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Annex B: Confidence Estimates for SAP 4.4 Adaptation Approaches

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1 **B1 Introduction**

2 For each adaptation approach, authors were asked to consider two separate but related
3 elements of confidence. The first element is the amount of evidence that is available to
4 assess the effectiveness of a given adaptation approach (indicating that the topic is well-
5 studied and understood). The second is the level of agreement or consensus across the
6 different lines of evidence regarding the effectiveness of the adaptation approach.
7 Authors were asked to rate their confidence according to the following criteria:

8 9 *High/low amount of evidence*

10 Is this adaptation approach well-studied and understood, or instead is it mostly
11 experimental or theoretical and not well-studied? Does your experience in the field, your
12 analyses of data, and your understanding of the literature and performance of specific
13 adaptation options under this type of adaptation approach indicate that there is a high/low
14 amount of information on the effectiveness of this approach?

15 16 *High/low amount of agreement*

17 Do the studies, reports, and your experience in the field, analyzing data, or implementing
18 the types of adaptation strategies that comprise this approach reflect a high degree of
19 agreement on the effectiveness of this approach, or does it lead to competing
20 interpretations?

21
22 The authors' responses are provided in the following sections, organized by adaptation
23 approach.

24 **B2 Adaptation Approach: Protecting Key Ecosystem Features**

25 **Description:** Focusing management protections on structural characteristics, organisms,
26 or areas that represent important “underpinnings” or “keystones” of the overall system.

27 **Confidence:** Is strategic protection of key ecosystem features an effective way to
28 preserve or enhance resilience to climate change?

29 30 **National Forests**

31 *Amount of evidence: High*

- 32
33 1) There is ample theoretical and empirical evidence to support the positive
34 relationship between biodiversity and ecosystem resilience. Based on a study in
35 Australian rangeland, Walker, Kinzig, and Langridge (1999) concluded that
36 functional group diversity maintains the resilience of ecosystem structure and
37 function. Resilience is increased when ecosystems have multiple species that
38 fulfill similar “functions” but that respond differently to human actions (Walker,
39 1995; Fischer, Lindenmayer, and Manning, 2006). Elmqvist *et al.* (2003)
40 concluded that the diversity of responses to management and disturbance enabled
41 by diverse ecosystems “insures the system against the failure of management
42 actions and policies based on incomplete understanding.” Brussaard, de Ruiter,
43 and Brown (2007) concluded that soil biodiversity confers resilience against stress
44 and disturbance and protecting it is necessary to sustain agricultural and forestry

1 production. Keystone species and structural elements of ecosystems are
2 particularly important because many species and ecological processes rely on
3 them (Fischer, Lindenmayer, and Manning, 2006). Because keystone species
4 largely “control the future” (*i.e.*, guide the successional trajectories and
5 characteristics) of ecosystems (Walker, 1995; Gunderson, 2000), protecting them
6 (and biodiversity in general) is a fundamental feature of conservation and
7 restoration schemes.

8 2) Restoration research currently discussing climate change concludes that key
9 processes may be the only way to address restoration under climate change.

10 3) The United States Forest Service (USFS) emphasizes biodiversity conservation
11 and protection of critical habitat and other key ecosystem features in its
12 management of national forests. Some national forest managers currently seek to
13 enhance landscape and species diversity as the most sensible way to adapt to
14 climate change in the absence of contradictory information (see Olympic National
15 Forest case study). Major USFS programs and plans—such as the early detection
16 program for invasive species, the forest health program (which tries to prevent or
17 reduce the impact of insect and disease outbreaks) and the National Fire Plan—
18 also aim to protect key ecosystem features and values. Similarly, efforts to reduce
19 the impacts of fragmentation and create larger, connected landscapes with
20 continuous habitat help conserve keystone species. Maintenance of old-growth
21 habitat and particular characteristics of old-growth is also emphasized in many
22 national forests.

23
24 *Amount of agreement: Low*

25
26 1) Ecologists have engaged in heated debates for the past century about the extent to
27 which diversity begets stability (*i.e.*, resilience). The current state of the debate
28 appears to be somewhat nuanced. Although it appears that “a large number of
29 species is required to sustain the assembly and functioning ecosystems in
30 landscapes subject to increasingly intensive land use,” there is still uncertainty
31 about the specific mechanism and details of this dependence on diversity (Loreau
32 *et al.*, 2001). Recent reviews (Loreau *et al.*, 2001; Hooper *et al.*, 2005) note that
33 the debate has become more nuanced because of theoretical and experimental
34 advances (e.g., Tilman, Reich, and Knops, 2006).

35 2) Functional groups have been used to explore ecosystem function and the role of
36 suites of species. However, the makeup and composition of these functional
37 groups and their roles in the ecosystem is not always agreed upon by the research
38 community

39 3) The inability to accurately define either species or functional groups that ensure
40 the viability of the ecosystem result in an uncertainty and likelihood that as many
41 species as possible must be maintained, a distinct challenge for resource
42 management.

43 **National Parks**

44 *Amount of evidence: High*

45
46
47 While the large body of literature related to protection of key ecosystem features does not
48 address resiliency in light of climate change, it provides evidence that in the absence of

1 protection of natural flow regimes, natural fire regimes, and physical structures natural
2 processes are compromised.

3
4 Protection of soils from erosion using natural materials reduced soil loss, promoted
5 vegetation regrowth, and reduced siltation of streams in northern New Mexico and
6 Colorado (Allen *et al.*, 2002).¹

7
8 Use of wildland fire, mechanical thinning, or prescribed burns where it is documented to
9 reduce risk of anomalously severe fires has been shown to work, but only to work where
10 forest stands are unnaturally dense due to fire suppression such that removal of fuels
11 reduces the risk of anomalous fires.

12
13 River systems with minimal disturbance maintain higher levels of native biodiversity
14 than disturbed systems, suggesting the converse is also true, that disturbance of natural
15 flow regimes reduces native biodiversity (Poff *et al.*, 2007).

16
17 Studies of certain species, such as whitebark pine in the western United States, show that
18 they are important food sources for many species, including bears and Clark's
19 nutcrackers. In their absence animals find alternative food sources or become locally
20 extirpated (Tomback and Kendall, 2002).

21
22 Studies of the effects of reintroducing wolves to Yellowstone ecosystem show a strong
23 cascading positive effect on ecosystem performance, ranging from improved riparian
24 habitat (less trampling by elk), increased beaver activity, and restored habitat leading to
25 increased numbers of migratory birds.

26
27 Studies of habitat requirements for bighorn sheep survival and reproduction demonstrated
28 the need for specific vegetation mosaics and densities. In the absence of such vegetation
29 structure (vegetation too dense or too sparse), sheep are exposed to predators and
30 populations decline (Singer, Bleich, and Gudorf, 2000).

31
32 Several papers describe the benefits of maintaining corridors for species migrations
33 (Novacek and Cleland, 2001; Levey *et al.*, 2005).

34
35 *Amount of agreement: High*

36
37 There seems to be high agreement, as well as a fair bit of common sense, that maintaining
38 ecosystem structure, including physical structure and natural processes will be at least
39 somewhat protective of ecosystems and their species under climate change, and allow
40 some ability to respond to climate change.

