stairs Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , how often have you felt short of breath under the following conditions? <u>When climbing one flight of stairs</u> 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q14d | Q14d | Q14d | Q14d | Q14d |
| CXNMBFET Baseline Survey: Numbness in Feet Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , how much of the time have you had any of the following problems with your legs and feet? <u>Numbness or loss of feeling in your feet</u> 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q15a | Q15a | Q15a | Q15a | Q15a |
| CXANKSWL Baseline Survey: Ankle/Leg Edema Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , how much of the time have you had any of the following problems with your legs and feet? <u>Ankles or legs that swell as the day goes on</u> 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q15b | Q15b | Q15b | Q15b | Q15b |

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|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|------|------------------------------------|
| CXTINGFT Baseline Survey: Foot Tingling/Burning Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , how much of the time have you had any of the following problems with your legs and feet? <u>Tingling or burning sensation in your</u> <u>feet especially at night</u> 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q15c | Q15c | Q15c | Q15c | Q15c |
| CXDECSNS Baseline Survey: Decreased Temperature Sensation in Feet Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , how much of the time have you had any of the following problems with your legs and feet? Decreased ability to feel hot or cold with your feet 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q15d | Q15d | Q15d | Q15d | Q15d |
| <i>CXDECHEL</i> Baseline Survey: Sores/Wounds on Feet Question | Num | 3 | Beneficiary's response from the baseline survey: During the past 4 weeks , how much of the time have you had any of the following problems with your legs and feet? Sores or wounds on your feet that did not heal 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q15e | Q15e | Q15e | Q15e | Q15e |

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|--|---------------|-----------------|---|-----------------------------------|------|-----------------------------------|------|------------------------------------|
| CXPARLYS Baseline Survey: Hemiparalysis/Weakness Question | Num | 3 | Beneficiary's response from the baseline survey: Have you ever had paralysis or weakness on one side of the body? 1 = Yes, I have it 2 = Yes, but it went away 3 = No | Q16a | Q16a | Q16a | Q16a | Q16a |
| CXLSTTLK Baseline Survey: Lost Ability to Talk Question | Num | 3 | Beneficiary's response from the baseline survey: <i>Have you ever lost the ability to talk?</i> 1 = Yes, I have lost it 2 = Yes, but it returned 3 = No | Q16b | Q16b | Q16b | Q16b | Q16b |
| CXRDNEWP Baseline Survey: Vision Question | Num | 3 | Beneficiary's response from the baseline survey: Can you see well enough to read newspaper print (with your glasses or contacts if that's how you see best)? 1 = Yes 2 = No | Q17 | Q17 | Q17 | Q17 | Q17 |
| CXHRMOST Baseline Survey: Hearing Question | Num | 3 | Beneficiary's response from the baseline survey: Can you hear most of the things people say (with a hearing aid if that's how you hear best)? 1 = Yes 2 = No | Q18 | Q18 | Q18 | Q18 | Q18 |
| CXACDING Baseline Survey: Acid Indigestion Question | Num | 3 | Beneficiary's response from the baseline survey: Do you now have acid indigestion or heartburn? 1 = Yes 2 = No | Q19 | Q19 | Q19 | Q19 | Q19 |

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|--|---------------|-----------------|---|-----------------------------------|-----|-----------------------------------|-----------------------------------|-----|
| CXCTRURN Baseline Survey: Difficulty Controlling Urination Question | Num | 3 | Beneficiary's response from the baseline survey: Do you have difficulty controlling urination? 1 = Yes 2 = No | Q20 | Q20 | Q20 | Q20 | Q20 |
| CXHIGHBP Baseline Survey: Hypertension Question | Num | 3 | Beneficiary's response from the baseline survey: Has a doctor ever told you that you had: <u>Hypertension or high blood pressure</u> 1 = Yes 2 = No | Q21 | Q21 | Q21 | Q21 | Q21 |
| CXANGCAD Baseline Survey: Angina/Coronary Artery Disease Question | Num | 3 | Beneficiary's response from the baseline survey: Has a doctor ever told you that you had: <u>Angina pectoris or coronary artery disease</u> 1 = Yes 2 = No | Q22 | Q22 | Q22 | Q22 | Q22 |
| <i>CXCHF</i> Baseline Survey: Congestive Heart Failure Question | Num | 3 | Beneficiary's response from the baseline survey: Has a doctor ever told you that you had: <u>Congestive heart failure</u> 1 = Yes 2 = No | Q23 | Q23 | Q23 | Q23 | Q23 |
| CXAMI Baseline Survey: Myocardial Infarction Question | Num | 3 | Beneficiary's response from the baseline survey: Has a doctor ever told you that you had: <u>A myocardial infarction or heart attack</u> 1 = Yes 2 = No | Q24 | Q24 | Q24 | Q24 | Q24 |

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|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----|------------------------------------|
| CXOTHHRT Baseline Survey: Other Heart Conditions Question | Num | 3 | Beneficiary's response from the baseline survey: Has a doctor ever told you that you had: Other heart conditions, such as problems with heart valves or the rhythm of your heartbeat 1 = Yes 2 = No | Q25 | Q25 | Q25 | Q25 | Q25 |
| CXSTROKE Baseline Survey: Stroke Question | Num | 3 | Beneficiary's response from the baseline survey: Has a doctor ever told you that you had: $\frac{A \text{ stroke}}{1 = \text{Yes}}$ $2 = \text{No}$ | Q26 | Q26 | Q26 | Q26 | Q26 |
| CXCOPD_E Baseline Survey: COPD Question | Num | 3 | Beneficiary's response from the baseline survey: Has a doctor ever told you that you had: <u>Emphysema, or asthma, or COPD</u> 1 = Yes 2 = No | Q27 | Q27 | Q27 | Q27 | Q27 |
| CXGI_ETC Baseline Survey: Inflammatory Bowel Disease Question | Num | 3 | Beneficiary's response from the baseline survey: Has a doctor ever told you that you had: <u>Crohn's disease, ulcerative colitis,</u> <u>or inflammatory bowel disease</u> 1 = Yes 2 = No | Q28 | Q28 | Q28 | Q28 | Q28 |
| CXATHHIP Baseline Survey: Arthritis of Hip/Knee Question | Num | 3 | Beneficiary's response from the baseline survey: Has a doctor ever told you that you had: <u>Arthritis of the hip or knee</u> 1 = Yes 2 = No | Q29 | Q29 | Q29 | Q29 | Q29 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = Performance Measurement | FIELD TYPE | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----|-----------------------------------|-----|------------------------------------|
| CXATHHAN Baseline Survey: Arthritis of Hand/Wrist Question | Num | 3 | Beneficiary's response from the baseline survey: Has a doctor ever told you that you had: <u>Arthritis of the hand or wrist</u> 1 = Yes 2 = No | Q30 | Q30 | Q30 | Q30 | Q30 |
| CXSCIATC Baseline Survey: Sciatica Question | Num | 3 | Beneficiary's response from the baseline survey: Has a doctor ever told you that you had: Sciatica 1 = Yes 2 = No | Q31 | Q31 | Q31 | Q31 | Q31 |
| CXDIABET Baseline Survey: Diabetes Question | Num | 3 | Beneficiary's response from the baseline survey: Has a doctor ever told you that you had: <u>Diabetes, high blood sugar</u> , <u>or sugar in the urine</u> 1 = Yes 2 = No | Q32 | Q32 | Q32 | Q32 | Q32 |
| CXANYCAN Baseline Survey: Any Cancer Question | Num | 3 | Beneficiary's response from the baseline survey: Has a doctor ever told you that you had: <u>Any cancer (other than skin cancer)</u> 1 = Yes 2 = No | Q33 | Q33 | Q33 | Q33 | Q33 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = Baseline R = Follow Up P = Performance Measurement | FIELD TYPE | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|------|------------------------------------|
| CXARTHPN Baseline Survey: Arthritis Pain Question | Num | 3 | Beneficiary's response from the baseline survey: If you answered "yes" to questions 29 or 30 above (that you have arthritis), During the past 4 weeks, how would you describe the arthritis pain you usually had? 1 = None 2 = Very mild 3 = Mild 4 = Moderate 5 = Severe | Q34 | Q34 | Q34 | Q34 | Q34 |
| <i>CXCOLNCA</i> Baseline Survey: Colorectal Cancer Treatment Question | Num | 3 | Beneficiary's response from the baseline survey: If you answered "yes" to question 33 above (that you had cancer), Are you currently under treatment for: <u>Colon or rectal cancer</u> 1 = Yes 2 = No | Q35a | Q35a | Q35a | Q35a | Q35a |
| CXLUNGCA Baseline Survey: Lung Cancer Treatment Question | Num | 3 | Beneficiary's response from the baseline survey: If you answered "yes" to question 33 above (that you had cancer), Are you currently under treatment for: Lung cancer 1 = Yes 2 = No | Q35b | Q35b | Q35b | Q35b | Q35b |
| CXBRSTCA Baseline Survey: Breast Cancer Treatment Question | Num | 3 | Beneficiary's response from the baseline survey: If you answered "yes" to question 33 above (that you had cancer), Are you currently under treatment for: Breast cancer 1 = Yes 2 = No | Q35c | Q35c | Q35c | Q35c | Q35c |

[†] C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set

[‡] C3R3 = Cohort III Merged Baseline and Follow $\hat{U}p$ Limited Data Set

| FIELD NAME / DESCRIPTION C = Baseline R = Follow Up P = Performance Measurement | FIELD Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------|
| CXPROSCA Baseline Survey: Prostate Cancer Treatment Question | Num | 3 | Beneficiary's response from the baseline survey: <i>If you answered "yes" to question 33</i> <i>above (that you had cancer),</i> <i>Are you currently under treatment for:</i> <u>Prostate cancer</u> 1 = Yes 2 = No | Q35d | Q35d | Q35d | Q35d | Q35d |
| CXBACKPN Baseline Survey: Low Back Pain Question | Num | 3 | Beneficiary's response from the baseline survey: In the past 4 weeks , how often has low back pain interfered with your usual daily activities (work, school or housework)? 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q36 | Q36 | Q36 | Q36 | Q36 |
| CXNUMBLG Baseline Survey: Pain, Numbness, Tingling Down Leg Question | Num | 3 | Beneficiary's response from the baseline survey: In the past 4 weeks , how often did you have pain, numbness or tingling that travels down your leg and below your knee? 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q37 | Q37 | Q37 | Q37 | Q37 |

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| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----|------------------------------------|
| CXFELTSD Baseline Survey: Two Weeks of Depression Question | Num | 3 | Beneficiary's response from the baseline survey: In the past year , have you had 2 weeks or more during which you felt sad, blue or depressed; or when you lost interest or pleasure in things that you usually cared about or enjoyed? 1 = Yes 2 = No | Q38 | Q38 | Q38 | Q38 | Q38 |
| <i>CXDEPMCH</i> Baseline Survey: Depression Much of the Time Question | Num | 3 | Beneficiary's response from the baseline survey: In the past year , have you felt depressed or sad much of the time? 1 = Yes 2 = No | Q39 | Q39 | Q39 | Q39 | Q39 |
| CXDEP2YR Baseline Survey: Depression Most of the Time Question | Num | 3 | Beneficiary's response from the baseline survey: Have you ever had 2 years or more in your life when you felt depressed or sad most days, even if you felt okay sometimes? 1 = Yes 2 = No | Q40 | Q40 | Q40 | Q40 | Q40 |
| <i>CXCMPHTH</i> Baseline Survey: Comparative Health Question | Num | 3 | Beneficiary's response from the baseline survey: In general, compared to other people your age, would you say that your health is: 1 = Excellent 2 = Very good 3 = Good 4 = Fair 5 = Poor | Q41 | Q41 | Q41 | Q41 | Q41 |

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| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----|------------------------------------|
| CXSMK100 Baseline Survey: Smoked 100 Cigarettes Question | Num | 3 | Beneficiary's response from the baselinesurvey:Have you ever smoked at least 100cigarettes in your entire life?1 = Yes(Go to Question 43)2 = No(Go to Question 46)3 = Don't know(Go to Question 46) | Q42 | Q42 | Q42 | Q42 | Q42 |
| CXSMKFRQ Baseline Survey: Current Smoker Question | Num | 3 | Beneficiary's response from the baseline survey: Do you now smoke every day, some days, or not at all? 1 = Every day (Go to Question 45) 2 = Some days (Go to Question 45) 3 = Not at all (Go to Question 44) 4 = Don't know (Go to Question 46) | Q43 | Q43 | Q43 | Q43 | Q43 |
| CXDRSQT Baseline Survey: Quit Smoking Question | Num | 3 | Beneficiary's response from the baseline survey: <i>How long has it been since you <u>quit</u> <u>smoking_cigarettes?</u> 1 = Less than 6 months* 2 = 6 months* or more 3 = Don't know * Responses to question 44 in the <i>Cohort I</i> <i>Baseline</i> survey read "12 months" rather than "6 months"</i> | Q44 | Q44 | Q44 | Q44 | Q44 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----|-----------------------------------|-----|------------------------------------|
| CXPOV12M Number of Times Visited Doctor During Past 12 Months Question (Cohort I Baseline only) | Num | 3 | Beneficiary's response from the Cohort I Baseline survey: During the past 12 months, how many times have you visited a doctor or other health professional in your plan (not counting overnight hospital visits)? 1 = None (Go to Question 47) 2 = 1 visit 3 = 2 to 4 visits 4 = 5 to 9 visits 5 = 10 or more visits | Q45 | N/A | N/A | N/A | N/A |
| CXQSMKAD Baseline Survey: Smoking Advice Question | Num | 3 | Beneficiary's response from the baseline survey: In the last 6 months*, on how many visits were you <u>advised to quit</u> smoking by a doctor or other health provider in your plan? 1 = None 2 = 1 visit 3 = 2 to 4 visits 4 = 5 to 9 visits 5 = 10 or more visits 6 = I had no visits in the last 6 months* * Response was for 12 months in the Cohort I Baseline survey | Q46 | Q45 | Q45 | Q45 | Q45 |
| CXBRTHYR Baseline Survey: Survey Reported Year of Birth Question | Char | 4 | Beneficiary's response from the baseline survey: In what year were you born? | Q47 | Q46 | Q46 | Q46 | Q46 |
| CXSV_GND Baseline Survey: Survey Reported Gender Question | Num | 3 | Beneficiary's response from the baseline survey: Are you male or female? 1 = Male 2 = Female | Q48 | Q47 | Q47 | Q47 | Q47 |

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| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | ${}^{IN}_{C2R2^{\dagger}}$ | Fields IN C3R3 [‡] | | IN C5R5 [∥] |
|--|---------------|-----------------|---|-----------------------------------|----------------------------|-----------------------------------|-----|-------------------------|
| CXHISPAN Baseline Survey: Hispanic Question | Num | 3 | Beneficiary's response from the baseline survey: Are you of Hispanic or Spanish family background? 1 = Yes 2 = No | Q49 | Q48 | Q48 | Q48 | Q48 |
| CXSV_RAC Baseline Survey: Survey Reported Race Question | Num | 3 | Beneficiary's response from the baseline survey: How would you describe your race? 1 = American Indian or Alaskan Native 2 = Asian or Pacific Islander 3 = Black or African American 4 = White 5 = Another race or multiracial | Q50 | Q49 | Q49 | Q49 | Q49 |
| CXMARITL Baseline Survey: Marital Status Question | Num | 3 | Beneficiary's response from the baseline survey: What is your current marital status? 1 = Married 2 = Divorced 3 = Separated 4 = Widowed 5 = Never married | Q51 | Q50 | Q50 | Q50 | Q50 |
| CXEDUC Baseline Survey: Education Question | Num | 3 | Beneficiary's response from the baseline survey: What is the highest grade or level of school that you have completed? 1 = 8th grade or less 2 = Some high school, but did not graduate 3 = High school graduate or GED 4 = Some college or 2 year degree 5 = 4 year college graduate 6 = More than a 4 year college degree | Q52 | Q51 | Q51 | Q51 | Q51 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----|-----------------------------------|-----------------------------------|------------------------------------|
| CXHMOWN Baseline Survey: Housing Question | Num | 3 | Beneficiary's response from the baseline survey: Is the house or apartment you currently live in: = Owned or being bought by you = Owned or being bought by someone in your family other than you = Rented for money = Not owned and one in which you live without payment of rent = None of the above | Q54 | Q52 | Q52 | Q52 | Q52 |
| CXRTRCOM Baseline Survey: Retirement Community Question | Num | 3 | Beneficiary's response from the baseline survey: Is this house or apartment in a retirement community, building or complex? 1 = Yes 2 = No | Q55 | Q53 | Q53 | Q53 | Q53 |
| CXMDSVPV Baseline Survey: Retirement Community Medical Services Question | Num | 3 | Beneficiary's response from the baseline survey: If you answered "yes" to question 53* (or 55**) above, Does this retirement community/building/ facility provide medical services? 1 = Yes 2 = No * 53 in all other surveys ** 55 in the Cohort I Baseline survey only | Q56 | Q54 | Q54 | Q54 | Q54 |

