



GENERAL PROVISIONS FOR EQUIPMENT LEASE

INDEX

Table with 2 columns: Item number and Item name. Items include: 1. DEFINITIONS, 2. SCOPE OF SUBCONTRACT, 3. ACCEPTANCE, 4. INSPECTION, 5. DOCUMENTS OF SUBCONTRACTOR, 6. TERMS OF USE, 7. CHANGES, 8. TERMINATION, 9. TITLE, 10. RISK OF LOSS, 11. PAYMENT, 12. TAXES, 13. PROPERTY TAX EXEMPTION, 14. WARRANTY, 15. PROPRIETARY RIGHTS INDEMNITY, 16. TRANSPORTATION AND INSTALLATION, 17. SERVICE AND MAINTENANCE, 18. ALTERATIONS, 19. LESSOR'S LIABILITY AND INSURANCE REQUIREMENTS, 20. LAWS AND REGULATIONS, 21. ASSIGNMENTS, 22. DISPUTES, 23. WORKER SAFETY AND HEALTH, 24. INJURY REPORTING, 25. GOVERNING LAW AND VENUE, 26. ENTIRE AGREEMENT AND ORDER OF PRECEDENCE, 27. CLAUSES INCORPORATED BY REFERENCE.

CLAUSE 1 - DEFINITIONS

As used herein, the following terms shall have the indicated meanings:

- "Items" means the equipment leased under the Subcontract, and any related supplies and services.
• "Lease" means a straight lease or rental agreement as indicated in the University's subcontract.
• "Lessor" means the grantor (i.e. Subcontractor) of the use of personal property by lease.
• "Lessee" means the grantee (i.e. University) of the use of personal property by lease.
• "Government" means the United States Government.
• "DOE" means the U. S. Department of Energy.
• "University" means The Regents of the University of California, acting through the LBNL.
• "LBNL" means the Lawrence Berkeley National Laboratory.

CLAUSE 2 - SCOPE OF SUBCONTRACT

The scope of the subcontract shall be limited to the leasing of equipment. The terms of any proposal referred to in this Lease are included and made a part of the Lease only to the extent it specifies the equipment and supplies ordered, the price therefor, and the delivery thereof, and then only to the extent that such terms are consistent with the terms and conditions of this Lease.

CLAUSE 3 - ACCEPTANCE

The equipment, supplies and services covered by this Lease shall be furnished by the Lessor subject to all the terms and conditions set forth in this Lease including the following, which the Lessor, in accepting this Lease agrees to be bound by and to comply with in all particulars and no other terms or conditions shall be binding upon the parties unless hereafter accepted by them in writing.

CLAUSE 4 - INSPECTION

The equipment, supplies and services furnished shall be exactly as specified in this Lease, free from all defects in manufacturer's design, workmanship and materials, and, except as otherwise provided in this Lease, shall be subject to inspection and testing by the University at all times and places.

require delivery of such equipment, supplies, or services at a reduction in price which is equitable under the circumstances. If the Lessor is unable or refuses to correct such items within a time deemed reasonable to the University, the University may terminate the Lease in whole or in part.

CLAUSE 5 - DOCUMENTS OF SUBCONTRACTOR

The provisions of any quotation or other documents of the Subcontractor referenced in or incorporated as a part of this Subcontract are referenced or incorporated only for the purpose of specifying the nature of the materials, supplies, or services ordered, the price therefor, and/or the delivery thereof, and any terms and conditions contained in such referenced or incorporated documents shall not apply.

CLAUSE 6 - TERMS OF USE

Except as otherwise provided in this Lease, the specified rental payments shall entitle the University to unlimited use and operation of said equipment at any time and any place and for any period of time at the convenience of the University (exclusive of the time required for preventive and remedial maintenance) and shall not be restricted to consecutive hours, length of personnel shifts, or any other restrictions.

CLAUSE 7 - CHANGES

No change to the Lease shall be allowed without written approval of the University. Any claim of the Lessor for an adjustment under this Article must be made in writing within thirty (30) days from the date of receipt notification of such change unless the University waives this condition in writing.