41
42 Many papers in the literature that recommend ways to ameliorate the effects of climate
43 change strongly promote protecting features and processes that structure ecosystems as
44 one of their first recommendations (Welch, 2005).

¹ See also Sydoriak, C.A., C.D. Allen, and B.F. Jacobs, 2000: Would ecological landscape restoration make the Bandelier Wilderness more or less of a wilderness? *Proceedings: Wilderness Science in a Time of Change Conference-Volume 5: Wilderness Ecosystems, Threats, and Management*, Proceedings RMRS-P-15-VOL-5, 209-215.

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National Wildlife Refuges

Amount of evidence: High

The refuge system has a long history of habitat enhancement to maintain high quality habitat and sustain ecological processes for waterfowl and other aquatic species. There are large number of studies documenting response of species to prescribed burns and altered water regimes. Magnitude of the response varies among species and seasons. Prescribed fire is frequently used for managing grasslands and fire and prescribed cuts for forest lands. The changes projected from climate change are an additional variable. There are many references in the literature to the consequences of altered ecological processes on the integrity, diversity, and health of natural communities. Protection of nesting islands for colonial nesting birds from predators has been shown to positively affect reproductive success of many species. Reintroduction of keystone species such as beavers on refuges significantly alters habitat conditions and population size of other species.

Amount of agreement: High

There is wide agreement that protecting key ecosystem features will preserve or enhance resilience to climate change. Logically, protection will allow more of the resilience capacity to be “dedicated” to climate change because protection will minimize the challenges of non-climate stressors.

Wild and Scenic Rivers

Amount of evidence: Low

It is generally believed that there are no “keystone species” in running water ecosystems. Beaver can affect streams, but they convert them to wetlands and certainly there have been no attempts to protect them.

Headwater streams are the closest thing for WSRs that are “critical” because the rest of the river system is influenced by them and there is growing research evidence showing they have a disproportionate impact on the health of rivers. They should be the focus of protection, but have not been to date.

Amount of agreement: High

This is a difficult question because there is high agreement that headwater streams are disproportionately important, based on studies measuring rates of processes and the impacts of excluding some headwater inputs/processes to downstream reaches. But this research has not been done it a management/protection context. It is all basic research experiments.

National Estuaries

Amount of evidence: Low

- (1) There has been much oyster reef restoration, but none testing success in protecting shoreline from erosion.

- 1 (2) Managed realignment is good in concept, but no tests exist of its success.
2 (3) Many tests have been done of how biodiversity affects resilience and
3 observational studies exist relating structural complexity to biodiversity.
4 (4) No real test exists to assess success of protecting estuarine zones of high
5 biogeochemical functioning.
6 (5) There is little empirical testing of bulkheads impacts on long enough time scales.
7 (6) No development or tests of effectiveness of rolling easement concept exist.
8

9 *Amount of agreement: Low*

- 10
11 (1) There are many more failed than successful oyster reef restorations.
12 (2) Some disagreement exists over need for realignment, due to uncertainty over rate
13 of natural soil accretion in marshes.
14 (3) Mixed, conflicting results exist in tests of how biodiversity influences resilience.
15 (4) No data test the success of protecting biogeochemical zones of importance.
16 (5) There is high conceptual agreement that bulkheads inhibit transgression.
17 (6) There is high conceptual agreement that many species need corridors but this is of
18 debatable applicability to estuaries, where larval or seed dispersal is almost
19 universal.
20 (7) The debate over need for rolling easements is only just beginning.
21

22 **Marine Protected Areas**

23 *Amount of evidence: Low*

24
25 This approach is fundamental to place-based management and MPAs that are designed to
26 protect ecosystems. Palumbi (2002) summarized the situation at the time of his review:
27 "...there are very few data that examine the relative resilience of marine habitats inside
28 and outside reserves, nor are there comprehensive studies available that address whether
29 ecosystems inside reserves can better weather climate shifts." There are some studies that
30 have documented changes in ecosystem features in MPAs (Babcock *et al.* in New
31 Zealand; McClanahan, Mwangi, and Muthiga in Kenya; Mumby *et al.* in the Bahamas),
32 and Hughes *et al.* (2007) concluded that managing herbivorous fishes is a key component
33 of managing reef resilience. Mumby *et al.* (2007) documented higher coral recruitment
34 rates in a 20-year-old marine reserve, which likely would enhance rates of coral
35 population recovery after disturbances and thus increase resilience compared with areas
36 outside the reserve. One might argue that the evidence is moderate, but "low" was
37 selected to reflect the limited amount of research on this topic directly relevant to
38 resilience to climate change.
39

40 *Amount of agreement: High*

41
42 The existing studies, though limited in number, appear consistent. Studies that have not
43 found changes in ecosystem features in MPAs, such as unpublished research in the
44 Florida Keys National Marine Sanctuary, probably reflect the relatively short duration
45 (10 years) of no-take regulations.
46
47

1 **B3 Adaptation Approach: Reducing Anthropogenic Stresses**

2 **Description:** Minimizing localized human stressors (*e.g.*, pollution) that hinder the ability
3 of species or ecosystems to withstand climatic events

4 **Confidence:** Is reduction of anthropogenic stresses effective at increasing resilience to
5 climate change?

6 **National Forests**

7 *Amount of evidence: High*

- 8
9
- 10 1) There is considerable literature that current stressors (air quality, invasives,
11 altered fire regimes) increase the stress on plants and animals within ecosystems,
12 and that management to reduce these stressors has a positive impact on ecosystem
13 health.
 - 14 2) With respect to air quality impacts, there is extensive literature on the impacts
15 associated with ozone, nitrogen oxides, and mercury; the interactions of these
16 pollutants; and the value of protecting ecosystems from air quality impacts (*e.g.*,
17 National Research Council, 2004). Current levels of ozone exposure are estimated
18 to reduce eastern and southern forest productivity by 5–10% (Joyce *et al.*, 2001;
19 Felzer *et al.*, 2004). In the western United States, increased nitrogen deposition
20 has altered plant communities and reduced lichen and soil mychorriza (Baron *et al.*,
21 2000; Fenn *et al.*, 2003). Interaction of ozone and nitrogen deposition has
22 been shown to cause major physiological disruption in ponderosa pine trees (Fenn
23 *et al.*, 2003). Mercury deposition negatively affects aquatic food webs, as well as
24 terrestrial wildlife, as a result of bioaccumulation (Chen *et al.*, 2005; Ottawa
25 National Forest, 2006; Driscoll *et al.*, 2007; Peterson *et al.*, 2007). Given that
26 climate change is likely to increase drought, exposure to ozone may further
27 exacerbate the effects of drought on both forest growth and stream health
28 (McLaughlin *et al.*, 2007a; 2007b).
 - 29 3) There is considerable literature on the impact of invasives on ecosystems,
30 biodiversity (Stein *et al.*, 1996; Mooney and Hobbs, 2000; Pimentel *et al.*, 2000;
31 Rahel, 2000; Von Holle and Simberloff, 2005). Disturbances such as fire, insects,
32 hurricanes, ice storms, and floods (all of which are likely to increase under
33 climate change), create opportunities for invasive species to become established
34 on areas ranging from multiple stands to landscapes. In turn, invasive plants alter
35 the nature of fire regimes (Williams and Baruch, 2000; Lippincott, 2000; Pimentel
36 *et al.*, 2000; Ziska, Reeves, and Blank, 2005)² as well as hydrological patterns
37 (Pimentel *et al.*, 2000), in some cases increasing runoff, erosion, and sediment
38 loads (*e.g.*, Lacey, Marlow, and Lane, 1989). Potential increase in these
39 disturbances under climate change will heighten the challenges of managing
40 invasive species. Climate change is expected to compound the invasive species
41 problem because of its direct influence on native species distributions and because
42 of the effects of its interactions with other stressors (Chornesky *et al.*, 2005). The
43 need to protect, sustain, and restore ecosystems that are either threatened or

² See also **Tausch**, R.J., 1999: Transitions and thresholds: influences and implications for management in pinyon and juniper woodlands. In: *Proceedings: Ecology and Management of Pinyon-Juniper Communities Within the Interior West* US Department of Agriculture, Forest Service, Rocky Mountain Research Station, pp. 361-365.