* C1R1 = Cohort I Merged Baseline and Follow Up Limited Data Set † C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set ‡ C3R3 = Cohort III Merged Baseline and Follow Up Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----|-----------------------------------|-----|------------------------------------|
| CXWHOCMP Baseline Survey: Who Completed this Survey Question | Num | 3 | Beneficiary's response from the baseline survey: Who completed this survey form? 1 = Person to whom survey was addressed (Go to Question 57) 2 = Family member or relative of person to whom the survey was addressed 3 = Friend of person to whom the survey was addressed 4 = Professional caregiver of person to whom the survey was addressed | Q57 | Q55 | Q55 | Q55 | Q55 |
| CXHHINC Baseline Survey: Household Income Question | Num | 3 | Beneficiary's response from the baseline survey: Which of the following categories best represents the combined income for all family members in your household for the past 12 months? 1 = Less than \$5,000 2 = \$5,000 - \$9,999 3 = \$10,000 - \$19,999 4 = \$20,000 - \$29,999 5 = \$30,000 - \$39,999 6 = \$40,000 - \$49,999 7 = \$50,000 - \$79,999 8 = \$80,000 - \$99,999 9 = \$100,000 or more 10 = Don't know | Q53 | Q57 | Q57 | Q57 | Q57 |

* C1R1 = Cohort I Merged Baseline and Follow Up Limited Data Set † C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set ‡ C3R3 = Cohort III Merged Baseline and Follow Up Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|----|------------------------------------|
| CXSRVDSP Disposition of Baseline Survey | Char | 3 | Survey disposition at baseline ("M" prefix=Mail, "T" prefix=Telephone) M10/T10 = Complete survey (80-100% complete) M11/T11 = Nonresponse: partial complete survey (50-79% complete) M20/T20 = Ineligible: deceased M21/T21 = Ineligible: not enrolled in MCO M22/T22 = Ineligible: end stage renal disease M23/T23 = Ineligible: language barrier T24 = Ineligible: bad address AND non-working/unlisted phone number or member is unknown at the dialed phone number M31/T31 = Nonresponse: break-off (0- 49% complete) M32/T32 = Nonresponse: refusal M33/T33 = Nonresponse: respondent unavailable M34/T34 = Nonresponse: respondent physically or mentally incapacitated M36/T36 = Nonresponse: after maximum attempts | | 1 | | 1 | |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| CXRNDNUM Round Completed Baseline Survey Obtained | Char | 2 | Round in which the completed survey was obtained: $M1 = 1^{st}$ mailing $M2 = 2^{nd}$ mailing $T1 = 1^{st}$ telephone $T2 = 2^{nd}$ telephone $T3 = 3^{rd}$ telephone $T4 = 4^{th}$ telephone $T5 = 5^{th}$ telephone $T6 = 6^{th}$ telephone MT = Partially completed by mail and converted to complete by telephone MM = Partially completed by mail and converted to complete by mail recontact NC = Not completed (used for members with disposition codes NOT equal to M10 or T10) | | ~ | ~ | | ✓ |
| CXSVLANG Survey Language at Baseline | Num | 3 | Baseline Survey Language 1 = English 2 = Spanish 3 = Not Applicable | N/A | 1 | 1 | 1 | 1 |
| CXVUCATI Vendor's Baseline Unique Computer Assisted Telephone Interview (CATI) Interviewer ID | Char | 8 | Vendor's 8-digit unique CATI interview ID at baseline | N/A | N/A | N/A | ~ | 1 |
| CXSVDATE Date Baseline Survey Completed | Char | 8 | Date the baseline survey was completed (date the mail survey was received by the vendor or date the telephone interview was conducted) | N/A | \checkmark | \checkmark | 1 | |
| CXMCONUM MCO Provided Beneficiary's Phone Number at Baseline | Num | 3 | Did the MCO provide a phone number for the member at baseline? 1 = Yes 2 = No | N/A | N/A | \checkmark | ~ | 1 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----|------------------------------------|
| <i>CXCOHORT</i> Beneficiary's Cohort Status and Survey Identifier (<i>Cohort III Baseline</i> Only) | Num | 3 | Beneficiary's cohort status and survey identifier Cohort I only. Receives Cohort I Follow Up survey. Cohort II and Cohort III. Receives Cohort III survey. Cohort III only. Receives Cohort III survey. Cohorts I, II and III. Receives Cohort III survey. Cohort I, II and Cohort III. Receives Cohort III survey. | N/A | N/A | ✓ | N/A | N/A |
| CXSPANFL Baseline Spanish Materials Flag | Num | 3 | Was the member ever sent the Spanish version of the HOS mail questionnaire during survey administration? 1 = Yes 2 = No Note: This flag is not an indicator of whether the Spanish survey was completed. | N/A | N/A | N/A | 1 | 1 |
| CXROUND Round Baseline Data Submitted (Cohort IV Baseline Only) | Num | 3 | The wave (one or two) in which the data were submitted to NCQA by the vendors: 1 = Wave 1 2 = Wave 2 | N/A | N/A | N/A | ~ | N/A |
| CXDBLDTY Double Duty Flag (Cohort IV Baseline Only) | Num | 3 | Beneficiary is in: 0 = Cohort IV Baseline only 1 = Cohort IV Baseline and Cohort II Follow Up | N/A | N/A | N/A | 1 | N/A |
| CXEXCLUD Baseline Exclude from Future Survey Samples Flag (Cohort V Baseline Only) | Num | 3 | Beneficiary is in: 1 =Member specifically requested <i>Take me</i> off of your list and never contact me again 2 =Member did not requested <i>Take me</i> off of your list and never contact me again | N/A | N/A | N/A | N/A | 1 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|----------|-----------------------------------|-----------------------------------|------------------------------------|
| CXCHIN Baseline Chinese Protocol Indicator (Cohort V Baseline Only) | Num | 3 | Beneficiary is: 0 = Not a member of a Chinese plan at baseline 1 = Member of a Chinese plan at baseline | N/A | N/A | N/A | N/A | 1 |
| CXDISP Survey Response Indicator for Mail/Telephone Responses at Baseline | Num | 3 | Beneficiary completed a: 1 = Mail Survey at baseline 2 = Telephone Survey at baseline | ~ | 1 | 1 | 1 | 1 |
| CXPACE Baseline PACE Protocol Indicator | Num | 3 | Beneficiary is: 0 = Not a member of a PACE plan at baseline 1 = Member of a PACE plan at baseline | N/A | ~ | 1 | ~ | 1 |
| CXEVER Baseline Evercare Protocol Indicator | Num | 3 | Beneficiary is: 0 = Not a member of an Evercare plan at baseline 1 = Member of an Evercare plan at baseline | N/A | <i>√</i> | ~ | 1 | N/A |
| CXINVSRV Invalid Baseline Survey Indicator | Num | 3 | Baseline survey is: 0 = Valid 1 = Invalid (survey disposition equal to M20, M21, M22, M23, T20, T21, T22, T23, or T24) | ~ | √ √ | 1 | 1 | 1 |
| CXPCTCMP Percent of Baseline Survey Completed | Num | 8 | Percent of the baseline survey that was completed | 1 | ~ | 1 | 1 | 1 |
| CXCMPSRV Complete Baseline Survey Indicator | Num | 3 | A flag created to indicate that 80% of the Baseline survey was completed 0 = Incomplete 1 = Complete | √ | 1 | 1 | 1 | 1 |
| <i>CXCMPFLG</i> Name Provided in Q56 (or Q58) of Baseline Survey Indicator | Num | 3 | Indicator variable for name provided in question 56* (or 58**) of the baseline survey 0 = Name not provided 1 = Name provided * 56 in all other surveys ** 58 in <i>Cohort I Baseline</i> survey | | ~ | ~ | ~ | ~ |

[†] C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set

[‡] C3R3 = Cohort III Merged Baseline and Follow $\hat{U}p$ Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | IN | | Fields IN C4R4 [§] | |
|---|---------------|-----------------|---|-----------------------------------|--------------|----------|-----------------------------------|-----|
| CXBDCNUM Mismatched Baseline Contract Number and Plan ID Indicator | Num | 3 | Indicator variable for mismatched baseline contract number and plan ID 0 = Contract number and first five characters of plan ID are identical 1 = Contract number and first five characters of plan ID are not identical | √ | ~ | ✓ | ~ | N/A |
| CXBDRACE Mismatched Baseline Race Indicator | Num | 3 | Indicator variable for mismatched baseline CMS race variable and survey race variable 0 = CMS and beneficiary provided race are equal 1 = CMS and beneficiary provided race are not equal | <i>✓</i> | \checkmark | 1 | 1 | N/A |
| CXBDBRTH Mismatched Baseline Birth Year Indicator | Num | 3 | Indicator variable for mismatched baseline CMS year of birth and survey year of birth 0 = CMS and beneficiary provided year of birth are equal 1 = CMS and beneficiary provided year of birth are not equal | √ | ~ | <i>√</i> | 1 | N/A |
| CXBDGNDR Mismatched Baseline Gender Indicator | Num | 3 | Indicator variable for mismatched baseline CMS gender and survey gender 0 = CMS and beneficiary provided gender are equal 1 = CMS and beneficiary provided gender are not equal | | 1 | 1 | 1 | N/A |
| CXBDPRST Indicator for Female Reporting Prostate Cancer Treatment at Baseline | Num | 3 | Indicator variable for inconsistency between gender and current prostate cancer treatment 0 = Gender and current treatment for prostate cancer consistent 1 = Female reported current treatment for prostate cancer | 1 | 1 | 1 | 1 | N/A |
| CXBDACR Incorrect Date of Accretion into Plan at Baseline Indicator (Cohort I Baseline Only) | Num | 3 | Beneficiary's date of accretion into plan is: 0 = Correct 1 = Incorrect (century is incorrect) | | N/A | N/A | N/A | N/A |

[†] C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set

[‡] C3R3 = Cohort III Merged Baseline and Follow $\hat{U}p$ Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD TYPE | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| CXBDATE Beneficiary's Corrected Baseline Date of Birth (Cohort I Baseline Only) | Num | 8 | Beneficiary's corrected date of birth (DOB) used in the <i>Cohort I Performance</i> <i>Measurement</i> analysis | ~ | N/A | N/A | N/A | N/A |
| CXEDOB Beneficiary's Baseline Date of Birth (Elapsed SAS [®] Date Format) | Num | 8 | Beneficiary's date of birth (DOB) from the baseline member level record. This information is derived from CMS' EDB. MMDDYY10. format | ~ | <i>√</i> | 1 | 1 | ~ |
| CXEDOBNW Beneficiary's Corrected Baseline Date of Birth (Elapsed SAS [®] Date Format) (Cohort I Baseline Only) | Num | 8 | Beneficiary's corrected date of birth (DOB) used in the <i>Cohort I Performance</i> <i>Measurement</i> analysis MMDDYY10. format | ~ | N/A | N/A | N/A | N/A |
| CXEACRDT Beneficiary's Baseline Date of Accretion into Plan (Elapsed SAS [®] Date Format) | Num | 8 | Beneficiary's accretion date into plan from the baseline member level record MMDDYY10. format | ~ | 1 | 1 | 1 | 1 |
| CXEACLMT | Num | 8 | Elapsed Date-Accretion Limit MMDDYY10. format | 1 | \checkmark | 1 | 1 | ~ |
| CXESVDAT Date Baseline Survey Completed (Elapsed SAS [®] Date Format) | Num | 8 | Elapsed Date of Survey MMDDYY10. format | N/A | \checkmark | 1 | 1 | ~ |
| CXESVDT2 Date Baseline Survey Completed with Missing Values Imputed (Elapsed SAS [®] Date Format) | Num | 8 | Incorporates estimated values for missing survey dates by replacing the missing values with the mean survey date by vendor and mode of administration. This variable, in combination with date of birth (<i>CXEDOB</i>), was used to calculate age (<i>CXAGE</i>). MMDDYY10. format | \checkmark | \checkmark | V | V | \checkmark |

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| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|--------------|-----------------------------------|-----------------------------------|------------------------------------|
| CXAGE Beneficiary's Age at Baseline | Num | 8 | Beneficiary's age at baseline. This variable was calculated by subtracting the baseline date of birth (<i>CXEDOB</i>) from the date the baseline survey was completed (with missing values imputed, <i>CXESVDT2</i>), and dividing the result by 365.25. | ~ | ~ | ~ | ~ | ~ |
| CXAGECAT Beneficiary's Baseline Age Group | Num | 3 | Beneficiary's age group at baseline 0 = Under 65 1 = 65 to 69 2 = 70 to 74 3 = 75 to 79 4 = 80 or older | ~ | ~ | 1 | 1 | ~ |
| CXAGE_1 Original Calculation of Beneficiary's Age at Baseline | Num | 8 | Original calculation of beneficiary's age at baseline. This is the age variable used in the <i>Cohort I, II, III and IV Baseline</i> Report. Since the time of the baseline report, a more precise method of calculating age has been used to calculate a new age variable, CXAGE. | ~ | ~ | ~ | ~ | N/A |
| <i>CXENRDUR</i> Beneficiary's Enrollment Duration at Baseline | Num | 8 | Beneficiary's enrollment duration (in months) at the time of the baseline survey | 1 | \checkmark | 1 | 1 | ~ |
| CXENRCAT Beneficiary's Enrollment Duration Category at Baseline | Num | 3 | Beneficiary's enrollment duration category at the time of the baseline survey 1 = 0 to 5 months 2 = 6 to 12 months 3 = 13 to 36 months 4 = 37 or more months | 1 | ~ | 1 | 1 | ~ |
| CXRACEGP Beneficiary's Baseline Race Category | Num | 3 | Beneficiary's race category at baseline, created by combining categories of the <i>CXRACE</i> variable 1 = White 2 = Black 3 = Other | 1 | \checkmark | 1 | 1 | |

