

SEMIANNUAL REPORT

Aggregated Data from the National Nosocomial Infections Surveillance (NNIS) System
December 1999

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NNIS SAR
December 1999, corrected 3/29/2000



U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
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INTRODUCTION

The data in the SEMIANNUAL REPORT (SAR) are collected by hospitals that voluntarily participate in the National Nosocomial Infections Surveillance (NNIS) system and routinely report their data to the Centers for Disease Control and Prevention. The hospitals use the NNIS surveillance components, which are protocols that target specific patient groups with similar infection risks, to collect the data.

In January of 1999, the Hospital-wide component was eliminated from the NNIS system. This was done for several reasons. The Hospital-wide component required considerable time and resources in most hospitals, particularly those that have a large and high-risk patient population, resulting in inaccurate and inadequate case-finding. More importantly, the Hospital-wide component did not yield rates that were meaningful for national comparison purposes since they were not risk-adjusted.

Tables 1 and 2 update the device-associated rates and device utilization ratios from the ICU component reported in the last SAR, issued in June 1999. In the December 1998 SAR we separated for the first time combined Medical/Surgical ICUs into two groups by type of hospital: Major Teaching and All Other. The combined Medical/Surgical ICUs from major teaching hospitals had significantly higher infection rates and device utilization ratios than combined medical/surgical ICUs from all of the other hospitals. Major Teaching status is defined as a hospital that is an important part of the teaching program of a medical school and a major unit in the clinical clerkship program. Teaching affiliation was not an important factor for any other type of ICU.

We require a minimum of 50 device-days in the denominator of an ICU to calculate a device-associated infection rate. Similarly, device utilization ratios are calculated for ICUs that reported at least 50 patient-days. The distribution of device utilization ratios can be useful as a guide for assessing the appropriateness of device use in your hospital's ICU. The percentile distributions that display the infection rates and device utilization ratios require data from at least 20 different units. The number of units reporting data from the burn and respiratory ICUs is still insufficient to provide percentile distributions for these types of ICUs.

Figure 1 summarizes antimicrobial resistance among common pathogens identified from ICU patients with nosocomial infections. We first provide the pooled mean rate of resistance for each pathogen for January-December 1999. Second, we graph this rate next to the average rate of resistance (± 1 standard deviation) over the previous 5 years, for each pathogen. Finally, we calculate the percentage increase in the resistance rate in 1999 compared to the previous 5 years. This number provides a general estimate of the relative increase or decrease in this year's resistance rate compared to the historical data. These data display the changes in antimicrobial resistance in U.S. hospitals. Compared to the previous six month period reported in the June 1999 SAR, the rate of increase in resistance rates for MRSA and VRE has diminished slightly. Although these data are limited to patients in ICUs, these data are not risk-adjusted and comparisons of these rates between hospitals should be made with caution. Furthermore, these prevalence rates are derived from susceptibility patterns reported from bacteria associated with nosocomial infections in patients in the ICU and may not be comparable to resistance rates from routine hospital-wide antibiograms which may also include colonizing isolates.

Tables 3 and 4 show updated data from the HRN component.

The data in tables 5-8 are unchanged from the previous SAR. Table 5 displays SSI rates by operative procedure and NNIS risk index category. When the SSI rates for adjacent risk categories for a particular operation were not statistically different, we combined them into a single risk category. For example, because the SSI rates for herniorrhaphy operations with 2 or 3 risk factors were similar, we collapsed the data for these two categories into one category designated as '2,3'. Thus, the number of risk index categories in the tables will differ depending upon the operation.

Table 6 contains the percentile distributions for each operative procedure and SSI risk index category. For a hospital to be represented in this distribution, it must have reported sufficient data, which means it reported at least 30 operations in a given SSI risk category. Note that percentile distributions are not available for every operative procedure-risk category since percentile distributions of the procedure-specific and risk-index specific rates required sufficient data from at least 20 hospitals.

Table 7 lists four operations in which the use of a laparoscope has been incorporated into the SSI risk index. Laparoscopes and endoscopes (SCOPE) are being used with increasing frequency to perform operations. The SCOPE was used most frequently on the following procedures: Cholecystectomy (64%), Appendectomy (19%), Vaginal Hysterectomy (15%), Other Ear, Nose, or Throat (14%), Other Genitourinary (10%), Gastric Surgery (8%), Exploratory Laparotomy (7%), Other Musculoskeletal (7%), Thoracic (7%), Herniorrhaphy (4%), and Colon Surgery (3%). SCOPE was used to perform the other remaining operative procedures less than 2% of the time. For four operations, the SSI rate was significantly different when SCOPE was used. When other risk factors were controlled, Cholecystectomy, Colon Surgery, Gastric Surgery, and Appendectomy had lower SSI rates when a SCOPE was used. However, there were some differences among these operations. For Cholecystectomy and Colon Surgery, the influence of SCOPE was captured by subtracting one from the number of risk factors (ASA score of 3,4, or 5; duration of surgery > 75th percentile; or contaminated or dirty wound class) whenever the procedure was done laparoscopically; M indicates minus 1 (-1) in the modified risk category where no risk factors were present and the procedure was performed with a laparoscope. For Appendectomy and Gastric Surgery, the use of a SCOPE was only important if the patient had no other risk factors. Therefore, we split the index value of zero risk factors into 0-No and 0-Yes. The percentile distributions of the four operative procedures with modified SSI risk index categories have not been developed at this time.

Table 8 displays SSI rates by specific site following coronary artery bypass graft (CBGB) operations where incisions are made at both the chest and the donor sites.

Tables 9 and 10 are new and show data from Phases 2 and 3 (January 1996-November 1999) of the Integrated Care Antimicrobial Resistance Epidemiology, formerly called Intensive Care Antimicrobial Resistance Epidemiology, (ICARE) Project and update previously published reports. These tables are similar in structure to the device-associated nosocomial infection rates in the SAR. For the purpose of analysis, grams of antimicrobial agents were converted into number of defined daily doses (DDDs) used each month in each hospital area. A DDD is the average daily dose in grams of a specific antimicrobial agent given to an average adult patient (Appendix A). Table 9 shows use of selected oral and parenteral antimicrobial agents in DDD. Antimicrobial use was stratified by route of administration and hospital area. Because outpatient antimicrobial use could not be estimated reliably from hospital pharmacy records, we did not collect data on

outpatient antimicrobial use. Finally, antimicrobial agents with similar spectrum or clinical indications were grouped in Appendix A. Based on detailed analysis, antimicrobial use rates were found to vary by type of ICU, so use rates and percentiles are calculated for each type of ICU. The number of burn, respiratory, trauma, and neurosurgical ICUs reporting data is still insufficient to provide percentile distributions for these types of ICUs. Table 10 shows ICARE resistance data for selected antimicrobial-resistant bacteria based on reported antimicrobial susceptibility test results on all nonduplicate clinical isolates processed by the laboratory during each study month. A duplicate isolate was defined as an isolate of the same species of bacteria with the same antimicrobial susceptibility pattern in the same patient in the same month, regardless of the site of isolation. All isolates, whether responsible for hospital-acquired or community-acquired infection or for colonization, were reported to ICARE by participating hospitals. Hospitals used National Committee for Clinical Laboratory Standards interpretive standards for minimum inhibitory concentration, or zone diameter testing standards to report numbers of susceptible, intermediate, or resistant organisms. We require a minimum of 10 isolates to be tested in a hospital area for resistance rates to be calculated for that area. We have combined resistance data among all ICU types because detailed analysis demonstrated that, in general, resistance rates (% prevalence) did not differ between ICU types. Also, these data show that for most antimicrobial resistant bacteria, resistance rates are highest in the ICU areas, followed by non-ICU inpatient areas, with lowest rates in the outpatient areas.

Appendix A is new and shows the defined daily dose for antimicrobial agents that are used in Table 9.

Appendix B and C provide instructions on how to calculate the rates and ratios found in the SAR and how to interpret the data. All individuals who analyze and use surveillance data must remember that a high rate or ratio (>90th percentile) does NOT define a problem, it only suggests an area for further investigation. Appendix D shows NNIS personnel how to use the NNIS surveillance software, IDEAS, to calculate SSI rates on data collected through the Surgical Patient surveillance component.

Table 1. Intensive care unit surveillance component. Pooled means and percentiles of the distribution of device-associated infection rates, by type of ICU, NNIS system, January 1992-October 1999

| Urinary catheter-associated UTI rate* | | | | Percentile | | | | |
|--|---------------------|------------------------------|--------------------|-------------------|------------|---------------------|------------|------------|
| Type of ICU | No. of Units | Urinary Catheter-Days | Pooled Mean | 10% | 25% | 50% (median) | 75% | 90% |
| Coronary | 112 | 413,686 | 6.5 | 1.0 | 3.1 | 5.5 | 9.8 | 13.4 |
| Cardiothoracic | 59 | 446,226 | 3.4 | 0.5 | 1.5 | 2.4 | 4.2 | 5.4 |
| Medical | 135 | 914,016 | 7.3 | 1.9 | 3.6 | 6.4 | 8.8 | 11.6 |
| Medical/Surgical Major teaching | 111 | 680,181 | 6.6 | 1.9 | 4.0 | 5.9 | 8.3 | 10.7 |
| Medical/Surgical All others | 174 | 1,317,599 | 4.2 | 1.0 | 2.0 | 4.0 | 5.8 | 7.7 |
| Neurosurgical | 49 | 233,277 | 8.6 | 2.0 | 4.9 | 8.3 | 10.1 | 14.1 |
| Pediatric | 70 | 212,765 | 5.1 | 0.0 | 2.0 | 4.8 | 7.0 | 9.8 |
| Surgical | 157 | 1,215,152 | 5.5 | 1.2 | 3.3 | 4.6 | 7.6 | 9.4 |
| Trauma | 25 | 157,139 | 7.4 | 0.0 | 4.0 | 6.4 | 8.7 | 10.5 |
| Burn | 17 | 41,717 | 10.0 | . | . | . | . | . |
| Respiratory | 7 | 28,699 | 6.4 | . | . | . | . | . |