1 impacted by invasives has been recognized by management agencies (USDA
2 Forest Service, 2004).

3 4) Adaptation literature describes the value of minimizing these current stressors to
4 reduce ecosystem vulnerability to climate change and to enhance ecosystem
5 resilience to climate change (*e.g.*, Spittlehouse and Stewart, 2003; Schneider *et*
6 *al.*, 2007; Adger *et al.*, 2007).

7

8 *Amount of agreement: High*

9

10 1) The literature is in agreement that reducing these stressors is an important
11 management strategy.

12 2) The literature also agrees that the effectiveness of these restoration approaches is
13 influenced by the current environmental conditions, current condition of the
14 ecosystem, and current status and degree of other human alterations of the
15 ecosystem (*i.e.*, presence of invasives, departure from historical fire regimes,
16 condition of watersheds).

17

18 **National Parks**

19 *Amount of evidence: High*

20

21 There is a vast amount of literature, plus a lot of common sense, demonstrating that
22 ecosystems and their biota are more resilient to both natural and human-caused
23 disturbances (although not necessarily climate change) when they are not stressed by
24 pollution, habitat alteration, erosion of physical features such as beaches or soil, or
25 prevention of natural disturbance cycles. Some methods may be more effective than
26 others.

27

28 The IPCC Working Group II report on coasts offers literature about restoration of natural
29 coastal processes as a way to promote shore, wetland and marsh protection from climate
30 change (IPCC, 2007).

31

32 Restoration can protect salmon fisheries from some effects of climate change (Battin *et*
33 *al.*, 2007).

34

35 While there is ample evidence that man-made barriers prevent natural migration of
36 aquatic species, there is also growing evidence that it may not increase ecosystem
37 resilience. Upstream migration of non-native species or diseases may compromise gains
38 made by removal of barriers. Other management activities or land use may similarly
39 compromise gains (U.S. Geological Survey, 2005).

40

41 Literature demonstrating that managing visitor use patterns in national parks works to
42 minimize the effects of climate change is not readily available, although there are many
43 examples of where restrictions of use has either been effective in restoring vegetation or
44 enabled birds to nest successfully.

45

46 *Amount of agreement: High*

47

1 Reduction of human-caused stressors is the root of restoration ecology, a respected field
2 of applied ecology. Many papers demonstrate recovery of at least some ecosystem
3 attributes when pollutants are removed, including examples of recovery of zooplankton in
4 Ontario lakes recovering from acid rain, increase in lake and stream acid-neutralizing
5 capacity in the Adirondacks and Europe after reductions of SO₂ emissions, and
6 restoration of native fishes after recovery from acid mine drainage or phosphorus
7 reduction.

8
9 Removal of non-native fishes in Alberta lakes allowed for natural (and assisted) recovery
10 of natural food webs (Parker and Schindler, 2006).

11 **National Wildlife Refuges**

12 *Amount of evidence: High*

13
14 Management of anthropogenic stresses such as introduced predators, ungulates, etc. has
15 been shown to increase numbers and reproductive success of waterfowl and ground
16 nesting game birds. Reduction in pollutants (*e.g.*, DDT, selenium) has also been shown to
17 increase survival and reproductive success of many species. Control of nest parasites,
18 such as cowbirds, has been widely and successfully used as a management tool for
19 endangered songbirds. The magnitude of the demographic response varies among species
20 and ecological conditions. Provision of contaminant-free food has been used to reduce
21 exposure of carrion feeding birds to lead with mixed success.

22
23
24 *Amount of agreement: High*

25
26 There is wide agreement that reducing anthropogenic stresses will increase resilience to
27 climate change. Reducing anthropogenic stressors will increase the survival, reproductive
28 success, and population size of most organisms (particularly those not dependent on
29 disturbed anthropogenic habitats), and these increases will enhance the resilience
30 capacity of trust species.

31 **Wild and Scenic Rivers**

32 *Amount of evidence: High*

33
34
35 There have been extensive studies demonstrating that the amount of degradation of a
36 watershed increases directly in relation to human stresses such as deforestation, dam-
37 building, urbanization, and agriculture.

38
39 There is very strong scientific data to show that when human stresses are reduced, the
40 systems recover. There is also strong scientific evidence that a “healthy” river corridor
41 that has minimal human stress imposed on it is very resilient to new stresses of the
42 magnitude expected in the near term for climate change.

43
44 *Amount of agreement: High*

45
46 There are an incredible number of studies showing that reducing impervious cover and
47 agriculture (and other human stressors) impart a healthy, more resilient river. This is
48 probably one of the few areas where there is almost total agreement.

1
2 There are many existing and newly forming management actions for rivers that are
3 directly related to the amount of human stress. The management is doing this by capping
4 the total amount of development and land clearing that can occur in a watershed,
5 followed up by data collection.

6 7 **National Estuaries**

8 *Amount of evidence: High*

9
10 (1) A prodigious amount of research has been conducted to show the role of nutrient
11 loading and organic loading in eutrophication, and to assess BMPs for successful
12 control. It is also clear from many models that climate change will enhance
13 eutrophication in many estuaries.

14 (2) There is limited but some research on salt water intrusion and groundwater
15 recharge rates with rising sea level.

16
17 *Amount of agreement: High*

18
19 (1) There is excellent agreement that reducing one driver of eutrophication will
20 benefit the system and reduce the level of overall eutrophication.

21 (2) The disagreement applies to models of precipitation change, which provide results
22 that are generally too coarse in scale to project which estuaries will experience
23 increased precipitation and which will receive less.

24 25 **Marine Protected Areas**

26 *Amount of evidence: Low*

27
28 This theme crops up in reviews dating back to at least Boesch, Field, and Scavia (2000)
29 and Scavia *et al.* (2002), as well as recent works such as Marshall and Schuttenberg
30 (2006) and Marshall and Johnson (2007). The principle is well established, though not
31 well tested. Our understanding of synergistic stressors at a physiological level has
32 substantial evidence for individual species, but the extension to ecosystems is largely
33 through conceptual modeling. This is a logical, common-sense approach, but the hard
34 evidence is limited.