CLAUSE 8 - TERMINATION

The University may at its option, by written notice stating the extent and effective date, terminate this order at the anniversary date of the Lease or at the end of any fiscal year (September 30) in whole or in part in the event the funding agency does not appropriate sufficient funds to continue the lease payments.

The University may by written notice terminate this Lease for the Lessor's default, in whole or in part, at any time, if the Lessor refuses or fails to comply with the provisions of this Lease, or so fails to make progress as

to endanger performance and does not cure such failure within a reasonable period of time, or fails to make deliveries of said equipment or supplies or perform the services within the time specified or any written extension thereof.

In the event the University defaults in the payment of any amount due or to become due under the terms of the Lease or defaults in the performance of any of the terms and conditions hereof, all the University's rights hereunder as to use and possession of the equipment shall, at the option of the Lessor, terminate and the Lessor shall become entitled to retake possession of the property, provided however, that in such event neither the Lessor nor the University shall have the right to rent said equipment to any third party so long as it remains on the premises of the University.

#### **CLAUSE 9 - TITLE**

The Lessor covenants that it is the sole owner of said property, and that no other person, party, firm or corporation has any right, title, interest in or to same and that during the term of this Lease said the Lessor will not sell or encumber said property, or any interest therein, except subject to the rights given the University by virtue of the Lease. Title to said property, including any accessories and devices furnished by the Lessor except those subsequently purchased by the University, vests in the Lessor, and said property may be removed by the Lessor at or after termination of this Lease unless purchased by the University pursuant to its Purchase Option, if any.

#### **CLAUSE 10 - RISK OF LOSS**

During the period of time that property covered by this Lease is in the possession of the University, the University (and its customers, if installed on the University's customers' premises) shall take good care of the property and the University shall be responsible for any loss of or damage to the property caused by the University while in its possession and control, unless such damage or loss is a consequence, directly or indirectly of intentional or negligent acts or omissions of the Lessor or the Lessor's agents.

#### **CLAUSE 11 - PAYMENT**

Unless otherwise provided for in this Lease, lease charges shall be invoiced in arrears and shall be payable thirty (30) days after the end of the period for which the charges accrue or thirty (30) days after the University's receipt of invoice whichever is later.

#### **CLAUSE 12 - TAXES**

The Lessor alone shall pay any license fees, assessments, sales, use and other taxes lawfully imposed during the term hereof upon the equipment, supplies or services furnished pursuant to this Lease.

#### **CLAUSE 13 - PROPERTY TAX EXEMPTION**

The Lessor agrees to cooperate with the University and do all acts reasonably necessary and appropriate to secure and maintain tax exemption of the property leased hereunder pursuant to Article 13, section 3 of the California Constitution. The Lessor agrees to apply the amount of any reduction of tax resulting from such exemption as a credit against rental payments otherwise due by the University to the Lessor hereunder.

#### **CLAUSE 14 - WARRANTY**

The Lessor warrants that said equipment, including accessories, will be in good operating condition when installed and that any subsequent defects in design, materials or workmanship during the term of this Lease will be corrected by the Lessor at its sole expense. In the event of defect in design, material, or workmanship during the term of the Lease, the Lessor will assert any Manufacturer's Warranty in effect between the Lessor and the Manufacturer at the time the defect becomes apparent. The Lessor shall also assign to the University, in accordance with the terms of service and maintenance under this agreement, any and all of the manufacturer's warranties expressed or implied, issued on or applicable to each unit of equipment covered hereunder in the event service and maintenance is not covered under this Lease. In such an event, the Lessor shall authorize the University to obtain all warranties

and services furnished in connection therewith by the manufacturer. The Lessor will inform the University of the terms and conditions of the manufacturer's warranty in effect on the commencement date of this Lease, and shall execute, if applicable, such documents of assignment as the University may reasonably request and will otherwise use its best efforts to make available to the University all of its rights under the manufacturer's warranties on the equipment.