[†] C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set

[‡] C3R3 = Cohort III Merged Baseline and Follow $\hat{U}p$ Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|----|
| CXRAWPF Baseline Raw Physical Functioning (PF) Scale Score | Num | 8 | Beneficiary's baseline raw Physical Functioning (PF) Scale Score | 1 | \checkmark | 1 | 1 | 1 |
| CXRAWRP Baseline Raw Role-Physical (RP) Scale Score | Num | 8 | Beneficiary's baseline raw Role-Physical (RP) Scale Score | 1 | \checkmark | 1 | 1 | 1 |
| CXRAWBP Baseline Raw Bodily Pain (BP) Scale Score | Num | 8 | Beneficiary's baseline raw Bodily Pain (BP) Scale Score | 1 | ~ | 1 | 1 | 1 |
| <i>CXRAWGH</i> Baseline Raw General Health (GH) Scale Score | Num | 8 | Beneficiary's baseline raw General Health (GH) Scale Score | 1 | ~ | 1 | 1 | 1 |
| CXRAWVT Baseline Raw Vitality (VT) Scale Score | Num | 8 | Beneficiary's baseline raw Vitality (VT) Scale Score | ~ | \checkmark | 1 | 1 | 1 |
| CXRAWSF Baseline Raw Social Functioning (SF) Scale Score | Num | 8 | Beneficiary's baseline raw Social Functioning (SF) Scale Score | ~ | ~ | 1 | 1 | 1 |
| CXRAWRE Baseline Raw Role-Emotional (RE) Scale Score | Num | 8 | Beneficiary's baseline raw Role-Emotional (RE) Scale Score | ~ | ~ | 1 | 1 | 1 |
| CXRAWMH Baseline Raw Mental Health (MH) Scale Score | Num | 8 | Beneficiary's baseline raw Mental Health (MH) Scale Score | ~ | ~ | 1 | 1 | 1 |
| CXPF Baseline Transformed Physical Functioning (PF) Scale Score | Num | 8 | Beneficiary's baseline transformed 0-100 Physical Functioning (PF) Scale Score | 1 | ~ | 1 | 1 | 1 |
| CXRP Baseline Transformed Role-Physical (RP) Scale Score | Num | 8 | Beneficiary's baseline transformed 0-100 Role-Physical (RP) Scale Score | ~ | ~ | 1 | 1 | 1 |
| CXBP Baseline Transformed Bodily Pain (BP) Scale Score | Num | 8 | Beneficiary's baseline transformed 0-100 Bodily Pain (BP) Scale Score | 1 | √ | 1 | 1 | 1 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|---|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|-----|
| <i>CXGH</i> Baseline Transformed General Health (GH) Scale Score | Num | 8 | Beneficiary's baseline transformed 0-100 General Health (GH) Scale Score | ~ | 1 | 1 | 1 | 1 |
| <i>CXVT</i> Baseline Transformed Vitality (VT) Scale Score | Num | 8 | Beneficiary's baseline transformed 0-100 Vitality (VT) Scale Score | ~ | 1 | 1 | 1 | 1 |
| CXSF Baseline Transformed Social Functioning (SF) Scale Score | Num | 8 | Beneficiary's baseline transformed 0-100 Social Functioning (SF) Scale Score | ~ | 1 | 1 | 1 | 1 |
| <i>CXRE</i> Baseline Transformed Role-Emotional (RE) Scale Score | Num | 8 | Beneficiary's baseline transformed 0-100 Role-Emotional (RE) Scale Score | 1 | 1 | 1 | 1 | 1 |
| <i>CXMH</i> Baseline Transformed Mental Health (MH) Scale Score | Num | 8 | Beneficiary's baseline transformed 0-100 Mental Health (MH) Scale Score | 1 | 1 | 1 | 1 | 1 |
| <i>CXPF_Z90</i> Baseline Physical Functioning (PF) Z- Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline Physical Functioning (PF) z-score, computed utilizing the 1990 general U.S. population mean and standard deviation | ~ | 1 | V | N/A | N/A |
| <i>CXRP_Z90</i> Baseline Role-Physical (RP) Z-Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline Role-Physical (RP) z-score, computed utilizing the 1990 general U.S. population mean and standard deviation | | 1 | 1 | N/A | N/A |
| CXBP_Z90 Baseline Bodily Pain (BP) Z-Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline Bodily Pain (BP) z- score, computed utilizing the 1990 general U.S. population mean and standard deviation | ~ | 1 | 1 | N/A | N/A |
| <i>CXGH_Z90</i> Baseline General Health (GH) Z-Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline General Health (GH) z-score, computed utilizing the 1990 general U.S. population mean and standard deviation | ~ | 1 | 1 | N/A | N/A |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = Baseline R = Follow Up P = Performance Measurement | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|----|-----------------------------------|-----------------------------------|------------------------------------|
| CXVT_Z90 Baseline Vitality (VT) Z-Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline Vitality (VT) z- score, computed utilizing the 1990 general U.S. population mean and standard deviation | ~ | 1 | 1 | N/A | N/A |
| CXSF_Z90 Baseline Social Functioning (SF) Z- Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline Social Functioning (SF) z-score, computed utilizing the 1990 general U.S. population mean and standard deviation | ~ | 1 | 1 | N/A | N/A |
| CXRE_Z90 Baseline Role-Emotional (RE) Z-Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline Role-Emotional (RE) z-score, computed utilizing the 1990 general U.S. population mean and standard deviation | ~ | 1 | 1 | N/A | N/A |
| CXMH_Z90 Baseline Mental Health (MH) Z-Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline Mental Health (MH) z-score, computed utilizing the 1990 general U.S. population mean and standard deviation | 1 | 1 | 1 | N/A | N/A |
| CXPRAW90 Baseline Raw PCS Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline raw PCS Score (1990), computed by multiplying each scale z-score by its respective physical factor score coefficient and summing the eight products | 1 | 1 | 1 | N/A | N/A |
| CXMRAW90 Baseline Raw MCS Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline raw MCS Score (1990), computed by multiplying each scale z-score by its respective mental factor score coefficient and summing the eight products | | 1 | 1 | N/A | N/A |
| <i>CXPCS90</i> Baseline PCS Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline PCS Score. This is the norm-based transformation of the 1990 raw PCS Score (<i>CXPRAW90</i>) | | 1 | 1 | N/A | N/A |
| <i>CXMCS90</i> Baseline MCS Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline MCS Score. This is the norm-based transformation of the 1990 raw PCS Score (<i>CXMRAW90</i>) | | 1 | 1 | N/A | N/A |

[†] C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set

[‡] C3R3 = Cohort III Merged Baseline and Follow Up Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|---|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|-----|
| CXPFS90 Baseline Physical Functioning (PF) Scale Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline Physical Functioning (PF) Scale Score. This is the norm-based transformation of the 1990 PF z-score | 1 | √ | 1 | N/A | N/A |
| <i>CXRPS90</i> Baseline Role-Physical (RP) Scale Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline Role-Physical (RP) Scale Score. This is the norm-based transformation of the 1990 RP z-score | 1 | 1 | 1 | N/A | N/A |
| CXBPS90 Baseline Bodily Pain (BP) Scale Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline Bodily Pain (BP) Scale Score. This is the norm-based transformation of the 1990 BP z-score | 1 | 1 | 1 | N/A | N/A |
| <i>CXGHS90</i> Baseline General Health (GH) Scale Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline General Health (GH) Scale Score. This is the norm-based transformation of the 1990 GH z-score | 1 | 1 | 1 | N/A | N/A |
| <i>CXVTS90</i> Baseline Vitality (VT) Scale Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline Vitality (VT) Scale Score. This is the norm-based transformation of the 1990 VT z-score | 1 | 1 | 1 | N/A | N/A |
| <i>CXSFS90</i> Baseline Social Functioning (SF) Scale Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline Social Functioning (SF) Scale Score. This is the norm-based transformation of the 1990 SF z-score | ~ | <i>√</i> | 1 | N/A | N/A |
| <i>CXRES90</i> Baseline Role-Emotional (RE) Scale Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline Role-Emotional (RE) Scale Score. This is the norm-based transformation of the 1990 RE z-score | ~ | \checkmark | 1 | N/A | N/A |
| <i>CXMHS90</i> Baseline Mental Health (MH) Scale Score Calculated Utilizing the Standard 1990 Scoring Algorithm | Num | 8 | Beneficiary's baseline Mental Health (MH) Scale Score. This is the norm-based transformation of the 1990 MH z-score | | √ | 1 | N/A | N/A |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| CXPF_Z98 Baseline Physical Functioning (PF) Z- Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline Physical Functioning (PF) z-score, computed utilizing the 1998 general U.S. population mean and standard deviation | 1 | √ | 1 | 1 | 1 |
| CXRP_Z98 Baseline Role-Physical (RP) Z-Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline Role-Physical (RP) z-score, computed utilizing the 1998 general U.S. population mean and standard deviation | 1 | 1 | 1 | 1 | 1 |
| CXBP_Z98 Baseline Bodily Pain (BP) Z-Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline Bodily Pain (BP) z- score, computed utilizing the 1998 general U.S. population mean and standard deviation | 1 | 1 | 1 | 1 | 1 |
| CXGH_Z98 Baseline General Health (GH) Z-Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline General Health (GH) z-score, computed utilizing the 1998 general U.S. population mean and standard deviation | 1 | 1 | 1 | 1 | 1 |
| CXVT_Z98 Baseline Vitality (VT) Z-Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline Vitality (VT) z- score, computed utilizing the 1998 general U.S. population mean and standard deviation | 1 | 1 | 1 | 1 | 1 |
| CXSF_Z98 Baseline Social Functioning (SF) Z- Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline Social Functioning (SF) z-score, computed utilizing the 1998 general U.S. population mean and standard deviation | 1 | √ | 1 | 1 | 1 |
| CXRE_Z98 Baseline Role-Emotional (RE) Z-Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline Role-Emotional (RE) z-score, computed utilizing the 1998 general U.S. population mean and standard deviation | ~ | ~ | 1 | 1 | ~ |
| CXMH_Z98 Baseline Mental Health (MH) Z-Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline Mental Health (MH) z-score, computed utilizing the 1998 general U.S. population mean and standard deviation | 1 | 1 | 1 | 1 | 1 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = Baseline R = Follow Up P = Performance Measurement | FIELD Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|---|---------------|-----------------|--|-----------------------------------|--------------|-----------------------------------|-----------------------------------|------------------------------------|
| <i>CXPRAW98</i> Baseline Raw PCS Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline raw PCS Score (1998), computed by multiplying each scale z-score by its respective physical factor score coefficient and summing the eight products | 1 | 1 | 1 | 1 | 1 |
| CXMRAW98 Baseline Raw MCS Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline raw MCS Score (1998), computed by multiplying each scale z-score by its respective mental factor score coefficient and summing the eight products | 1 | 1 | 1 | 1 | \checkmark |
| CXPCS98 Baseline PCS Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline PCS Score. This is the norm-based transformation of the 1998 raw PCS Score (<i>CXPRAW98</i>). | | \checkmark | | 1 | ~ |
| CXMCS98 Baseline MCS Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline MCS Score. This is the norm-based transformation of the 1998 raw PCS Score (<i>CXMRAW98</i>). | | \checkmark | 1 | 1 | ~ |
| CXPFS98 Baseline Physical Functioning (PF) Scale Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline Physical Functioning (PF) Scale Score. This is the norm-based transformation of the 1998 PF z-score. | | ~ | 1 | 1 | ~ |
| <i>CXRPS98</i> Baseline Role-Physical (RP) Scale Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline Role-Physical (RP) Scale Score. This is the norm-based transformation of the 1998 RP z-score. | | \checkmark | 1 | 1 | ~ |
| CXBPS98 Baseline Bodily Pain (BP) Scale Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline Bodily Pain (BP) Scale Score. This is the norm-based transformation of the 1998 BP z-score. | | \checkmark | 1 | 1 | \checkmark |
| <i>CXGHS98</i> Baseline General Health (GH) Scale Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline General Health (GH) Scale Score. This is the norm-based transformation of the 1998 GH z-score. | | \checkmark | 1 | 1 | \checkmark |

[†] C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set

[‡]C3R3 = Cohort III Merged Baseline and Follow Up Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|---|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| <i>CXVTS98</i> Baseline Vitality (VT) Scale Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline Vitality (VT) Scale Score. This is the norm-based transformation of the 1998 VT z-score. | ~ | 1 | | ~ | ~ |
| <i>CXSFS98</i> Baseline Social Functioning (SF) Scale Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline Social Functioning (SF) Scale Score. This is the norm-based transformation of the 1998 SF z-score. | ~ | ~ | 1 | 1 | 1 |
| CXRES98 Baseline Role-Emotional (RE) Scale Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline Role-Emotional (RE) Scale Score. This is the norm-based transformation of the 1998 RE z-score. | 1 | ~ | 1 | 1 | ~ |
| <i>CXMHS98</i> Baseline Mental Health (MH) Scale Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's baseline Mental Health (MH) Scale Score. This is the norm-based transformation of the 1998 MH z-score. | ~ | ~ | 1 | 1 | 1 |
| CXPCSMDE Baseline PCS Score Calculated Utilizing the Missing Data Estimation (MDE) Scoring Algorithm | Num | 8 | Beneficiary's baseline PCS Score calculated utilizing the Missing Data Estimation (MDE) scoring algorithm | ~ | ~ | N/A | 1 | N/A |
| CXMCSMDE Baseline MCS Score Calculated Utilizing the Missing Data Estimation (MDE) Scoring Algorithm | Num | 8 | Beneficiary's baseline MCS Score calculated utilizing the Missing Data Estimation (MDE) scoring algorithm | ~ | ~ | N/A | 1 | N/A |
| CXRPT_CN Reporting Contract Number at Baseline | Char | 5 | Reporting Contract Number | N/A | N/A | N/A | 1 | 1 |
| CXRPTUNT Reporting Unit at Baseline | Char | 6 | Report Unit – Market Area Specific | N/A | N/A | N/A | 1 | 1 |
| CXRPT_MA Reporting Market Area at Baseline | Char | 1 | Reporting Market Area | N/A | N/A | N/A | 1 | \checkmark |
| RXPATID Anonymous Patient ID at Follow Up | Num | 8 | Unique number assigned to each beneficiary in the follow up sample | ~ | \checkmark | 1 | 1 | 1 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = Baseline R = Follow Up P = Performance Measurement | FIELD TYPE | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| <i>RXPLAN</i> Plan Identification Number at Follow Up | Num | 8 | Anonymous plan identification number assigned to each plan at the time of follow up sampling | ~ | ~ | 1 | 1 | ~ |
| <i>RXRECID</i> Record Identifier at Follow Up | Char | 1 | Follow up record identifier | ~ | ~ | ~ | ~ | \checkmark |
| <i>RXRPTYR</i> Follow Up Reporting Year | Num | 8 | Reporting year for the follow up survey | \checkmark | \checkmark | \checkmark | \checkmark | \checkmark |
| <i>RXCNTRNM</i> Plan Contract Number at Follow Up | Char | 5 | Unique contract number at the time of follow up sampling | \checkmark | | 1 | 1 | \checkmark |
| RXPLANNM Plan Name at Follow Up | Char | 50 | Plan name at the time of follow up sampling | \checkmark | \checkmark | ~ | 1 | \checkmark |
| <i>RXLNBUSS</i> Line of Business at Follow Up | Num | 3 | Line of business at the time of follow up sampling 1 = Health Maintenance Organizations (HMO) 2 = Point Of Service plans (POS) 3 = Preferred Provider Organizations (PPO) | | \checkmark | ~ | ~ | ~ |
| <i>RXMODEL</i> Model Type at Follow Up | Num | 3 | Model type at the time of follow up sampling 1 = Group Practice Model (Group) 2 = Individual Practice Association (IPA) 3 = Mixed 4 = Network 5 = Staff Model (Staff) 6 = Other | <i>~</i> | \checkmark | ~ | \checkmark | ~ |
| <i>RXDFTYPE</i> Data File Type (<i>Cohort I Follow Up</i> Only) | Char | 2 | Data file type 1F = Cohort I Follow Up 3B = Cohort III Baseline | 1 | N/A | N/A | N/A | N/A |
| RXMARKET Plan Market Area Code/Indicator at Follow Up | Char | 2 | CMS market area code/indicator at the time of follow up sampling | | ~ | 1 | 1 | ~ |
| <i>RXMANAME</i> Plan Market Area Name at Follow Up | Char | 30 | CMS market area name at the time of follow up sampling | ~ | \checkmark | \checkmark | N/A | N/A |

* C1R1 = Cohort I Merged Baseline and Follow Up Limited Data Set † C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set ‡ C3R3 = Cohort III Merged Baseline and Follow Up Limited Data Set

[§] C4R4 = *Cohort IV Merged Baseline* and *Follow Up* Limited Data Set ^{||} C5R5 = *Cohort V Merged Baseline* and *Follow Up* Limited Data Set

Limited Data Set (LDS) Data User's Guide PREPARED BY HEALTH SERVICES ADVISORY GROUP

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD TYPE | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| RXVENDOR Follow Up Survey: Survey Vendor | Num | 3 | Follow up Survey Vendor: 1 = DSS 2 = GHS 3 = Solucient (formerly HCIA) 4 = Synovate (formerly Market Facts) 5 = NRC or DataStat, Inc.* 6 = Roper Starch (formerly Response Analysis) | | ~ | ~ | <i>✓</i> | ~ |
| | | | * NRC for Cohort I Follow Up survey, DataStat for Cohorts III, IV, and V Follow Up surveys ** Roper Starch for Cohort I Follow Up survey | | | | | |
| <i>RXHTHID</i> NCQA Healthcare Organization ID at Follow Up | Char | 7 | Organization ID supplied by NCQA at the time of follow up sampling | ~ | \checkmark | 1 | 1 | ~ |
| RXSUBID NCQA Submission ID at Follow Up | Char | 6 | Submission ID supplied by NCQA at the time of follow up sampling | ~ | \checkmark | 1 | 1 | ~ |
| RXSPECID NCQA Special Area ID at Follow Up | Char | 10 | Special area ID supplied by NCQA at the time of follow up sampling | 1 | 1 | 1 | 1 | 1 |
| RXPLANID Plan Identification Number at Follow Up | Char | 6 | Plan identification number at the time of follow up sampling | ~ | ~ | 1 | 1 | ~ |
| <i>RXSTATE</i> Beneficiary's Follow Up SSA State Code | Char | 2 | Beneficiary's SSA state code from the follow up member level record | 1 | \checkmark | 1 | 1 | ~ |
| <i>RXSTABV</i> Beneficiary's Follow Up SSA State Two Letter Abbreviation | Char | 2 | Beneficiary's two letter state abbreviation based on the follow up SSA state code | 1 | \checkmark | 1 | 1 | ~ |
| RXSTNAME Beneficiary's Follow Up SSA State Name | Char | 20 | Beneficiary's state name based on the follow up SSA state code | ~ | \checkmark | 1 | 1 | ~ |
| RXCOUNTY Beneficiary's Follow Up SSA County Code | Char | 3 | Beneficiary's SSA county code from the follow up member level record | <i>✓</i> | \checkmark | 1 | 1 | \checkmark |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set

[‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = Baseline R = Follow Up P = Performance Measurement | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|--------------|
| <i>RXCTNAME</i> Beneficiary's Follow Up SSA County Name | Char | 25 | Beneficiary's county name based on the follow up SSA county code | | 1 | 1 | 1 | ~ |
| <i>RXZIPCOD</i> Beneficiary's Follow Up ZIP Code | Char | 9 | Beneficiary's ZIP code from the follow up member level record | 1 | 1 | 1 | 1 | 1 |
| RXRACE Beneficiary's Follow Up Race (CMS) | Num | 3 | Beneficiary's race from the follow up member level record. This information is derived from CMS' EDB. 0 = Unknown 1 = White 2 = Black 3 = Other 4 = Asian 5 = Hispanic 6 = North American Native | | J | 1 | | ✓ |
| <i>RXGENDER</i> Beneficiary's Follow Up Gender (CMS) | Num | 3 | Beneficiary's gender from the follow up member level record. This information is derived from CMS' EDB. 1 = Male 2 = Female | ~ | ~ | 1 | 1 | ~ |
| <i>RXDOB</i> Beneficiary's Date of Birth | Char | 8 | Beneficiary's date of birth (DOB) from the follow up member level record. This information is derived from CMS' EDB. | 1 | 1 | 1 | 1 | \checkmark |
| <i>RXDOD</i> Beneficiary's Date of Death | Char | 8 | Beneficiary's date of death (DOD) from the follow up member level record. This information is derived from CMS' EDB. | 1 | 1 | 1 | 1 | ~ |
| <i>RXACCRDT</i> Beneficiary's Follow Up Accretion Date into Plan | Char | 8 | Beneficiary's accretion date into plan from the follow up member level record | | 1 | 1 | 1 | ~ |
| <i>RXTERMDT</i> Beneficiary's Follow Up Termination Date from Plan | Char | 8 | Beneficiary's termination date from plan from the follow up member level record | | 1 | 1 | 1 | ~ |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|----------|-----------------------------------|-----------------------------------|------------------------------------|
| <i>RXESRDID</i> Beneficiary's Follow Up ESRD Status | Num | 3 | Beneficiary's ESRD status at follow up. This information is derived from CMS' EDB. 0 = No ESRD 1 = ESRD | | ~ | ~ | ~ | ~ |
| <i>RXINSTUT</i> Beneficiary's Follow Up Institutional Status | Num | 3 | Beneficiary's institutional status at follow up. This information is derived from CMS' EDB. 0 = Out of institution 1 = Institutionalized 2 = Eligible for nursing home care | | <i>✓</i> | ✓ | <i>✓</i> | ~ |
| <i>RXHOSPIC</i> Beneficiary's Follow Up Hospice Status | Num | 3 | Beneficiary's hospice status at follow up. This information is derived from CMS' EDB. 0 = No hospice start date present 1 = Hospice start date present | | | | | |
| <i>RXMEDICD</i> Beneficiary's Follow Up Medicaid Status | Num | 3 | Beneficiary's Medicaid status at follow up. This information is derived from CMS' EDB. 0 = Out of Medicaid 1 = In Medicaid | 1 | √ | 1 | V | |
| <i>RXRSENT</i> Beneficiary's Follow Up Reason for Entitlement | Num | 3 | Beneficiary's reason for entitlement at follow up. This information is derived from CMS' EDB. 10 = Aged without ESRD 11 = Aged with ESRD 20 = Disabled without ESRD 21 = Disabled with ESRD 31 = ESRD only | | | | | |

* C1R1 = Cohort I Merged Baseline and Follow Up Limited Data Set † C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set ‡ C3R3 = Cohort III Merged Baseline and Follow Up Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|--------------|
| <i>RXPROTIF</i> Protocol Identifier Flag | Num | 3 | Beneficiary's survey protocol from the follow up member level record 1 = Follow up – no proxy at baseline 2 = Follow up – proxy at baseline 3 = Baseline 4 = Spanish 5 = PACE 6 = Chinese | N/A | ~ | ✓ | ✓ | \checkmark |
| <i>RXSURIND</i> Survey Indicator Variable | Num | 3 | Beneficiary was sampled for inclusion in: 1 = Baseline survey only 2 = Follow up survey only 3 = Both baseline and follow up surveys | N/A | N/A | 1 | 1 | ~ |
| <i>RXGENHTH</i> Follow Up Survey: General Health Question | Num | 3 | Beneficiary's response from the follow up survey: In general, would you say your health is: 1 = Excellent 2 = Very good 3 = Good 4 = Fair 5 = Poor | Q1 | Q1 | Q1 | Q1 | Q1 |
| <i>RXHTHTRN</i> Follow Up Survey: Health Transition Question | Num | 3 | Beneficiary's response from the follow up survey: Compared to one year ago, how would you rate your health in general now? 1 = Much better now than one year ago 2 = Somewhat better now than one year ago 3 = About the same as one year ago 4 = Somewhat worse now than one year ago 5 = Much worse now than one year ago | Q2 | Q2 | Q2 | Q2 | Q2 |

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| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----|------------------------------------|
| RXVIGACT Follow Up Survey: Vigorous Activities Question | Num | 3 | Beneficiary's response from the follow up survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? Vigorous activities, such as running, lifting heavy objects, participating in strenuous sports 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3a | Q3a | Q3a | Q3a | Q3a |
| <i>RXMODACT</i> Follow Up Survey: Moderate Activities Question | Num | 3 | Beneficiary's response from the follow up survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? <u>Moderate activities, such as moving a</u> table, pushing a vacuum cleaner, bowling, or playing golf 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3b | Q3b | Q3b | Q3b | Q3b |
| <i>RXLIFT</i> Follow Up Survey: Lifting Groceries Question | Num | 3 | Beneficiary's response from the follow up survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? Lifting or carrying groceries 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3c | Q3c | Q3c | Q3c | Q3c |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set

[‡] C3R3 = Cohort III Merged Baseline and Follow $\hat{U}p$ Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = Performance Measurement | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----|------------------------------------|
| <i>RXCLMBSV</i> Follow Up Survey: Climbing Several Flights of Stairs Question | Num | 3 | Beneficiary's response from the follow up survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? Climbing several flights of stairs 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3d | Q3d | Q3d | Q3d | Q3d |
| <i>RXCLMBON</i> Follow Up Survey: Climbing One Flight of Stairs Question | Num | 3 | Beneficiary's response from the follow up survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? <u>Climbing one flight of stairs</u> 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3e | Q3e | Q3e | Q3e | Q3e |
| <i>RXBEND</i> Follow Up Survey: Bending, Kneeling, or Stooping Question | Num | 3 | Beneficiary's response from the follow up survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? Bending, kneeling, or stooping 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3f | Q3f | Q3f | Q3f | Q3f |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = Performance Measurement | FIELD Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| <i>RXWLKMI</i> Follow Up Survey: Walking More than a Mile Question | Num | 3 | Beneficiary's response from the follow up survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? <u>Walking more than a mile</u> 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3g | Q3g | Q3g | Q3g | Q3g |
| <i>RXWLKBKS</i> Follow Up Survey: Walking Several Blocks Question | Num | 3 | Beneficiary's response from the follow up survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? <u>Walking several blocks</u> 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3h | Q3h | Q3h | Q3h | Q3h |
| <i>RXWLK1BK</i> Follow Up Survey: Walking One Block Question | Num | 3 | Beneficiary's response from the follow up survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? <u>Walking one block</u> 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3i | Q3i | Q3i | Q3i | Q3i |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|---|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| <i>RXBATHDR</i> Follow Up Survey: Bathing and Dressing Question | Num | 3 | Beneficiary's response from the follow up survey: The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much? Bathing or dressing yourself 1 = Yes, limited a lot 2 = Yes, limited a little 3 = No, not limited at all | Q3j | Q3j | Q3j | Q3j | Q3j |
| <i>RXPCUTTM</i> Follow Up Survey: Physical Health Limiting Time Spent on Activities Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks , have you had any of the following problems with your work or other regular daily activities as a result of your physical health ? <u>Cut down on the amount of time you spent on work or other activities 1 = Yes 2 = No</u> | Q4a | Q4a | Q4a | Q4a | Q4a |
| <i>RXPACMPL</i> Follow Up Survey: Physical Health Limiting Amount Accomplished Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of your physical health? <u>Accomplished less than you would like</u> 1 = Yes 2 = No | Q4b | Q4b | Q4b | Q4b | Q4b |

* C1R1 = Cohort I Merged Baseline and Follow Up Limited Data Set † C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set ‡ C3R3 = Cohort III Merged Baseline and Follow Up Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | ${}^{IN}_{C2R2^{\dagger}}$ | Fields IN C3R3 [‡] | IN C4R4 [§] | $\begin{array}{c} \text{FIELDS} \\ \text{IN} \\ \text{C5R5}^{\parallel} \end{array}$ |
|--|---------------|-----------------|---|-----------------------------------|----------------------------|-----------------------------------|-------------------------|--|
| <i>RXPLMTKW</i> Follow Up Survey: Physical Health Limiting the Kind of Activities Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of your physical health? Were limited in the kind of work or other activities 1 = Yes 2 = No | Q4c | Q4c | Q4c | Q4c | Q4c |
| <i>RXPDIFWK</i> Follow Up Survey: Physical Health Causing Difficulty Performing Activities Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks , have you had problems with your work or other regular daily activities as a result of your physical health ? <u>Had difficulty performing the work or other activities(for example, it took extra <u>effort)</u> 1 = Yes 2 = No</u> | Q4d | Q4d | Q4d | Q4d | Q4d |
| RXECUTTM Follow Up Survey: Emotional Problems Limiting Time Spent on Activities Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of any emotional problems (such as feeling depressed or anxious)? Cut down on the amount of time you spent on work or other activities 1 = Yes 2 = No | Q5a | Q5a | Q5a | Q5a | Q5a |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD TYPE | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|---|---------------|-----------------|--|-----------------------------------|-----|-----------------------------------|-----|------------------------------------|
| RXEACMPL Follow Up Survey: Emotional Problems Limiting Amount Accomplished Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks , have you had any of the following problems with your work or other regular daily activities as a result of any emotional problems (such as feeling depressed or anxious)? <u>Accomplished less than you would like</u> 1 = Yes 2 = No | Q5b | Q5b | Q5b | Q5b | Q5b |
| RXENTCRF Follow Up Survey: Emotional Problems Limiting Carefulness Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of any emotional problems (such as feeling depressed or anxious)? <u>Didn't do work or other</u> <u>activities as carefully as usual</u> 1 = Yes 2 = No | Q5c | Q5c | Q5c | Q5c | Q5c |
| RXSOCLMT Follow Up Survey: Extent Health Interfering with Social Activities Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks , to what extent has your physical health or emotional problems interfered with your normal social activities with family, friends, neighbors, or groups? 1 = Not at all 2 = Slightly 3 = Moderately 4 = Quite a bit 5 = Extremely | Q6 | Q6 | Q6 | Q6 | Q6 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD TYPE | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|----|------------------------------------|
| <i>RXPNMAGT</i> Follow Up Survey: Bodily Pain Question | Num | 3 | Beneficiary's response from the follow up survey: How much bodily pain have you had during the past 4 weeks? 1 = None 2 = Very mild 3 = Mild 4 = Moderate 5 = Severe 6 = Very severe | Q7 | Q7 | Q7 | Q7 | Q7 |
| <i>RXPNINTF</i> Follow Up Survey: Pain Interfering with Work Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks , how much did pain interfere with your normal work (including both work outside the home and housework)? 1 = Not at all 2 = A little bit 3 = Moderately 4 = Quite a bit 5 = Extremely | Q8 | Q8 | Q8 | Q8 | Q8 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----|------------------------------------|
| <i>RXFULPEP</i> Follow Up Survey: Full of Pep Question | Num | 3 | Beneficiary's response from the follow up survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Did you feel full of pep?</u> 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9a | Q9a | Q9a | Q9a | Q9a |
| <i>RXNERVS</i> Follow Up Survey: Nervous Question | Num | 3 | Beneficiary's response from the follow up survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Have you been a very nervous person</u> ? 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9b | Q9b | Q9b | Q9b | Q9b |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----|------------------------------------|
| RXDNDMPS Follow Up Survey: Down in the Dumps Question | Num | 3 | Beneficiary's response from the follow up survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Have you felt so down in the dumps</u> <u>that nothing could cheer you up</u> ? 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9c | Q9c | Q9c | Q9c | Q9c |
| <i>RXPCEFUL</i> Follow Up Survey: Calm and Peaceful Question | Num | 3 | Beneficiary's response from the follow up survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Have you felt calm and peaceful</u> ? 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9d | Q9d | Q9d | Q9d | Q9d |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----|------------------------------------|
| RXENERGY Follow Up Survey: Lots of Energy Question | Num | 3 | Beneficiary's response from the follow up survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Did you have a lot of energy</u> ? 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9e | Q9e | Q9e | Q9e | Q9e |
| <i>RXBLSAD</i> Follow Up Survey: Downhearted and Blue Question | Num | 3 | Beneficiary's response from the follow up survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Have you felt downhearted and blue</u> ? 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9f | Q9f | Q9f | Q9f | Q9f |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| RXWRNOUT Follow Up Survey: Feeling Worn Out Question | Num | 3 | Beneficiary's response from the follow up survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Did you feel worn out</u> ? 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9g | Q9g | Q9g | Q9g | Q9g |
| <i>RXHAPPY</i> Follow Up Survey: Happy Question | Num | 3 | Beneficiary's response from the follow up survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Have you been a happy person</u> ? 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9h | Q9h | Q9h | Q9h | Q9h |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|---|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| RXTIRED Follow Up Survey: Feeling Tired Question | Num | 3 | Beneficiary's response from the follow up survey: These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling. How much of the time during the past 4 weeks <u>Did you feel tired</u> ? 1 = All of the time 2 = Most of the time 3 = A good bit of the time 4 = Some of the time 5 = A little of the time 6 = None of the time | Q9i | Q9i | Q9i | Q9i | Q9i |
| RXSCLACT Follow Up Survey: Amount of Time Health Interfering with Social Activities Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks, how much of the time has your physical health or emotional problems interfered with your social activities (like visiting with friends, relatives, etc)? 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q10 | Q10 | Q10 | Q10 | Q10 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|--|-----------------------------------|------|-----------------------------------|-----------------------------------|------|
| RXSCKESY Follow Up Survey: Sick Easier Question | Num | 3 | Beneficiary's response from the follow up survey: How TRUE or FALSE is each of the following statements for you? <u>I seem to get sick a little</u> <u>easier than other people</u> 1 = Definitely true 2 = Mostly true 3 = Don't know 4 = Mostly false 5 = Definitely false | Q11a | Q11a | Q11a | Q11a | Q11a |
| <i>RXASHLTH</i> Follow Up Survey: As Healthy Question | Num | 3 | Beneficiary's response from the follow up survey: How TRUE or FALSE is each of the following statements for you? <u>I am as healthy as anybody I know</u> 1 = Definitely true 2 = Mostly true 3 = Don't know 4 = Mostly false 5 = Definitely false | Q11b | Q11b | Q11b | Q11b | Q11b |
| <i>RXHTHWSE</i> Follow Up Survey: Future Health Question | Num | 3 | Beneficiary's response from the follow up survey: How TRUE or FALSE is each of the following statements for you? <u>I expect my health to get worse</u> 1 = Definitely true 2 = Mostly true 3 = Don't know 4 = Mostly false 5 = Definitely false | Q11c | Q11c | Q11c | Q11c | Q11c |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD TYPE | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------|
| RXHTHEXT Follow Up Survey: Excellent Health Question | Num | 3 | Beneficiary's response from the follow up survey: How TRUE or FALSE is each of the following statements for you? <u>My health is excellent</u> 1 = Definitely true 2 = Mostly true 3 = Don't know 4 = Mostly false 5 = Definitely false | Q11d | Q11d | Q11d | Q11d | Q11d |
| <i>RXDIFBTH</i> Follow Up Survey: Bathing Question | Num | 3 | Beneficiary's response from the follow up survey: Because of a health or physical problem, do you have any difficulty doing the following activities? Bathing 1 = I am unable to do this activity 2 = Yes, I have difficulty 3 = No, I do not have difficulty | Q12a | Q12a | Q12a | Q12a | Q12a |
| <i>RXDIFDRS</i> Follow Up Survey: Dressing Question | Num | 3 | Beneficiary's response from the follow up survey: Because of a health or physical problem, do you have any difficulty doing the following activities? <u>Dressing</u> 1 = I am unable to do this activity 2 = Yes, I have difficulty 3 = No, I do not have difficulty | Q12b | Q12b | Q12b | Q12b | Q12b |

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|------|------------------------------------|
| RXDIFEAT Follow Up Survey: Eating Question | Num | 3 | Beneficiary's response from the follow up survey: Because of a health or physical problem, do you have any difficulty doing the following activities? <u>Eating</u> 1 = I am unable to do this activity 2 = Yes, I have difficulty 3 = No, I do not have difficulty | Q12c | Q12c | Q12c | Q12c | Q12c |
| <i>RXDIFCHR</i> Follow Up Survey: Getting In/Out of Chairs Question | Num | 3 | Beneficiary's response from the follow up survey: Because of a health or physical problem, do you have any difficulty doing the following activities? Getting in or out of chairs 1 = I am unable to do this activity 2 = Yes, I have difficulty 3 = No, I do not have difficulty | Q12d | Q12d | Q12d | Q12d | Q12d |
| RXDIFWLK Follow Up Survey: Walking Question | Num | 3 | Beneficiary's response from the follow up survey: Because of a health or physical problem, do you have any difficulty doing the following activities? <u>Walking</u> 1 = I am unable to do this activity 2 = Yes, I have difficulty 3 = No, I do not have difficulty | Q12e | Q12e | Q12e | Q12e | Q12e |