| Central line-associated BSI rate** | | | | Percentile | | | | |
|---|---------------------|--------------------------|--------------------|-------------------|------------|---------------------|------------|------------|
| Type of ICU | No. of Units | Central Line-Days | Pooled Mean | 10% | 25% | 50% (median) | 75% | 90% |
| Coronary | 112 | 257,793 | 4.8 | 0.0 | 1.7 | 4.0 | 6.3 | 8.6 |
| Cardiothoracic | 59 | 406,358 | 2.8 | 0.4 | 1.4 | 2.4 | 3.5 | 4.9 |
| Medical | 136 | 651,238 | 6.1 | 1.6 | 3.6 | 5.3 | 7.1 | 9.9 |
| Medical/Surgical Major teaching | 114 | 468,689 | 5.6 | 1.7 | 3.3 | 5.1 | 7.3 | 9.8 |
| Medical/Surgical All others | 174 | 797,876 | 4.0 | 0.3 | 2.1 | 3.6 | 5.7 | 7.1 |
| Neurosurgical | 49 | 124,590 | 5.4 | 0.9 | 2.6 | 4.6 | 7.5 | 8.4 |
| Pediatric | 73 | 297,494 | 7.9 | 1.0 | 4.1 | 6.9 | 9.3 | 12.6 |
| Surgical | 157 | 974,157 | 5.6 | 1.3 | 2.6 | 5.1 | 7.0 | 9.2 |
| Trauma | 25 | 114,820 | 7.5 | 0.8 | 4.2 | 6.3 | 7.7 | 9.8 |
| Burn | 17 | 33,963 | 11.1 | . | . | . | . | . |
| Respiratory | 8 | 20,111 | 4.0 | . | . | . | . | . |

| Ventilator-associated pneumonia rate*** | | | | Percentile | | | | |
|--|---------------------|------------------------|--------------------|-------------------|------------|---------------------|------------|------------|
| Type of ICU | No. of Units | Ventilator-Days | Pooled Mean | 10% | 25% | 50% (median) | 75% | 90% |
| Coronary | 108 | 174,688 | 9.2 | 0.3 | 3.9 | 7.1 | 12.2 | 16.4 |
| Cardiothoracic | 59 | 242,815 | 11.0 | 3.1 | 5.5 | 10.8 | 14.0 | 17.6 |
| Medical | 133 | 619,173 | 7.8 | 1.9 | 4.1 | 6.8 | 9.9 | 14.8 |
| Medical/Surgical Major teaching | 112 | 395,292 | 11.7 | 3.1 | 5.5 | 10.2 | 14.2 | 17.8 |
| Medical/Surgical All others | 174 | 629,921 | 9.9 | 3.0 | 5.7 | 8.9 | 12.4 | 15.6 |
| Neurosurgical | 48 | 109,579 | 16.7 | 2.7 | 8.3 | 11.9 | 18.1 | 23.5 |
| Pediatric | 73 | 304,255 | 5.4 | 0.0 | 1.2 | 4.0 | 7.6 | 10.9 |
| Surgical | 157 | 678,520 | 14.4 | 5.5 | 8.4 | 12.5 | 16.0 | 24.0 |
| Trauma | 25 | 102,816 | 16.9 | 6.2 | 10.8 | 14.7 | 22.6 | 28.8 |
| Burn | 17 | 24,674 | 17.8 | . | . | . | . | . |
| Respiratory | 7 | 22,913 | 5.3 | . | . | . | . | . |

* $\frac{\text{Number of urinary catheter-associated UTIs} \times 1000}{\text{Number of urinary catheter-days}}$

** $\frac{\text{Number of central line-associated BSIs} \times 1000}{\text{Number of central line-days}}$

*** $\frac{\text{Number of ventilator-associated pneumonias} \times 1000}{\text{Number of ventilator-days}}$

Table 2. Intensive care unit surveillance component. Pooled means and percentiles of the distribution of device utilization ratios, by type of ICU, NNIS system, January 1992-October 1999

| Urinary catheter utilization* | | | | Percentile | | | | |
|--------------------------------------|---------------------|---------------------|--------------------|-------------------|------------|---------------------|------------|------------|
| Type of ICU | No. of Units | Patient-Days | Pooled Mean | 10% | 25% | 50% (median) | 75% | 90% |
| Coronary | 114 | 898,305 | 0.46 | 0.24 | 0.36 | 0.47 | 0.58 | 0.68 |
| Cardiothoracic | 59 | 516,088 | 0.86 | 0.73 | 0.82 | 0.89 | 0.95 | 0.96 |
| Medical | 138 | 1,276,794 | 0.72 | 0.45 | 0.61 | 0.72 | 0.81 | 0.88 |
| Medical/Surgical Major teaching | 114 | 857,705 | 0.79 | 0.49 | 0.71 | 0.80 | 0.85 | 0.90 |
| Medical/Surgical All others | 175 | 1,782,482 | 0.74 | 0.54 | 0.63 | 0.74 | 0.82 | 0.87 |
| Neurosurgical | 49 | 291,917 | 0.80 | 0.53 | 0.73 | 0.82 | 0.90 | 0.94 |
| Pediatric | 77 | 658,404 | 0.32 | 0.12 | 0.19 | 0.28 | 0.39 | 0.47 |
| Surgical | 157 | 1,451,793 | 0.84 | 0.66 | 0.77 | 0.85 | 0.91 | 0.95 |
| Trauma | 25 | 180,049 | 0.87 | 0.66 | 0.79 | 0.90 | 0.94 | 0.98 |
| Burn | 17 | 74,805 | 0.56 | . | . | . | . | . |
| Respiratory | 7 | 45,886 | 0.63 | . | . | . | . | . |

| Central line utilization** | | | | Percentile | | | | |
|------------------------------------|---------------------|---------------------|--------------------|-------------------|------------|---------------------|------------|------------|
| Type of ICU | No. of Units | Patient-Days | Pooled Mean | 10% | 25% | 50% (median) | 75% | 90% |
| Coronary | 115 | 898,305 | 0.29 | 0.12 | 0.19 | 0.27 | 0.37 | 0.50 |
| Cardiothoracic | 59 | 516,088 | 0.79 | 0.62 | 0.73 | 0.80 | 0.88 | 0.95 |
| Medical | 138 | 1,276,794 | 0.51 | 0.29 | 0.35 | 0.48 | 0.61 | 0.72 |
| Medical/Surgical Major teaching | 114 | 857,705 | 0.55 | 0.34 | 0.45 | 0.54 | 0.64 | 0.73 |
| Medical/Surgical All others | 176 | 1,782,482 | 0.45 | 0.22 | 0.32 | 0.45 | 0.55 | 0.63 |
| Neurosurgical | 49 | 291,917 | 0.43 | 0.26 | 0.36 | 0.45 | 0.54 | 0.61 |
| Pediatric | 77 | 658,404 | 0.45 | 0.24 | 0.31 | 0.43 | 0.55 | 0.63 |
| Surgical | 157 | 1,451,793 | 0.67 | 0.47 | 0.56 | 0.68 | 0.77 | 0.87 |
| Trauma | 25 | 180,049 | 0.64 | 0.42 | 0.51 | 0.64 | 0.76 | 0.88 |
| Burn | 17 | 74,805 | 0.45 | . | . | . | . | . |
| Respiratory | 7 | 45,886 | 0.34 | . | . | . | . | . |

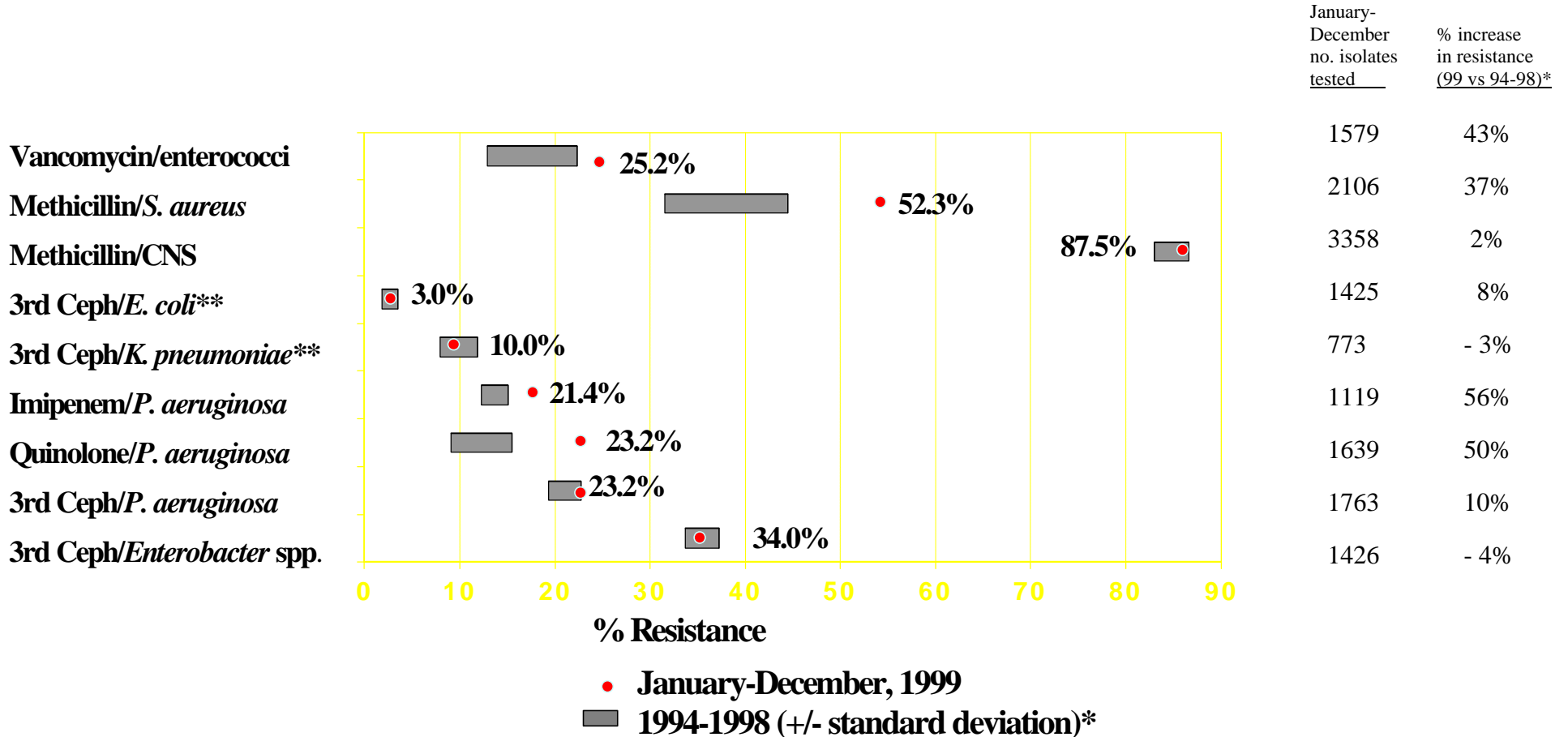
| Ventilator utilization*** | | | | Percentile | | | | |
|------------------------------------|---------------------|---------------------|--------------------|-------------------|------------|---------------------|------------|------------|
| Type of ICU | No. of Units | Patient-Days | Pooled Mean | 10% | 25% | 50% (median) | 75% | 90% |
| Coronary | 113 | 898,305 | 0.19 | 0.08 | 0.11 | 0.18 | 0.29 | 0.34 |
| Cardiothoracic | 59 | 516,088 | 0.47 | 0.33 | 0.38 | 0.48 | 0.54 | 0.61 |
| Medical | 138 | 1,276,794 | 0.48 | 0.20 | 0.32 | 0.45 | 0.59 | 0.68 |
| Medical/Surgical Major teaching | 114 | 857,705 | 0.46 | 0.27 | 0.35 | 0.43 | 0.54 | 0.64 |
| Medical/Surgical All others | 176 | 1,782,482 | 0.35 | 0.19 | 0.26 | 0.34 | 0.42 | 0.51 |
| Neurosurgical | 49 | 291,917 | 0.38 | 0.21 | 0.27 | 0.37 | 0.46 | 0.58 |
| Pediatric | 77 | 658,404 | 0.46 | 0.19 | 0.33 | 0.43 | 0.51 | 0.59 |
| Surgical | 157 | 1,451,793 | 0.47 | 0.24 | 0.35 | 0.46 | 0.55 | 0.65 |
| Trauma | 25 | 180,049 | 0.57 | 0.36 | 0.50 | 0.61 | 0.70 | 0.74 |
| Burn | 17 | 74,805 | 0.33 | . | . | . | . | . |
| Respiratory | 7 | 45,886 | 0.50 | . | . | . | . | . |

* Number of urinary catheter-days
Number of patient-days

** Number of central line-days
Number of patient-days

*** Number of ventilator-days
Number of patient-days

Figure 1. Selected antimicrobial resistant pathogens associated with nosocomial infections in ICU patients, comparison of resistance rates from January-December 1999 with 1994-1998, NNIS System



Note: CNS=coagulase-negative staphylococci, 3rd Ceph = resistance to \$1 of the following: ceftriaxone, cefotaxime, or ceftazidime, quinolone=resistance to either ciprofloxacin or ofloxacin.