35
36 *Amount of agreement: High*

37
38 Although the evidence is low, there appears to be agreement among a number of authors
39 over a long period. On the other hand, the analysis of decline of Indo-Pacific reefs by
40 Bruno and Selig (2007) concluded that high vs. low levels of management did not appear
41 to influence the trajectory of decline.

42 **B4 Adaptation Approach: Representation**

43 *Description:* Protecting a portfolio of variant forms of a species or ecosystem so that,
44 regardless of what climatic changes occur, there will be areas that survive and provide a
45 source for recovery.

1 **Confidence:** Is representation effective in supporting resilience through preservation of
2 overall biodiversity?
3

4 **National Forests**

5 *Amount of evidence: Low*
6

- 7 1) Reserves and national networks are often established on the premise that
8 additional sites will ensure the persistence of a particular vegetation type. Under a
9 constant climate, this premise for duplication within networks is well accepted.
- 10 2) However, while it is common to duplicate vegetation types, the recent literature
11 on paleoecology demonstrates that plant and animal species respond
12 individualistically and uniquely in time and space, incorporating competition and
13 ecological disturbance as well as climatic factors in their response. Thus,
14 vegetation types are not likely to retain the same composition and structure under
15 change.
- 16 3) If this adaptation were focused on species, the literature would suggest that the
17 evidence is high with respect to this adaptation strategy and its effectiveness.
- 18 4) On the species level, the distributions of species display distinct “leading” edges
19 that are well incised and indistinct “trailing” edges showing the microsites where
20 species can survive locally, but not under the regional climate. This pattern
21 merely displays that there are a myriad of microhabitats outside of the primary
22 range of a species’ distribution that will support that species. There is a scale issue
23 regarding the importance of the survival of that species with respect to the overall
24 ecosystem in the region. Survival of the individual species does not necessarily
25 guarantee the survival of the entire ecosystem.

26
27 *Amount of agreement: Low*
28

- 29 1) While the literature would support agreement on the effectiveness of this
30 approach for species, there is little agreement that this approach is effective for
31 vegetation types or ecosystems. Therefore agreement is low that this approach
32 would increase resilience in the system.

33
34 **National Parks**

35 *Amount of evidence: Low*
36

37 Multiple representatives of valued populations or systems is a form of bet-hedging and
38 has been shown to protect species of populations when one or more patches or
39 communities are destroyed.

40
41 Individual species respond to climate according to specific climate needs. There is at least
42 one paper suggesting multiple representatives of a species within their specific climate
43 niche will have little value in a changing climate (Williams, Jackson, and Kutzbach,
44 2007). If the different populations all have narrow tolerances to climate, having more of
45 them when all will change beyond their range if viability will not be beneficial.

46
47 *Amount of agreement: Low*
48

1 There is insufficient evidence that representation will be effective in promoting resilience
2 of species of ecosystems, although there is ample evidence that having only few
3 populations or representatives of species increases their vulnerability to extinction.
4

5 **National Wildlife Refuges**

6 *Amount of evidence: High*
7

8 There is a large body of evidence in the literature showing that species that are found on
9 National Wildlife Refuges are more abundant on refuges than on adjacent habitats.
10 Several studies have shown that capturing the full geographical, ecological, and genetic
11 variation of a species in the wild or in captivity is a hedge against extinction and other
12 losses. Thus, greater numbers of refuges that support higher densities of trust species will
13 reduce the chances that climate change will completely eliminate any trust habitats,
14 populations, or species. Evidence is lacking for most species regarding what degree of
15 representation is sufficient. Each population of a species or ecosystem example on a
16 refuge will experience different effects of climate change. As a result each one is a
17 different entry in the evolutionary sweepstakes under climate change.
18

19 *Amount of agreement: High*
20

21 There is wide agreement that increasing representation will be effective in supporting
22 resilience through preservation of overall biodiversity. Logically, and statistically, the
23 broader the range of trust species and/or trust habitats that are included in the refuge
24 system, the lower the likelihood that biodiversity will be lost due to climate change.
25 However, individual refuges or refuge complexes need to be large enough to maintain
26 viable populations to maximize the advantages of increased representation.
27

28 **Wild and Scenic Rivers**

29 *Amount of evidence: High*
30

31 This is a difficult question because most of the evidence available is from fisheries. If
32 they are becoming threatened, then some areas have been set aside as special
33 conservation areas to ensure some populations remain alive. Then if they do recover, they
34 are released in rivers elsewhere. In the event of climate change, we may need to release
35 fish and other species in to new regions where the climate is now appropriate for them
36 (assuming their old regions are now too warm or otherwise inappropriate). This is a
37 major management strategy that has been around a long time, and in fact Habitat
38 Conservation Plans are required once a riverine species becomes endangered.
39

40 Protecting representative running-water ecosystems themselves (*i.e.*, distinguished from
41 species) has not been a management or scientific focus to date in the United States, but it
42 is being tried in Australia. Because of their dire drought situation, many riparian zones
43 along rivers in Australia are losing all of their vegetation. So managers are setting aside
44 some areas where they ensure minimum water needs (through regulating withdrawals and
45 dam releases) to keep the vegetation alive. The idea is then that these plants can be used
46 for “seed” at other sites once the drought is over.
47

48 *Amount of agreement: High*

1
2 There are many things coupled together in this management strategy. There is good
3 agreement that maintaining local fish populations when other populations around them
4 (*i.e.*, in different rivers) are dying makes a great deal of sense, and we have the science to
5 support that.

6
7 There is not as much agreement on the ecosystem “set-aside” idea, only because it has
8 not been extensively tried. However, most scientists would agree it is a low risk
9 venture—*i.e.*, likely to work.

10 **National Estuaries**

11 *Amount of evidence: Low*

- 12
13
14 (1) There is limited study of effects of genetic diversity on resilience of estuarine
15 species (but see Hughes and Stachowicz, 2004).
16 (2) There has been growing scientific attention to landscape effects of multiple
17 habitats in salt marshes (Minello; Able; Zedler; Grabowski) and some for seagrass
18 beds, but the scope of these studies is limited.

19
20 *Amount of agreement: High*

- 21
22 (1) There is no ambiguity in the theory of natural selection that genetic diversity is
23 the substrate on which adaptation through evolution acts.
24 (2) The effects of landscape proximity among marsh and other shoreline habitats are
25 reasonably well established, and the importance of habitat edge effects is also
26 becoming clearer.

27 **Marine Protected Areas**

28 *Amount of evidence: Low*

29
30
31 This is a cornerstone of the zoning approach for the Great Barrier Reef Marine Park
32 (Fernandes *et al.*, 2005)³. It is very logical (Salm, Done, and McLeod, 2006) and has
33 been effectively applied to the marine park. Similar approaches for other marine systems
34 are not readily available, although the representative areas approach has broad
35 applicability.

36
37 *Amount of agreement: High*

38
39 Although the evidence is low there appears to be agreement among a number of authors
40 (Palumbi, 2002; Sobel and Dahlgren, 2004; Fernandes *et al.*, 2005; Salm, Done, and
41 McLeod, 2006; Roberts *et al.*, 2006; McCook *et al.*, 2007).³ A contrary line of evidence
42 is not known.