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|---|-------------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|------|------------------------------------|
| <i>RXDIFTOL</i> Follow Up Survey: Using the Toilet Question | Num | 3 | Beneficiary's response from the follow up survey: Because of a health or physical problem, do you have any difficulty doing the following activities? <u>Using the toilet</u> 1 = I am unable to do this activity 2 = Yes, I have difficulty 3 = No, I do not have difficulty | Q12f | Q12f | Q12f | Q12f | Q12f |
| <i>RXPHYHTH</i> Follow Up Survey: Number of Days Physical Health Not Good Question | Char or Num | 2 or 3 | Beneficiary's response from the follow up survey: Now, thinking about your physical health, which includes physical illness and injury, for how many days during the past 30 days was your physical health not good? * In Cohort IV Follow Up survey, this field is character with length 2; In Cohort V Follow Up survey, this field is numeric with length 3 | N/A | N/A | N/A | Q13 | Q13 |
| <i>RXMENHTH</i> Follow Up Survey: Number of Days Mental Health Not Good Question | Char or Num | 2 or 3 | Beneficiary's response from the follow up survey: Now, thinking about your mental health, which includes stress, depression, and problems with emotions, for how many days during the past 30 days was your mental health not good? | N/A | N/A | N/A | Q14 | Q14 |
| | | | * In <i>Cohort IV Follow Up</i> survey, this field is character with length 2; In <i>Cohort V Follow Up</i> survey, this field is numeric with length 3 | | | | | |

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|---|-------------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| RXPORHTH Follow Up Survey: Number of Days Health Interfered with Daily Activity Question | Char or Num | 2 or 3 | Beneficiary's response from the follow up survey: During the past 30 days , for about how many days did poor physical or mental health keep you from doing your usual activities, such as self-care, work, or recreation? * In Cohort IV Follow Up survey, this field is character with length 2; In Cohort V Follow Up survey, this field is numeric with length 3 | N/A | N/A | N/A | Q15 | Q15 |
| <i>RXCPNEXR</i> Follow Up Survey: Chest Pain/Pressure on Exertion Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks , how often have you had any of the following problems? <u>Chest pain or pressure when you exercise</u> 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q13a | Q13a | Q13a | Q16a | Q16a |
| <i>RXCPNRST</i> Follow Up Survey: Chest Pain/Pressure at Rest Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks , how often have you had any of the following problems? Chest pain or pressure when resting 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q13b | Q13b | Q13b | Q16b | Q16b |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------|
| RXSOBFLT Follow Up Survey: Orthopnea Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks , how often have you felt short of breath under the following conditions? <u>When lying down flat</u> 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q14a | Q14a | Q14a | Q17a | Q17a |
| <i>RXSOBSIT</i> Follow Up Survey: Dyspnea while Sitting/Resting Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks , how often have you felt short of breath under the following conditions? <u>When sitting or resting</u> 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q14b | Q14b | Q14b | Q17b | Q17b |
| <i>RXSOBWLK</i> Follow Up Survey: Dyspnea when Walking Less than One Block Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks , how often have you felt short of breath under the following conditions? <u>When walking less than one block</u> 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q14c | Q14c | Q14c | Q17c | Q17c |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = Baseline R = Follow Up P = Performance Measurement | FIELD TYPE | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|---|-----------------------------------|------|-----------------------------------|-----------------------------------|------|
| <i>RXSOBSTR</i> Follow Up Survey: Dyspnea when Climbing One Flight of Stairs Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks , how often have you felt short of breath under the following conditions? <u>When climbing one flight of stairs</u> 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q14d | Q14d | Q14d | Q17d | Q17d |
| <i>RXNMBFET</i> Follow Up Survey: Numbness in Feet Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks , how much of the time have you had any of the following problems with your legs and feet? <u>Numbness or loss of feeling in your feet</u> 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q15a | Q15a | Q15a | Q18a | Q18a |
| RXANKSWL Follow Up Survey: Ankle/Leg Edema Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks , how much of the time have you had any of the following problems with your legs and feet? <u>Ankles or legs that swell as the day goes on</u> 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q15b | Q15b | Q15b | N/A | N/A |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD TYPE | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|---|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| RXTINGFT Follow Up Survey: Foot Tingling/Burning Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks , how much of the time have you had any of the following problems with your legs and feet? <u>Tingling or burning sensation in your</u> <u>feet especially at night</u> 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q15c | Q15c | Q15c | Q18b | Q18b |
| <i>RXDECSNS</i> Follow Up Survey: Decreased Temperature Sensation in Feet Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks , how much of the time have you had any of the following problems with your legs and feet? Decreased ability to feel hot or cold with your feet 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q15d | Q15d | Q15d | Q18c | Q18c |
| <i>RXDECHEL</i> Follow Up Survey: Sores/Wounds on Feet Question | Num | 3 | Beneficiary's response from the follow up survey: During the past 4 weeks , how much of the time have you had any of the following problems with your legs and feet? <u>Sores or wounds on your</u> <u>feet that did not heal</u> 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q15e | Q15e | Q15e | Q18d | Q18d |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set

[‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD TYPE | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|------|-----------------------------------|------|------------------------------------|
| RXPARLYS Follow Up Survey: Hemiparalysis/Weakness Question | Num | 3 | Beneficiary's response from the follow up survey: Have you ever had paralysis or weakness on one side of the body? 1 = Yes, I have it 2 = Yes, but it went away 3 = No | Q16a | Q16a | Q16a | Q19a | Q19a |
| <i>RXLSTTLK</i> Follow Up Survey: Lost Ability to Talk Question | Num | 3 | Beneficiary's response from the follow up survey: <i>Have you ever lost the ability to talk?</i> 1 = Yes, I have lost it 2 = Yes, but it returned 3 = No | Q16b | Q16b | Q16b | Q19b | Q19b |
| RXRDNEWP Follow Up Survey: Vision Question | Num | 3 | Beneficiary's response from the follow up survey: Can you see well enough to read newspaper print (with your glasses or contacts if that's how you see best)? 1 = Yes 2 = No | Q17 | Q17 | Q17 | Q20 | Q20 |
| RXHRMOST Follow Up Survey: Hearing Question | Num | 3 | Beneficiary's response from the follow up survey: Can you hear most of the things people say (with a hearing aid if that's how you hear best)? 1 = Yes 2 = No | Q18 | Q18 | Q18 | Q21 | Q21 |
| RXACDING Follow Up Survey: Acid Indigestion Question | Num | 3 | Beneficiary's response from the follow up survey: Do you now have acid indigestion or heartburn? 1 = Yes 2 = No | Q19 | Q19 | Q19 | N/A | N/A |

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| FIELD NAME / DESCRIPTION C = Baseline R = Follow Up P = Performance Measurement | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|-----|
| <i>RXCTRURN</i> Follow Up Survey: Difficulty Controlling Urination Question | Num | 3 | Beneficiary's response from the follow up survey: Do you have difficulty controlling urination? 1 = Yes 2 = No | Q20 | Q20 | Q20 | Q22 | Q22 |
| <i>RXHIGHBP</i> Follow Up Survey: Hypertension Question | Num | 3 | Beneficiary's response from the follow up survey: Has a doctor ever told you that you had: <u>Hypertension or high blood pressure</u> 1 = Yes 2 = No | Q21 | Q21 | Q21 | Q23 | Q23 |
| RXANGCAD Follow Up Survey: Angina/Coronary Artery Disease Question | Num | 3 | Beneficiary's response from the follow up survey: Has a doctor ever told you that you had: <u>Angina or coronary artery disease</u> 1 = Yes 2 = No | Q22 | Q22 | Q22 | Q24 | Q24 |
| <i>RXCHF</i> Follow Up Survey: Congestive Heart Failure Question | Num | 3 | Beneficiary's response from the follow up survey: Has a doctor ever told you that you had: <u>Congestive heart failure</u> 1 = Yes 2 = No | Q23 | Q23 | Q23 | Q25 | Q25 |
| <i>RXAMI</i> Follow Up Survey: Myocardial Infarction Question | Num | 3 | Beneficiary's response from the follow up survey: Has a doctor ever told you that you had: <u>A myocardial infarction or heart attack</u> 1 = Yes 2 = No | Q24 | Q24 | Q24 | Q26 | Q26 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|-----|
| <i>RXOTHHRT</i> Follow Up Survey: Other Heart Conditions Question | Num | 3 | Beneficiary's response from the follow up survey: Has a doctor ever told you that you had: Other heart conditions, such as problems with heart valves or the rhythm of your heartbeat 1 = Yes 2 = No | Q25 | Q25 | Q25 | Q27 | Q27 |
| RXSTROKE Follow Up Survey: Stroke Question | Num | 3 | Beneficiary's response from the follow up survey: Has a doctor ever told you that you had: <u>A Stroke</u> 1 = Yes 2 = No | Q26 | Q26 | Q26 | Q28 | Q28 |
| RXCOPD_E Follow Up Survey: COPD Question | Num | 3 | Beneficiary's response from the follow up survey: Has a doctor ever told you that you had: <u>Emphysema, or asthma, or COPD</u> 1 = Yes 2 = No | Q27 | Q27 | Q27 | Q29 | Q29 |
| RXGI_ETC Follow Up Survey: Inflammatory Bowel Disease Question | Num | 3 | Beneficiary's response from the follow up survey: Has a doctor ever told you that you had: <u>Crohn's disease, ulcerative colitis,</u> <u>or inflammatory bowel disease</u> 1 = Yes 2 = No | Q28 | Q28 | Q28 | Q30 | Q30 |
| <i>RXATHHIP</i> Follow Up Survey: Arthritis of Hip/Knee Question | Num | 3 | Beneficiary's response from the follow up survey: Has a doctor ever told you that you had: <u>Arthritis of the hip or knee</u> 1 = Yes 2 = No | Q29 | Q29 | Q29 | Q31 | Q31 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----|------------------------------------|
| <i>RXATHHAN</i> Follow Up Survey: Arthritis of Hand/Wrist Question | Num | 3 | Beneficiary's response from the follow up survey: Has a doctor ever told you that you had: <u>Arthritis of the hand or wrist</u> 1 = Yes 2 = No | Q30 | Q30 | Q30 | Q32 | Q32 |
| RXSCIATC Follow Up Survey: Sciatica Question | Num | 3 | Beneficiary's response from the follow up survey: Has a doctor ever told you that you had: Sciatica 1 = Yes 2 = No | Q31 | Q31 | Q31 | Q33 | Q33 |
| <i>RXDIABET</i> Follow Up Survey: Diabetes Question | Num | 3 | Beneficiary's response from the follow up survey: Has a doctor ever told you that you had: <u>Diabetes, high blood sugar,</u> or sugar in the urine 1 = Yes 2 = No | Q32 | Q32 | Q32 | Q34 | Q34 |
| RXANYCAN Follow Up Survey: Any Cancer Question | Num | 3 | Beneficiary's response from the follow up survey: Has a doctor ever told you that you had: <u>Any cancer (other than skin cancer)</u> 1 = Yes 2 = No | Q33 | Q33 | Q33 | Q35 | Q35 |

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| RXARTHPN Follow Up Survey: Arthritis Pain Question | Num | 3 | Beneficiary's response from the follow up survey: If you answered "yes" to questions 31 or 32* (29 or 30)** above (that you have arthritis), During the past 4 weeks, how would you describe the arthritis pain you usually had? 1 = None 2 = Very mild 3 = Mild 4 = Moderate 5 = Severe | Q34 | Q34 | Q34 | Q36 | Q36 |
| | | | * 31 or 32 in <i>Cohort IV</i> and <i>Cohort V Follow</i> Up survey ** 29 or 30 in <i>Cohort I, Cohort II</i> and <i>Cohort III</i> <i>Follow Up</i> survey | | | | | |
| <i>RXCOLNCA</i> Follow Up Survey: Colorectal Cancer Treatment Question | Num | 3 | Beneficiary's response from the follow up survey: If you answered "yes" to question 35* (or 33**) above (that you have had cancer) Are you currently under treatment for: <u>Colon or rectal cancer</u> 1 = Yes 2 = No * 35 in Cohort IV and Cohort V Follow Up survey ** 33 in Cohort I, Cohort II and Cohort III Follow Up survey | Q35a | Q35a | Q35a | Q37a | Q37a |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|------|------------------------------------|
| RXLUNGCA Follow Up Survey: Lung Cancer Treatment Question | Num | 3 | Beneficiary's response from the follow up survey: <i>If you answered "yes" to question 35* (or</i> <i>33**) above (that you have had cancer)</i> <i>Are you currently under treatment for:</i> <u>Lung cancer</u> 1 = Yes 2 = No * 35 in <i>Cohort IV</i> and <i>Cohort V Follow Up</i> survey ** 33 in <i>Cohort I, Cohort II</i> and <i>Cohort III</i> <i>Follow Up</i> survey | Q35b | Q35b | Q35b | Q37b | Q37b |
| RXBRSTCA Follow Up Survey: Breast Cancer Treatment Question | Num | 3 | Beneficiary's response from the follow up survey: <i>If you answered "yes" to question 35* (or</i> <i>33**) above (that you have had cancer)</i> <i>Are you currently under treatment for:</i> <u>Breast cancer</u> 1 = Yes 2 = No * 35 in <i>Cohort IV</i> and <i>Cohort V Follow Up</i> survey ** 33 in <i>Cohort I, Cohort II</i> and <i>Cohort III</i> <i>Follow Up</i> survey | Q35c | Q35c | Q35c | Q37c | Q37c |

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD TYPE | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------|
| RXPROSCA Follow Up Survey: Prostate Cancer Treatment Question | Num | 3 | Beneficiary's response from the follow up survey: If you answered "yes" to question 35* (or 33**) above (that you have had cancer) Are you currently under treatment for: <u>Prostate cancer</u> 1 = Yes 2 = No * 35 in Cohort IV and Cohort V Follow Up survey ** 33 in Cohort I, Cohort II and Cohort III Follow Up survey | Q35d | Q35d | Q35d | Q37d | Q37d |
| <i>RXBACKPN</i> Follow Up Survey: Low Back Pain Question | Num | 3 | Beneficiary's response from the follow up survey: In the past 4 weeks , how often has low back pain interfered with your usual daily activities (work, school or housework)? 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q36 | Q36 | Q36 | Q38 | Q38 |
| <i>RXNUMBLG</i> Follow Up Survey: Pain, Numbness, Tingling Down Leg Question | Num | 3 | Beneficiary's response from the follow up survey: In the past 4 weeks , how often did you have pain, numbness or tingling that travels down your leg and below your knee? 1 = All of the time 2 = Most of the time 3 = Some of the time 4 = A little of the time 5 = None of the time | Q37 | Q37 | Q37 | N/A | N/A |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----|------------------------------------|
| <i>RXFELTSD</i> Follow Up Survey: Two Weeks of Depression Question | Num | 3 | Beneficiary's response from the follow up survey: In the past year , have you had 2 weeks or more during which you felt sad, blue or depressed; or when you lost interest or pleasure in things that you usually cared about or enjoyed? 1 = Yes 2 = No | Q38 | Q38 | Q38 | Q39 | Q39 |
| <i>RXDEPMCH</i> Follow Up Survey: Depression Much of the Time Question | Num | 3 | Beneficiary's response from the follow up survey: In the past year , have you felt depressed or sad much of the time? 1 = Yes 2 = No | Q39 | Q39 | Q39 | Q40 | Q40 |
| <i>RXDEP2YR</i> Follow Up Survey: Depression Most of the Time Question | Num | 3 | Beneficiary's response from the follow up survey: Have you ever had 2 years or more in your life when you felt depressed or sad most days, even if you felt okay sometimes? 1 = Yes 2 = No | Q40 | Q40 | Q40 | Q41 | Q41 |
| <i>RXCMPHTH</i> Follow Up Survey: Comparative Health Question | Num | 3 | Beneficiary's response from the follow up survey: In general, compared to other people your age, would you say that your health is: 1 = Excellent 2 = Very good 3 = Good 4 = Fair 5 = Poor | Q41 | Q41 | Q41 | Q42 | Q42 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----|------------------------------------|
| RXSMK100 Follow Up Survey: Smoked 100 Cigarettes Question | Num | 3 | Beneficiary's response from the follow up survey: Have you ever <u>smoked</u> at least 100 cigarettes in your entire life? 1 = Yes (Go to Q43) 2 = No (Go to Q46) 3 = Don't know (Go to Q46) | Q42 | Q42 | Q42 | N/A | N/A |
| <i>RXSMKFRQ</i> Follow Up Survey: Current Smoker Question | Num | 3 | Beneficiary's response from the follow up survey: Do you now smoke every day, some days, or not at all? 1 = Every day (Go to Q45)* 2 = Some days (Go to Q45)* 3 = Not at all (Go to Q44)* 4 = Don't know (Go to Q46)* * The contents included in the parentheses only appeared in Cohort I, II and III Follow Up survey | Q43 | Q43 | Q43 | Q43 | Q43 |
| <i>RXDRSQT</i> Follow Up Survey: Quit Smoking Question | Num | 3 | Beneficiary's response from the follow upsurvey:How long has it been since you quitsmoking cigarettes?1 = Less than 6 months (Go to Q45)2 = 6 months or more (Go to Q46)3 = Don't know (Go to Q46) | Q44 | Q44 | Q44 | N/A | N/A |