#### **CLAUSE 15 - PROPRIETARY RIGHTS INDEMNITY**

In addition to FAR 52.227-3 Patent Indemnity, the lessor shall indemnify, defend, and hold harmless University, its officers, agents, and employees against all losses, damages, liabilities, costs, and expenses (including but not limited to attorney's fees) resulting from any judgment or proceeding in which it is determined, or any settlement agreement arising out of the allegation, that Lessor's furnishing or supplying University with parts, goods, components, programs, practices, or methods under this order constitutes an infringement of any patent, copyright, trademark, trade name, trade secret, or other proprietary or contractual right of any third party. The foregoing shall not apply unless University has informed Lessor as soon as practicable of the suit or action alleging such infringement. Lessor shall not settle such suit or action without the consent of University. University retains the right to participate in the defense against any such suit or action.

#### **CLAUSE 16 - TRANSPORTATION AND INSTALLATION**

Unless otherwise provided for in this Lease, the Lessor will be responsible for all transportation and handling costs related to the shipment to and from the University of the leased equipment. Should the equipment require specialized installation, the Lessor will provide the required technical assistance at no charge.

#### **CLAUSE 17 - SERVICE AND MAINTENANCE**

In the event this Lease includes service and maintenance of said equipment, the Lessor will provide such service and maintenance required to keep said equipment in good working condition throughout the term of Lease. The service and maintenance will consist of not less than: (1) periodic cleaning, and adjustments in the mechanisms and replacing unserviceable parts, and (2) emergency repair service, including replacement of unserviceable parts. In order to perform maintenance service hereunder the Lessor shall have reasonable access to the leased equipment to the extent practical in consonance with operational requirements. The Lessor agrees that its failure to provide service and maintenance to keep the equipment in good operating condition shall result on a credit of 1/30th of the monthly lease payments for every twenty-four (24) hour period or portion thereof following the first twenty-four (24) hours after notification to the Lessor that the equipment is inoperative.

If this Lease does not include service or maintenance, the University will be responsible for general maintenance and repair and protect said equipment from deterioration, other than normal wear and tear, and will not use the equipment for any purpose other than that for which it was designed during the term of the Lease.

#### **CLAUSE 18 - ALTERATIONS**

The University or its authorized agents may make alterations or install attachments to the equipment and the Lessor shall be so notified. In the event that such changes substantially increase the cost of maintenance, mutually agreeable arrangements for additional maintenance service shall be made on an individual installation basis. Such alterations or attachments which are not the property of the Lessor shall be removed immediately after discontinuation of Lease (unless the University elects to exercise its Purchase Option) and the equipment restored to the prior configuration (ordinary wear and tear only excluded) at the University's expense. The Lessor shall inform the University of any provisions in the manufacturer's warranty which may cause the warranty to be affected by any such alterations or attachments.

#### **CLAUSE 19 - LESSOR'S LIABILITY AND INSURANCE REQUIREMENTS**

*(Applicable to work involving Subcontractor's performance at University or Government-owned sites or facilities.)*

(a) Indemnification. The Lessor shall defend, indemnify, and hold harmless the University, its officers employees, and agents, from and against all losses, expenses (including attorney's fees), damages, and liabilities of any kind resulting from or arising out of this Lease and/or the Lessor's performance hereunder, provided such losses, expenses, damages, and liabilities are due or claimed to be due to the negligent or willful acts or omissions of the Lessor, its officers, employees, agents, subcontractors, or anyone directly or indirectly employed by them, or any person or persons under the Lessor's direction and control.

(b) Insurance. In consideration of the above, the Lessor shall at its expense obtain, keep in force and maintain insurance to cover its performance under this Lease as follows:

1. Comprehensive or Commercial Form General Liability Insurance (Contractual Liability Included)

Minimum Limits:

Each Occurrence: \$1,000,000

Products/Completed Operations: \$1,000,000

If the above insurance is written on a claims-made form, it shall continue for three years following termination of this Lease. The insurance shall have a retroactive date of placement prior to or coinciding with the effective date of this Lease

2. Business Auto Liability: (Owned, Scheduled, Non-Owned, or Hired Automobiles) with a combined single limit of no less than:

\$1,000,000 per occurrence.