³ See also Day, J., L. Fernandes, A. Lewis, G. De'ath, S. Slegers, B. Barnett, B. Kerrigan, D. Breen, J. Innes, J. Oliver, T. Ward, and D. Lowe, 2002: The representative areas program for protecting biodiversity in the Great Barrier Reef World Heritage Area. In: *Proceedings of the Ninth International Coral Reef Symposium 23*, October 2000, pp. 687-696.

1 **B5 Adaptation Approach: Replication**

2 **Description:** Maintaining more than one example of each ecosystem or population within
3 a reserve system such that if one area is affected by a disturbance, replicates in another
4 area provide insurance against extinction and a source for recovery of affected areas.

5 **Confidence:** Is replication effective in supporting resilience by spreading the risks posed
6 by climate change?

7 8 **National Forests**

9 *Amount of evidence: Low*

- 10
11 1) The literature is extensive in terms of the value of maintaining numerous animal
12 and plant populations of species to maintain species viability. The concept is
13 certainly well-supported in both theoretical and experimental (lab) approaches
14 and for some situations in the field. The rationale for maintaining more than one
15 population or ecosystem is often associated with the probability of extreme
16 events, such as drought or fire, that may be associated with future climate change.
17 2) A strategy that combines practices to restore vigor and redundancy (Markham,
18 1996; Noss, 2001) and ecological processes (Rice and Emery, 2003), so that after
19 a disturbance these ecosystems have the necessary keystone species and
20 functional processes to recover to a healthy state even if species composition
21 changes, would be the goal of managing for ecosystem change.
22 3) Agreement for this approach is rated as low, however, because few examples have
23 been documented in the field at the ecosystem level.

24
25 *Amount of agreement: Low*

- 26
27 1) For populations of plants and animals, the literature is in agreement with the
28 effectiveness of this concept.
29 2) For ecosystems, less information is available.
30 3) Therefore, agreement is low that this approach would increase resilience in the
31 system.

32 33 **National Parks**

34 *Amount of evidence: Low*

35
36 Multiple representatives of valued populations or systems is a form of bet-hedging and
37 has been shown to protect species of populations when one or more patches or
38 communities are destroyed. This has been a foundation of endangered species protection.

39
40 While one paper was found that promotes replication of desired species (Bengtsson *et al.*,
41 2003), the National Parks chapter does not promote this as a means of building resilience.
42 Human intervention to move species adds a decidedly anthropomorphic slant to natural
43 resources. Only species of interest are considered, while the majority of insects, plants,
44 soil microbes and biota will be ignored.

45
46 Species move independently according to their biophysical needs (Williams, Jackson, and
47 Kutzbach, 2007), so that replication of populations with narrow climatic niches may not

1 provide protection against novel climates, or similar climates too far away for effective
2 natural establishment of new colonies.

3
4 *Amount of agreement: Low*

5
6 This approach is sanctioned by conservationists, but papers like those of Kutzbach *et al.*
7 (2007) suggest it is insufficient for promoting resilience of ecosystems in novel climates.

9 **National Wildlife Refuges**

10 *Amount of evidence: High*

11
12 A basic principle of conservation by design is redundancy, and this concept is repeatedly
13 addressed in the scientific literature. Having multiple refuges for a trust species or trust
14 habitat in each of the ecological and climate domains in which it occurs provides logical
15 and statistical insurance against loss of a species or habitat from the refuge system due to
16 a catastrophic event at a single refuge. There are several examples of species becoming
17 extinct after storms affected the last known population.

18
19 *Amount of agreement: High*

20
21 There is wide agreement in the science community that redundancy in refuges and
22 species populations increases the logical and statistical likelihood that biodiversity will be
23 preserved. There is some discussion regarding how much redundancy is required.

25 **Wild and Scenic Rivers**

26 *Amount of evidence: High*

27
28 The same evidence is available for the last question (fisheries): maintaining multiple
29 populations spreads the risk of total extinction. There is good evidence available for this
30 risk reduction in fisheries. Less evidence is available for river insects and even less for
31 ecosystem processes.

32
33 The critical piece of data needed (for fauna other than fish) is how far they disperse and
34 what their dispersal requirements are. This is an important current research area because
35 of the obvious conservation implications—if we know this then we can design the spatial
36 arrangement of the protected “representative ecosystems/populations” in a way that
37 allows organisms to disperse naturally (*i.e.*, no transplants necessary).

38
39 *Amount of agreement: High*

40
41 The emerging interest and efforts by nongovernmental organizations to establish
42 freshwater protected areas is a sign of the confidence that this approach is worthwhile.

43
44 There has been extensive research in river networks to determine if there are particular
45 configurations of river reaches that minimize extinction risk.

47 **National Estuaries**

48 *Amount of evidence: Low*

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- (1) Oyster reef restoration done in replication along a depth gradient was shown to allow fish and crustaceans to survive when environmental degradation occurred that was depth-dependent: the fishes moved to reefs that were not affected and found enough prey to survive (Lenihan *et al.*, 2001).
- (2) Migrating shorebirds require replicated estuaries along the flyway so that they can move to more rewarding feeding sites to fuel up for the migration and breeding.
- (3) Otherwise, there is little research on replication at the spatial and temporal scales appropriate to project its value in a climate change context.

Amount of agreement: High

- (1) There is a high level of agreement, although in part perhaps because so few studies of relevance have been done.
- (2) There is agreement in concept that populations of mobile vertebrates such as fishes, birds, and mammals benefit from replication. However, many such species, such as salmon, exhibit high faithfulness to natal sites; replication would not provide much if any benefit for them.

Marine Protected Areas

Amount of evidence: Low

There are numerous modeling studies of reserve networks (*e.g.*, Allison, Lubchenco, and Carr, 1998), but empirical data are lacking. Areas such as the Great Barrier Reef Marine Park and the Channel Islands National Marine Sanctuary should produce relevant results over time. This approach also might be ranked as moderate (per question 1).

Amount of agreement: High

Replication and representation in the marine literature generally go hand-in-hand; please refer to question 3 for literature citations. Again, a contrary line of evidence is not known.

B6 Adaptation Approach: Restoration

Description: Rebuilding ecosystems that have been lost or compromised.

Confidence: Is restoration of desired ecological states or ecological processes effective in supporting resilience to climate change?

National Forests

Amount of evidence: High

- 1) There is a large body of literature describing and documenting restoration theory and practices across a wide variety of ecosystems and ecological processes.

Amount of agreement: Low

- 1) While there is high agreement that the current theories and practices can be used to restore a number of different ecosystems, climate change has the potential to

1 significantly influence the practice and outcomes of ecological restoration under a
2 changing climate (Harris *et al.*, 2006), where the focus is on tying assemblages to
3 one place. The restoration literature is now in discussion about the impact that a
4 changing climate may have on the theories and practices that have been
5 developed. For example, natural resource management, planning, conservation,
6 restoration, and policy are deeply founded on strategies based on the historic
7 range of variability ecological concept (Landres, Morgan, and Swanson, 1999).
8 However, use of such strategies will become increasingly problematic as the
9 potential for a “no analog” futures are realized (Millar, Westfall, and Delany, in
10 press; Williams, Jackson, and Kutzbach, 2007).