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|-----|
| <i>RXQSMKAD</i> Follow Up Survey: Smoking Advice Question | Num | 3 | Beneficiary's response from the follow up survey: In the last 6 months, on how many visits were you <u>advised to quit</u> smoking by a doctor or other health provider in your plan? 1 = None 2 = 1 visit 3 = 2 to 4 visits 4 = 5 to 9 visits 5 = 10 or more visits 6 = I had no visits in the last 6 months | Q45 | Q45 | Q45 | N/A | N/A |
| <i>RXURNLKG</i> Follow Up Survey: Urine Leakage Question | Num | 3 | Beneficiary's response from the follow up survey: Many people experience problems with urinary incontinence, the leakage of urine. In the last 6 months , have you accidentally leaked urine? 1 = Yes (Go to Q45) 2 = No (Go to Q48) | N/A | N/A | N/A | Q44 | Q44 |
| <i>RXURNMAG</i> Follow Up Survey: Magnitude of Urine Leakage Problem Question | Num | 3 | Beneficiary's response from the follow up survey: How much of a problem, if any, was the urine leakage for you? 1 = A big problem (Go to Q46) 2 = A small problem (Go to Q46) 3 = Not a problem (Go to Q48) | N/A | N/A | N/A | Q45 | Q45 |
| RXURNDOC Follow Up Survey: Talked with Doctor about Urine Leakage Question | Num | 3 | Beneficiary's response from the follow up survey: Have you talked with your current doctor or other health provider about your urine leakage problem? 1 = Yes (Go to Q47) 2 = No (Go to Q48) | N/A | N/A | N/A | Q46 | Q46 |

[†] C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set

[‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = Baseline R = Follow Up P = Performance Measurement | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|-----|
| <i>RXURNTRT</i> Follow Up Survey: Received Treatment for Urine Leakage Question | Num | 3 | Beneficiary's response from the follow up survey: There are many ways to treat urinary incontinence including bladder training, exercises, medication and surgery. Have you received these or any other treatments for your current urine leakage problem? 1 = Yes 2 = No | N/A | N/A | N/A | Q47 | Q47 |
| <i>RXBRTHYR</i> Follow Up Survey: Survey Reported Year of Birth Question | Char | 4 | Beneficiary's response from the follow up survey: In what year were you born? | Q46 | Q46 | Q46 | Q48 | Q48 |
| RXSV_GND Follow Up Survey: Survey Reported Gender Question | Num | 3 | Beneficiary's response from the follow up survey: Are you male or female? 1 = Male 2 = Female | Q47 | Q47 | Q47 | Q49 | Q49 |
| RXHISPAN Follow Up Survey: Hispanic Question | Num | 3 | Beneficiary's response from the follow up survey: Are you of Hispanic or Spanish family background? 1 = Yes 2 = No | Q48 | Q48 | Q48 | Q50 | Q50 |
| RXSV_RAC Follow Up Survey: Survey Reported Race Question | Num | 3 | Beneficiary's response from the follow up survey: How would you describe your race? 1 = American Indian or Alaskan Native 2 = Asian or Pacific Islander 3 = Black or African American 4 = White 5 = Another race or multiracial | Q49 | Q49 | Q49 | Q51 | Q51 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = Baseline R = Follow Up P = Performance Measurement | FIELD TYPE | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----|-----------------------------------|-----|------------------------------------|
| RXMARITL Follow Up Survey: Marital Status Question | Num | 3 | Beneficiary's response from the follow up survey: What is your current marital status? 1 = Married 2 = Divorced 3 = Separated 4 = Widowed 5 = Never married | Q50 | Q50 | Q50 | Q52 | Q52 |
| RXEDUC Follow Up Survey: Education Question | Num | 3 | Beneficiary's response from the follow up survey: What is the highest grade or level of school that you have completed? 1 = 8 th grade or less 2 = Some high school, but did not graduate 3 = High school graduate or GED 4 = Some college or 2 year degree 5 = 4 year college graduate 6 = More than a 4 year college degree | Q51 | Q51 | Q51 | Q53 | Q53 |
| RXHMOWN Follow Up Survey: Housing Question | Num | 3 | Beneficiary's response from the follow up survey: Is the house or apartment you currently live in: = Owned or being bought by you = Owned or being bought by someone in your family other than you = Rented for money = Not owned and one in which you live without payment of rent = None of the above | Q52 | Q52 | Q52 | Q54 | Q54 |
| RXRTRCOM Follow Up Survey: Retirement Community Question | Num | 3 | Beneficiary's response from the follow up survey: Is this house or apartment in a retirement community, building or complex? 1 = Yes 2 = No | Q53 | Q53 | Q53 | N/A | N/A |

[†] C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set

[‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

[§] C4R4 = *Cohort IV Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| RXMDSVPV Follow Up Survey: Retirement Community Medical Services Question | Num | 3 | Beneficiary's response from the follow up survey: <i>If you answered "yes" to question 53</i> <i>above,</i> <i>Does this retirement community/building/</i> <i>facility provide medical services</i> ? 1 = Yes 2 = No | Q54 | Q54 | Q54 | N/A | N/A |
| <i>RXWHOCMP</i> Follow Up Survey: Who Completed this Survey Question | Num | 3 | Beneficiary's response from the follow up survey: Who completed this survey form? 1 = Person to whom survey was addressed (Go to Q57) 2 = Family member or relative of person to whom the survey was addressed 3 = Friend of person to whom the survey was addressed 4 = Professional caregiver of person to whom the survey was addressed | Q55 | Q55 | Q55 | Q55 | Q55 |
| RXHHINC Follow Up Survey: Household Income Question | Num | 3 | Beneficiary's response from the follow up survey: Which of the following categories best represents the combined income for all family members in your household for the past 12 months? 1 = Less than \$5,000 2 = \$5,000 - \$9,999 3 = \$10,000 - \$19,999 4 = \$20,000 - \$19,999 4 = \$20,000 - \$29,999 5 = \$30,000 - \$39,999 6 = \$40,000 - \$49,999 7 = \$50,000 - \$79,999 8 = \$80,000 - \$99,999 9 = \$100,000 or more 10 = Don't know | Q57 | Q57 | Q57 | Q57 | Q57 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set

[‡] C3R3 = Cohort III Merged Baseline and Follow $\hat{U}p$ Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| RXSRVDSP Disposition of Follow Up Survey | Char | 3 | Survey disposition at follow up ("M" prefix=Mail, "T" prefix=Telephone) M10/T10 = Complete survey (80-100% complete) M11/T11 = Nonresponse: partial complete survey (50-79% complete) M20/T20 = Ineligible: deceased M21/T21 = Ineligible: not enrolled in MCO M22/T22 = Ineligible: end stage renal disease M23/T23 = Ineligible: language barrier T24 = Ineligible: bad address AND non-working/unlisted phone number or member is unknown at the dialed phone number M31/T31 = Nonresponse: break-off (0- 49% complete) M32/T32 = Nonresponse: refusal M33/T33 = Nonresponse: respondent unavailable M34/T34 = Nonresponse: respondent physically or mentally incapacitated M35/T35 = Nonresponse: respondent institutionalized M36/T36 = Nonresponse: after maximum attempts | | | | | |

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|---|-----------------------------------|--------------|-----------------------------------|-----------------------------------|----|
| RXRNDNUM Round Completed Follow Up Survey Obtained | Char | 2 | Round in which the completed survey was obtained: $M1 = 1^{st}$ mailing $M2 = 2^{nd}$ mailing $T1 = 1^{st}$ telephone $T2 = 2^{nd}$ telephone $T3 = 3^{rd}$ telephone $T4 = 4^{th}$ telephone $T5 = 5^{th}$ telephone $T6 = 6^{th}$ telephone MT = Partially completed by mail and converted to complete by telephone MM = Partially completed by mail and converted to complete by mail and converted to complete by mail and converted to complete by mail MM = Partially completed by mail and converted to complete by mail recontact NC = Not completed (used for members with disposition codes NOT equal to M10 or T10) | | | | | |
| <i>RXSVLANG</i> Survey Language at Follow Up | Num | 3 | Follow up Survey Language 1 = English 2 = Spanish 3 = Not Applicable 4 = Chinese | | ~ | ~ | 1 | ~ |
| <i>RXVUCATI</i> Vendor's Follow Up Unique Computer Assisted Telephone Interview (CATI) Interviewer ID | Char | 8 | Vendor's 8-digit unique CATI interview ID at follow up | N/A | \checkmark | ~ | ~ | ~ |
| RXSVDATE Date Follow Up Survey Completed | Char | 8 | Date the follow up survey was completed (date the mail survey was received by the vendor or date the telephone interview was conducted) | | \checkmark | ~ | | |

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| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----|-----------------------------------|-----|------------------------------------|
| <i>RXPROXST</i> Follow Up Survey: Proxy Status Indicator that Combines Baseline and Follow Up Information on Who Completed the Surveys | Num | 3 or 8 | Follow up proxy status: = Member at baseline and Member at follow up 2 = Member at baseline and Proxy at follow up 3 = Proxy at baseline and Member at follow up 4 = Proxy at baseline and Same Proxy at follow up 5 = Proxy at baseline and Different Proxy at follow up 6 = Not Enough Information at baseline 7 = Not Enough Information at follow up * In Cohort I, II, III and IV Follow Up survey, the length of this field is 3; In Cohort V Follow Up survey, the length of this field is 8. | | | | | |
| <i>RXMCONUM</i> MCO Provided Beneficiary's Phone Number at Follow Up | Num | 3 | Did the MCO provide a phone number for the member at follow up? 1 = Yes 2 = No | 1 | ~ | 1 | 1 | ~ |
| <i>RXCOHORT</i> Beneficiary's Cohort Status and Survey Identifier (<i>Cohort I Follow Up</i> Only) | Num | 3 | Beneficiary's cohort status and survey identifier Cohort I only. Receives Cohort I Follow Up survey. Cohort II and Cohort III. Receives Cohort III survey. Cohort III only. Receives Cohort III survey. 8 = Cohorts I, II and III. Receives Cohort III survey. Cohort I and Cohort III. Receives Cohort III survey. | | N/A | N/A | N/A | N/A |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|--------------|
| RXSPANFL Follow Up Spanish Materials Flag | Num | 3 | Was the member ever sent the Spanish version of the HOS mail questionnaire during survey administration? 1 = Yes 2 = No Note: This flag is not an indicator of whether the Spanish survey was completed. | N/A | ~ | ✓ | | \checkmark |
| RXROUND Round Follow Up Data Submitted (Cohort II Follow Up Only) | Num | 8 | Follow up data was submitted in: 1 = Round 1 2 = Round 2 | N/A | 1 | N/A | N/A | N/A |
| RXDBLDTY Double Duty Flag (Cohort II Follow Up Only) | Num | 3 | Beneficiary is in: 0 = Cohort II Follow Up only 1 = Cohort IV Baseline and Cohort II Follow Up | N/A | 1 | N/A | N/A | N/A |
| RXEXCLUD Follow Up Exclude from Future Survey Samples Flag | Num | 3 | Beneficiary is in: 1 = Member specifically requested <i>Take me</i> off of your list and never contact me again 2 = Member did not requested <i>Take me off</i> of your list and never contact me again | N/A | N/A | ~ | <i>✓</i> | ~ |
| <i>RXCHIN</i> Follow Up Chinese Protocol Indicator | Num | 3 | Beneficiary is: 0 = Not a member of a Chinese plan at follow up 1 = Member of a Chinese plan at follow up | N/A | N/A | 1 | 1 | ~ |
| RXDISP Survey Response Indicator for Mail/Telephone Responses at Follow Up | Num | 3 | Beneficiary completed a: 1 = Mail survey at follow up 2 = Telephone survey at follow up | 1 | ~ | 1 | 1 | ~ |
| RXPACE Follow Up PACE Protocol Indicator | Num | 3 | Beneficiary is: 0 = Not a member of a PACE plan at follow up 1 = Member of a PACE plan at follow up | N/A | ~ | 1 | 1 | ~ |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|-----|
| RXEVER Baseline Evercare Protocol Indicator (Cohort II Follow Up Only) | Num | 3 | Beneficiary is: 0 = Not a member of an Evercare plan at follow up 1 = Member of an Evercare plan at follow up | N/A | √ | N/A | N/A | N/A |
| <i>RXINVSRV</i> Invalid Follow Up Survey Indicator | Num | 3 | Follow up survey is: 0 = Valid 1 = Invalid (survey disposition equal to M20, M21, M22, M23, T20, T21, T22, T23, or T24) | ~ | ~ | 1 | 1 | ~ |
| RXPCTCMP Percent of Follow Up Survey Completed | Num | 8 | Percent of the follow up survey that was completed | | ~ | 1 | 1 | ~ |
| <i>RXCMPSRV</i> Complete Follow Up Survey Indicator | Num | 3 | A flag created to indicate that 80% of the Follow up survey was completed 0 = Incomplete 1 = Complete | <i>✓</i> | ~ | 1 | 1 | ~ |
| <i>RXCMPFLG</i> Name Provided in Q56 of Follow Up Survey Indicator | Num | 3 | Indicator variable for name provided in question 56 of the follow up survey 0 = Name not provided 1 = Name provided | 1 | 1 | 1 | 1 | ~ |
| <i>RXBDCNUM</i> Mismatched Follow Up Contract Number and Plan ID Indicator | Num | 3 | Indicator variable for mismatched follow up contract number and plan ID 0 = Contract number and first five characters of plan ID are identical 1 = Contract number and first five characters of plan ID are not identical | | ~ | ✓ | ~ | N/A |
| RXBDRACE Mismatched Follow Up Race Indicator | Num | 3 | Indicator variable for mismatched follow up CMS race variable and survey race variable 0 = CMS and beneficiary provided race are equal 1 = CMS and beneficiary provided race are not equal | | ~ | 1 | | N/A |

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| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| <i>RXBDBRTH</i> Mismatched Follow Up Birth Year Indicator | Num | 3 | Indicator variable for mismatched follow up CMS year of birth and survey year of birth 0 = CMS and beneficiary provided year of birth are equal 1 = CMS and beneficiary provided year of birth are not equal | <i>✓</i> | \checkmark | ✓ | ✓ | N/A |
| <i>RXBDGNDR</i> Mismatched Follow Up Gender Indicator | Num | 3 | Indicator variable for mismatched follow up CMS gender and survey gender 0 = CMS and beneficiary provided gender are equal 1 = CMS and beneficiary provided gender are not equal | ~ | \checkmark | ✓ | ✓ | N/A |
| <i>RXBDPRST</i> Indicator for Female Reporting Prostate Cancer Treatment at Follow Up | Num | 3 | Indicator variable for inconsistency between gender and current prostate cancer treatment 0 = Gender and current treatment for prostate cancer consistent 1 = Female reported current treatment for prostate cancer | | \checkmark | | | N/A |
| <i>RXEDOB</i> Beneficiary's Follow Up Date of Birth (Elapsed SAS [®] Date Format) | Num | 8 | Beneficiary's date of birth (DOB) from the follow up member level record. This information is derived from CMS' EDB. MMDDYY10. format | ~ | \checkmark | 1 | 1 | \checkmark |
| RXEACRDT Beneficiary's Follow Up Date of Accretion into Plan (Elapsed SAS [®] Date Format) | Num | 8 | Beneficiary's accretion date into plan from the follow up member level record MMDDYY10. format | ~ | ~ | 1 | 1 | ~ |
| <i>RXEACLMT</i> Beneficiary's Follow Up Elapsed Date - Accretion Limit | Num | 8 | Beneficiary's Elapsed Date-Accretion Limit MMDDYY10. format | | \checkmark | 1 | 1 | ~ |
| RXESVDAT Date Follow Up Survey Completed (Elapsed SAS [®] Date Format) | Num | 8 | Beneficiary's Elapsed Date of Survey MMDDYY10. format | <i>✓</i> | \checkmark | 1 | 1 | ~ |