3. Workers' Compensation as required under California State law.

The Lessor, upon the acceptance or execution of this Lease, shall furnish the University with Certificates of Insurance evidencing compliance with all requirements. Coverages referred to under B 1. and 2. above shall include The Regents of the University of California as an additional insured, but only with respect to the negligent acts or omissions of the Lessor, its officers, agents, employees, subcontractors or anyone directly or indirectly employed by them, or any other person or persons under its direction and control. The Certificates of Insurance shall obligate the Lessor's insurers to notify the University at least 30 days prior to cancellation of or change in any of said insurance.

### **CLAUSE 20 - LAWS AND REGULATIONS**

The Subcontractor and its employees and subcontractors shall at all times comply with, all applicable state and federal laws, ordinances, statutes, codes, rules, and regulations, including, but not limited to, those relating to wages, hours, employment discrimination, immigration, and safety (including OSHA).

### **CLAUSE 21 - ASSIGNMENTS**

This subcontract may be assigned by the University to the U.S. Government or a successor-in-interest to the University.

Except as to assignment of payment due hereunder, the Subcontractor shall have no right, power or authority to sell, mortgage, transfer or assign this Subcontract, any portion hereof, any interest herein or any claim hereunder, nor allow or permit any other party or parties to have any interest in or use any part of the rights or obligations granted hereunder for any purpose whatsoever without the prior written consent of the University.

### **CLAUSE 22 - DISPUTES**

Except as otherwise provided in the Subcontract, any claim under the Subcontract not resolved in the ordinary course of business shall be referred in writing to the University's Procurement Specialist and the executive management representative of the Subcontractor with the authority to settle the dispute. The representatives of the parties, or their designees, shall then attempt in good faith to resolve the dispute by negotiations. All negotiations shall be confidential and shall be treated as compromise and settlement negotiations, for the purposes of

application of rules of evidence. Pending resolution of the dispute, the Subcontractor shall proceed diligently with the performance of the Subcontract, in accordance with its terms and conditions.

Any unresolved dispute with a value under \$100,000 relating to the Subcontract (whether contract, tort, or both), or the breach of the Subcontract shall be arbitrated by and in accordance with the then existing commercial arbitration rules of the American Arbitration Association (AAA). Judgment on the award rendered by the arbitrator may be entered in any court in Alameda County, CA having jurisdiction.

The following modifications are made to the AAA rules: (1) the arbitrator shall be neutral and appointed by the AAA.; (2) the location for all arbitrations shall be in Alameda County; and (3) each party to the arbitration shall pay its pro rata share of the arbitrator's fees not including counsel fees or witness fees or other expenses incurred by a party for its own benefit.

The parties shall consider the use of a form of alternate disputes resolution (ADR), including non-binding mediation and binding arbitration, for any unresolved dispute with a value of \$100,000 or more. In the event that ADR fails or is not used for such disputes, the parties may thereafter pursue any remedy they may have, at law or in equity, in a court of competent jurisdiction, in accordance with the provision of these General Provisions entitled *GOVERNING LAW AND VENUE*.

### **CLAUSE 23 – WORKER SAFETY AND HEALTH**

*(Applicable when the subcontract involves work to be performed on a University or Government site.)*

The Subcontractor and its lower-tier subcontractors performing work at an LBNL worksite are subject to the DOE *Worker Safety and Health Program* regulation of Title 10, Part 851 of the U.S. Code of Federal Regulations (10 CFR 851), and shall perform the work in compliance with the *LBNL Health and Safety Manual*, available at <http://www.lbl.gov/ehs/pub3000/>, which implements the requirements of 10 CFR 851, and in compliance with their Cal/OSHA mandated Injury and Illness Prevention Plan (IIPP) or equivalent and all other LBNL safety procedures and policies communicated to the Subcontractor. The Subcontractor is responsible for ensuring that its lower tier subcontractors comply with these requirements. Violations of these requirements may subject the Subcontractor and its lower tier subcontractors to civil penalties.

The Subcontractor shall ensure that all workers requiring unescorted/unbadged access to an LBNL site complete the *General Employee Radiation Training* (GERT). The on-line training is available at: <http://ehswprod.lbl.gov/EHSTraining/GERT/default.asp>. A GERT booklet is available at the Site Access office in Building 65B and at [http://www.lbl.gov/ehs/html/training\\_pdf/GERT\\_PDFONLY.pdf](http://www.lbl.gov/ehs/html/training_pdf/GERT_PDFONLY.pdf).