- 11 2) The climate sensitivity of best management practices, genetic diversity guidelines,
12 restoration treatments, and regeneration guidelines may need to be revisited.
13 Space for evolutionary development under climate change may be important to
14 incorporate into conservation and restoration programs under a changing climate
15 (Rice and Emery, 2003).

16 **National Parks**

17 *Amount of evidence: High*

18
19
20 Restoration of some species, such as wolves, into habitats where they have been
21 extirpated has been highly successful by nearly all ecological standards.

22
23 There are some examples showing that restoration of natural flow regimes in rivers by
24 dam removal has been successful in restoring reproducing fish populations

25
26 There are at least several instances in the literature that decry the lack of restoration
27 standards that allow managers to evaluate the effectiveness of restoration efforts
28 (Bernhardt *et al.*, 2005).

29
30 Restoration of wetlands or riparian areas has been shown to bring back some ecosystem
31 services, such as nutrient or pollutant retention, but there is uncertainty among wetland
32 scientists whether restoration activities truly reproduce natural conditions.

33
34 Restoration of damaged systems will allow climate change to occur with fewer ecological
35 disruptions than if soils have eroded, invasive species dominate, river banks are trampled,
36 or pollutants contaminate native populations (discussed above in reducing anthropogenic
37 stresses).

38
39 *Amount of agreement: High*

40
41 There is an entire professional society devoted to ecological restoration, the Society for
42 Ecological Restoration, with journals that describe the theory behind restoration and
43 practical applications of restoration science.⁴

44 **National Wildlife Refuges**

45 *Amount of evidence: Low*

46 ⁴ Society for Ecological Restoration, <http://www.ser.org/about.asp>

1
2 Habitat restoration is a widely used tool in relatively small-scale conservation biology
3 activities. There is a large body of literature on the topic, with several journals devoted
4 solely to habitat restoration (*e.g.*, Ecological Management and Restoration, Restoration
5 Ecology) as well as a professional society dedicated to restoration ecology. In Hawaii,
6 restoration of pasture lands to *ohia koa* forests resulted in recolonization by endangered
7 birds. Re-creation of wetlands has been used widely and successfully to restore/attract
8 migratory water birds. However, the magnitude of the site response to restoration can
9 vary due to (1) temporal shifts in habitat use by species, (2) scale of restoration in relation
10 to the desired population goals, (3) introduced species, (4) long-term and large-scale
11 ecological processes, or (5) barriers to recolonization. Further, few restoration studies
12 have been conducted in a controlled experimental design, and reoccupancy of restored
13 habitats by native plants and invertebrates is not well documented. Although there is
14 small-scale evidence for effectiveness of restoration, there is little evaluation or evidence
15 regarding the effectiveness at the larger scales of ecological processes that would be
16 necessary to provide resilience to climate change.

17
18 *Amount of agreement: Low*

19
20 There is little general agreement that restoring a desired ecological state or process will
21 be effective in supporting resilience to climate change. There is little logical support for
22 the idea that restoring a state or a process to a historical condition will provide resilience
23 to climate change, because it is expected that the historical restored condition will no
24 longer be appropriate in a changed climate.

25 26 **Wild and Scenic Rivers**

27 *Amount of evidence: Low*

28
29 Very little rigorous monitoring has been done on stream restoration. This is a very current
30 area of research and data are just starting to come in. The evidence suggests that if the
31 restoration not only repairs the degraded portion of the stream but removes the stress,
32 then the restoration is usually successful. But if the restoration is a local fix, such as
33 regrading streambanks and stabilizing them without taking care of the underlying
34 problem (*e.g.*, inadequate stormwater infrastructure above the reach), then the restoration
35 project will most likely fail or else huge resources will be needed to maintain it.

36
37 *Amount of agreement: Low*

38
39 The effectiveness of restoration is a contentious issue. Many scientists are skeptical that
40 most projects work, because many are done poorly or the underlying problem is not
41 addressed. Other scientists point toward data from projects that were adequately
42 monitored and were well-done projects—success has clearly been shown. So to a certain
43 extent the low agreement is that some scientists believe we must focus on what is done *in*
44 *reality* while others focus on what is possible.

45 46 **National Estuaries**

47 *Amount of evidence: High*

48

- 1 (1) There are many studies of salt marsh restoration (beginning 40 years ago with
- 2 *Spartina* methods developed by Seneca, Woodhouse, and Broome).
- 3 (2) Similarly, a lot of effort has gone into oyster reef restoration and SAV restoration.
- 4 (3) There is not much research on exterminating invasive estuarine species: *Meloluca*
- 5 is everywhere along Florida waterways; *Phragmites* dominates many areas of
- 6 East Coast marshes; San Francisco Bay suffers from persistent *Spartina* invasion,
- 7 etc.
- 8 (4) The value of positioning salt marsh restorations where transgressive retreat is
- 9 possible is strongly supported in concept, although no empirical tests of the
- 10 effectiveness with sea level rise exist, except for paleontological evidence (*e.g.*,
- 11 Bertness work) of substantial transgressions of marsh historically.

12
13 *Amount of agreement: High*

- 14
- 15 (1) There is uniform agreement that salt marsh can be successfully restored.
- 16 (2) Some challenges exist in assuring the durability of SAV and oyster reef
- 17 restorations.
- 18 (3) Nevertheless, there is also good agreement that exterminating invasives is
- 19 generally infeasible for estuaries (although easier for large plants than for mobile
- 20 animals or microbes).
- 21 (4) There is high agreement in concept that building the capacity for transgression
- 22 will provide a viable means for marshes and other shoreline habitats to become
- 23 resilient to sea level rise.

24 **Marine Protected Areas**

25 *Amount of evidence: Low*

26
27
28 Reef restoration following vessel groundings has a long history of application in the

29 Florida Keys (and elsewhere) and more general discussions of restoration are in Marshall

30 and Schuttenberg (2006), Salm, Done, and McLeod (2006), and Precht and Aronson

31 (2006). The discussion has been extended to include restoring herbivory, coral

32 recruitment, and other topics with regard to ecological processes. There is an appreciation

33 by managers that it may be necessary to employ more restoration because of the

34 widespread degradation of marine ecosystems. Nevertheless, it appears that evidence

35 about effectiveness in supporting resilience to climate change is low.

36
37 *Amount of agreement: Low*

38
39 There appears to be agreement among several authors (Halpin, 1997; Burke and Maidens,

40 2004; Salm, Done, and McLeod, 2006; references in Precht and Miller, 2006; Jaap *et al.*,

41 2006; Gunderson, 2007) but some question the value or potential for success of

42 restoration efforts (Jameson, Tupper, and Ridley, 2002; Hughes *et al.*, 2007). Jameson,

43 Tupper, and Ridley (2002) note that expensive restoration efforts are questionable unless

44 environmental conditions are healthy enough to warrant them.

45

1 **B7 Adaptation Approach: Refugia**

2 **Description:** Using areas relatively less affected by climate change as sources of “seed”
3 for recovery or as destinations for climate-sensitive migrants.

