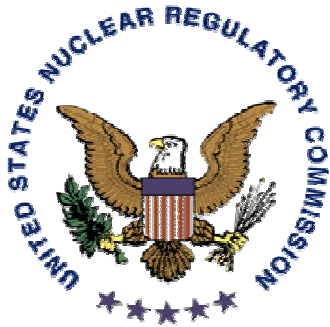




Procedural Enhancements

Bob Lerch
Region III

Safety Culture Public Meeting
December 15, 2005



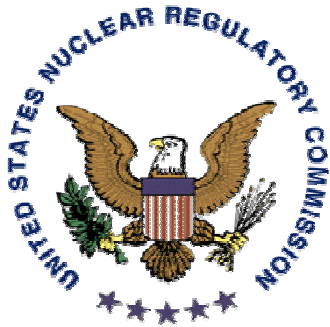
Procedural Enhancements

- Purpose: Reach a common understanding and alignment on the nature of procedural changes
- What: Revise several baseline, event response, and supplemental procedures to more accurately address safety culture



What we do now in 71152

- Types of inspections
 - Routine review: Review of each item entered into the CAP
 - Quarterly samples: Follow-up on a sample of 3-6 issues
 - Semi-annual review: Look for trends including outside the CAP
 - Biennial: Overall review of CAP and a sample of SCWE
- Documentation
 - Findings: Performance deficiencies that are more than minor
 - Assessments: Conclusions based on inspection results



Proposed Changes to 71152

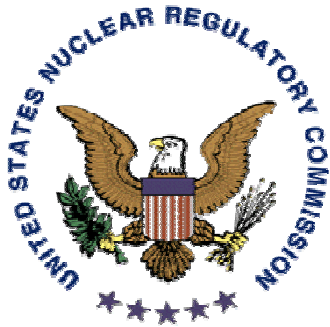
- Routine review: No change
- Quarterly samples: No change
- Semi-annual trending: No change
- Biennial: Enhance to align with cross-cutting areas of PI&R and SCWE
 - For example:
 - Modify sample requirements for review of self and independent assessments, including safety culture assessments
 - Include any alternate process for raising concerns as part of the inspection sample



Procedural Enhancements

Event Response Procedures

- 71153, “Event Followup”
- 93812, “Special Inspection”
- 93800, “Augmented Inspection Team”
 - Enhance inspection guidance to consider causal factors related to safety culture



Procedural Enhancements

Supplemental Procedures

- 95001, “Inspection for One or Two White Inputs ...”
- 95002, “Inspection for One Degraded Cornerstone ...”
- 95003, “Supplemental Inspection for Repetitive Degraded Cornerstone ...”
 - Enhance guidance to verify that the licensee adequately identified through self or independent assessment whether safety culture components were factors in performance problems, and took appropriate corrective actions



Summary

- Procedure changes are planned
- Proposed enhancements will more accurately address components of safety culture