### **CLAUSE 24 – INJURY REPORTING**

*(Applicable to Subcontractors with ten or more employees working at University or Government-owned sites or facilities [herein called LBNL Site] except for work involving construction and contract labor when Subcontractor's employees receive specific task assignments from University employees.)*

(a) Subcontractor shall report all injuries to Subcontractor's employees that qualify for inclusion on Subcontractor's Cal-OSHA log to the University within 10 days of occurrence of the injury. Subcontractor shall furnish a copy of its supplemental injury report form (OSHA form 101 or equivalent) for each such case. This report shall be mailed to the LBNL SAAR Office, Health Services, MS 26-109. In addition, serious injuries resulting in death or hospitalization shall be reported by telephone immediately to the LBNL Health Services Receptionist, (510) 486-6266.

(b) Subcontractor shall report to the University the hours worked by Subcontractor's employees on the LBNL Site on a quarterly basis. For each quarter, the hours worked shall be reported in writing no later than the 10th day of the month following the end of the quarter. This report shall be mailed to the LBNL SAAR Office, Health Services, MS 26-109.

## **CLAUSE 25 - GOVERNING LAW AND VENUE**

The Subcontract shall be interpreted in accordance with the substantive and procedural laws of the State of California. Any action at law or judicial proceeding instituted by either party pertaining to the Subcontract shall be instituted in the State of California in the Superior Court of Alameda County.

## **CLAUSE 26 - ENTIRE AGREEMENT AND ORDER OF PRECEDENCE**

This Subcontract shall consist of the Subcontract document (including any signature page and schedule of articles), these General Provisions, and any other referenced or incorporated clauses, provisions, and documents, which is the entire agreement between the parties concerning the subject matter hereof and supersedes all prior proposals, representations, negotiations, or agreements, whether written or oral.

Any inconsistencies in the terms and conditions comprising the Subcontract shall be resolved by giving precedence in the following order: (a) the Subcontract document; (b) these General Provisions, including the FAR and DEAR clauses listed in the clause entitled *Clauses Incorporated by Reference*; (c) any specifications; (d) other documents listed in the Subcontract Article entitled *Incorporated Documents*, if any, in the order in which they are listed; and (e) any other referenced or incorporated clauses, provisions, and documents.

## **CLAUSE 27 - CLAUSES INCORPORATED BY REFERENCE**

The FAR and DEAR clauses listed below, which are located in Chapters 1 and 9, respectively, of Title 48 of the Code of Federal Regulations, are incorporated by this reference as a part of the University's Purchase Order or Subcontract (hereinafter "Subcontract") as prescribed below. As used in the clauses, the term "contract" shall mean the Subcontract; the term "Contractor" shall mean the entity (hereinafter "Subcontractor") who entered into the Subcontract with the University; the term "subcontractor" shall mean the Subcontractor's subcontractor; and the terms "Government" and "Contracting Officer" shall mean the University, except in FAR clauses 52.227-1, 52.227-2, 52.227-3, 52.227-14, and 52.227-19, and DEAR clause 970.5232-3, in which clauses "Government" shall mean the U. S. Government and "Contracting Officer" shall mean the DOE Contracting Officer for Prime Contract DE-AC02-05CH11231 with the University. As used in DEAR clauses 952.227-9 and 970.5232-3, the term "DOE" shall mean DOE and the University. The Subcontractor shall include the listed clauses in its subcontracts at any tier, to the extent applicable.

