



### FIPS-201 PIV Registration and the Smart Card April 11, 2006

# Infomosaic: Founded 2000

- Judith Spenser Talked About Two Projects Yesterday
  - NIH-Educause PKI Interoperability (Infomosaic's SecureXML and SecureSign products were used in this effort)
  - E-Auth SAML Assertion From PKI Credentials (The PKI to SAML Assertion Step Down Translator was developed by Infomosaic)
- US Army is using Infomosaic SecureXML Digital Signature Software in their Financial Disclosure Management Application.
- Maryland Department of Transportation has Successfully Issued More Than 200 PIV Cards to Their 1<sup>st</sup> Responders and Senior Officials Using Infomosaic SecurePIV Registration System



# My Contact Info

Manoj Srivastava Founder, Infomosaic Corporation Phone: (408) 480-3818 E-mail: manoj@infomosaic.com



# **PIV Lifecycle**

- PIV Registration
- PIV Card Printing
- PIV Card Certificate Issuance
- PIV Card Usage
  - Logical Access
  - Physical Access
- PIV Card Renewal/Revocation

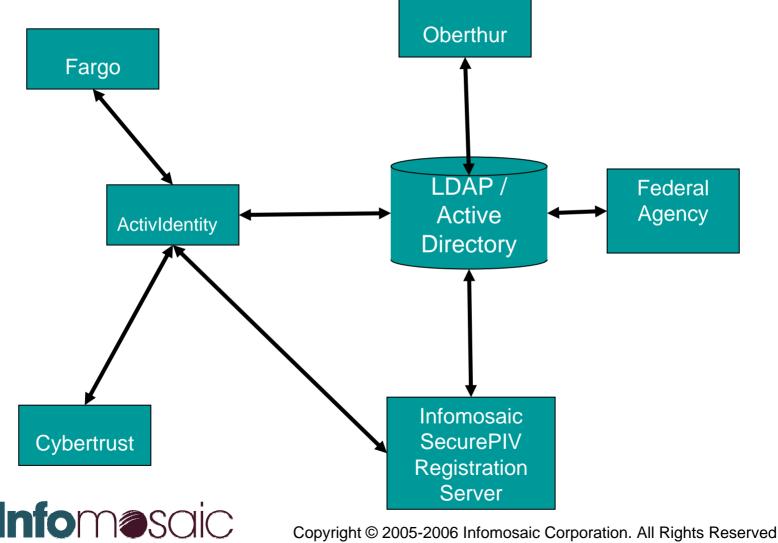


# SecurePIV High Level Features

- Can be configured to either utilize a mobile trailer for both registration and issuance of smart card for applicants or to use an offsite card printing and onsite certificate issuance.
- The process is a 2 Phase process
- Supports FIPS 201 roles (Sponsor, Applicant, Registrar, Issuer and & Signatory)
- Supports smart card profile of FIPS 201
- Supports commercial, state and local government PKI infrastructure designed to be interoperable with the Federal Governments FIPS 201 processes
- Emergency support function support provided to support hand held identification and verification during emergencies
- Allows for capture and inclusion of agency specific OIDs in the X509 certificate extentions.
- PIV II Compliant: Signs CHUID and Biometric Information