4 **Confidence:** Are refugia an effective way to preserve or enhance resilience to climate
5 change at the scale of species, communities or regional networks?
6

7 **National Forests**

8 *Amount of evidence: High*
9

- 10 1) The paleo literature has documented the presence of refugia under past climate
11 changes. Local climate trajectories, local topography, and microclimatology
12 interact in ways that may yield very different climate conditions than those given
13 by broad-scale models. In mountainous terrain especially, the climate landscape is
14 patchy and highly variable, with local inversions, wind patterns, aspect
15 differences, soil relations, storm tracks, and hydrology influencing the weather
16 that a site experiences. Sometimes lower elevations may be refugial during
17 warming conditions, as in inversion-prone basins, deep and narrow canyons,
18 riparian zones, and north slopes. Such patterns, and occupation of them by plants
19 during transitional climate periods, are corroborated in the paleoecological record
20 (Millar and Woolfenden, 1999; Millar *et al.*, 2006). Further, unusual and
21 nutritionally extreme soil types (*e.g.*, acid podsols, limestones etc.) have been
22 noted for their long persistence of species and genetic diversity, resistance to
23 invasive species, and long-lasting community physiognomy compared with
24 adjacent fertile soils (Millar, 1989). During historic periods of rapid climate
25 change and widespread population extirpation, refugial populations persisted on
26 sites that avoided the regional climate impacts and the effects of large
27 disturbance. For example, Camp *et al.* (1995) reported that topographic and site
28 characteristics of old-growth refugia in the Swauk Pass area of the Wenatchee
29 National Forest were uniquely identifiable. These populations provided both
30 adapted germplasm and local seed sources for advance colonization as climates
31 naturally changed toward favoring the species.
32

33 *Amount of agreement: Low*
34

- 35 1) While the literature has documented these refugia either in the paleo record or on
36 current landscapes, the use of this technique as an adaptation option has been little
37 tested.
38

39 **National Parks**

40 *Amount of evidence: Low*
41

42 A refugium implies a place where climate conditions will remain similar to present
43 conditions so that species can persist. According to Williams, Jackson, and Kutzbach
44 (2007) many parts of the world will acquire novel climates unseen before on Earth.
45 Selecting, and then protecting, specific habitats for species may in the long run be a
46 matter of chance.
47

1 Some very high elevation habitats may provide refugia for cold-loving species such as
2 tundra and pika. High elevation streams where non-native fish can be excluded with
3 natural barriers might provide refugia for cold-water fishes.

4
5 Phenological changes that accompany climate change may disrupt mutualistic species
6 associations, regardless of the availability of refugia.

7
8 *Amount of agreement: Low*

9
10 Species are currently migrating north and to high elevations as climate changes.
11 Preselecting areas to serve as refuges for individual species or assemblages might or
12 might not work to protect them, with the exception of the high elevations or latitudes
13 where cold-loving species may persist. Therefore, there is low agreement.

14 **National Wildlife Refuges**

15
16 *Amount of evidence: High*

17
18 Climate refugia, areas where effects of past climate change were minimized, are
19 documented in the paleontological record, and refugia are projected to occur in a changed
20 climate of the future. Historically these refugia were the only areas in which some species
21 survived, and they provided colonization sources when conditions became suitable
22 elsewhere as environmental conditions changed. An analogous situation can be expected
23 to occur with the current episode of climate change. However, large areas of projected
24 climate refugia have no wildlife refuges. There is some evidence that refugia will often
25 be found at the ecological or geographical extremes of species ranges.

26
27 *Amount of agreement: Low*

28
29 There is generally low agreement that refugia will be effective at preserving resilience to
30 climate change at all scales, from species to regions. Creating refugia from climate
31 change is not possible; refugia will emerge in response to heterogeneity in landscape
32 characteristics and realized climate change. Further, it is difficult to project the explicit
33 location of future climate change refugia at scales that are ecologically relevant or useful
34 for identifying new sites for strategic growth of the refuge system, particularly at the
35 scale of individual refuges. There may be opportunities to take advantage of emerging
36 refugia, particularly for threatened/endangered species or small scale habitats, but refugia
37 will be difficult to impossible to manage in the adaptive management framework.
38 Predicting species specific responses to potential refugia will be a challenge.

39 40 **Wild and Scenic Rivers**

41 *Amount of evidence: High*

- 42
43 1) There is good evidence that small-scale, local refugia (within-channel such as
44 diverse habitat types) are important to the survival of stream plants and animals, if
45 those areas are protected from significant disturbance events such as unusual
46 floods or droughts. This is directly tied to resilience, because these local refugia
47 act as a protective place from which surviving organisms can disperse. These
48 dispersing individuals then reproduce and re-populate areas denuded of biota.

- 1 2) There is some evidence for plants and fish, but little evidence to date for smaller
2 organisms, that some habitat types, even if widely dispersed, can act as refugia for
3 moderate to large scale (landscape scale) disturbances. Examples include distant
4 floodplains, tributaries that remain intact or undisturbed, or any region that for
5 some reason is protected from the full brunt of a disturbance. Thus, resilience at
6 broad scales (*e.g.*, entire watersheds or perhaps even ecoregions) may depend on
7 setting aside such refuge areas. Since most climate-induced disturbances are
8 expected to be exacerbated by development in a watershed (this makes entire
9 rivers downstream of the development more vulnerable), one form of protection
10 that could be part of a management strategy to provide refugia could include
11 limits to development or protection of floodplains or surrounding forests.

12
13 *Amount of agreement: High*

14
15 The only reason there might be some disagreement is if we are considering an organism
16 for which we know nothing or little about its dispersal abilities. If we protect or establish
17 in-stream or regional refugia, but organisms can not move to areas formerly affected by
18 disturbances such as those related to climate change, then the value of the refugia is
19 somewhat reduced. However, because we should be able in most or all cases to transport
20 the biota ourselves (seed, larvae, nymphs, juveniles, etc) using some management
21 programs, this concern is minor. Thus, most river ecologists would strongly agree that
22 provision of refugia is a great way to enhance long term resilience in the face of climate
23 change. In fact, use of such approaches (setting aside “preserves,” which are a form of
24 refugia) is already in place in some cases, on the advice of scientific boards in advance of
25 any research or data showing that there is high agreement.

26
27 **National Estuaries**

28 *Amount of evidence: Low*

- 29
30 (1) There has been little work done on this topic in estuaries. However, if features
31 such as oyster reefs are restored in replication along a depth gradient or along
32 some other environmental gradient, then when perturbations occur that are depth-
33 dependent or vary in intensity along the gradient, one end of the gradient is more
34 likely to serve as a refugium into which mobile species can escape the threat or
35 impact of the perturbation. This is illustrated by the Lenihan *et al.* (2001)
36 example, in which fish and crabs escape hypoxia/anoxia (which can be climate
37 change-induced) that develops in deep water by retreating to shallow water
38 refugia.
- 39 (2) Relative sea level rise does vary geographically, so some salt marsh systems may
40 be able to build soils at rates fast enough to keep up with sea level rise for a
41 relatively long time. However, patterns of geographic distribution in relative rates
42 of sea level rise are too coarse geographically to enable “surviving” estuaries to
43 be successful refugia and sources of migrants. Most estuarine fishes and most
44 marine invertebrates possess highly dispersive planktonic larvae, so there may be
45 some value to refugia at these large distances, but little information is available.