### **THE FOLLOWING CLAUSES APPLY TO ANY LEASE:**

FAR 52.211-17	DELIVERY OF EXCESS QUANTITIES (SEP 1989), if the Subcontract is for supplies.
FAR 52.222-26	EQUAL OPPORTUNITY (MAR 2007) Note: Download the EEO Poster at: <a href="http://www.dol.gov/esa/ofccp/regs/compliance/po-sters/ofccpost.htm">http://www.dol.gov/esa/ofccp/regs/compliance/po-sters/ofccpost.htm</a>
FAR 52.222-50	COMBATING TRAFFICKING IN PERSONS (APR 2006)
FAR 52.225-1	BUY AMERICAN ACT - SUPPLIES (JUN 2003)
FAR 52.225-13	RESTRICTIONS ON CERTAIN FOREIGN PURCHASES (JUN 2008)
DEAR 952.204-71	SENSITIVE FOREIGN NATIONS CONTROLS (APR 1994) (See list at: <a href="http://www.lbl.gov/ufva">www.lbl.gov/ufva</a> )
FAR 52.223-3	HAZARDOUS MATERIAL IDENTIFICATION AND MATERIAL SAFETY DATA (JAN 1997), with Alternate I (JUL 1995). Applies if the Subcontract involves the delivery or on-site use of any hazardous materials.

FAR 52.227-14

RIGHTS IN DATA-GENERAL (DEC 2007), with Alternate V, and DEAR 927.409 paragraphs (a) and (d)(3).

Applies if any "data" will be produced, furnished, or acquired under the Subcontract.

If delivery of Restricted Computer Software is required, then Alternate III shall apply.

If delivery of Limited Rights Data is required, then Alternate II shall apply, with the following five purposes added at the end of paragraph (a) of the clause:

1. Use (except for manufacture) by other contractors;
2. Evaluation by non-government evaluators;
3. Use (except for manufacture) by other contractors participating in the Government's program of which the specific subcontracts is a part, for information and use in connection with the work performed under each subcontracts;
4. Emergency repair or overhaul work; and
5. Release to a foreign government, or instrumentality thereof, as the interests of the United States Government may require, for information or evaluation, or for emergency repair or overhaul work by such government

FAR 52.227-19

COMMERCIAL COMPUTER SOFTWARE LICENSE (DEC 2007). Applies if the Subcontract involves the lease of commercially available computer software.

FAR 52.232-11

EXTRAS (APR 1984)

FAR 52.242-15

STOP-WORK ORDER (AUG 1989)

FAR 52.243-1

CHANGES – FIXED PRICE (AUG 1987) Use Alternate I (APR 1984) for services when no supplies are to be furnished. Use Alternate II (APR 1984) for services when supplies are to be furnished.

FAR 52.244-2

SUBCONTRACTS (JUN 2007), with Alternate I (JUN 2007). Paragraph (e) insert regarding consent is: "Any subcontract or purchase order exceeding \$100,000 for supplies or services that are not a "commercial item" as defined in FAR 2.101 or for any work at an LBNL-controlled site."

FAR 52.244-6

SUBCONTRACTS FOR COMMERCIAL ITEMS (MAR 2007)

FAR 52.247-64

PREFERENCE FOR PRIVATELY OWNED U.S.-FLAG COMMERCIAL VESSELS (FEB 2006), if the Subcontract involves ocean transportation of supplies other than "commercial items."

FAR 52.249-1

TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED PRICE) (SHORT FORM) (APR 1984), if for supplies.

FAR 52.249-4

TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (SERVICES) (SHORT FORM) (APR 1984), if for services.

DEAR 952.227-9

REFUND OF ROYALTIES (FEB 1995), if "royalties" are paid under the Subcontract by the Subcontractor, or by a subcontractor at any tier.

DEAR 952-203-70

WHISTLEBLOWER PROTECTION FOR CONTRACTOR EMPLOYEES (DEC 2000), if the Subcontract involves any work at a DOE-owned or leased facility.

THE FOLLOWING CLAUSES APPLY IF THE SUBCONTRACT IS FOR \$10,000 OR MORE:

- FAR 52.222-21 PROHIBITION OF SEGREGATED FACILITIES (FEB 1999)
- FAR 52.222-36 AFFIRMATIVE ACTION FOR WORKERS WITH DISABILITIES (JUN 1998)

THE FOLLOWING CLAUSES APPLY IF THE SUBCONTRACT IS FOR \$25,000 OR MORE:

- DEAR 970.5223-4 WORKPLACE SUBSTANCE ABUSE PROGRAMS AT DOE SITES (DEC 2000), if the Subcontract involves any of the hazardous activities stipulated in 10 CFR 707.2.