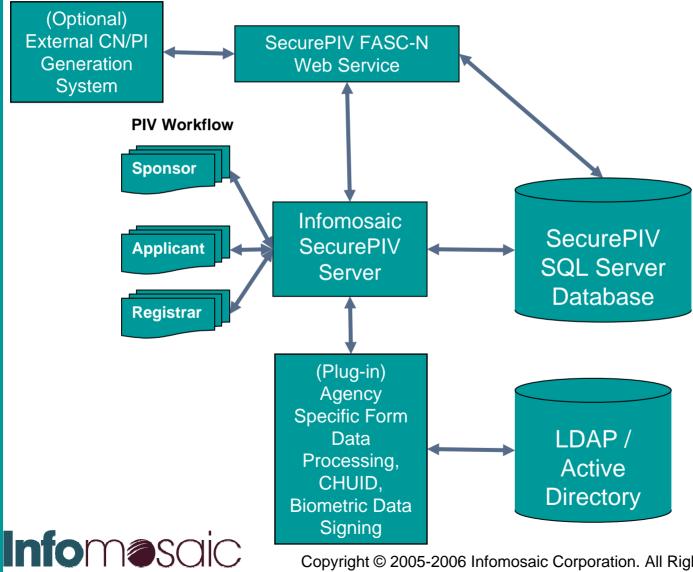


# SecurePIV Overall Architecture

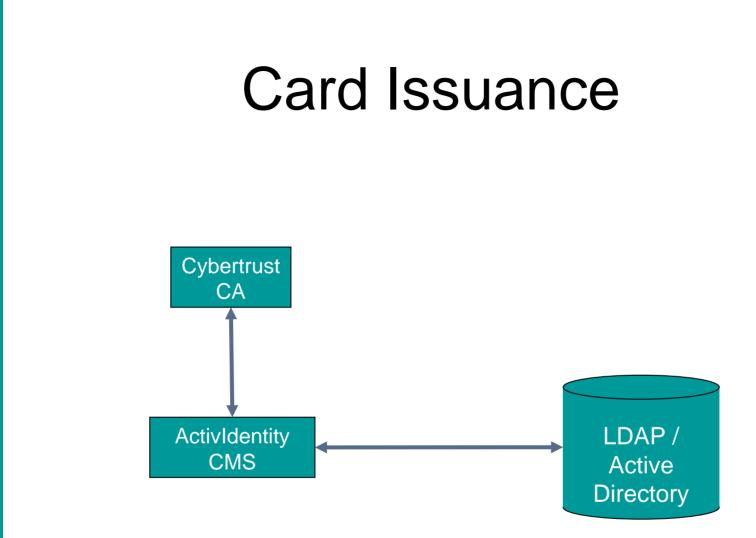


Digital Signature Solutions, PKI & Security Consulting

# SecurePIV Workflow

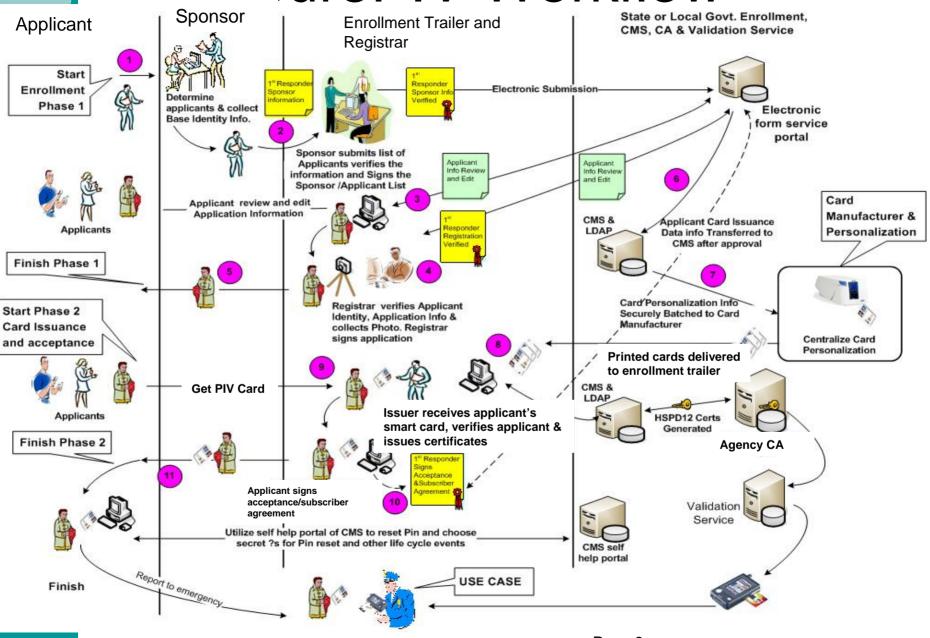


Digital Signature Solutions, PKI & Security Consulting





### SecurePIV Workflow



# All The PIV Data

	Attribute Name	Cybertrust OID Values	Sun One Syntax	Notes	PIVmobile AD name	ADS Syntax
1	pivUPN	1.3.6.1.4.1.23337.3.1.2.1	1.3.6.1.4.1.1466.115.121.1.15	Within MS environme nts the standard UPN attribute should also be populated	UPN	Unicode String
2	pivCHUIDSigned	1.3.6.1.4.1.23337.3.1.2.2	1.3.6.1.4.1.1466.115.121.1.5			Octet String
3	pivFASCN	1.3.6.1.4.1.23337.3.1.2.3	1.3.6.1.4.1.1466.115.121.1.5		pivFASCN pivFASCN2	Octet String Case Insensitive String
4	pivGUID	1.3.6.1.4.1.23337.3.1.2.4	1.3.6.1.4.1.1466.115.121.1.5		<mark>pivGUID3</mark> pivGUID4?	Octet String Case Insensitive String
5	pivCardExpirationDate	1.3.6.1.4.1.23337.3.1.2.5	1.3.6.1.4.1.1466.115.121.1.26	YYYYMM DD format numbers		IA5 String
6	pivCardID telexNumber	1.3.6.1.4.1.23337.3.1.2.6	1.3.6.1.4.1.1466.115.121.1.26	CMS populates		IA5 String
7	pivSponsorName	1.3.6.1.4.1.23337.3.1.2.7	1.3.6.1.4.1.1466.115.121.1.15			Unicode String
8	pivRegistrarName	1.3.6.1.4.1.23337.3.1.2.8	1.3.6.1.4.1.1466.115.121.1.15			DN with String
9	pivIDProofed	1.3.6.1.4.1.23337.3.1.2.9	1.3.6.1.4.1.1466.115.121.1.7			Boolean
10	pivDateReadyForlssuance	1.3.6.1.4.1.23337.3.1.2.10	1.3.6.1.4.1.1466.115.121.1.15			Unicode String
11	pivlssuanceStationOperator	1.3.6.1.4.1.23337.3.1.2.11	1.3.6.1.4.1.1466.115.121.1.15	CMS populates		Unicode String



