

# FIPS 140-1 Validation Certificate



The National Institute of Standards  
and Technology of the United States  
of America



Certificate No. 281



The Communications Security  
Establishment of the Government  
of Canada

The National Institute of Standards and Technology, as the United States FIPS 140-1 Cryptographic Module Validation Authority; and the Communications Security Establishment, as the Canadian FIPS 140-1 Cryptographic Module Validation Authority; hereby validate the FIPS 140-1 testing results of the Cryptographic Module identified as:

**SmartGate® by V-One Corporation**  
(When operated in FIPS mode)

In accordance with the Derived Test Requirements for FIPS 140-1, *Security Requirements for Cryptographic Modules*. FIPS 140-1 specifies the security requirements that are to be satisfied by a cryptographic module utilized within a security system protecting *Sensitive But Unclassified Information* (United States) or *Designated Information* (Canada) within computer and communications systems (including voice systems).

Products which use the above identified cryptographic module may be labeled as complying with the requirements of FIPS 140-1 so long as the product, throughout its life cycle, continues to use the validated version of the cryptographic module as specified in this certificate. The validation report contains additional details concerning test results. No reliability test has been performed and no warranty of the products by both agencies is either expressed or implied.

This certificate includes details on the scope of conformance and validation authority signatures on the reverse.

FIPS 140-1 provides four increasing, qualitative levels of security: Level 1, Level 2, Level 3, and Level 4. These levels are intended to cover the wide range and potential applications and environments in which cryptographic modules may be employed. The security requirements cover eleven areas related to the secure design and implementation of a cryptographic module. The scope of conformance achieved by the cryptographic modules as tested in the product identified as:

**SmartGate® by V-One Corporation**  
**(Version 4.3; Software)**

and tested by the Cryptographic Module Testing accredited laboratory: COACT Inc. CAFE Laboratory NVLAP LAB CODE 200416-0  
is as follows:

|                                               |         |                             |         |
|-----------------------------------------------|---------|-----------------------------|---------|
| Cryptographic Module Design:                  | Level 1 | Module Interfaces:          | Level 1 |
| Roles and Services:                           | Level 2 | Finite State Machine Model: | Level 1 |
| Physical Security:<br>(Multi-Chip Standalone) | Level 1 | Software Security:          | Level 3 |
| EMI / EMC:                                    | Level 1 | Self Tests:                 | Level 1 |
| Key Management:                               | Level 1 |                             |         |

Operating System Security Level **1** is met when used in the following configuration(s): Red Hat Pro Linux 7.2 and Sun Solaris 8 (Single User Mode)

The following FIPS approved Cryptographic Algorithms are used: Triple-DES (Cert. #46); SHA-1 (Cert. #10); DES (Cert. #159); RSA (PKCS#1, vendor affirmed); DES MAC (Cert. #159)

The Cryptographic module also contains the following non-FIPS approved algorithms: MD5; RC4; Diffie-Hellman (key agreement)

End user queries concerning the non-FIPS approved algorithms may be directed to their respective Cryptographic Module Validation Authority.

**Overall Level Achieved: 1**

Signed on behalf of the Government of the United States

Signature: [Handwritten Signature]

Dated: 2 Jan 2003

Chief, Computer Security Division  
National Institute of Standards and Technology

Signed on behalf of the Government of Canada

Signature: [Handwritten Signature]

Dated: 19 Dec 2002

Director, Information Protection Group  
The Communications Security Establishment