THE FOLLOWING CLAUSES APPLY IF THE SUBCONTRACT EXCEEDS \$100,000:

- FAR 52.203-6 RESTRICTIONS ON SUBCONTRACTOR SALES TO THE GOVERNMENT (SEP 2006) Use Alternate I (OCT 1995) if the Subcontract is for "commercial items".
- FAR 52.203-7 ANTI-KICKBACK PROCEDURES (JUL 1995), unless the Subcontract is for "commercial items", excluding paragraph (c)(1)
- FAR 52.203-10 PRICE OR FEE ADJUSTMENT FOR ILLEGAL OR IMPROPER ACTIVITY (JAN 1997)
- FAR 52.203-12 LIMITATION ON PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (SEP 2007)
- FAR 52.219-8 UTILIZATION OF SMALL BUSINESS CONCERNS (MAY 2004)
- FAR 52.222-4 CONTRACT WORK HOURS AND SAFETY STANDARDS ACT – OVERTIME COMPENSATION (JUL 2005), if the Subcontract involves mechanics or laborers and is for other than "commercial items."
- FAR 52.222-35 EQUAL OPPORTUNITY FOR SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER ELIGIBLE VETERANS (SEP 2006), if the Subcontract value is \$100,000 or greater.
- FAR 52.222-37 EMPLOYMENT REPORTS ON SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER ELIGIBLE VETERANS (SEP 2006), if the Subcontract value is \$100,000 or greater.
- FAR 52.222-39 NOTIFICATION OF EMPLOYEE RIGHTS CONCERNING PAYMENT OF UNION DUES OR FEES (DEC 2004)  
Note: Download the "Beck" Poster at:  
<http://www.dol.gov/esa/olms/regs/compliance/posterpg.htm>
- FAR 52.223-14 TOXIC CHEMICAL RELEASE REPORTING (AUG 2003)
- FAR 52.227-1 AUTHORIZATION AND CONSENT (DEC 2007)
- FAR 52.227-2 NOTICE AND ASSISTANCE REGARDING PATENT AND COPYRIGHT INFRINGEMENT (DEC 2007)
- FAR 52.227-3 PATENT INDEMNITY (APR 1984), if commercial supplies are furnished under the Subcontract.
- FAR 52.229-3 FEDERAL, STATE, AND LOCAL TAXES (APR 2003)

- FAR 52.247-63 PREFERENCE FOR U.S.-FLAG AIR CARRIERS (JUN 2003), if the Subcontract involves international air transportation.
- FAR 52.249-2 TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED PRICE) (MAY 2004), in place of Clauses 52.249-1 & 52.249-4
- FAR 52.249-8 DEFAULT (FIXED-PRICE SUPPLY AND SERVICE) (APR 1984)
- DEAR 970.5232-3 ACCOUNTS, RECORDS, AND INSPECTION (DEC 2000)

THE FOLLOWING CLAUSES APPLY IF THE SUBCONTRACT EXCEEDS \$500,000:

- FAR 52.219-9 SMALL BUSINESS SUBCONTRACTING PLAN (NOV 2007), if the Subcontract value exceeds \$550,000, unless the Subcontractor is a small business or there are no subcontracting possibilities.
- DEAR 952.226-74 DISPLACED EMPLOYEE HIRING PREFERENCE (JUN 1997)
- DEAR 970.5226-2 WORKFORCE RESTRUCTURING UNDER SECTION 3161 OF THE NATIONAL DEFENSE AUTHORIZATION ACT FOR FISCAL YEAR 1993 (DEC 2000)

THE FOLLOWING CLAUSES APPLY IF THE SUBCONTRACT EXCEEDS \$650,000:

- FAR 52.215-10 PRICE REDUCTION FOR DEFECTIVE COST OR PRICING DATA (OCT 1997), if cost or pricing data is required.
- FAR 52.215-12 SUBCONTRACTOR COST OR PRICING DATA (OCT 1997), if cost or pricing data is required.

**END OF GENERAL PROVISIONS**