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| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|--------------|-----------------------------------|-----------------------------------|------------------------------------|
| RXESVDT2 Date Follow Up Survey Completed with Missing Values Imputed (Elapsed SAS [®] Date Format) | Num | 8 | Incorporates estimated values for missing survey dates by replacing the missing values with the mean survey date by vendor and mode of administration. This variable, in combination with date of birth (<i>RXEDOB</i>), was used to calculate age (<i>RXAGE</i>). MMDDYY10. format | | V | V | <i>J</i> | V |
| <i>RXAGE</i> Beneficiary's Age at Follow Up | Num | 8 | Beneficiary's age at follow up. This variable was calculated by subtracting the follow up date of birth (<i>RXEDOB</i>) from the date the follow up survey was completed (with missing values imputed, <i>RXESVDT2</i>), and dividing the result by 365.25. | | <i>✓</i> | | <i>✓</i> | \checkmark |
| RXAGECAT Beneficiary's Follow Up Age Group | Num | 3 | Beneficiary's age group at follow up 0 = Under 65 1 = 65 to 69 2 = 70 to 74 3 = 75 to 79 4 = 80 or older | | \checkmark | <i>✓</i> | ✓ | \checkmark |
| <i>RXAGE_1</i> Original Calculation of Beneficiary's Age at Follow Up | Num | 8 | Original calculation of beneficiary's age at follow up. A more precise method of calculating age has been used to calculate a new age variable, <i>RXAGE</i> . | 1 | \checkmark | N/A | N/A | N/A |
| <i>RXENRDUR</i> Beneficiary's Enrollment Duration at Follow Up | Num | 8 | Beneficiary's enrollment duration (in months) at the time of the follow up survey | | \checkmark | 1 | 1 | ~ |
| RXENRCAT Beneficiary's Enrollment Duration Category at Follow Up | Num | 3 | Beneficiary's enrollment duration category at the time of the follow up survey 1 = 0 to 5 months 2 = 6 to 12 months 3 = 13 to 36 months 4 = 37 or more months | 1 | \checkmark | \checkmark | \checkmark | 1 |

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| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|--------------|-----------------------------------|-----------------------------------|------------------------------------|
| <i>RXRACEGP</i> Beneficiary's Follow Up Race Category | Num | 3 | Beneficiary's race category at follow up, created by combining categories of the <i>RXRACE</i> variable 1 = White 2 = Black 3 = Other | | ~ | ✓ | | ~ |
| RXRAWPF Follow Up Raw Physical Functioning (PF) Scale Score | Num | 8 | Beneficiary's follow up raw Physical Functioning (PF) Scale Score | | 1 | 1 | 1 | ~ |
| <i>RXRAWRP</i> Follow Up Raw Role-Physical (RP) Scale Score | Num | 8 | Beneficiary's follow up raw Role-Physical (RP) Scale Score | | \checkmark | 1 | 1 | ~ |
| <i>RXRAWBP</i> Follow Up Raw Bodily Pain (BP) Scale Score | Num | 8 | Beneficiary's follow up raw Bodily Pain (BP) Scale Score | 1 | \checkmark | 1 | 1 | ~ |
| <i>RXRAWGH</i> Follow Up Raw General Health (GH) Scale Score | Num | 8 | Beneficiary's follow up raw General Health (GH) Scale Score | | \checkmark | 1 | 1 | ~ |
| <i>RXRAWVT</i> Follow Up Raw Vitality (VT) Scale Score | Num | 8 | Beneficiary's follow up raw Vitality (VT) Scale Score | 1 | \checkmark | 1 | 1 | 1 |
| <i>RXRAWSF</i> Follow Up Raw Social Functioning (SF) Scale Score | Num | 8 | Beneficiary's follow up raw Social Functioning (SF) Scale Score | 1 | \checkmark | 1 | 1 | 1 |
| <i>RXRAWRE</i> Follow Up Raw Role-Emotional (RE) Scale Score | Num | 8 | Beneficiary's follow up raw Role- Emotional (RE) Scale Score | 1 | \checkmark | 1 | 1 | ~ |
| <i>RXRAWMH</i> Follow Up Raw Mental Health (MH) Scale Score | Num | 8 | Beneficiary's follow up raw Mental Health (MH) Scale Score | 1 | ~ | 1 | 1 | ~ |
| RXPF Follow Up Transformed Physical Functioning (PF) Scale Score | Num | 8 | Beneficiary's follow up transformed 0-100 Physical Functioning (PF) Scale Score | 1 | ~ | 1 | 1 | \checkmark |

[†] C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set

[‡] C3R3 = Cohort III Merged Baseline and Follow Up Limited Data Set

| FIELD NAME / DESCRIPTION C = Baseline R = Follow Up P = Performance Measurement | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|---|---------------|-----------------|---|-----------------------------------|--------------|-----------------------------------|-----------------------------------|------------------------------------|
| <i>RXRP</i> Follow Up Transformed Role-Physical (RP) Scale Score | Num | 8 | Beneficiary's follow up transformed 0-100 Role-Physical (RP) Scale Score | ~ | 1 | 1 | 1 | 1 |
| <i>RXBP</i> Follow Up Transformed Bodily Pain (BP) Scale Score | Num | 8 | Beneficiary's follow up transformed 0-100 Bodily Pain (BP) Scale Score | ~ | 1 | 1 | 1 | 1 |
| <i>RXGH</i> Follow Up Transformed General Health (GH) Scale Score | Num | 8 | Beneficiary's follow up transformed 0-100 General Health (GH) Scale Score | 1 | \checkmark | 1 | 1 | 1 |
| <i>RXVT</i> Follow Up Transformed Vitality (VT) Scale Score | Num | 8 | Beneficiary's follow up transformed 0-100 Vitality (VT) Scale Score | 1 | \checkmark | 1 | 1 | ~ |
| RXSF Follow Up Transformed Social Functioning (SF) Scale Score | Num | 8 | Beneficiary's follow up transformed 0-100 Social Functioning (SF) Scale Score | ~ | \checkmark | 1 | 1 | ~ |
| RXRE Follow Up Transformed Role- Emotional (RE) Scale Score | Num | 8 | Beneficiary's follow up transformed 0-100 Role-Emotional (RE) Scale Score | ~ | \checkmark | 1 | 1 | ~ |
| <i>RXMH</i> Follow Up Transformed Mental Health (MH) Scale Score | Num | 8 | Beneficiary's follow up transformed 0-100 Mental Health (MH) Scale Score | 1 | \checkmark | 1 | 1 | ~ |
| RXPF_Z90 Follow Up Physical Functioning (PF) Z-Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up Physical Functioning (PF) z-score, computed utilizing the 1990 general U.S. population mean and standard deviation | ✓ ✓ | N/A | N/A | N/A | N/A |
| RXRP_Z90 Follow Up Role-Physical (RP) Z-Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up Role-Physical (RP) z-score, computed utilizing the 1990 general U.S. population mean and standard deviation | ~ | N/A | N/A | N/A | N/A |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----|-----------------------------------|-----------------------------------|------------------------------------|
| RXBP_Z90 Follow Up Bodily Pain (BP) Z-Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up Bodily Pain (BP) z- score, computed utilizing the 1990 general U.S. population mean and standard deviation | 1 | N/A | N/A | N/A | N/A |
| RXGH_Z90 Follow Up General Health (GH) Z- Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up General Health (GH) z-score, computed utilizing the 1990 general U.S. population mean and standard deviation | 1 | N/A | N/A | N/A | N/A |
| RXVT_Z90 Follow Up Vitality (VT) Z-Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up Vitality (VT) z- score, computed utilizing the 1990 general U.S. population mean and standard deviation | 1 | N/A | N/A | N/A | N/A |
| RXSF_Z90 Follow Up Social Functioning (SF) Z- Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up Social Functioning (SF) z-score, computed utilizing the 1990 general U.S. population mean and standard deviation | 1 | N/A | N/A | N/A | N/A |
| RXRE_Z90 Follow Up Role-Emotional (RE) Z- Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up Role-Emotional (RE) z-score, computed utilizing the 1990 general U.S. population mean and standard deviation | 1 | N/A | N/A | N/A | N/A |
| RXMH_Z90 Follow Up Mental Health (MH) Z- Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up Mental Health (MH) z-score, computed utilizing the 1990 general U.S. population mean and standard deviation | 1 | N/A | N/A | N/A | N/A |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----|-----------------------------------|-----|------------------------------------|
| RXPRAW90 Follow Up Raw PCS Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up raw PCS Score (1990), computed by multiplying each scale z-score by its respective physical factor score coefficient and summing the eight products | 1 | N/A | N/A | N/A | N/A |
| RXMRAW90 Follow Up Raw MCS Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up raw MCS Score (1990), computed by multiplying each scale z-score by its respective mental factor score coefficient and summing the eight products | | N/A | N/A | N/A | N/A |
| RXPCS90 Follow Up PCS Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up PCS Score. This is the norm-based transformation of the 1990 raw PCS Score (<i>RXPRAW90</i>). | 1 | N/A | N/A | N/A | N/A |
| RXMCS90 Follow Up MCS Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up MCS Score. This is the norm-based transformation of the 1990 raw MCS Score (<i>RXMRAW90</i>). | | N/A | N/A | N/A | N/A |
| RXPFS90 Follow Up Physical Functioning (PF) Scale Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up Physical Functioning (PF) Scale Score. This is the norm-based transformation of the 1990 PF z-score. | | N/A | N/A | N/A | N/A |
| RXRPS90 Follow Up Role-Physical (RP) Scale Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up Role-Physical (RP) Scale Score. This is the norm-based transformation of the 1990 RP z-score. | | N/A | N/A | N/A | N/A |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | ${}^{IN}_{C2R2^{\dagger}}$ | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|----------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| RXBPS90 Follow Up Bodily Pain (BP) Scale Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up Bodily Pain (BP) Scale Score. This is the norm-based transformation of the 1990 BP z-score. | 1 | N/A | N/A | N/A | N/A |
| RXGHS90 Follow Up General Health (GH) Scale Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up General Health (GH) Scale Score. This is the norm-based transformation of the 1990 GH z-score. | | N/A | N/A | N/A | N/A |
| RXVTS90 Follow Up Vitality (VT) Scale Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up Vitality (VT) Scale Score. This is the norm-based transformation of the 1990 VT z-score. | 1 | N/A | N/A | N/A | N/A |
| RXSFS90 Follow Up Social Functioning (SF) Scale Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up Social Functioning (SF) Scale Score. This is the norm-based transformation of the 1990 SF z-score. | | N/A | N/A | N/A | N/A |
| RXRES90 Follow Up Role-Emotional (RE) Scale Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up Role-Emotional (RE) Scale Score. This is the norm-based transformation of the 1990 RE z-score. | | N/A | N/A | N/A | N/A |
| RXMHS90 Follow Up Mental Health (MH) Scale Score Calculated Utilizing the Standard 1990 Scoring Algorithm (Cohort I Follow Up Only) | Num | 8 | Beneficiary's follow up Mental Health (MH) Scale Score. This is the norm-based transformation of the 1990 MH z-score. | | N/A | N/A | N/A | N/A |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = Baseline R = Follow Up P = Performance Measurement | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| RXPF_Z98 Follow Up Physical Functioning (PF) Z-Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up Physical Functioning (PF) z-score, computed utilizing the 1998 general U.S. population mean and standard deviation | ~ | ~ | 1 | 1 | ~ |
| <i>RXRP_Z98</i> Follow Up Role-Physical (RP) Z-Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up Role-Physical (RP) z-score, computed utilizing the 1998 general U.S. population mean and standard deviation | ~ | \checkmark | 1 | ~ | ~ |
| RXBP_Z98 Follow Up Bodily Pain (BP) Z-Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up Bodily Pain (BP) z- score, computed utilizing the 1998 general U.S. population mean and standard deviation | | \checkmark | 1 | 1 | ~ |
| RXGH_Z98 Follow Up General Health (GH) Z- Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up General Health (GH) z-score, computed utilizing the 1998 general U.S. population mean and standard deviation | 1 | ~ | 1 | 1 | ~ |
| <i>RXVT_Z98</i> Follow Up Vitality (VT) Z-Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up Vitality (VT) z- score, computed utilizing the 1998 general U.S. population mean and standard deviation | 1 | ~ | 1 | 1 | ~ |
| RXSF_Z98 Follow Up Social Functioning (SF) Z- Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up Social Functioning (SF) z-score, computed utilizing the 1998 general U.S. population mean and standard deviation | <i>✓</i> | ~ | 1 | 1 | ~ |
| RXRE_Z98 Follow Up Role-Emotional (RE) Z- Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up Role-Emotional (RE) z-score, computed utilizing the 1998 general U.S. population mean and standard deviation | <i>✓</i> | ~ | 1 | 1 | ~ |
| RXMH_Z98 Follow Up Mental Health (MH) Z- Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up Mental Health (MH) z-score, computed utilizing the 1998 general U.S. population mean and standard deviation | | ~ | ~ | ~ | ~ |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|---|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| RXPRAW98 Follow Up Raw PCS Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up raw PCS Score (1998), computed by multiplying each scale z-score by its respective physical factor score coefficient and summing the eight products | | \checkmark | 1 | 1 | ~ |
| RXMRAW98 Follow Up Raw MCS Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up raw MCS Score (1998), computed by multiplying each scale z-score by its respective mental factor score coefficient and summing the eight products | | \checkmark | | | \checkmark |
| RXPCS98 Follow Up PCS Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up PCS Score. This is the norm-based transformation of the 1998 raw PCS Score (<i>RXPRAW98</i>) | | \checkmark | | 1 | ~ |
| RXMCS98 Follow Up MCS Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up MCS Score. This is the norm-based transformation of the 1998 raw MCS Score (<i>RXMRAW98</i>) | | ~ | 1 | 1 | ~ |
| RXPFS98 Follow Up Physical Functioning (PF) Scale Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up Physical Functioning (PF) Scale Score. This is the norm-based transformation of the 1998 PF z-score | | \checkmark | 1 | 1 | ~ |
| <i>RXRPS98</i> Follow Up Role-Physical (RP) Scale Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up Role-Physical (RP) Scale Score. This is the norm-based transformation of the 1998 RP z-score | | \checkmark | 1 | 1 | \checkmark |
| RXBPS98 Follow Up Bodily Pain (BP) Scale Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up Bodily Pain (BP) Scale Score. This is the norm-based transformation of the 1998 BP z-score | | 1 | | 1 | \checkmark |
| RXGHS98 Follow Up General Health (GH) Scale Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up General Health (GH) Scale Score. This is the norm-based transformation of the 1998 GH z-score | <i>✓</i> | \checkmark | 1 | 1 | \checkmark |

[†] C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set

[‡] C3R3 = Cohort III Merged Baseline and Follow Up Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|---|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|--------------|
| <i>RXVTS98</i> Follow Up Vitality (VT) Scale Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up Vitality (VT) Scale Score. This is the norm-based transformation of the 1998 VT z-score | 1 | ~ | 1 | 1 | ~ |
| RXSFS98 Follow Up Social Functioning (SF) Scale Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up Social Functioning (SF) Scale Score. This is the norm-based transformation of the 1998 SF z-score | <i>✓</i> | ~ | 1 | 1 | 1 |
| RXRES98 Follow Up Role-Emotional (RE) Scale Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up Role-Emotional (RE) Scale Score. This is the norm-based transformation of the 1998 RE z-score | | \checkmark | 1 | 1 | \checkmark |
| RXMHS98 Follow Up Mental Health (MH) Scale Score Calculated Utilizing the Standard 1998 Scoring Algorithm | Num | 8 | Beneficiary's follow up Mental Health (MH) Scale Score. This is the norm-based transformation of the 1998 MH z-score | <i>✓</i> | \checkmark | 1 | 1 | \checkmark |
| RXPCSMDE Follow Up PCS Score Calculated Utilizing the Missing Data Estimation (MDE) Scoring Algorithm | Num | 8 | Beneficiary's follow up PCS Score calculated utilizing the Missing Data Estimation (MDE) scoring algorithm | 1 | ~ | N/A | N/A | N/A |
| RXMCSMDE Follow Up MCS Score Calculated Utilizing the Missing Data Estimation (MDE) Scoring Algorithm | Num | 8 | Beneficiary's follow up MCS Score calculated utilizing the Missing Data Estimation (MDE) scoring algorithm | 1 | ~ | N/A | N/A | N/A |
| PXRPT_CN Plan Contract Number at the Time of Performance Measurement Reporting for Cohorts I and II | Char | 5 | Unique contract number at the time of <i>Cohort I</i> and <i>II</i> performance measurement reporting. For <i>Cohort III</i> , the unique plan contract number at the time of performance measurement reporting was <i>PXCNTRNM</i> | | \checkmark | N/A | N/A | N/A |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|--------------|-----------------------------------|-----------------------------------|------------------------------------|
| <i>PXRPTUNT</i> Plan Reporting Unit at the Time of Performance Measurement Reporting | Char | 6 | Unique identifier used to identify each M+CO at the time of performance measurement reporting. This was the plan level unit of analysis for the <i>Cohort I</i> and <i>II</i> Performance Measurement reports. For the <i>Cohort III</i> Performance Measurement report, the plan level unit of analysis was <i>PXCNTRNM</i> | | ✓ | N/A | N/A | N/A |
| PXRPT_MA Performance Measurement Reporting Market Area | Char | 1 | Market area utilized for performance measurement reporting. Beginning at the time of <i>Cohort III</i> performance measurement reporting, market areas were not longer utilized | | <i>✓</i> | N/A | N/A | N/A |
| <i>PXFLAG</i> Random Assignment of Reporting Unit at the Time of Performance Measurement Reporting Flag | Num | 3 | Reporting unit at the time of performance measurement reporting was: 1 = Randomly assigned 0 = Not randomly assigned | | \checkmark | N/A | N/A | N/A |
| PXCNTRNM Plan Contract Number at the Time of Performance Measurement Reporting for Cohort III | Char | 5 | Unique contract number at the time of performance measurement reporting. This was the plan level unit of analysis for the <i>Cohort III</i> Performance Measurement report. The plan level unit of analysis for <i>Cohorts I and II</i> was <i>PXRPT_CN</i> . | N/A | N/A | <i>✓</i> | ~ | ~ |
| <i>PXHDOB</i> Beneficiary's Date of Birth | Char | 8 | Beneficiary's date of birth (DOB). This information was obtained from CMS at the time of performance measurement reporting | N/A | 1 | 1 | 1 | ~ |
| <i>PXEHDOB</i> Beneficiary's Date of Birth (Elapsed SAS [®] Date Format) | Num | 8 | Beneficiary's date of birth (DOB). This information was obtained from CMS at the time of performance measurement reporting MMDDYY10. format | N/A | 1 | 1 | 1 | \checkmark |
| <i>PXHDOD</i> Beneficiary's Date of Death | Char | 8 | Beneficiary's date of death (DOD). This information was obtained from CMS at the time of performance measurement reporting | 1 | 1 | 1 | ~ | ~ |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set