## All The PIV Data

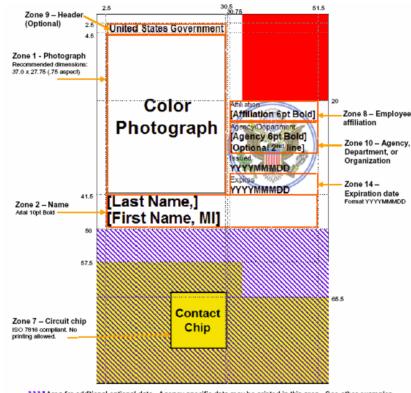
12	pixCardType	1.3.6.1.4.1.23337.3.1.2.12	1.3.6.1.4.1.1466.115.121.1.15			IA5 String
13	pivXMLData.	1.3.6.1.4.1.23337.3.1.2.13	1.3.6.1.4.1.1466.115.121.1.15	Finger print data. In signed state. If collected		Unicode String
14	pix Contactless Serial Number.	1.3.6.1.4.1.23337.3.1.2.14	1.3.6.1.4.1.1466.115.121.1.15	CMS populates		DN with String
15	pivPRTPhotograph	1.3.6.1.4.1.23337.3.1.2.15	1.3.6.1.4.1.1466.115.121.1.5	Zone 1 300 DPI		Octet String
16	pivPRTLastName.	1.3.6.1.4.1.23337.3.1.2.16	1.3.6.1.4.1.1466.115.121.1.15	Zone 2 line 1		Unicode String
17	pivPRTFirstMiName	1.3.6.1.4.1.23337.3.1.2.17	1.3.6.1.4.1.1466.115.121.1.15	Zone 2 line 2		Unicode String
18	pivPRTSignature	1.3.6.1.4.1.23337.3.1.2.18	1.3.6.1.4.1.1466.115.121.1.5	Zone 3		Octet String
19	pivPRTAgencySpecificData1	1.3.6.1.4.1.23337.3.1.2.19	1.3.6.1.4.1.1466.115.121.1.15	Zone 4		DN with String
20	pivPRTRank	1.3.6.1.4.1.23337.3.1.2.20	1.3.6.1.4.1.1466.115.121.1.15	Zone 5		Unicode String
21	pivPRT2DbarCodeType	1.3.6.1.4.1.23337.3.1.2.21		Zone 6		Interger
22	pivPRTEmployeeAffiliation	1.3.6.1.4.1.23337.3.1.2.22	1.3.6.1.4.1.1466.115.121.1.15	Zone \$	pivPRTAffiliation	Unicode String
23	pivPRTHeader	1.3.6.1.4.1.23337.3.1.2.23	1.3.6.1.4.1.1466.115.121.1.15	Zone 9		Unicode String
24	pivPRTAgencyName	1.3.6.1.4.1.23337.3.1.2.24	1.3.6.1.4.1.1466.115.121.1.15	Zone 10 (static)		Unicode String
25	pivPRTAgencySeal	1.3.6.1.4.1.23337.3.1.2.25	1.3.6.1.4.1.1466.115.121.1.5	Zone 11 (static)		Octet String
26	pivPRTFooter	1.3.6.1.4.1.23337.3.1.2.26	1.3.6.1.4.1.1466.115.121.1.15	Zone 12		Unicode String
27	pivPRTIssuanceDate	1.3.6.1.4.1.23337.3.1.2.27	1.3.6.1.4.1.1466.115.121.1.26	Zone 13 YYYYYMM MDD		IA5 String
28	pivPRTExpirationDate	1.3.6.1.4.1.23337.3.1.2.28	1.3.6.1.4.1.1466.115.121.1.26	Zone 14 YYYYMM DD		IA5 String
29	pivPRTCardColorType	1.3.6.1.4.1.23337.3.1.2.29	1.3.6.1.4.1.1466.115.121.1.15	Zone 15 Red, Blue, Green, White		Unicode String
30	pivPRTBorderColor	1.3.6.1.4.1.23337.3.1.2.30	1.3.6.1.4.1.1466.115.121.1.15	Zone 16		Unicode



