



*Bridging the Gap Between the Laboratory  
and the Marketplace*

## *Supporting Technologies for Semiconductor Lithography*

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## *ATP is part of NIST*

**NIST Mission:**  
Strengthen the U.S. economy and improve the quality of life by working with industry to develop and apply technology, measurements, and standards.



- 3300 employees
- \$760 million budget
- 1200 industrial partners
- 2000 field agents
- 1550 guest researchers
- \$1.4 billion co-funding of industry R&D
- national measurement standards

*Helping America  
Measure Up*

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**ATP** ADVANCED TECHNOLOGY PROGRAM *To Tackle the R&D Challenges of the 21st Century*

**ATP Mission . . .**

Accelerate the Development of Innovative Technologies

for Broad National Benefit

**ATP**

through Partnerships with the Private Sector

*But, ATP does NOT...*

- Supplant private capital
- Fund basic research
- Fund product development

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**ATP** ADVANCED TECHNOLOGY PROGRAM *Our Offices*

- **Chemistry and Life Sciences**
  - Linda Schilling (301) 975-2887
    - linda.schilling@nist.gov
- **Electronics and Photonics**
  - Philip Perconti (301) 975-4263
    - philip.perconti@nist.gov
- **Information Technology and Applications**
  - Harris Liebergot (301) 975-5196
    - harris.liebergot@nist.gov
- **Economic Assessment**
  - Rosalie Ruegg (301) 975-6135
    - rosalie.ruegg@nist.gov

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## *Electronics & Photonics Technology Office (EPTO)*

***Working with American companies to fill the gap  
between the laboratory and the marketplace through  
early stage investment in new ideas and new  
technologies in electronics and photonics***

- ***Focused on supporting projects in:***
  - **Microelectronics**
  - **Optoelectronics & Optics technology**
  - **Power technologies**
  - **RF electronics**
  - **Organic electronics**
  - **Manufacturing**



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## *EPTO Project Relationships with Industry*

- ***Development***
  - Support industry efforts to define high-risk, innovative projects
  - Explore highest priority technical opportunities and barriers with American industry
  - Enable greater understanding of ATP criteria & objectives through education and outreach
- ***Selection***
  - Main group responsible for evaluating and recommending electronics & photonics proposals that best meet ATP criteria
- ***Management***
  - Collaborate with companies to ensure project success
  - Government's technical & business representatives
    - monitor project technical and business progress against agreed milestones and expenditures



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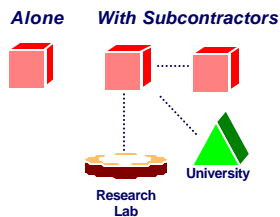
## EPTO Staff

- Optoelectronics & Optics Technology
  - Phil Perconti, Carlos Grinson, Tom Lettieri
- Power Technologies
  - Gerald Caesar, Frank Power
- Microelectronics
  - Purabi Mazumdar, John Albers, Michael Schen
- RF Electronics
  - Elissa Sobolewski, Barbara Bird
- Organic Electronics
  - Michael Schen, Barbara Bird
- Manufacturing
  - Gerald Caesar, Purabi Mazumdar, Phil Perconti, Frank Power, Michael Schen



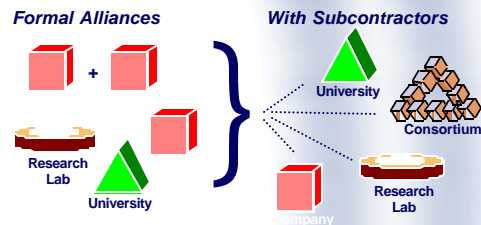
## ATP Eligibility

### SINGLE COMPANIES



- For-profit company
- 3-year time limit
- \$2M award cap
- Company pays indirect costs
- Large companies cost share >60% of project cost

### JOINT VENTURES



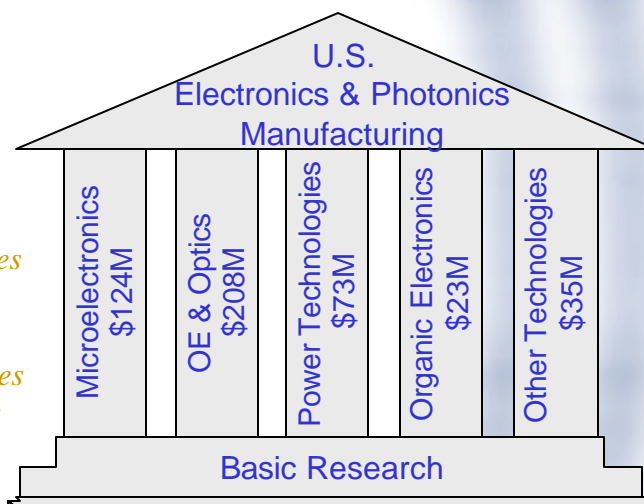
- At least 2 for-profit companies
- 5-year time limit
- No limit on award amount
- Industry share >50% total cost

- Intellectual property is owned by the for-profit companies
- ATP encourages teaming arrangements - most projects involve alliances



## EPTO Current Funding

*EPTO:  
Collaborating with  
American companies  
to strengthen our  
Electronics &  
Photonics industries  
for national benefit*



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## FY 2000 Competition

- Budget by Congress still pending
  - competition announcement anticipated soon
- Continuous pre-proposal evaluation
- Technology specific source evaluation boards
  - **Electronics**
  - Biotechnology
  - Information Technology
  - Chemistry and Materials
  - Manufacturing

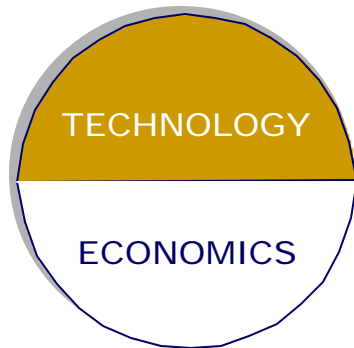


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## ATP Criteria

### Critical Elements of a Proposal ...



- **Scientific and Technological Merit (50%)**
  - ✓ Innovations in the Technology
  - ✓ High Technical Risk & Feasibility
  - ✓ Quality of R&D Plan
- **Broad-Based Economic Benefits (50%)**
  - ✓ Economic Benefits
  - ✓ Need for ATP Funding
  - ✓ Pathway to Economic Benefits



## What's Next?

### **Industry Leadership is Essential!**

- Use every opportunity to discuss, plan, and distill your ideas
- When doubt or questions arise, call EPTO
- Send us your pre-proposals for evaluation and feedback
- Begin your proposal planning NOW!



## *Getting Started*

### *Proposal Development the ATP Way ...*

- Identify an opportunity
- Identify technical barriers to realizing the opportunity
- Relate technical barriers to specific R&D objectives
- Plan research to eliminate barriers
  - ✓ Innovative
  - ✓ Feasible
  - ✓ Coherent
  - ✓ Integrated
- Present details of R&D plan
- Develop commercialization strategy
  - ✓ Target Applications
  - ✓ Plan for broader diffusion



## *Pre-Proposals*

### *Year-round submission ...*

- Written feedback in approximately 2 weeks
- Pre-proposals can be submitted twice
- 4 pages plus cover
- 5 questions on technical and economic merit