* Percentage (%) increase in resistance rate of current year (January-December 1999) compared to mean rate of resistance over previous 5 years (1994 through 1998): $[(1999 \text{ rate} - \text{previous 5 year mean rate}) / \text{previous 5 year mean rate}] * 100$.

** "Resistance" for *E. coli* or *K. pneumoniae* is the rate of non-susceptibility of these organisms to either 3rd Ceph group or aztreonam.

Table 3. High risk nursery surveillance component. Pooled means and percentiles of the distribution of device-associated infection rates, by birthweight category, NNIS system, January 1990 - October 1999

| Umbilical and central line-associated BSI rate* | | | | Percentile | | | | |
|--|--------------------|--------------------------|--------------------|-------------------|------------|---------------------|------------|------------|
| Birthweight Category | No. of HRNs | Central-Line Days | Pooled Mean | 10% | 25% | 50% (median) | 75% | 90% |
| #1000 grams | 130 | 422,608 | 12.0 | 5.1 | 7.9 | 11.8 | 15.8 | 18.6 |
| 1001-1500 grams | 128 | 202,095 | 7.3 | 0.6 | 3.9 | 6.5 | 10.3 | 15.0 |
| 1501-2500 grams | 131 | 169,846 | 4.7 | 0.0 | 1.7 | 4.0 | 6.9 | 10.8 |
| > 2500 grams | 133 | 245,072 | 4.5 | 0.0 | 1.3 | 3.4 | 5.9 | 8.7 |

| Ventilator-associated pneumonia rate** | | | | Percentile | | | | |
|---|--------------------|------------------------|--------------------|-------------------|------------|---------------------|------------|------------|
| Birthweight Category | No. of HRNs | Ventilator-Days | Pooled Mean | 10% | 25% | 50% (median) | 75% | 90% |
| #1000 grams | 130 | 444,180 | 4.9 | 0.1 | 1.5 | 4.4 | 7.7 | 11.3 |
| 1001-1500 grams | 124 | 139,554 | 3.9 | 0.0 | 0.0 | 2.7 | 6.1 | 9.1 |
| 1501-2500 grams | 126 | 109,259 | 3.2 | 0.0 | 0.0 | 1.6 | 3.9 | 7.5 |
| > 2500 grams | 127 | 163,689 | 2.8 | 0.0 | 0.0 | 1.2 | 3.9 | 7.2 |

* $\frac{\text{Number of umbilical and central line-associated BSIs} \times 1000}{\text{Number of umbilical and central line-days}}$

** $\frac{\text{Number of ventilator-associated Pneumonias} \times 1000}{\text{Number of ventilator-days}}$

Table 4. High risk nursery surveillance component. Pooled means and percentiles of the distribution of device utilization ratios, by birthweight category, NNIS system, January 1990-October 1999

| Umbilical and central line utilization ratio* | | | | Percentile | | | | |
|--|--------------------|---------------------|--------------------|-------------------|------------|-------------------------|------------|------------|
| Birthweight Category | No. of HRNs | Patient-Days | Pooled Mean | 10% | 25% | 50% (median) | 75% | 90% |
| #1000 grams | 132 | 1,046,562 | 0.40 | 0.19 | 0.28 | 0.39 | 0.53 | 0.65 |
| 1001-1500 grams | 133 | 740,471 | 0.27 | 0.09 | 0.14 | 0.24 | 0.39 | 0.52 |
| 1501-2500 grams | 139 | 823,475 | 0.21 | 0.05 | 0.09 | 0.16 | 0.30 | 0.45 |
| > 2500 grams | 138 | 784,878 | 0.31 | 0.07 | 0.14 | 0.22 | 0.38 | 0.54 |

| Ventilator utilization ratio** | | | | Percentile | | | | |
|---------------------------------------|--------------------|---------------------|--------------------|-------------------|------------|-------------------------|------------|------------|
| Birthweight Category | No. of HRNs | Patient-Days | Pooled Mean | 10% | 25% | 50% (median) | 75% | 90% |
| #1000 grams | 132 | 1,046,562 | 0.42 | 0.25 | 0.33 | 0.40 | 0.52 | 0.64 |
| 1001-1500 grams | 133 | 740,471 | 0.19 | 0.07 | 0.11 | 0.16 | 0.26 | 0.40 |
| 1501-2500 grams | 139 | 823,475 | 0.13 | 0.03 | 0.05 | 0.09 | 0.17 | 0.32 |
| > 2500 grams | 138 | 784,878 | 0.21 | 0.04 | 0.08 | 0.13 | 0.24 | 0.37 |

*Number of umbilical and central line-days
Number of patient-days

**Number of ventilator-days
Number of patient-days

Table 5. Surgical patient surveillance component. Surgical site infection rates[‡], by operative procedure and risk index category, NNIS system, 1992-1998

| Operative Procedure Category | Duration | Risk | Risk | | | Risk | | | Risk | | | | |
|---------------------------------|----------------|----------------|-------|------|----------------|--------|------|----------------|-------|-------|----------------|-----|-------|
| | Cutpoint (hrs) | Index Category | N | Rate | Index Category | N | Rate | Index Category | N | Rate | Index Category | N | Rate |
| CARD Cardiac Surgery | 5 | 0 | 1021 | 0.59 | 1 | 13285 | 1.69 | 2,3 | 4010 | 2.84 | . | . | . |
| CBGB* CABG-Chest & Leg | 5 | 0 | 1098 | 0.73 | 1 | 113169 | 3.46 | 2 | 22942 | 5.82 | 3 | 57 | 17.54 |
| CBGC** CABG-Chest Only | 4 | 0,1 | 6210 | 2.62 | 2,3 | 2420 | 4.05 | . | . | . | . | . | . |
| OCVS Other Cardiovascular Surg | 2 | 0,1 | 5313 | 0.77 | 2 | 1660 | 1.69 | 3 | 69 | 5.80 | . | . | . |
| ORES Other Respiratory System | 2 | 0,1,2,3 | 1352 | 2.74 | . | . | . | . | . | . | . | . | . |
| THOR Thoracic Surgery | 3 | 0 | 936 | 0.43 | 1 | 2876 | 1.29 | 2,3 | 1048 | 3.24 | . | . | . |
| BILI Liver/Pancreas | 4 | 0 | 309 | 3.24 | 1,2,3 | 1094 | 7.04 | . | . | . | . | . | . |
| OGIT Other Digestive Surgery | 3 | 0,1 | 2290 | 3.23 | 2,3 | 432 | 8.10 | . | . | . | . | . | . |
| SB Small Bowel Surgery | 3 | 0 | 823 | 5.59 | 1 | 1876 | 7.52 | 2 | 1010 | 9.80 | 3 | 183 | 14.75 |
| XLAP Laparotomy | 2 | 0 | 3733 | 1.69 | 1 | 4125 | 3.15 | 2 | 2181 | 5.36 | 3 | 363 | 7.99 |
| NEPH Nephrectomy | 4 | 0,1,2,3 | 2046 | 1.22 | . | . | . | . | . | . | . | . | . |
| OGU Other Genitourinary Surgery | 2 | 0 | 8946 | 0.44 | 1 | 4016 | 1.17 | 2,3 | 983 | 2.95 | . | . | . |
| PRST Prostatectomy | 4 | 0 | 1648 | 0.91 | 1,2,3 | 1306 | 2.68 | . | . | . | . | . | . |
| HN Head and Neck | 7 | 0 | 442 | 2.94 | 1 | 595 | 5.71 | 2,3 | 280 | 13.93 | . | . | . |
| OENT Other ENT | 2 | 0,1 | 2474 | 0.24 | 2,3 | 272 | 2.94 | . | . | . | . | . | . |
| HER Herniorrhaphy | 2 | 0 | 7251 | 0.79 | 1 | 3982 | 1.86 | 2,3 | 901 | 3.44 | . | . | . |
| MAST Mastectomy | 3 | 0,1 | 11178 | 2.07 | 2,3 | 403 | 3.97 | . | . | . | . | . | . |
| CRAN Craniotomy | 4 | 0 | 2054 | 0.58 | 1,2,3 | 8112 | 1.75 | . | . | . | . | . | . |
| ONS Other Nervous System | 4 | 0,1,2,3 | 1648 | 1.76 | . | . | . | . | . | . | . | . | . |
| VSHN Ventricular Shunt | 2 | 0 | 1549 | 3.68 | 1,2,3 | 3573 | 5.12 | . | . | . | . | . | . |
| CSEC Cesarean Section | 1 | 0 | 59921 | 3.27 | 1 | 19920 | 4.74 | 2,3 | 1641 | 8.65 | . | . | . |