## All The PIV Data

31	pivPRTAgencySpeificData2	1.3.6.1.4.1.23337.3.1.2.31	1.3.6.1.4.1.1466.115.121.1.15	Zone 17	Unicode String
32	pivPRTCardSerialNumber	1.3.6.1.4.1.23337.3.1.2.32	1.3.6.1.4.1.1466.115.121.1.26	Zone 1(Back)	IA5 String
33	pivPRTIssuerIDNumber.	1.3.6.1.4.1.23337.3.1.2.33	1.3.6.1.4.1.1466.115.121.1.26	Zone 2(Back)	IA5 String
34	pixPRTMagmetic Stripe	1.3.6.1.4.1.23337.3.1.2.34	1.3.6.1.4.1.1466.115.121.1.27	Zone 3 (Back)	Integer
35	pivPRTReturnToAddr	1.3.6.1.4.1.23337.3.1.2.35	1.3.6.1.4.1.1466.115.121.1.15	Zone 4 (Back) (static)	Unicode String
36	pixPRTHeight	1.3.6.1.4.1.23337.3.1.2.36	1.3.6.1.4.1.1466.115.121.1.15	Zone 5 (Back)	Unicode String
37	pivPRTEveColor.	1.3.6.1.4.1.23337.3.1.2.37	1.3.6.1.4.1.1466.115.121.1.15	Zone 5 (Back)	Unicode String
38	pivPRTHairColor	1.3.6.1.4.1.23337.3.1.2.38	1.3.6.1.4.1.1466.115.121.1.15	Zone 5 (Back)	Unicode String
39	pixPRTEmergyResponderDetails	1.3.6.1.4.1.23337.3.1.2.39	1.3.6.1.4.1.1466.115.121.1.15	Zone 6 (Back)	Unicode String
40	pivPRTSection499Title1⟪	1.3.6.1.4.1.23337.3.1.2.40	1.3.6.1.4.1.1466.115.121.1.15	Zone7 (Back) (Static)	Unicode String
41	pivPRT3of9BarcodeType	1.3.6.1.4.1.23337.3.1.2.41	1.3.6.1.4.1.1466.115.121.1.27	Zone % (Back)	Integer
42	pivPRTAgencySpecificData3	1.3.6.1.4.1.23337.3.1.2.42	1.3.6.1.4.1.1466.115.121.1.15	Zone 9 (Back)	Unicode String
43	pivPRTAgencySpecificData4	1.3.6.1.4.1.23337.3.1.2.43	1.3.6.1.4.1.1466.115.121.1.15	Zone 10 (Back)	Unicode String
44	pivPRT2DbarCodeData	1.3.6.1.4.1.23337.3.1.2.44	1.3.6.1.4.1.1466.115.121.1.40	Ref 21	Octet String
45	pivPRTMagStripeTrack1	1.3.6.1.4.1.23337.3.1.2.45	1.3.6.1.4.1.1466.115.121.1.5	Ref 34	Octet String
46	pivPRTMagStripeTrack2	1.3.6.1.4.1.23337.3.1.2.46	1.3.6.1.4.1.1466.115.121.1.5	Ref 34	Octet String
47	pivPRTMagStripeTrack3	1.3.6.1.4.1.23337.3.1.2.47	1.3.6.1.4.1.1466.115.121.1.5	Ref 34	Octet String
48	pivPRT3of9BarcodeData	1.3.6.1.4.1.23337.3.1.2.48	1.3.6.1.4.1.1466.115.121.1.15	Ref 41	Unicode String
49	pixAgency.Code	1.3.6.1.4.1.23337.3.1.2.49	1.3.6.1.4.1.1466.115.121.1.27	Easc-n subcompo nent	Integer



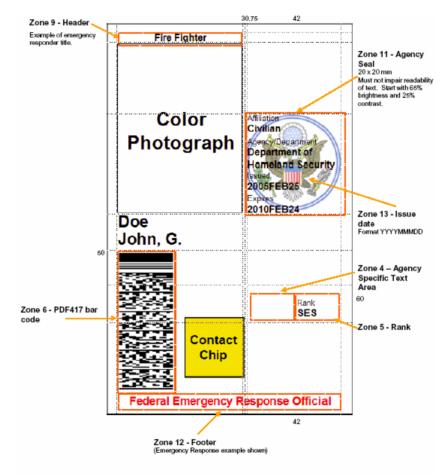


Area for additional optional data. Agency-specific data may be printed in this area. See other examples for required placement of additional optional data elements. Note: In this example, Zone 9, 11, and 13 are optional but shall be placed as depicted and therefore are not in the blue shaded area.