46
47 *Amount of agreement: Low*

48

- 1 (1) There is simply insufficient scientific evidence to determine which marshes may
2 be able to keep up in soil elevation with sea level rise, so a debate will go on.
3 (2) As regards both oyster reefs and networks of estuaries, virtually no research has
4 been done to assess the effectiveness of refugia, except for the value of alternative
5 estuaries as stop-over sites for migrating shorebirds. Thus, the literature of
6 relevance that exists is relatively speculative and reflects several disagreements.

7 **Marine Protected Areas**

8 *Amount of evidence: Low*

9
10
11 A number of authors note the potential value of refugia (e.g., McClanahan, Polunin, and
12 Done, 2002; West and Salm, 2003; Coles and Brown, 2003; Salm, Done, and McLeod,
13 2006; Marshall and Schuttenberg, 2006).⁵ Nevertheless, experimental or empirical
14 evidence is limited (e.g., Riegl and Piller, 2003).

15
16 *Amount of agreement: High*

17
18 Both the more-speculative as well as at least one empirical study are consistent, so
19 agreement is considered to be high.

20 **B8 Adaptation Approach: Relocation**

21 **Description:** Human-facilitated transplanting of organisms from one location to another
22 in order to bypass a barrier (e.g., urban area).

23 **Confidence:** Is relocation an effective way to promote system-wide (regional) resilience
24 by moving species that would not otherwise be able to emigrate in response to climate
25 change?

26 **National Forests**

27 *Amount of evidence: High*

- 28
29
30 1) For plants, relocation has been a common technique for commercial plant species.
31 Provenance studies demonstrate the appropriateness of different germplasm, and
32 management is based on the likelihood of planting different provenances across
33 widely scattered landscapes and within landscapes.
34 2) For other plant species and for animals, a nascent literature is developing on the
35 advantages and disadvantages of “assisted migration,” that is, intentional
36 movement of propagules or juvenile and adult individuals into areas assumed to
37 become their future habitats (Halpin, 1997; Collingham and Huntley, 2000;
38 McLachlan, Hellmann, and Schwartz, 2007). At this point, insufficient data exists
39 to judge the success of such techniques.

40
41 *Amount of agreement: Low*

⁵ See also **Salm**, R.V. and S.L. Coles, 2001: Coral bleaching and marine protected areas. In: *Proceedings of the Workshop on Mitigating Coral Bleaching Impact Through MPA Design* [Salm, R.V. and S.L. Coles (eds.)]. Proceedings of the Coral Bleaching and Marine Protected Areas, pp. 1-118. See chapters in **Johnson**, J. and P. Marshall, 2007: *Climate Change and the Great Barrier Reef: a Vulnerability Assessment*. Great Barrier Reef Marine Park Authority.

- 1
2 1) Protocols for “assisted migration” of species need to be tested and established
3 before approaches are implemented more broadly.
4

5 **National Parks**

6 *Amount of evidence: Low*
7

8 Some studies have shown successful colonization of native after removal of invasive
9 species; aggressive control of invasives followed by restoration of native species might
10 be successful in preventing, or slowing, the establishment of unwanted species.
11

12 This approach is not well understood, particularly with respect to system-wide resilience.
13

14 *Amount of agreement: Low*
15

16 Relocation of desired species may allow that species to persist, but ecosystems are made
17 up of complex webs of living organisms, including insects, soil flora and fauna, and
18 many other types of organisms that would not be relocated.
19

20 There is little agreement about whether relocation would increase system resilience.
21

22 **National Wildlife Refuges**

23 *Amount of evidence: Low*
24

25 Translocation of species is a very common species-specific management tool. However
26 few of these efforts are conducted with appropriate experimental design. Translocation
27 has been successfully used to introduce game species around the globe. Efforts to use
28 translocation for establishing or re-establishing populations of threatened or endangered
29 species have been highly variable in their success. Synthesis studies indicate that success
30 is very dependent on quality of available habitat and the mitigation of stressors at
31 translocation site prior to relocation. Movement of a species across a dispersal barrier
32 (e.g., fish over dams) assumes that suitable habitat is available beyond the barrier and the
33 uncertainty of climate change challenges that assumption. Climate change projections
34 engender a fear that changes in habitat will result in the loss of species on refuges as
35 conditions become unsuitable and the ability of refuges to mitigate changes is exceeded.
36 The extreme risks would be extinction or extirpation from refuge lands. This presents a
37 very different situation than movement across a barrier (e.g., salamanders, toads and
38 frogs across a highway during dispersal from wintering habitat). Because most evidence
39 has been focused on individual species, the success of species relocation has been
40 variable and there is little to no evidence of the effect of relocated species on recipient
41 communities, there is little evidence that relocation is an effective way to promote
42 system-wide (regional) resilience.
43

44 *Amount of agreement: Low*
45

46 There is generally low agreement that relocation will be an effective way to promote
47 system-wide (regional) resilience to climate change. Ethical concerns regarding the
48 unpredictable effects on other species and communities that result from introducing a

1 species into a previously unoccupied habitat are notable; it is not clear that the net effect
2 of translocation will be positive at the system-wide scale. Relocation may be effective at
3 smaller scales; for example, in the case of a threatened or endangered non-disperser that
4 was unlikely to negatively affect a suitable target area.

5
6 **Wild and Scenic Rivers**

7 *Amount of evidence: Low*

8
9 While fish have been translocated and are able to survive if put into an appropriate reach,
10 there is no evidence that this will end up promoting system-wide recovery. Most
11 scientists would say the more critical thing for system wide recovery is removing the
12 “insult” to the system. With climate change, that will be pretty hard to do. If you can
13 move the species to a totally new watershed where the climate is appropriate then it is
14 hard to say.

15
16 *Amount of agreement: Low*

17
18 Some scientists speculate that we may be able to, for example, shift fish species from
19 lower latitude/altitude places that have become too warm to higher latitude/altitude places
20 that are appropriate under future climates. However, others will argue that even if the
21 temperature is comparable, getting the flow conditions and ecosystem processes that are
22 needed to support the species in the long-run is unlikely.

23
24 **National Estuaries**

25 *Amount of evidence: N/A*

- 26
27 (1) Little, if any, work has been done transplanting estuarine species to overcome
28 dispersal barriers to latitudinal shifts, largely because so many estuarine species
29 are actually highly dispersive at some life stage. Therefore, it is not applicable to
30 rate confidence levels for relocation with regard to estuaries.

31
32 *Amount of agreement: N/A*

- 33
34 (1) There is very little agreement that this approach is suitable for most estuarine
35 species. It may, however, play a future role for some reptiles and mammals of salt
36 marshes or mangroves that have limited dispersal capacity, but this requires
37 investigation.

38
39 **Marine Protected Areas**

40 *Amount of evidence: N/A*

41
42 An assessment of “relocation” as a management approach is not made for MPAs because
43 advanced web searches on all the major literature databases result in very little
44 information on the concept of relocation as defined in this report.

45
46 *Amount of agreement: N/A*

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1 Since there is virtually no scientific evidence and little discussion of relocation as it
2 would apply to MPAs, it is not applicable to discuss level of agreement in this approach
3 at this time. However, such an approach should not necessarily be written off as a future
4 option; despite the cost, relocation may become an attractive option to managers of small,
5 secluded, higher-impacted reef environments.
6

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