Table 5 - continued

| Operative Procedure Category | Duration | Risk | Risk | | | Risk | | | Risk | | | | |
|---------------------------------|----------|----------|-------|-------|----------|-------|-------|----------|-------|-------|----------|---|------|
| | Cutpoint | Index | Index | Index | Index | Index | Index | Index | Index | Index | | | |
| | (hrs) | Category | N | Rate | Category | N | Rate | Category | N | Rate | Category | N | Rate |
| HYST Abdominal Hysterectomy | 2 | 0 | 17590 | 1.50 | 1 | 9504 | 2.47 | 2,3 | 2012 | 6.11 | . | . | . |
| OOB Other Obstetric Procedures | 1 | 0,1,2,3 | 793 | 0.50 | . | . | . | . | . | . | . | . | . |
| VHYS Vaginal Hysterectomy | 2 | 0 | 7959 | 1.08 | 1,2,3 | 3937 | 1.47 | . | . | . | . | . | . |
| AMP Limb Amputation | 1 | 0,1,2,3 | 5991 | 4.29 | . | . | . | . | . | . | . | . | . |
| FUSN Spinal Fusion | 4 | 0 | 12306 | 1.23 | 1 | 7206 | 3.07 | 2,3 | 1979 | 7.23 | . | . | . |
| FX Open Reduction Fracture | 2 | 0 | 8474 | 0.64 | 1 | 12709 | 1.33 | 2,3 | 2931 | 2.59 | . | . | . |
| HPRO Hip Prosthesis | 2 | 0 | 9841 | 0.78 | 1 | 17638 | 1.55 | 2,3 | 5120 | 2.07 | . | . | . |
| KPRO Knee Prosthesis | 2 | 0 | 13721 | 0.87 | 1 | 17101 | 1.22 | 2,3 | 4928 | 2.03 | . | . | . |
| LAM Laminectomy | 2 | 0 | 18951 | 0.85 | 1 | 14064 | 1.38 | 2,3 | 4122 | 2.57 | . | . | . |
| OMS Other Musculoskeletal | 3 | 0 | 9493 | 0.65 | 1 | 6680 | 0.93 | 2,3 | 1788 | 2.07 | . | . | . |
| OPRO Other Prosthesis | 3 | 0,1,2,3 | 1396 | 0.64 | . | . | . | . | . | . | . | . | . |
| OBL Other Hem/Lymph System | 3 | 0,1,2,3 | 844 | 2.01 | . | . | . | . | . | . | . | . | . |
| OES Other Endocrine System | 3 | 0 | 1364 | 0.15 | 1,2,3 | 1046 | 0.96 | . | . | . | . | . | . |
| OEYE Other Eye | 2 | 0,1,2,3 | 437 | 0.69 | . | . | . | . | . | . | . | . | . |
| OSKN Other Integumentary System | 2 | 0,1,2,3 | 5501 | 1.38 | . | . | . | . | . | . | . | . | . |
| SKGR Skin Graft | 3 | 0,1 | 1872 | 1.44 | 2,3 | 806 | 4.47 | . | . | . | . | . | . |
| SPLE Splenectomy | 3 | 0,1,2,3 | 1016 | 2.85 | . | . | . | . | . | . | . | . | . |
| TP Organ Transplant | 7 | 0,1 | 2077 | 5.39 | 2,3 | 5711 | 6.99 | . | . | . | . | . | . |
| VS Vascular Surgery | 3 | 0 | 3579 | 0.98 | 1 | 30595 | 1.79 | 2,3 | 12515 | 5.05 | . | . | . |

‡per 100 operations

*CBGB (chest and leg) = Coronary artery bypass graft, chest and leg (donor) incisions

**CBGC (chest only) = Coronary artery bypass graft, chest incision only (example: internal mammary artery)

Table 6. Surgical patient surveillance component. Percentiles of the distribution of surgical site infection rates[‡], by operative procedure and risk index category[§], NNIS system, 1992 - 1998

| Operative Procedure Category | Risk Index Category | No. Hospitals | Pooled Mean Rate | Percentile | | | | |
|------------------------------------|---------------------|---------------|------------------|------------|------|--------------|-------|-------|
| | | | | 10% | 25% | 50% (median) | 75% | 90% |
| CARD Cardiac Surgery | 1 | 71 | 1.69 | 0.00 | 0.00 | 1.28 | 2.06 | 3.46 |
| CARD Cardiac Surgery | 2,3 | 45 | 2.84 | 0.00 | 0.00 | 2.01 | 3.96 | 6.57 |
| CBGB* CABG-Chest & Leg | 1 | 123 | 3.46 | 1.09 | 1.92 | 2.95 | 4.29 | 6.70 |
| CBGB* CABG-Chest & Leg | 2 | 107 | 5.82 | 1.30 | 3.09 | 5.43 | 7.80 | 10.82 |
| CBGC** CABG-Chest Only | 0,1 | 52 | 2.62 | 0.00 | 0.00 | 1.33 | 3.38 | 4.43 |
| CBGC** CABG-Chest Only | 2,3 | 29 | 4.05 | 0.00 | 0.00 | 1.81 | 3.61 | 6.16 |
| OCVS Other Cardiovascular Surgery | 0,1 | 27 | 0.77 | 0.00 | 0.00 | 0.00 | 1.38 | 2.97 |
| THOR Thoracic Surgery | 1 | 31 | 1.29 | 0.00 | 0.00 | 0.00 | 2.01 | 2.77 |
| OGIT Other Digestive Tract Surgery | 0,1 | 21 | 3.23 | 0.00 | 1.41 | 2.38 | 5.05 | 7.36 |
| SB Small Bowel Surgery | 1 | 24 | 7.52 | 2.49 | 4.17 | 6.38 | 10.42 | 16.80 |
| XLAP Laparotomy | 0 | 30 | 1.69 | 0.00 | 0.00 | 1.43 | 2.40 | 4.55 |
| XLAP Laparotomy | 1 | 37 | 3.15 | 0.00 | 0.23 | 2.60 | 3.98 | 6.69 |
| XLAP Laparotomy | 2 | 25 | 5.36 | 0.00 | 1.25 | 4.04 | 7.84 | 9.80 |
| NEPH Nephrectomy | 0,1,2,3 | 24 | 1.22 | 0.00 | 0.00 | 0.00 | 1.92 | 4.01 |
| OGU Other Genitourinary | 0 | 28 | 0.44 | 0.00 | 0.00 | 0.25 | 1.04 | 1.45 |
| OGU Other Genitourinary | 1 | 25 | 1.17 | 0.00 | 0.11 | 0.64 | 2.08 | 3.30 |
| PRST Prostatectomy | 0 | 23 | 0.91 | 0.00 | 0.00 | 0.00 | 1.05 | 3.09 |
| HER Herniorrhaphy | 0 | 40 | 0.79 | 0.00 | 0.00 | 0.24 | 1.45 | 2.33 |
| HER Herniorrhaphy | 1 | 39 | 1.86 | 0.00 | 0.00 | 1.10 | 2.94 | 3.85 |
| MAST Mastectomy | 0,1 | 48 | 2.07 | 0.00 | 0.00 | 0.86 | 2.42 | 3.42 |
| CRAN Craniotomy | 0 | 26 | 0.58 | 0.00 | 0.00 | 0.00 | 1.34 | 2.38 |
| CRAN Craniotomy | 1,2,3 | 51 | 1.75 | 0.00 | 0.00 | 0.92 | 2.36 | 3.23 |
| VSHN Ventricular Shunt | 1,2,3 | 30 | 5.12 | 0.00 | 1.15 | 3.84 | 6.16 | 9.76 |
| CSEC Cesarean Section | 0 | 96 | 3.27 | 0.00 | 1.21 | 2.59 | 5.69 | 9.12 |
| CSEC Cesarean Section | 1 | 87 | 4.74 | 0.00 | 1.56 | 3.38 | 7.16 | 9.77 |
| CSEC Cesarean Section | 2,3 | 22 | 8.65 | 0.00 | 4.27 | 6.60 | 13.07 | 18.08 |
| HYST Abdominal Hysterectomy | 0 | 66 | 1.50 | 0.00 | 0.00 | 1.16 | 2.33 | 4.23 |
| HYST Abdominal Hysterectomy | 1 | 63 | 2.47 | 0.00 | 0.00 | 1.55 | 2.79 | 4.71 |
| HYST Abdominal Hysterectomy | 2,3 | 29 | 6.11 | 0.00 | 2.74 | 4.71 | 9.42 | 11.61 |

Table 6 - continued

| Operative Procedure Category | Risk Index Category | No. Hospitals | Pooled Mean Rate | Percentile | | | | |
|---------------------------------|---------------------|---------------|------------------|------------|------|--------------|------|-------|
| | | | | 10% | 25% | 50% (median) | 75% | 90% |
| VHYS Vaginal Hysterectomy | 0 | 33 | 1.08 | 0.00 | 0.00 | 0.52 | 1.62 | 3.93 |
| VHYS Vaginal Hysterectomy | 1,2,3 | 34 | 1.47 | 0.00 | 0.00 | 1.15 | 1.95 | 4.23 |
| AMP Limb Amputation | 0,1,2,3 | 36 | 4.29 | 0.00 | 1.57 | 3.25 | 5.37 | 8.39 |
| FUSN Spinal Fusion | 0 | 57 | 1.23 | 0.00 | 0.00 | 0.47 | 1.45 | 2.56 |
| FUSN Spinal Fusion | 1 | 55 | 3.07 | 0.00 | 0.00 | 2.08 | 4.02 | 6.36 |
| FUSN Spinal Fusion | 2,3 | 26 | 7.23 | 0.00 | 4.67 | 7.02 | 9.60 | 13.46 |
| FX Open Reduction Fracture | 1 | 60 | 1.33 | 0.00 | 0.00 | 0.90 | 1.64 | 2.37 |
| HPRO Hip Prosthesis | 0 | 91 | 0.78 | 0.00 | 0.00 | 0.00 | 1.09 | 2.81 |
| HPRO Hip Prosthesis | 1 | 119 | 1.55 | 0.00 | 0.00 | 1.04 | 2.35 | 3.85 |
| HPRO Hip Prosthesis | 2,3 | 73 | 2.07 | 0.00 | 0.00 | 1.06 | 3.80 | 6.29 |
| KPRO Knee Prosthesis | 0 | 91 | 0.87 | 0.00 | 0.00 | 0.31 | 1.59 | 2.80 |
| KPRO Knee Prosthesis | 1 | 111 | 1.22 | 0.00 | 0.00 | 0.93 | 1.91 | 3.24 |
| KPRO Knee Prosthesis | 2,3 | 68 | 2.03 | 0.00 | 0.00 | 1.47 | 3.45 | 5.56 |
| LAM Laminectomy | 0 | 83 | 0.85 | 0.00 | 0.00 | 0.47 | 1.13 | 2.66 |
| LAM Laminectomy | 1 | 77 | 1.38 | 0.00 | 0.00 | 1.01 | 2.37 | 3.38 |
| LAM Laminectomy | 2,3 | 51 | 2.57 | 0.00 | 0.00 | 2.41 | 3.57 | 6.90 |
| OMS Other Musculoskeletal | 0 | 34 | 0.65 | 0.00 | 0.00 | 0.45 | 0.83 | 0.96 |
| OMS Other Musculoskeletal | 1 | 32 | 0.93 | 0.00 | 0.00 | 0.00 | 1.23 | 1.88 |
| OSKN Other Integumentary System | 0,1,2,3 | 26 | 1.38 | 0.00 | 0.00 | 0.95 | 1.49 | 2.39 |
| VS Vascular Surgery | 0 | 47 | 0.98 | 0.00 | 0.00 | 0.00 | 1.68 | 3.94 |
| VS Vascular Surgery | 1 | 83 | 1.79 | 0.00 | 0.71 | 1.38 | 2.25 | 3.50 |
| VS Vascular Surgery | 2,3 | 77 | 5.05 | 0.00 | 2.87 | 4.65 | 7.2 | 9.18 |
| FX Open Reduction Fracture | 2,3 | 35 | 2.59 | 0.00 | 0.00 | 2.80 | 4.40 | 7.5 |

‡ per 100 operations

§Includes only those procedure-risk categories for which at least 20 hospitals have reported at least 30 operations.