Area likely to be needed by card manufacturer. Optional data may be printed in this area but may be subject to restrictions imposed by card and/or printer manufacturers.

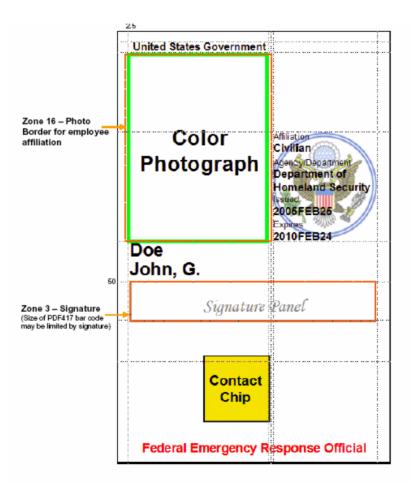
Reserved area. No printing is permitted in this area unless verified as printable area by card and/or printer manufactuers.





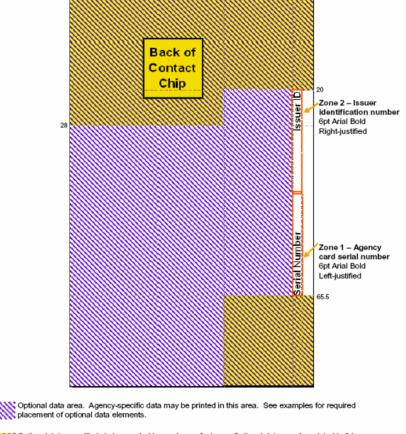












Optional data area likely to be needed by card manufacturer. Optional data may be printed in this area, but will likely be subject to restrictions imposed by card and/or printer manufacturers.

Figure 4-6. Card Back-Printable Areas and Required Data



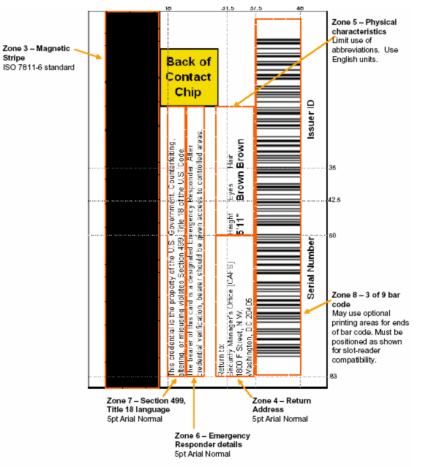
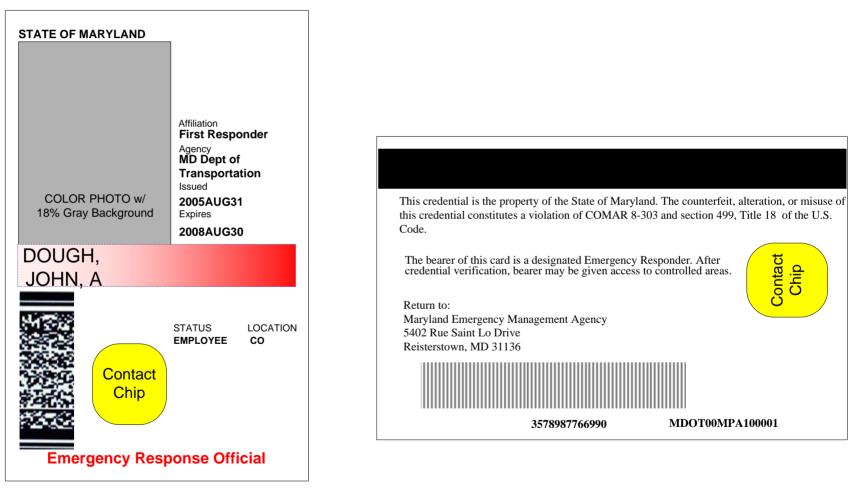


