CMS Medicare Managed Care Auditing Report

Auditing Review Results (Initial Report)-Public Website Version

Date Report Generated: 9/30/2008

MRT Number: M0006, Contract Number: All, Category: All, Element: All, Finding:NOT MET, Reviewer:All

Findings: NOT MET

Review ID: 7857

Region: 06 Dallas

MRT Number / Name: M0006 MRT Humana

Contract Number / Name: H1951 HUMANA HEALTH BENEFIT PLAN OF LOUISIANA INC

Auditing Guide Version: MA Audit Review Guide, Version 4

Auditing Element: CN02

Review Type: Routine

Review Status: Confirmed

MCO Response Received Date:01/30/2007

Element Accepted Date: 02/22/2007

Element Release Date: 03/14/2007

CAP Released Date:02/27/2008

Visit Start Date: 10/23/2006

Exit Conference Date: 10/26/2006

Date Report Issued: 12/05/2006

Date Report Due: 12/10/2006

CAP Accepted Date:02/22/2007

Audit Closed Date: 02/27/2008

Element Projected Completion Date:10/25/2006

MCO Response Due Date: 01/19/2007

Requirement:

Required Contract Provision: Prompt PaymentThe MAO's written contracts with first tier and downstream entities must contain a prompt payment provision.

Deficiencies:

Element CN02 was not met for the Humana H1951 Audit due to 3 of the 20 sample contracts missing signature dates.

Corrective Action Required:

CMS requests a Corrective Action Plan for H1951 indicating planned action to be taken to assure contract signature pages are dated as required in Contract worksheet instructions which indicate, "Contracts must be signed and Dated by both parties to be considered a valid Contract."

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Review ID: 7857

Region: 06 Dallas

MRT Number / Name: M0006 MRT Humana

Contract Number / Name: H1951 HUMANA HEALTH BENEFIT PLAN OF LOUISIANA INC

Auditing Guide Version: MA Audit Review Guide, Version 4

Auditing Element: CN03

Review Type: Routine

Review Status: Confirmed

MCO Response Received Date: 01/30/2007

Element Accepted Date: 02/22/2007

Element Release Date: 03/14/2007

CAP Released Date: 02/27/2008

Visit Start Date: 10/23/2006

Exit Conference Date: 10/26/2006

Date Report Issued: 12/05/2006

Date Report Due: 12/10/2006

CAP Accepted Date: 02/22/2007

Audit Closed Date: 02/27/2008

Element Projected Completion Date: 10/27/2006

MCO Response Due Date: 01/19/2007

Requirement:

Required Contract Provision: Hold Harmless - The MAO's written contracts with first tier and downstream entities must contain a provision that Medicare members are held harmless for payment of fees that are the legal obligation of the MAO.

Deficiencies:

Elements CN03 is not met for the Humana H1951 Audit due to 3 of the 20 sample contracts missing signature dates.

Corrective Action Required:

CMS requests a Corrective Action Plan for H1951 indicating planned action to be taken to assure contract signature pages are dated as required in Contract worksheet instructions which indicate, "Contracts must be signed and Dated by both parties to be considered a valid Contract."

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Review ID: 7857

Region: 06 Dallas

MRT Number / Name: M0006 MRT Humana

Contract Number / Name: H1951 HUMANA HEALTH BENEFIT PLAN OF LOUISIANA INC

Auditing Guide Version: MA Audit Review Guide, Version 4

Auditing Element: CN04

Review Type: Routine

Review Status: Confirmed

MCO Response Received Date:01/30/2007

Element Accepted Date: 02/22/2007

Element Release Date: 03/14/2007

CAP Released Date:02/27/2008

Visit Start Date: 10/23/2006

Exit Conference Date: 10/26/2006

Date Report Issued: 12/05/2006

Date Report Due: 12/10/2006

CAP Accepted Date:02/22/2007

Audit Closed Date: 02/27/2008

Element Projected Completion Date:10/27/2006

MCO Response Due Date: 01/19/2007

Requirement:

Required Contract Provisions: Abide by Federal RequirementsThe MAO's written contracts with first tier and downstream entities must contain a provision to show that the contracting entity will: comply with Medicare laws, regulations, reporting requirements, and CMS instructions; agree to audits and inspection by CMS and/or its designees; cooperate, assist, and provide information as requested; and maintain records a minimum of 10 years.

Deficiencies:

Element CN04 is not met for the Humana H1951 Audit due to 3 of the 20 sample contracts missing signature dates.

Corrective Action Required:

CMS requests a Corrective Action Plan for H1951 indicating planned action to be taken to assure contract signature pages are dated as required in Contract worksheet instructions which indicate, "Contracts must be signed and Dated by both parties to be considered a valid Contract."

CMS Medicare Managed Care Auditing Report

Auditing Review Results (Initial Report)-Public Website Version

Date Report Generated: 9/30/2008

MRT Number: M0006, Contract Number: All, Category: All, Element: All, Finding:NOT MET, Reviewer:All

Findings: NOT MET

Review ID: 7857

Region: 06 Dallas

MRT Number / Name: M0006 MRT Humana

Contract Number / Name: H1951 HUMANA HEALTH BENEFIT PLAN OF LOUISIANA INC

Auditing Guide Version: MA Audit Review Guide, Version 4

Auditing Element: CN06

Review Type: Routine

Review Status: Confirmed

MCO Response Received Date:01/30/2007

Element Accepted Date: 02/22/2007

Element Release Date: 03/14/2007

CAP Released Date:02/27/2008

Visit Start Date: 10/23/2006

Exit Conference Date: 10/26/2006

Date Report Issued: 12/05/2006

Date Report Due: 12/10/2006

CAP Accepted Date:02/22/2007

Audit Closed Date: 02/27/2008

Element Projected Completion Date:10/27/2006

MCO Response Due Date: 01/19/2007

Requirement:

Required Contract Provisions: Compliance with MAO's Policies and ProceduresThe MAO's written contracts with first tier and downstream entities must specify that providers agree to comply with the MAO's policies and procedures.

Deficiencies:

Element CN06 was not met for the Humana H1951 Audit due to 3 of the 20 sample contracts missing signature dates.

Corrective Action Required:

CMS requests a Corrective Action Plan for H1951 indicating planned action to be taken to assure contract signature pages are dated as required in Contract worksheet instructions which indicate, "Contracts must be signed and Dated by both parties to be considered a valid Contract."