*CABG-Chest and Leg = Coronary artery bypass graft, chest and leg (donor) incisions

**CABG-Chest Only = Coronary artery bypass graft, chest incision only (example: internal mammary artery)

Table 7. Surgical patient component. Surgical site infection rates*, by selected operative procedure and modified risk index category incorporating laparoscope use, 1992-1998**

| Operative Procedure Category | Duration Cutpoint (hrs) | Risk Index | | | Risk Index | | | Risk Index | | | Risk Index | | | | | |
|------------------------------|-------------------------|------------|--------|------|------------|--------|------|------------|--------|------|------------|-------|-------|---|-----|-------|
| | | Category | N | Rate | Category | N | Rate | Category | N | Rate | Category | N | Rate | | | |
| CHOL Cholecystectomy | 2 | M | 17,095 | 0.49 | 0 | 15,471 | 0.69 | 1 | 7,417 | 2.04 | 2 | 2,492 | 3.49 | 3 | 318 | 6.60 |
| COLO Colon Surgery | 3 | M | 288 | 0.69 | 0 | 6,812 | 4.32 | 1 | 11,856 | 6.24 | 2 | 5,267 | 9.55 | 3 | 718 | 12.95 |
| APPY Appendectomy | 1 | 0-Yes | 893 | 0.56 | 0-No | 3,866 | 1.37 | 1 | 4,957 | 3.17 | 2,3 | 2,121 | 5.85 | . | . | . |
| GAST Gastric Surgery | 3 | 0-Yes | 203 | 0.49 | 0-No | 1,144 | 2.71 | 1 | 2,416 | 5.13 | 2,3 | 1,184 | 10.73 | . | . | . |

* per 100 operations

** This table uses a new modified risk index that incorporates the influence of laparoscope or endoscope (SCOPE) on SSI rates. The influence of SCOPE on SSI rates was different across the four procedures:

- < For Cholecystectomy and Colon Surgery, when the operation was done laparoscopically, 1 was subtracted from the number of risk factors (ASA score of 3,4, or 5; duration of surgery >75th percentile; or contaminated or dirty wound class) in the NNIS risk index. For example, when two risk factors were present and the procedure was done laparoscopically, the new modified risk index category is 1 (i.e., 2-1=1). When no risk factors were present and the procedure was performed with a laparoscope, i.e., 0-1=-1, we designated this new modified risk category as minus 1 or “M”.
- < For Appendectomy and Gastric Surgery, the use of a SCOPE was important only if the patient had no other risk factors. We split patients with no other risk factors into two groups: ‘0-Yes’ which means laparoscope was used and ‘0-No’ when laparoscope was not used. Since there was no difference in the rates when 2 or 3 risk factors were present, the rates for categories 2 and 3 were combined into a single category.

Table 8. Surgical patient surveillance component. Surgical site infection rates* following coronary artery bypass graft (CBGB) procedure, by risk index category and specific site, NNIS system, January 1992 - December 1997

| Infection Site | Risk Index Category | | | | | | | |
|-------------------------------|---------------------|-------------|-------------|-------------|-------------|-------------|-----------|--------------|
| | 0 | | 1 | | 2 | | 3 | |
| | No. SSIs | Rate | No. SSIs | Rate | No. SSIs | Rate | No. SSIs | Rate |
| Leg (donor site) | 4 | 0.36 | 1798 | 1.59 | 644 | 2.81 | 2 | 3.51 |
| <i>Superficial incisional</i> | 4 | 0.36 | 1453 | 1.28 | 504 | 2.20 | 2 | 3.51 |
| <i>Deep incisional</i> | 0 | 0.00 | 345 | 0.30 | 140 | 0.61 | 0 | 0.00 |
| Chest | 4 | 0.36 | 2120 | 1.87 | 692 | 3.02 | 8 | 14.04 |
| <i>Superficial incisional</i> | 3 | 0.27 | 892 | 0.79 | 285 | 1.24 | 2 | 3.51 |
| <i>Deep incisional</i> | 0 | 0.00 | 560 | 0.49 | 185 | 0.81 | 3 | 5.26 |
| <i>Organ/space</i> | 1 | 0.09 | 668 | 0.59 | 222 | 0.97 | 3 | 5.26 |
| Total | 8 | 0.73 | 3918 | 3.46 | 1336 | 5.82 | 10 | 17.54 |

*per 100 operations.

Denominators for the risk categories are as follows:

Category 0 = 1, 098

Category 1 = 113, 169

Category 2 = 22, 942

Category 3 = 57

Table 9. ICARE Project. Pooled means and percentiles of the distribution of antimicrobial usage rates (Defined Daily Dose [DDD]*/1000 patient-days), by non-ICU inpatient areas and various types of ICU, January 1996 - November 1999**

| Non-ICU Inpatient Areas (n=59) | | | Percentile | | | | |
|----------------------------------|---------|-------------|------------|------|-----------------|-------|-------|
| Antimicrobial Agent | No. DDD | Pooled Mean | 10% | 25% | 50% (median) | 75% | 90% |
| Penicillin group | 76,481 | 9.7 | 2.0 | 3.4 | 6.3 | 9.8 | 16.2 |
| Ampicillin group | 513,252 | 65.0 | 36.7 | 50.0 | 62.3 | 78.0 | 102.4 |
| Antipseudomonal penicillins | 129,243 | 16.4 | 2.3 | 7.6 | 16.0 | 23.2 | 35.1 |
| Antistaphylococcal penicillins | 114,608 | 14.5 | 2.9 | 4.4 | 11.7 | 17.1 | 24.5 |
| First-generation cephalosporins | 611,281 | 77.4 | 45.6 | 58.7 | 75.5 | 102.8 | 129.3 |
| Second-generation cephalosporins | 343,290 | 43.5 | 12.3 | 24.4 | 35.7 | 58.9 | 75.7 |
| Third-generation cephalosporins | 658,150 | 83.3 | 29.6 | 49.0 | 76.8 | 117.5 | 140.7 |
| Carbapenem group | 43,283 | 5.5 | 0.3 | 1.5 | 3.8 | 7.1 | 14.7 |
| Aztreonam | 20,528 | 2.6 | 0.1 | 0.7 | 1.6 | 3.7 | 6.8 |
| Fluoroquinolones | 436,962 | 55.3 | 21.2 | 36.3 | 54.8 | 77.6 | 114.4 |
| Trimethoprim/sulfamethoxazole | 304,687 | 38.6 | 1.3 | 19.1 | 27.5 | 44.5 | 80.1 |
| Vancomycin (oral) | 18,164 | 2.3 | 0.0 | 0.6 | 1.3 | 2.2 | 4.2 |
| Vancomycin (parenteral) | 219,697 | 27.8 | 12.1 | 16.3 | 22.3 | 34.7 | 60.9 |

* DDD of an antimicrobial agent is calculated by dividing the total grams of the antimicrobial agent used in a hospital area by the number of grams in an average daily dose of the agent given to an adult patient.

** DDD per 1,000 patient days = $\frac{\text{DDD of specific agent used} \times 1000}{\text{Total number of patient-days}}$

Table 9. - continued

Coronary Care Unit (n=29)

| Antimicrobial Agent | No. DDD | Pooled Mean | Percentile | | | | |
|----------------------------------|---------|-------------|------------|------|--------------|-------|-------|
| | | | 10% | 25% | 50% (median) | 75% | 90% |
| Penicillin group | 516 | 5.0 | 0.0 | 0.4 | 1.9 | 5.9 | 17.6 |
| Ampicillin group | 4,020 | 38.8 | 4.2 | 17.9 | 37.1 | 72.3 | 97.9 |
| Antipseudomonal penicillins | 2,911 | 28.1 | 0.0 | 3.9 | 15.9 | 46.2 | 78.5 |
| Antistaphylococcal penicillins | 1,965 | 19.0 | 0.0 | 2.7 | 11.7 | 31.7 | 55.8 |
| First-generation cephalosporins | 4,075 | 39.3 | 7.5 | 28.1 | 35.5 | 49.9 | 104.9 |
| Second-generation cephalosporins | 3,604 | 34.8 | 0.5 | 9.2 | 18.9 | 34.4 | 48.0 |
| Third-generation cephalosporins | 12,652 | 122.0 | 19.5 | 46.2 | 120.3 | 143.8 | 263.1 |
| Carbapenem group | 879 | 8.6 | 0.0 | 0.5 | 4.7 | 10.1 | 30.1 |
| Aztreonam | 694 | 6.7 | 0.0 | 0.0 | 2.0 | 9.2 | 15.4 |
| Fluoroquinolones | 7,163 | 69.1 | 6.1 | 16.3 | 39.9 | 74.5 | 167.6 |
| Trimethoprim/sulfamethoxazole | 3,406 | 32.9 | 0.0 | 7.4 | 19.9 | 34.1 | 106.4 |
| Vancomycin (oral) | 441 | 4.3 | 0.0 | 0.0 | 0.0 | 1.0 | 8.1 |
| Vancomycin (parenteral) | 4,799 | 46.3 | 9.9 | 19.0 | 32.1 | 75.3 | 107.0 |

Table 9. - Continued

Cardiothoracic ICU (n=19)

| Antimicrobial Agent | No. DDD | Pooled Mean | Percentile | | | | |
|----------------------------------|---------|-------------|------------|-------|--------------|-------|-------|
| | | | 10% | 25% | 50% (median) | 75% | 90% |
| Penicillin group | 423 | 4.3 | 0.0 | 0.0 | 1.4 | 5.4 | 16.8 |
| Ampicillin group | 2,547 | 26.0 | 0.6 | 8.0 | 27.6 | 37.5 | 65.2 |
| Antipseudomonal penicillins | 1,955 | 19.9 | 0.0 | 2.6 | 16.0 | 36.1 | 48.6 |
| Antistaphylococcal penicillins | 1,226 | 12.5 | 0.0 | 0.0 | 6.4 | 19.9 | 29.9 |
| First-generation cephalosporins | 25,129 | 256.0 | 41.4 | 120.6 | 258.7 | 501.6 | 720.2 |
| Second-generation cephalosporins | 5,678 | 57.9 | 1.9 | 3.9 | 25.4 | 81.2 | 625.3 |
| Third-generation cephalosporins | 9,362 | 95.5 | 15.0 | 28.2 | 84.8 | 132.2 | 201.5 |
| Carbapenem group | 1,345 | 13.7 | 0.0 | 0.5 | 5.2 | 16.3 | 49.4 |
| Aztreonam | 669 | 6.8 | 0.0 | 0.1 | 1.2 | 5.3 | 26.7 |
| Fluoroquinolones | 4,110 | 41.9 | 3.5 | 11.6 | 43.3 | 67.3 | 165.4 |
| Trimethoprim/sulfamethoxazole | 1,018 | 10.4 | 0.0 | 0.0 | 6.3 | 13.9 | 100.9 |
| Vancomycin (oral) | 453 | 4.6 | 0.0 | 0.0 | 0.0 | 0.8 | 19.2 |
| Vancomycin (parenteral) | 10,180 | 104.0 | 17.3 | 29.3 | 97.0 | 190.0 | 355.9 |