Figure 4-7. Card Back—Optional Data Placement—Example 1



# **PIV Card Data For Physical Layout**





### **PIV Card Data For Physical Layout**

### Card front

Photo(z1) – 300 DPI Jpeg Photo LastName (z2L1) – 10Pt Bold Arial FirstName, MI(z2L2) – 10 Pt Bold Arial Status (agency Spec. Data1 z4) (Employee,Contractor,Volunteer) Location (rank z5) – 6Pt Bold Arial (CO, ST, City ?) Expiration Date(z13) – 6Pt Bold Arial YYYYMMMDD Issuance Date(z14) – 6Pt Bold Arial YYYYMMMDD \*Affiliation(z8) – 6Pt Bold (Static value of 'First Responder') \*Agency(z10) – 6Pt Bold Arial 2 lines (Static value of "MD Dept of" and "Transportation" 2d bar code(z6) – Not for the 23<sup>rd</sup>

Back of card Mag stripe(z3) – For future Use Std bar code(zb8) – Not for the 23rd Card serial number (zb1) – 6Pt Arial (13 digit number starting at ?) left justified \*Card Issuer ID (zb2) – 6Pt Arial Static value of 'MDOT00MPA100001" right justified

\* Means that this is Static Information on the Cards and will be handled by the Print layout template TEXT in Red means that in the Future These values could be Dynamic in a Broader Community by Maryland

### Static Data Front

\*Header (z9) – 6Pt Arial Bold Static 'State of Maryland' \*Footer (z12) – 6Pt Arial Bold Red text 'Emergency Response Official' \*Agency Seal (z11) – Static Gif MD Seal 20x20mm 65%brightness 25% contrast \*Headings – Zone heading or tage 5Pt normal weight Arial font size \*Color -- Static White → Red color transition at z2 across card

### Static Data Back of card

\*Property of statement(zb7) – 5Pt Arial Normal see above text \*1<sup>st</sup> responder Notice (zb6) – 5Pt Arial Normal see above text \*Return to Address(zb4) – 5Pt Arial Normal see above text



# **PIV Card Physical Layout Issues**

**Questions:** 

1) What is the Location information support to be What are the Options in the first responder world for this is "CO" for county what are the Options: ST for State City for City? 2) What is the length of serial Number should it be zero filled number string 0000000000001 and increment? 3) What is the sub fields of the Card Issuer string mean? What does the "00MPA100001" portion mean?



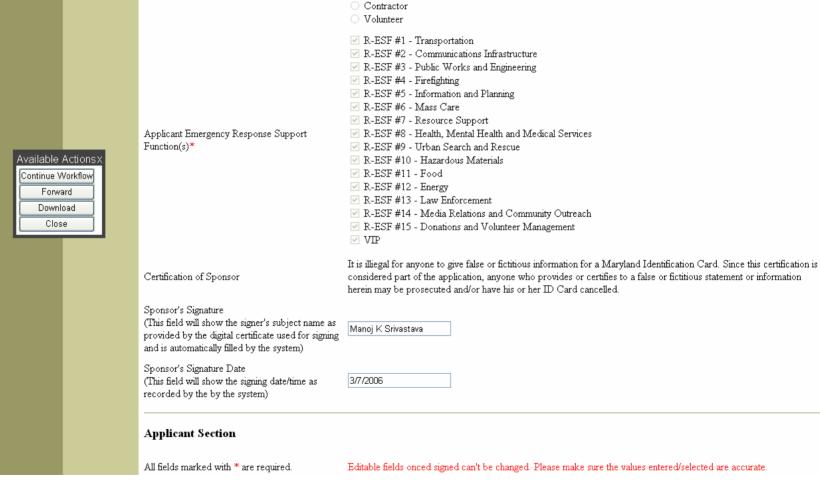
# Major Non-PKI Issues: Registration Form Design

Infomosaic SecurePIV Registration Server

			Logged II as manoj Log du					
<u>Create</u> Work Basket <u>Documents</u> <u>Messages</u> <u>Workflows</u> Search <u>Documents</u> <u>Messages</u>	Continue Workflow Forward Download Close	View Document - Doe5-John5 H-MDOT PIV Registration Form-20060307-15-24-15.sig MDOT PIV Registration Form						
		Sponsor Section						
<u>Settings</u>		All fields marked with * are required.	Editable fields once signed can't be changed. Please make sure the values entered/selected are accurate.					
		Locality or State*	Maryland					
		Purpose*	6th Responder Registration					
		Sponsoring Agency*	Maryland Department of Transportation					
Available Continue	Actionsx Workflow	Department Acronym*	MDOT					
Forw		Agency & Sub-Agency Acronyms*	MVA					
Down Clos		Applicant First Name*	John5					
		Applicant Middle Initial	Н					
		Applicant Last Name*	Doe5					
		Applicant User Principal Name (If exists)	John5.Doe5@mdot.md.us					