[‡] C3R3 = Cohort III Merged Baseline and Follow Up Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|---|-----------------------------------|----|-----------------------------------|----|------------------------------------|
| PXEHDOD Beneficiary's Date of Death (Elapsed SAS [®] Date Format) | Num | 8 | Beneficiary's date of death (DOD). This information was obtained from CMS at the time of performance measurement reporting MMDDYY10. format | 1 | 1 | ~ | 1 | 1 |
| <i>PXACTDTH</i> Beneficiary's death within 2 year window indicator | Num | 3 | Beneficiary's death within 2 year window indicator | ~ | 1 | ~ | ~ | ~ |
| PXGROUP Three-Level Baseline Status Indicator | Char | 6 | Three-level status indicator for all members of the baseline sample Group1 = baseline members that were in plans not existing at the time of follow up Group2 = baseline members in plans still existing at follow up, but who were excluded from follow up because they met one or more of the following criteria: they were under the age of 65, they were non-responders to the baseline survey, they disenrolled from their plan, or they were deceased subsequent to the baseline survey Group3 = baseline members in plans still existing at follow up and who were part of follow up | ✓ | J | | ✓ | ✓ |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-------|------------------------------------|
| PXSTATUS Eight-Level Status Indicator for Entire Sample | Num | 3 | Eight-level status indicator for the entire sample 1 = Beneficiary's plan is no longer a part of HOS at the time of follow up, beneficiary is under the age of 65, has a complete baseline survey, and has a valid baseline survey disposition 2 = Beneficiary's plan is no longer a part of HOS at the time of follow up, beneficiary is under the age of 65, and either does not have a complete baseline survey or does not have a valid baseline survey disposition *(To be continued on the next page) | ~ | ✓ | \checkmark | ✓ | \checkmark |

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| PXSTATUS Eight-Level Status Indicator for Entire Sample | Num | 3 | Eight-level status indicator for the entire sample 3 = Beneficiary's plan is no longer a part of HOS at the time of follow up, beneficiary is age 65 or older, has a complete baseline survey, and has a valid baseline survey disposition 4 = Beneficiary's plan is no longer a part of HOS at the time of follow up, beneficiary is age 65 or older, and either does not have a complete baseline survey disposition 5 = Beneficiary's plan is part of HOS at the time of follow up, beneficiary is under the age of 65, has a complete baseline survey, and has a valid baseline survey disposition 6 = Beneficiary's plan is part of HOS at the time of follow up, beneficiary is under the age of 65, and either does not have a complete baseline survey, and has a valid baseline survey disposition 7 = Beneficiary's plan is part of HOS at the time of follow up, beneficiary is age 65 or older, has a complete baseline survey disposition 8 = Beneficiary's plan is part of HOS at the time of follow up, beneficiary is age 65 or older, and a valid baseline survey disposition | | | | | |

[†] C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set

[‡]C3R3 = Cohort III Merged Baseline and Follow Up Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | FIELD Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|---|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|----|
| PXANALYT Performance Measurement Analytic Sample Indicator | Num | 3 | Indicator for performance measurement analytic sample 0 = Not included in performance measurement analytic sample 1 = Included in performance measurement analytic sample | | ~ | 1 | 1 | ~ |
| PXPMRIND Performance Measurement Sample Distribution Indicator | Num | 3 | Indicates status of the record in the performance measurement analytic sample 1 = Respondent 2 = Non-Respondent 3 = Invalid 4 = Dead 5 = Disenrolled | ~ | ~ | ✓ | ✓ | ~ |
| PXPHOUT Plan Level Physical Health Performance Measurement Results Indicator | Num | 3 | Plan level physical health performance measurement results 1 = Plan performed "worse than expected" 2 = Plan performed the "same as expected" 3 = Plan performed "better than expected" | | ~ | 1 | 1 | ~ |
| <i>PXMHOUT</i> Plan Level Mental Health Performance Measurement Results Indicator | Num | 3 | Plan level mental health performance measurement results 1 = Plan performed "worse than expected" 2 = Plan performed the "same as expected" 3 = Plan performed "better than expected" | ~ | ~ | 1 | 1 | ~ |
| CXMONRPT CMS Monthly Report of Managed Care Health Plans Utilized at Baseline (Elapsed SAS [®] Date Format) | Num | 8 | CMS Monthly Report of Managed Care Health Plans used to obtain plan characteristics at the time of the baseline survey administration MMDDYY10. format | | \checkmark | 1 | 1 | ~ |
| <i>CXPLTYPE</i> Plan Type at Baseline | Char | 3 | Plan type as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the baseline survey administration CMP = Competitive Medical Plan HMO = Health Maintenance Organization OTH = Other N/A = Not Available | | ~ | | | ~ |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set

[‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|--|-----------------------------------|----------|-----------------------------------|-----------------------------------|--------------|
| <i>CXPLMODL</i> Plan Model Type at Baseline | Char | 5 | Plan model type as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the baseline survey administration GROUP = Group Practice Model IPA = Individual Practice Association Model STAFF = Staff Model OTHER = Other /Mixed Type Model N/A = Not Available | | <i>✓</i> | ✓ | <i>✓</i> | ✓ ✓ |
| <i>CXPLPOP</i> Number Enrolled in Plan at Baseline | Num | 8 | Plan's total enrollment as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the baseline survey administration | | 1 | 1 | \checkmark | ~ |
| CXPLDESC Plan Description at Baseline | Char | 10 | Plan description as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the baseline survey administration | ~ | ~ | ~ | ~ | ~ |
| CXPLANST Plan State at Baseline | Char | 2 | Two letter state abbreviation as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the baseline survey administration | | ~ | 1 | \checkmark | \checkmark |
| CXPLTXST Plan Tax Status at Baseline | Char | 3 | Plan tax status as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the baseline survey administration PRO = For profit NON = Not for profit N/A = Not Available | | | ~ | \checkmark | \checkmark |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|---|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| <i>CXPLREG</i> Plan's CMS Regional Office at Baseline | Num | 3 | Plan's regional office as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the baseline survey administration 1 = Boston 2 = New York 3 = Philadelphia 4 = Atlanta 5 = Chicago 6 = Dallas 7 = Kansas City 8 = Denver 9 = San Francisco 10 = Seattle | | ✓ ✓ | | | |
| <i>CXPLSTDT</i> Plan Contract Start Date at Baseline | Num | 8 | Plan contract start date as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the baseline survey administration MMDDYY10. format | 1 | \checkmark | 1 | V | ~ |
| CXPLDUR Duration of Plan Contract at Baseline | Num | 8 | Duration of plan contract, in years, as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the baseline survey administration | 1 | ~ | 1 | 1 | ~ |
| CXPLNDCT Duration of Plan Contract Categories at Baseline | Num | 8 | Duration of plan contract categories at the time of the baseline survey administration 1 = Less than 1 year 2 = 1.0 to 4.9 years 3 = 5.0 to 9.9 years 4 = 10 or more years | 1 | ~ | | | ~ |
| <i>RXMONRPT</i> CMS Monthly Report of Managed Care Health Plans Utilized at Follow Up (Elapsed SAS [®] Date Format) | Num | 8 | CMS Monthly Report of Managed Care Health Plans used to obtain plan characteristics at the time of the follow up survey administration MMDDYY10. format | 1 | <i>√</i> | | | \checkmark |

[†] C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set

[‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = Baseline R = Follow Up P = Performance Measurement | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | IN |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|----|----------|
| <i>RXPLTYPE</i> Plan Type at Follow Up | Char | 3 | Plan type as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the follow up survey administration CMP = Competitive Medical Plan HMO = Health Maintenance Organization OTH = Other N/A = Not Available | | <i>✓</i> | | | <i>✓</i> |
| <i>RXPLMODL</i> Plan Model Type at Follow Up | Char | 5 | Plan model type as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the follow up survey administration GROUP = Group Practice Model IPA = Individual Practice Association Model STAFF = Staff Model OTHER = Other /Mixed Type Model N/A = Not Available | | | | | <i>✓</i> |
| <i>RXPLPOP</i> Number Enrolled in Plan at Follow Up | Num | 8 | Plan's total enrollment as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the follow up survey administration | | ~ | 1 | 1 | ~ |
| <i>RXPLDESC</i> Plan Description at Follow Up | Char | 10 | Plan description as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the follow up survey administration | | ~ | 1 | ~ | |
| <i>RXPLANST</i> Plan State at Follow Up | Char | 2 | Two letter state abbreviation as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the follow up survey administration | | \checkmark | 1 | 1 | 1 |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|--------------|
| <i>RXPLTXST</i> Plan Tax Status at Follow Up | Char | 3 | Plan tax status as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the follow up survey administration PRO = For profit NON = Not for profit N/A = Not Available | | ~ | ✓ | ✓ | ~ |
| <i>RXPLREG</i> Plan's CMS Regional Office at Follow Up | Num | 3 | Plan's regional office as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the follow up survey administration 1 = Boston 2 = New York 3 = Philadelphia 4 = Atlanta 5 = Chicago 6 = Dallas 7 = Kansas City 8 = Denver 9 = San Francisco 10 = Seattle | 1 | ~ | | | |
| <i>RXPLSTDT</i> Plan Contract Start Date at Follow Up | Num | 8 | Plan contract start date as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the follow up survey administration MMDDYY10. format | 1 | \checkmark | <i>√</i> | 1 | |
| <i>RXPLDUR</i> Duration of Plan Contract at Follow Up | Num | 8 | Duration of plan contract, in years, as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the follow up survey administration | 1 | \checkmark | 1 | 1 | \checkmark |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | IN | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | IN |
|--|---------------|-----------------|---|-----------------------------------|-------|-----------------------------------|-----------------------------------|--------------|
| <i>RXPLNDCT</i> Duration of Plan Contract Categories at Follow Up | Num | 8 | Duration of plan contract categories at the time of the follow up survey administration 1 = Less than 1 year 2 = 1.0 to 4.9 years 3 = 5.0 to 9.9 years 4 = 10 or more years | | ✓ | ✓ | <i>✓</i> | \checkmark |
| PXMONRPT CMS Monthly Report of Managed Care Health Plans Utilized for Performance Measurement (Elapsed SAS [®] Date Format) | Num | 8 | CMS Monthly Report of Managed Care Health Plans used to obtain plan characteristics at the time of performance measurement reporting MMDDYY10. format | ~ | ~ | ~ | ~ | ~ |
| <i>PXPLTYPE</i> Plan Type at the Time of Performance Measurement Reporting | Char | 3 | Plan type as listed in the CMS Monthly Report of Managed Care Health Plans at the time of performance measurement reporting | ~ | ~ | ~ | 1 | ~ |
| PXPLMODL Plan Model at the Time of Performance Measurement Reporting | Char | 5 | Plan model as listed in the CMS Monthly Report of Managed Care Health Plans at the time of performance measurement reporting | ~ | 1 | 1 | 1 | ~ |
| <i>PXPLPOP</i> Plan Population at the Time of Performance Measurement Reporting | Num | 8 | Plan population as listed in the CMS Monthly Report of Managed Care Health Plans at the time of performance measurement reporting | - | ~ | 1 | 1 | ~ |
| PXPLDESC Plan Description at the Time of Performance Measurement Reporting | Char | 10 | Plan description as listed in the CMS Monthly Report of Managed Care Health Plans at the time of performance measurement reporting | | 1 | 1 | 1 | ~ |
| PXPLANST Plan State at the Time of Performance Measurement Reporting | Char | 2 | Two letter state abbreviation as listed in the CMS Monthly Report of Managed Care Health Plans at the time of performance measurement reporting. This was the state level unit of analysis for the performance measurement report. | | | | | \checkmark |

[†] C2R2 = *Cohort II Merged Baseline* and *Follow Up* Limited Data Set [‡] C3R3 = *Cohort III Merged Baseline* and *Follow Up* Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | ADDITIONAL INFORMATION AND VALID VALUES | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | Fields IN C4R4 [§] | FIELDS IN C5R5 |
|---|---------------|-----------------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|------------------------------------|
| <i>PXPLTXST</i> Plan Tax Status at the Time of Performance Measurement Reporting | Char | 3 | Plan tax status as listed in the CMS Monthly Report of Managed Care Health Plans at the time of performance measurement reporting | 1 | ~ | 1 | 1 | ~ |
| <i>PXPLREG</i> Plan's CMS Regional Office at the Time of Performance Measurement Reporting | Num | 3 | Plan's regional office as listed in the CMS Monthly Report of Managed Care Health Plans at the time of performance measurement reporting 1 = Boston 2 = New York 3 = Philadelphia 4 = Atlanta 5 = Chicago 6 = Dallas 7 = Kansas City 8 = Denver 9 = San Francisco 10 = Seattle | | | | | |
| <i>PXPLSTDT</i> Plan Contract Start Date at the Time of Performance Measurement Reporting | Num | 8 | Plan contract start date as listed in the CMS Monthly Report of Managed Care Health Plans at the time of the follow up survey administration MMDDYY10. format | | \checkmark | ~ | ~ | ~ |
| PXPLANNM Plan Name at the Time of Performance Measurement Reporting | Char | 50 | Plan name as listed in the CMS Monthly Report of Managed Care Health Plans at the time of performance measurement reporting | N/A | 1 | 1 | 1 | ~ |
| PXPLMCPR Plan Organization Name | Char | 55 | Plan Organization name obtained in the CMS Monthly Report of Managed Care Health Plans at the time of performance measurement reporting | N/A | N/A | N/A | 1 | ~ |
| PXPLDUR Duration of Plan Contract at the Time of Performance Measurement Reporting | Num | 8 | Duration of plan contract, in years, as listed in the CMS Monthly Report of Managed Care Health Plans at the time of performance measurement reporting | | \checkmark | 1 | ~ | ~ |

[†] C2R2 = Cohort II Merged Baseline and Follow Up Limited Data Set

[‡]C3R3 = Cohort III Merged Baseline and Follow Up Limited Data Set

| FIELD NAME / DESCRIPTION C = BASELINE R = FOLLOW UP P = PERFORMANCE MEASUREMENT | Field Type | FIELD Length | Additional Information and Valid Values | FIELDS IN C1R1 [*] | Fields IN C2R2 [†] | Fields IN C3R3 [‡] | IN | FIELDS IN C5R5 |
|--|---------------|-----------------|--|-----------------------------------|-----------------------------------|-----------------------------------|--------------|------------------------------------|
| <i>PXPLNDCT</i> Duration of Plan Contract Categories at the Time of Performance Measurement Reporting | Num | 8 | Duration of plan contract categories at the time of performance measurement reporting 1 = Less than 1 year 2 = 1.0 to 4.9 years 3 = 5.0 to 9.9 years 4 = 10 or more years | ~ | 1 | 1 | \checkmark | ~ |
| PXRPTST Reporting Plan State (Cohort V Follow Up Only) | Char | 2 | Reporting Plan State is the designated plan state obtained from the May 2004 CMS Monthly Report of Managed Care Health Plans, and was the state level unit of analysis for the <i>Cohort V Follow up</i> report. Two national plans were designated as unique states | N/A | N/A | N/A | N/A | ~ |