Table 9. - Continued

Hematology/Oncology/Transplant Wards (n=17)

| Antimicrobial Agent | No. DDD | Pooled Mean | Percentile | | | | |
|----------------------------------|---------|-------------|------------|-------|-----------------|-------|-------|
| | | | 10% | 25% | 50% (median) | 75% | 90% |
| Penicillin group | 436 | 5.0 | 0.0 | 0.1 | 3.1 | 6.0 | 9.3 |
| Ampicillin group | 4,774 | 54.3 | 1.1 | 21.3 | 42.5 | 61.0 | 105.4 |
| Antipseudomonal penicillins | 2,868 | 32.6 | 5.8 | 11.5 | 22.2 | 45.6 | 86.7 |
| Antistaphylococcal penicillins | 1,171 | 13.3 | 1.2 | 2.7 | 7.4 | 23.2 | 51.7 |
| First-generation cephalosporins | 3,894 | 44.3 | 8.6 | 25.7 | 34.8 | 41.4 | 90.3 |
| Second-generation cephalosporins | 2,491 | 28.3 | 3.4 | 6.0 | 14.3 | 30.2 | 48.5 |
| Third-generation cephalosporins | 27,854 | 317.0 | 104.0 | 180.2 | 233.8 | 341.3 | 410.1 |
| Carbapenem group | 1,238 | 14.1 | 0.1 | 5.1 | 16.8 | 23.4 | 40.3 |
| Aztreonam | 778 | 8.8 | 0.0 | 2.6 | 5.8 | 14.4 | 38.3 |
| Fluoroquinolones | 13,231 | 151.0 | 29.1 | 75.7 | 142.5 | 229.7 | 310.5 |
| Trimethoprim/sulfamethoxazole | 3,381 | 38.5 | 0.0 | 23.8 | 29.4 | 56.2 | 101.4 |
| Vancomycin (oral) | 383 | 4.4 | 0.0 | 0.0 | 1.7 | 4.9 | 12.3 |
| Vancomycin (parenteral) | 7,605 | 86.5 | 32.1 | 65.1 | 98.7 | 133.4 | 253.0 |

Table 9. - Continued

Medical ICU (n=31)

| Antimicrobial Agent | No. DDD | Pooled Mean | Percentile | | | | |
|----------------------------------|---------|-------------|------------|-------|--------------|-------|-------|
| | | | 10% | 25% | 50% (median) | 75% | 90% |
| Penicillin group | 1,065 | 6.6 | 0.1 | 1.9 | 5.3 | 9.0 | 14.4 |
| Ampicillin group | 12,346 | 76.3 | 32.6 | 56.2 | 79.2 | 98.0 | 177.0 |
| Antipseudomonal penicillins | 10,285 | 63.5 | 4.6 | 24.4 | 71.6 | 112.9 | 119.9 |
| Antistaphylococcal penicillins | 4,214 | 26.0 | 1.5 | 6.0 | 22.3 | 48.6 | 66.1 |
| First-generation cephalosporins | 3,861 | 23.8 | 8.8 | 15.0 | 28.1 | 40.5 | 62.1 |
| Second-generation cephalosporins | 5,424 | 33.5 | 3.6 | 11.5 | 27.7 | 59.2 | 69.0 |
| Third-generation cephalosporins | 36,911 | 228.0 | 83.5 | 120.7 | 194.1 | 362.1 | 413.6 |
| Carbapenem group | 3,925 | 24.2 | 0.0 | 5.1 | 21.7 | 54.5 | 83.0 |
| Aztreonam | 1,065 | 6.6 | 0.0 | 1.9 | 6.6 | 13.4 | 18.1 |
| Fluoroquinolones | 13,940 | 86.1 | 22.6 | 45.6 | 86.5 | 128.1 | 217.1 |
| Trimethoprim/sulfamethoxazole | 7,479 | 46.2 | 1.9 | 17.6 | 39.1 | 59.3 | 95.7 |
| Vancomycin (oral) | 238 | 1.5 | 0.0 | 0.0 | 0.7 | 2.7 | 4.4 |
| Vancomycin (parenteral) | 12,305 | 76.0 | 36.0 | 55.5 | 74.9 | 133.3 | 172.1 |

Table 9. - Continued

Medical-Surgical ICU (n=45)

| Antimicrobial Agent | No. DDD | Pooled Mean | Percentile | | | | |
|----------------------------------|---------|-------------|------------|-------|-----------------|-------|-------|
| | | | 10% | 25% | 50% (median) | 75% | 90% |
| Penicillin group | 1,853 | 7.7 | 0.0 | 0.5 | 2.5 | 8.6 | 28.8 |
| Ampicillin group | 21,104 | 87.4 | 28.9 | 50.9 | 75.1 | 128.6 | 143.2 |
| Antipseudomonal penicillins | 17,059 | 70.6 | 17.0 | 29.3 | 50.1 | 90.2 | 120.0 |
| Antistaphylococcal penicillins | 5,531 | 22.9 | 1.3 | 4.5 | 11.5 | 22.7 | 51.6 |
| First-generation cephalosporins | 30,951 | 128.0 | 19.5 | 61.4 | 85.1 | 145.3 | 257.4 |
| Second-generation cephalosporins | 14,555 | 60.3 | 4.5 | 14.7 | 36.9 | 73.2 | 105.5 |
| Third-generation cephalosporins | 49,333 | 204.0 | 80.0 | 106.9 | 181.5 | 259.9 | 305.1 |
| Carbapenem group | 7,232 | 30.0 | 1.3 | 5.8 | 21.3 | 40.2 | 56.7 |
| Aztreonam | 2,767 | 11.5 | 0.0 | 1.7 | 7.0 | 15.1 | 25.3 |
| Fluoroquinolones | 31,734 | 131.0 | 33.3 | 53.6 | 104.1 | 162.0 | 285.7 |
| Trimethoprim/sulfamethoxazole | 9,961 | 41.3 | 0.0 | 11.4 | 21.7 | 35.9 | 100.7 |
| Vancomycin (oral) | 1,228 | 5.1 | 0.0 | 0.0 | 1.9 | 4.2 | 11.7 |
| Vancomycin (parenteral) | 16,754 | 69.4 | 27.0 | 44.7 | 54.5 | 81.1 | 137.4 |

Table 9. - Continued

Neurosurgical ICU (n=10)

| Antimicrobial Agent | No. DDD | Pooled Mean | Percentile | | | | |
|----------------------------------|---------|-------------|------------|-------|-----------------|-------|-------|
| | | | 10% | 25% | 50% (median) | 75% | 90% |
| Penicillin group | 346 | 8.0 | 0.0 | 2.2 | 6.5 | 14.9 | 27.0 |
| Ampicillin group | 2,120 | 48.8 | 7.4 | 33.2 | 51.0 | 62.3 | 73.5 |
| Antipseudomonal penicillins | 1,873 | 43.2 | 9.0 | 21.6 | 34.5 | 44.3 | 68.0 |
| Antistaphylococcal penicillins | 2,594 | 59.8 | 2.7 | 5.0 | 26.8 | 70.6 | 164.3 |
| First-generation cephalosporins | 5,376 | 124.0 | 38.3 | 83.8 | 107.0 | 173.4 | 314.4 |
| Second-generation cephalosporins | 981 | 22.6 | 1.3 | 5.5 | 8.5 | 29.2 | 34.9 |
| Third-generation cephalosporins | 9,542 | 220.0 | 41.6 | 124.7 | 206.8 | 314.7 | 366.5 |
| Carbapenem group | 1,154 | 26.6 | 0.0 | 0.0 | 7.4 | 44.4 | 53.3 |
| Aztreonam | 77 | 1.8 | 0.0 | 0.0 | 1.6 | 4.2 | 8.4 |
| Fluoroquinolones | 2,930 | 67.5 | 21.6 | 36.6 | 69.1 | 141.4 | 196.6 |
| Trimethoprim/sulfamethoxazole | 1,095 | 25.2 | 0.8 | 12.7 | 26.7 | 41.5 | 66.0 |
| Vancomycin (oral) | 54 | 1.2 | 0.0 | 0.0 | 0.0 | 0.2 | 4.1 |
| Vancomycin (parenteral) | 4,062 | 93.6 | 48.3 | 62.8 | 100.3 | 124.9 | 146.0 |

Table 9. - Continued

Surgical ICU (n=28)

| Antimicrobial Agent | No. DDD | Pooled Mean | Percentile | | | | |
|----------------------------------|---------|-------------|------------|------|--------------|-------|-------|
| | | | 10% | 25% | 50% (median) | 75% | 90% |
| Penicillin group | 1,307 | 7.6 | 0.0 | 0.8 | 3.7 | 11.9 | 20.7 |
| Ampicillin group | 13,249 | 76.8 | 12.6 | 53.2 | 85.3 | 145.4 | 207.6 |
| Antipseudomonal penicillins | 7,054 | 40.9 | 1.4 | 24.9 | 47.6 | 76.7 | 124.0 |
| Antistaphylococcal penicillins | 3,513 | 20.4 | 0.7 | 2.5 | 14.8 | 38.7 | 55.3 |
| First-generation cephalosporins | 23,208 | 135.0 | 24.8 | 92.9 | 154.2 | 312.9 | 490.2 |
| Second-generation cephalosporins | 7,092 | 41.1 | 3.7 | 22.1 | 51.2 | 68.9 | 136.2 |
| Third-generation cephalosporins | 20,846 | 121.0 | 41.8 | 86.4 | 145.5 | 184.4 | 222.8 |
| Carbapenem group | 5,463 | 31.7 | 0.0 | 4.9 | 19.2 | 53.2 | 71.5 |
| Aztreonam | 1,148 | 6.7 | 0.1 | 5.2 | 8.1 | 12.5 | 19.3 |
| Fluoroquinolones | 11,270 | 65.3 | 12.0 | 41.9 | 83.5 | 112.6 | 208.8 |
| Trimethoprim/sulfamethoxazole | 4,524 | 26.2 | 4.0 | 12.7 | 24.4 | 46.7 | 92.3 |
| Vancomycin (oral) | 262 | 1.5 | 0.0 | 0.0 | 1.2 | 3.0 | 11.9 |
| Vancomycin (parenteral) | 14,029 | 81.3 | 36.0 | 64.7 | 104.1 | 155.9 | 169.6 |