## Major Non-PKI Issues: Registration Form Design





# Major Non-PKI Issues: Registration Form Design



Registrar s Approvar

○ I Disapprove





Certification of Registrar

Registrar's Signature (This field will show the signer's subject name as provided by the digital certificate used for signing and is automatically filled by the system)

Registrar's Signature Date (This field will show the signing date/time as recorded by the by the system)

### Applicant Section

Certification of Applicant

By checking this box and signing this form using my PIV Card certificate I agree to fully comply with the rules which govern this card:

Applicant's Signature

(This field will show the signer's subject name as provided by the digital certificate used for signing and is automatically filled by the system)

Applicant's Signature Date (This field will show the signing date/time as recorded by the by the system)



Digital Signature Solutions, PKI & Security Consulting

Copyright © 2005-2006 Infomosaic Corporation. All Rights Reserved Page 23

I certify, under penalty of perjury, that the statements made on this application are true and correct to the best of my knowledge, information and belief.

It is illiegal for anyone to give false or fictitious information for a Maryland Identification Card. Since this certification is considered part of the application, anyone who provides or certifies to a false or fictitious statement or information herein may be prosecuted and/or have his or her ID Card cancelled.



# Back End Mapping: Agency Specific Data

- Emergency Response Support Function
- Applicant Work Location
- Card Issuance Date Offset
- Card Expiration Year Duration



### Emergency Response Support Function

<setting name="OidMapping" serializeAs="Xml">

```
<value>
```

<ArrayOfString xmlns:xsi="http://www.w3.org/2001/XMLSchemainstance"

xmlns:xsd="http://www.w3.org/2001/XMLSchema"> <string>R-ESF #1=1.3.6.1.4.1.13636.15.1</string> <string>R-ESF #2=1.3.6.1.4.1.13636.15.2</string> <string>R-ESF #3=1.3.6.1.4.1.13636.15.3</string> <string>R-ESF #4=1.3.6.1.4.1.13636.15.4</string> <string>R-ESF #5=1.3.6.1.4.1.13636.15.5</string> <string>R-ESF #6=1.3.6.1.4.1.13636.15.6</string> <string>R-ESF #7=1.3.6.1.4.1.13636.15.7</string> <string>R-ESF #8=1.3.6.1.4.1.13636.15.8</string> <string>R-ESF #9=1.3.6.1.4.1.13636.15.9</string> <string>R-ESF #10=1.3.6.1.4.1.13636.15.10</string> <string>R-ESF #11=1.3.6.1.4.1.13636.15.11</string> <string>R-ESF #12=1.3.6.1.4.1.13636.15.12</string> <string>R-ESF #13=1.3.6.1.4.1.13636.15.13</string> <string>R-ESF #14=1.3.6.1.4.1.13636.15.14</string> <string>R-ESF #15=1.3.6.1.4.1.13636.15.15</string> <string>VIP=1.3.6.1.4.1.13636.15.100</string> </ArravOfString> </value>

```
</setting>
```

R-ESF #1 - Transportation R-ESF #2 - Communications Infrastructure R-ESF #3 - Public Works and Engineering R-ESF #4 - Firefighting R-ESF #5 - Information and Planning 🔲 R-ESF #6 - Mass Care R-ESF #7 - Resource Support 🔲 R-ESF #8 - Health, Mental Health and Medical Services Applicant Emergency Response Support Function(s) R-ESF #9 - Urban Search and Rescue R-ESF #10 - Hazardous Materials R-ESF #11 - Food R-ESF #12 - Energy R-ESF #13 - Law Enforcement R-ESF #14 - Media Relations and Community Outreach R-ESF #15 - Donations and Volunteer Management

🗌 VIP



### Agency Work Location: To Flow To FASC-N

<setting name="LocationCodeOIMapping" serializeAs="Xml">

<value>

<ArrayOfString xmlns:xsi="http://www.w3.org/2001/XMLSchemainstance"

xmlns:xsd="http://www.w3.org/2001/XMLSchema">

<string>AA=0001</string> <string>AL=0002</string> <string>BA=0003</string> <string>BC=0004</string> <string>CA=0005</string> <string>CE=0006</string> <string>CH=0007</string> <string>CR=0008</string> <string>CV=0009</string> <string>DO=0010</string> <string>FR=0011</string> <string>GA=0012</string> <string>HA=0013</string> <strina>HO=0014</strina> <string>KE=0015</string> <string>MO=0016</string> <string>PG=0017</string> <string>QA=0018</string> <string>SM=0019</string> <string>SO=0020</string> <string>TA=0021</string> <string>WA=0022</string> <string>WI=0023</string> <string>WO=0024</string> <string>MD=0025</string> </ArrayOfString> </value> </setting>