Table 9. - Continued

Pediatric ICU (n=15)

| Antimicrobial Agent | No. DDD | Pooled Mean | Percentile | | | | |
|----------------------------------|---------|-------------|------------|------|--------------|-------|-------|
| | | | 10% | 25% | 50% (median) | 75% | 90% |
| Penicillin group | 280 | 2.2 | 0.0 | 0.5 | 2.1 | 8.8 | 12.7 |
| Ampicillin group | 1,683 | 13.3 | 7.5 | 25.3 | 51.0 | 62.9 | 68.3 |
| Antipseudomonal penicillins | 561 | 4.4 | 0.0 | 1.2 | 7.5 | 24.0 | 34.6 |
| Antistaphylococcal penicillins | 1,102 | 8.7 | 1.6 | 12.1 | 22.4 | 32.0 | 52.6 |
| First-generation cephalosporins | 1,898 | 15.0 | 3.6 | 23.4 | 34.7 | 75.6 | 113.9 |
| Second-generation cephalosporins | 1,473 | 11.7 | 2.9 | 17.3 | 26.6 | 53.1 | 83.0 |
| Third-generation cephalosporins | 7,378 | 58.5 | 22.5 | 71.9 | 152.3 | 314.1 | 386.0 |
| Carbapenem | 215 | 1.7 | 0.0 | 0.0 | 1.0 | 10.6 | 14.1 |
| Aztreonam | 80 | 0.6 | 0.0 | 0.0 | 0.0 | 0.5 | 3.6 |
| Fluoroquinolones | 334 | 2.6 | 0.0 | 0.0 | 1.7 | 11.5 | 17.8 |
| Trimethoprim/sulfamethoxazole | 596 | 4.7 | 0.0 | 0.0 | 7.2 | 12.8 | 38.7 |
| Vancomycin (oral) | 151 | 1.2 | 0.0 | 0.0 | 0.0 | 2.7 | 15.7 |
| Vancomycin (parenteral) | 2,434 | 19.3 | 3.5 | 14.8 | 60.9 | 70.8 | 106.6 |

Table 10. ICARE Project. Pooled means and percentiles of the distribution of antimicrobial resistance rates*, by all ICUs combined, non-ICU inpatient units, and outpatients, January 1996 - November 1999

| All ICUs Combined | | | | Percentile | | | | |
|---|-----------|------------|-------------|------------|------|-----------------|------|------|
| | | | | 10% | 25% | 50% (median) | 75% | 90% |
| Antimicrobial-resistant pathogen | No. Units | No. Tested | Pooled Mean | | | | | |
| MRSA | 170 | 11,370 | 39.4 | 14.8 | 23.1 | 40.2 | 56.1 | 66.7 |
| Methicillin-resistant CNS | 161 | 9,952 | 74.2 | 54.5 | 65.8 | 75.4 | 81.8 | 87.4 |
| Vancomycin-resistant <i>Enterococcus</i> | 147 | 7,220 | 16.7 | 0.0 | 2.4 | 10.0 | 18.2 | 29.0 |
| Ciprofloxacin/ofloxacin-resistant <i>Pseudomonas aeruginosa</i> | 149 | 9,135 | 24.7 | 4.2 | 10.1 | 21.1 | 34.1 | 58.8 |
| Levofloxacin-resistant <i>P aeruginosa</i> | 33 | 1,275 | 35.5 | 8.0 | 15.4 | 26.7 | 38.1 | 52.5 |
| Imipenem-resistant <i>P aeruginosa</i> | 133 | 7,232 | 17.0 | 0.0 | 5.6 | 10.7 | 21.2 | 32.3 |
| Ceftazidime-resistant <i>P aeruginosa</i> | 140 | 8,192 | 11.1 | 0.0 | 3.0 | 8.8 | 15.1 | 25.0 |
| Piperacillin-resistant <i>P aeruginosa</i> | 127 | 6,917 | 14.4 | 0.0 | 4.3 | 11.8 | 19.2 | 31.7 |
| Cef3-resistant <i>Enterobacter</i> spp | 119 | 4,122 | 25.5 | 8.8 | 17.6 | 26.8 | 38.0 | 50.0 |
| Carbapenem-resistant <i>Enterobacter</i> spp | 67 | 1,568 | 1.2 | 0.0 | 0.0 | 0.0 | 0.0 | 4.8 |
| Cef3-resistant <i>Klebsiella pneumoniae</i> | 125 | 4,294 | 6.5 | 0.0 | 0.0 | 0.0 | 6.7 | 17.9 |
| Cef3-resistant <i>Escherichia coli</i> | 145 | 6,339 | 1.4 | 0.0 | 0.0 | 0.0 | 2.2 | 6.4 |
| Quinolone-resistant <i>E coli</i> | 142 | 6,047 | 2.5 | 0.0 | 0.0 | 0.0 | 4.5 | 10.0 |
| Penicillin-resistant pneumococcus | 47 | 999 | 14.5 | 0.0 | 0.0 | 6.7 | 23.1 | 50.0 |
| Cefotaxime/ceftriaxone-resistant pneumococcus | 14 | 243 | 2.5 | 0.0 | 0.0 | 0.0 | 2.9 | 8.3 |

MRSA=Methicillin-resistant *Staphylococcus aureus*; CNS=coagulase-negative *Staphylococcus*; Cef3=ceftazidime, cefotaxime, or ceftriaxone;

Quinolone=ciprofloxacin, ofloxacin, or levofloxacin; Carbapenem = imipenem or meropenem

* $\frac{\text{Number of resistant antimicrobial-pathogen isolates}}{\text{Total number of antimicrobial-pathogen isolates that were tested for susceptibility}} \times 100$

Total number of antimicrobial-pathogen isolates that were tested for susceptibility

Table 10. - Continued

Non-ICU Inpatient Areas

| Antimicrobial-resistant pathogen | No. Units | No. Tested | Pooled Mean | Percentiles | | | | |
|--|-----------|------------|-------------|-------------|------|-----------------|------|------|
| | | | | 10% | 25% | 50% (median) | 75% | 90% |
| MRSA | 59 | 33,674 | 35.7 | 17.4 | 26.9 | 35.0 | 47.2 | 53.5 |
| Methicillin-resistant CNS | 59 | 23,740 | 61.8 | 48.4 | 57.1 | 60.9 | 67.2 | 72.1 |
| Vancomycin-resistant <i>Enterococcus</i> | 58 | 29,811 | 11.7 | 0.9 | 2.1 | 4.8 | 9.8 | 19.1 |
| Ciprofloxacin/ofloxacin-resistant <i>Pseudomonas aeruginosa</i> | 59 | 21,752 | 22.2 | 11.8 | 17.8 | 28.8 | 40.1 | 66.8 |
| Levofloxacin-resistant <i>P aeruginosa</i> | 15 | 2,433 | 26.3 | 12.9 | 20.0 | 23.9 | 32.3 | 35.2 |
| Imipenem-resistant <i>P aeruginosa</i> | 55 | 16,728 | 11.3 | 3.4 | 6.3 | 9.4 | 13.2 | 16.7 |
| Ceftazidime-resistant <i>P aeruginosa</i> | 57 | 20,146 | 7.2 | 1.4 | 3.8 | 6.3 | 11.2 | 14.4 |
| Piperacillin-resistant <i>P aeruginosa</i> | 56 | 16,897 | 9.5 | 2.7 | 4.9 | 8.1 | 12.6 | 18.6 |
| Cef3-resistant <i>Enterobacter</i> spp | 59 | 7,929 | 21.6 | 8.9 | 13.6 | 20.4 | 26.3 | 34.9 |
| Carbapenum-resistant <i>Enterobacter</i> spp | 35 | 2,552 | 1.4 | 0.0 | 0.0 | 0.0 | 1.1 | 4.9 |
| Cef3-resistant <i>Klebsiella pneumoniae</i> | 59 | 13,772 | 4.7 | 0.0 | 0.4 | 2.0 | 4.4 | 9.5 |
| Cef3-resistant <i>Escherichia coli</i> | 59 | 37,526 | 0.8 | 0.0 | 0.0 | 0.5 | 1.3 | 2.3 |
| Quinolone-resistant <i>E coli</i> | 58 | 36,105 | 2.3 | 0.0 | 0.5 | 1.2 | 2.9 | 5.3 |
| Penicillin-resistant pneumococcus | 51 | 3,531 | 14.1 | 1.6 | 5.5 | 10.0 | 20.0 | 31.8 |
| Cefotaxime/ceftriaxone-resistant pneumococcus | 23 | 947 | 8.0 | 0.0 | 2.6 | 7.1 | 13.3 | 20.0 |

Table 10 (corrected page) Antimicrobial resistance rates and key percentiles for ICUs, non-ICU inpatient units, and outpatients at NNIS/ICARE hospitals, January 1996 through November 1999

| Outpatient Areas | | | | Percentile | | | | |
|---|-----------|------------|-------------|------------|------|--------------|------|------|
| Antimicrobial-resistant pathogen | No. Units | No. Tested | Pooled Mean | 10% | 25% | 50% (median) | 75% | 90% |
| MRSA | 54 | 26,648 | 20.5 | 9.5 | 13.4 | 21.5 | 26.9 | 34.1 |
| Methicillin-resistant CNS | 53 | 16,253 | 44.7 | 33.3 | 40.5 | 45.3 | 50.4 | 58.4 |
| Vancomycin-resistant <i>Enterococcus</i> | 52 | 18,413 | 3.6 | 0.0 | 1.0 | 2.9 | 4.9 | 8.1 |
| Ciprofloxacin/ofloxacin-resistant <i>Pseudomonas aeruginosa</i> | 54 | 13,156 | 22.1 | 14.4 | 19.5 | 27.0 | 39.4 | 57.0 |
| Levofloxacin-resistant <i>P aeruginosa</i> | 14 | 1,548 | 25.0 | 14.0 | 15.8 | 21.3 | 28.0 | 37.0 |
| Imipenem-resistant <i>P aeruginosa</i> | 50 | 9,257 | 7.0 | 1.5 | 3.4 | 6.4 | 9.6 | 13.0 |
| Ceftazidime-resistant <i>P aeruginosa</i> | 53 | 11,600 | 4.5 | 0.0 | 1.8 | 4.1 | 6.3 | 12.2 |
| Piperacillin-resistant <i>P aeruginosa</i> | 49 | 10,034 | 5.4 | 0.0 | 2.0 | 4.2 | 6.4 | 15.7 |
| Cef3-resistant <i>Enterobacter</i> spp | 49 | 4,741 | 9.6 | 0.0 | 5.5 | 7.5 | 14.3 | 19.0 |
| Carbapenem-resistant <i>Enterobacter</i> spp | 31 | 1,348 | 1.2 | 0.0 | 0.0 | 0.0 | 0.0 | 2.4 |
| Cef3-resistant <i>Klebsiella pneumoniae</i> | 53 | 12,065 | 1.4 | 0.0 | 0.0 | 1.0 | 2.5 | 6.4 |
| Cef3-resistant <i>Escherichia coli</i> | 54 | 71,168 | 0.2 | 0.0 | 0.0 | 0.1 | 0.6 | 1.1 |
| Quinolone-resistant <i>E coli</i> | 52 | 63,179 | 1.2 | 0.0 | 0.4 | 1.2 | 2.2 | 3.7 |
| Penicillin-resistant pneumococcus | 44 | 3,806 | 14.1 | 3.1 | 4.7 | 10.6 | 18.3 | 30.8 |
| Cefotaxime/ceftriaxone-resistant pneumococcus | 28 | 1,276 | 5.3 | 0.0 | 0.0 | 3.4 | 10.3 | 28.8 |