Applicant Work Location\*

Maryland State
Anne Arundel County
Allegany County
Baltimore County
Baltimore City
Caroline County
Cecil County
Charles County
Carroll County
Carvoll County
Calvert County
Dorchester County
Frederick County

O Garrett County

### O Harford County

- O Howard County
- 🔘 Kent County
- Montgomery County
- O Prince George's County
- O Queen Anne's County
- St. Mary's County
   Somerset County
- Talbot County
- Washington County
- Wicomico County
- O Worcester County

Digital Signature Solutions, PKI & Security Consulting

### Card Issuance/Expiration Date Offset/Duration

<setting name="CardIssuanceDateOffsetInDays" serializeAs="String"> <value>0</value>

</setting>

<setting name="CardExpirationDateOffsetInYears" serializeAs="String"> <value>5</value>

</setting>



# **FASC-N** Issues

- How is CN/PI Generated?
  - Maintain compatibility with agency's current emp-id generation method (required FASC-N service to call existing application for PI/CN, a feature supported by Infomosaic SecurePIV)
  - Start from 1 or some other initial value
- How is Organization Identifier
   Determined?
  - Allow registration form data to flow through to the FASC-N Service



### SecurePIV FASC-N Web Service

										Infomosaic FASCN Web Service: Issued FASC	Infomosaic FASCN Web Service: Issued FASC-N			
	AC	<u>sc</u>	<u>CN</u>	<u>cs</u>	<u>ICI</u>	<u>PI</u>	<u>oc</u>	<u>01</u>	<u>POA</u>	Fascn Binary Value	Fascn Hex Value	<u>Issue Date</u>		
	0032	0001	100151	0	1	2100000051	2	0001	1	22 00001 10110 10000 00001 00001 10000 10101 10000 10101 00001 10110 10000 10110 01000 10000 00001 00001 00001 00001 00001 00001 10101 10000 01000 00001 00001 00001	D 00 86 15 85 83 8 59 10 08 42 10 86	2/16/2006 10:14:40 AM		
	0032	0001	100150	0	1	2100000050	2	0001	1	10000 10110 10000 00001 00001 10000 10101 00001 10110 00001 10110 10000 10110 01000 10000 00001 00001 00001 00001 00001 00001 10101 00001 01000 00001 00001 00001	0 43 94 58 21 0C D 00 86 15 0D 83 8 59 10 08 42 10 86 1 40 42 18 43 F3	2/16/2006 9:12:14 AM		
lumn Name		Explanation								001 00001 11001 01000 10110 00001 00001 00001 00001 00001 00001 00001 00001 00001 00001 00001 00001 00001 00001		2/16/2006 6:28:06 AM		
AC										)110 10000 10110 01000 10000 00001 00001 00001 68				
SC		System Code									93 40 42 18 43 F3	0.20.00 1111		
CN		Credential Number								0001 00001 11001 01000 10110 00001 00001 00001	Do 40.04 50.01.00			
CS		Credential Series (Series Code)								)110 10000 00001 00001 10000 00100 00010 10110	0 43 94 58 21 0C D 00 86 04 15 83 2	2/16/2006		
ICI		To divide a local device the second device of the second sec							Code	e)	1110         10000         10110         01000         10000         00001			
PI										)000 11111 10011 82	2 40 42 18 43 F3			
OC		[ Irganizational [ 'stegory									0 43 94 58 21 0C	2/16/2006 34 6:16:19 AM		
OI		Organizational Identifier								)110 10000 10110 01000 10000 00001 00001 00001 68	D 00 86 04 E5 83 8 59 10 08 42 10 84			
POA		Pe	rson/O	rgat	nizat	ion Associa	ation	. Cat	egory	0001 00001 00100 11100 01000 00001 00001 00001	C 40 42 18 43 F3	0.10.19 Filst		

12345678910...

Could



Copyright © 2005-2006 Infomosaic Corporation. All Rights Reserved Page 29

ELOOTITI O . T

# Summary

- Each Agency's FIPS-201 Compliant PIV Process Implementation Requires Several Levels of Cooperation Within the Agency
- Get All The Issues on the Table in the Beginning
- Try to Make Form Design and Other Agency Specific Data Decision Sooner Than Later
- Be Ready to Change Form and/or Data