Appendix A. ICARE Project. Defined Daily Dose (DDD) of antimicrobial agents, by class and group¹

| Class | Group | Antimicrobial Agent | DDD |
|-----------------|--|-------------------------------|-------------------------|
| \$-Lactams | Penicillin group | Penicillin G | 12 x 10 ⁶ U |
| | | Procaine Pen. G | 2.4 x 10 ⁶ U |
| | | Pen. G benzathine | 1.2 x 10 ⁶ U |
| | | Penicillin V | 1 g |
| | Ampicillin group | Ampicillin (parenteral) | 4 g |
| | | Ampicillin (oral) | 2 g |
| | | Ampicillin/sulbactam | 6 g |
| | | Amoxicillin (oral) | 1.5 g |
| | | Amoxicillin/clav. acid (oral) | 1.5 g |
| | Antistaphylococcal penicillins (Methicillin group) | Nafcillin | 4 g |
| | | Oxacillin | 4 g |
| | | Dicloxacillin (oral) | 2 g |
| | Antipseudomonal penicillins | Piperacillin | 18g |
| | | Piperacillin/tazobactam | 13.5 g |
| | | Ticarcillin | 18 g |
| | | Ticarcillin/clav. acid | 12.4 g |
| | 1st-Generation cephalosporins | Cefazolin | 3 g |
| | | Cephalothin | 4 g |
| | | Cefadroxil (oral) | 2 g |
| | | Cephalexin (oral) | 2 g |
| | 2nd-Generation cephalosporins | Cefotetan | 2 g |
| | | Cefmetazole | 4 g |
| | | Cefoxitin | 4 g |
| | | Cefuroxime | 3 g |
| | | Cefuroxime axetil (oral) | 1 g |
| | | Cefaclor (oral) | 1 g |
| | 3rd-Generation cephalosporins | Cefprozil (oral) | 1 g |
| | | Cefotaxime | 3 g |
| | | Ceftazidime | 3 g |
| | | Ceftizoxime | 3 g |
| Ceftriaxone | | 1 g | |
| Cefixime (oral) | | 0.4 g | |
| Carbapenems | Cefipime | 4 g | |
| | Meropenem | 3 g | |
| | | Imipenem cilastatin | 2 g |

¹ Adapted from Amsden GW, Schentag JJ. Tables of antimicrobial agent pharmacology. In: Mandell GL, Bennett JE, Dolin R, eds. Principles and practice of infectious diseases, 4th edition. New York: Churchill Livingstone, 1995:492-528.

Appendix A. - Continued

| Class | Group | Antimicrobial Agent | DDD |
|-------------------------------|--------------|-------------------------------------|------------|
| Other β -lactams | | Aztreonam | 4 g |
| Glycopeptides | | Vancomycin (parenteral) | 2 g |
| | | Vancomycin (oral) | 1 g |
| Fluoroquinolones | | Ciprofloxacin (parenteral) | 0.8 g |
| | | Ciprofloxacin (oral) | 1.5 g |
| | | Ofloxacin (parenteral) | 0.8 g |
| | | Ofloxacin (oral) | 0.8 g |
| | | Levofloxacin (parenteral) | 0.5 g |
| | | Levofloxacin (oral) | 0.2 g |
| | | Trovafloxacin (parenteral) | 0.2 g |
| | | Trovafloxacin (oral) | 0.2 g |
| | | Sparfloxacin (oral) | 0.2 g |
| | | Norfloxacin (oral) | 0.8 g |
| | | Lomefloxacin | 0.4 g |
| Trimethoprim/sulfamethoxazole | | Trimethoprim component (oral) | 0.32 g |
| | | Trimethoprim component (parenteral) | 0.84 g |

Appendix B. How to calculate device-associated infection rates and device utilization ratios using ICU and HRN surveillance component data

Calculation of Device-associated Infection Rate

- Step 1:** Decide upon the time period for your analysis. It may be a month, a quarter, 6 months, a year, or some other time period.
- Step 2:** Select the patient population for analysis, i.e., the type of ICU or a birthweight category in the HRN.
- Step 3:** Select the infections to be used in the numerator. They must be site-specific and must have occurred in the selected patient population. Their date of onset must be during the selected time period.
- Step 4:** Determine the number of device-days which is used as the denominator of the rate. Device-days are the total number of days of exposure to the device (central line, ventilator, or urinary catheter) by all of the patients in the selected patient population during the selected time period.

Example 1: Five patients on the first day of the month had one or more central lines in place; five on day 2; five on day 3; five on day 4; three on day 5; four on day 6; and four on day 7. Adding the number of patients with central lines on days 1 through 7, we would have 5+5+5+5+3+4+4=28 central line-days for the first week. If we continue this process for the entire month, the number of central line-days for the month is simply the sum of the daily counts.

- Step 5:** Calculate the device-associated infection rate (per 1000 device-days) using the following formula:

$$\text{Device-associated Infection Rate} = \frac{\text{Number of device-associated infections for a specific site} \times 1000}{\text{Number of device-days}}$$

Example 2: Central line-associated BSI rate per 1000 central line-days =

$$\frac{\text{Number of central line-associated BSI} \times 1000}{\text{Number of central line-days}}$$

Calculation of Device Utilization (DU) Ratio

Steps 1,2,4: Same as device-associated infection rates plus determine the number of patient-days which is use denominator of the DU ratio. Patient-days are the total number of days that patients are in the ICU during the selected time period (sum of the '#patients' column on the monthly ICU and HRN data forms)..

Example 3: Ten patients were in the unit on the first day of the month; 12 on day 2; 11 on day 3; 10 on day 5; 6 on day 6; and 10 on day 7; and so on. If we counted the patients in the unit from day 1 to day 7, we would add 10 + 12 + 11 + 13 + 10 + 6 + 10 for a total of 72 patient-days for the first week. If we continued for the entire month, the number of patient-days for the month is simply the sum of the counts.

Step 5: Calculate the DU ratio using the following formula:

$$\text{Device Utilization (DU) Ratio} = \frac{\text{Number of device-days}}{\text{Number of patient-days}}$$

With the number of device-days and patient-days from Examples 1 and 3 above,
 $DU = 28/72 = 0.39$ or 39% of patient-days were also central line-days for the first week of the month.

Step 6: Examine the size of the denominator for your hospital's rate or ratio. Rates or ratios may not be good "true" rate or ratio for your hospital if the denominator is small, i.e., <50 device-days or patient-days.

Step 7: Compare your hospital's ICU/HRN rates or ratios with those found in the tables of this report. Refer to the tables for interpretation of the percentiles of the rates/ratios.

To calculate the device-associated infection rates and device utilization ratios for your ICU or HRN in IDEAS, first select the time period of interest in Option 10 of the OPM. Then select either OPM Option 21 or 22 to include infections based on date of infection onset. Next, select OPM Option 32 for ICU or Option 33 for HRN. From these data analysis menus, device-associated infection rates and device utilization ratios can be automatically calculated using Options 31 or 32.

Appendix C. How to interpret percentiles of infection rates or device utilization ratios

Step 1: Evaluate the rate (ratio) you have calculated for your hospital and confirm that the variables in the rate (both numerator and denominator) are identical to the rates (ratios) in the table.

Step 2: Examine the percentiles in each of the tables and look for the 50th percentile (or median). At the 50th percentile, 50% of the hospitals have lower rates (ratios) than the median and 50% have higher rates (ratios).

Step 3: Determine if your hospital's rate (ratio) is above or below this median.

Determining if your hospital's rate or ratio is a HIGH outlier

Step 4: If it is above the median, determine whether the rate (ratio) is above the 75th percentile. At the 75th percentile, 75% of the hospitals had **lower** rates (ratio) and 25% of the hospital had higher rates (ratio).

Step 5: If the rate (ratio) is above the 75th percentile, determine whether it is above the 90th percentile. If it is, then the rate (or ratio) is a high outlier which **may** indicate a problem.

Determining if your hospital's rate or ratio is a LOW outlier

Step 6: If it is below the median, determine whether the rate (ratio) is below the 25th percentile. At the 25th percentile, 25% of the hospitals had **lower** rates (ratios) and 75% of the hospitals had higher rates (ratios).

Step 7: If the rate (ratio) is below the 25th percentile, determine whether it is below the 10th percentile. If the rate is, then it is a low outlier which **may** indicate a problem with underreporting of infections. If the ratio is below the 10th percentile, it is a low outlier and indicates infrequent and/or short duration of device use.

Note: Device-associated infection rates and device utilization ratios should be examined together so that preventive measures may be appropriately targeted. For example, you find that the ventilator-associated pneumonia rate for a certain type of ICU is consistently above the 90th percentile and the ventilator utilization ratio is routinely between the 75th and 90th percentile. Since the ventilator is a significant risk factor for pneumonia, you may want to target your efforts on reducing the use of ventilators or limiting the duration with which they are used on patients in order to lower the pneumonia rate in the unit.

Appendix D. How to use IDEAS to calculate SSI rates from the surgical patient surveillance component

If you have been following the surgical patient surveillance component and wish to calculate SSI rates in IDEAS, first select the time period of interest using Option 10 of the OPM. Then select either OPM Option 23 or 24 to include infections based on date of surgery. Next, select OPM Option 34 to go to the SP Component Data Analysis Menu. Select Option 35 for the SP Rates Menu #1. Here, modify the SP filter (Option 60) to include only SSI and specify operative procedures and/or surgeons, if desired. For example:

*majsite = ssi
and srgoper = cbgb or cbgc
and surgeon = 12345*

Select SP Rates Menu #1 Option 1 to calculate SSI rates by operative procedure and risk index category. Select Option 5 to calculate SSI rates by operative procedure and risk index category by surgeon.