

## SUMMARY OF AGENCY IMPLEMENTATION PROCEDURES FOR PHASE IV OF THE FEDERAL DEMONSTRATION PARTNERSHIP

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### ***Air Force Office of Scientific Research (AFOSR)***

#### ***Continuing Institutional Members from Phase III:***

*FDP Phase IV Terms and Conditions on all new awards after 1 October 2002. On existing awards, when additional effort is added.*

#### ***New Institutional Members in Phase IV:***

*FDP Phase IV Terms and Conditions on new awards after 1 October 2002. On existing awards, when additional effort is added.*

#### ***Emerging Research Institutions in Phase IV:***

*FDP Phase IV Terms and Conditions on new awards after 1 October 2002. (No existing awards)*

#### ***Outgoing Institutions from Phase III:***

*Existing awards, leave unchanged.*



### ***Army Medical Research and Materiel Command (AMRMC)***

#### ***Continuing Institutional Members from Phase III:***

*New awards and renewals made after 9/30/2002.*

#### ***New Institutional Members in Phase IV:***

*New awards and renewals made after 9/30/2002."*

#### ***Emerging Research Institutions in Phase IV:***

*New awards and renewals made after 9/30/2002."*

#### ***Outgoing Institutions from Phase III:***

*No new awards will be made after 9/30/2002. Modifications may be made under Phase III awards until expiration of the agreement.*



## **Army Research Office (ARO)**

### ***Continuing Institutional Members from Phase III:***

*Existing grants will not be modified. New grants awarded after 1 October, 2002 will contain new Terms and Conditions*

### ***New Institutional Members in Phase IV:***

*New grants awarded after 1 October 2002 will contain new Terms and Conditions*

### ***Emerging Research Institutions in Phase IV:***

*New grants awarded after 1 October 2002 will contain new Terms and Conditions*

### ***Outgoing Institutions from Phase III:***

*Existing grants will not be modified.*



**Cooperative State Research,  
Education, and Extension Service**  
Research, Education, and Economics

**Department of Agriculture - Cooperative State  
Research, Education, and Extension Service  
(USDA/CSREES)**

### ***Continuing Institutional Members from Phase III:***

*Existing research, education, and extension grants will continue to utilize the terms and conditions as stated in the grant until the grant expires unless the grant receives a funding increment following September 30, 2002, in which case the FDP General Terms and Conditions and agency-specific terms dated October 2002 will apply to such awards. In addition, following September 30, 2002, new CSREES research, education, and extension grants will utilize the October 2002 FDP General Terms and Conditions, including the new agency-specific terms and conditions dated October 2002. Note: The FDP Terms and Conditions will not apply to Buildings and Facilities grants and cooperative agreements.*

### ***New Institutional Members in Phase IV:***

*Existing research, education, and extension grants will continue to utilize the terms and conditions as stated in the grant until the grant expires unless the grant receives a funding increment following September 30, 2002, in which case the FDP General Terms and Conditions and agency-specific terms dated October 2002 will apply to such awards. In addition, following September 30, 2002, new CSREES research, education, and extension grants will utilize the October 2002 FDP General Terms and Conditions, including the new agency-specific terms and conditions dated October 2002. Note: The FDP Terms and Conditions will not apply to Buildings and Facilities grants and cooperative agreements.*

### ***Emerging Research Institutions in Phase IV:***

*Existing research, education, and extension grants will continue to utilize the terms and conditions as stated in the grant until the grant expires unless the grant receives a funding increment following September 30, 2002, in which case the FDP General Terms and Conditions and agency-specific terms dated October 2002 will apply to such awards. In addition, following September 30, 2002, new CSREES research, education, and extension grants will utilize the October 2002 FDP General Terms and Conditions, including the new agency-specific terms and conditions dated October 2002. Note: The FDP Terms and Conditions will not apply to Buildings and Facilities grants and cooperative agreements.*

### ***Outgoing Institutions from Phase III:***

*Existing research grants will continue to utilize the FDP terms and conditions as stated in the grant until the grant expires unless the grant receives a funding increment following September 30, 2002, in which case the CSREES General Terms and Conditions-A will be applied to the grant (see <http://www.reeusda.gov/crgam/oea/awardterms.htm>). In addition, following September 30, 2002, new CSREES research grants will utilize the CSREES General Terms and Conditions-A.*



### ***Department of Energy (DOE)***

### ***Continuing Institutional Members from Phase III:***

*New and renewal awards - FDP Phase IV Terms and Conditions. Current awards - current FDP III Terms and Conditions will remain in effect.*

### ***New Institutional Members in Phase IV:***

*New and renewal awards - FDP Phase IV Terms and Conditions. Current awards - current DOE Terms and Conditions will remain in effect.*

### ***Emerging Research Institutions in Phase IV:***

*New and renewal awards - FDP Phase IV Terms and Conditions. Current awards - current DOE Terms and Conditions will remain in effect.*

### ***Outgoing Institutions from Phase III:***

*New and renewal awards - DOE Terms and Conditions. Current awards - current FDP III Terms and Conditions will remain in effect.*



## **Environmental Protection Agency (EPA)**

### ***Continuing Institutional Members from Phase III:***

*Phase IV of FDP applies only to new awards made on or after October 1, 2002. Awards issued prior to October 1, 2002, will be administered in accordance with the requirements when the award was original made.*

### ***New Institutional Members in Phase IV:***

*Phase IV of FDP applies only to new awards made on or after October 1, 2002. Awards issued prior to October 1, 2002, will be administered in accordance with the requirements when the award was original made.*

### ***Emerging Research Institutions in Phase IV:***

*Phase IV of FDP applies only to new awards made on or after October 1, 2002. Awards issued prior to October 1, 2002, will be administered in accordance with the requirements when the award was original made.*

### ***Outgoing Institutions from Phase III:***

*Awards issued under Phase III will continue to be administered under FDP Phase III requirements until the project ends. New awards issued on or after October 1, 2002, will be subject to the Agency's regulatory requirements.*



## **National Aeronautics & Space Administration (NASA)**

### ***Continuing Institutional Members from Phase III:***

*The updated (October 2002) NASA specific requirements will be used for new and renewal awards to continuing FDP IV members, starting October 1, 2002.*

### ***New Institutional Members in Phase IV:***

*NASA will implement FDP-type awards to new FDP members starting October 1, 2002, using the updated (October 2002) NASA specific requirements.*

### ***Emerging Research Institutions in Phase IV:***

*NASA will implement FDP-type awards to FDP members categorized as Emerging Research Institutions starting October 1, 2002, using the updated (October 2002) NASA specific requirements.*

### ***Outgoing Institutions from Phase III:***

*As a general rule, NASA does not anticipate revising its existing grants with outgoing institutions to remove the FDP set of provisions from those grants. However, such revisions may be determined appropriate on a case-by-case basis. For instance, the revisions may be made in conjunction with incremental funding actions. New awards to outgoing institutions will incorporate individual provisions from the NASA Grant & Cooperative Agreement Handbook -- instead of referring to NASA's FDP provision set.*



### ***National Institutes of Health (NIH)***

#### ***Continuing Institutional Members from Phase III:***

*All awards to continuing participating institutions will continue to reflect a footnote indicating FDP Terms. Since Phase IV begins with FY2003 awards, the footnote on FY2003 awards will reflect FDP Phase IV. Prior year revisions will still show FDP Phase III.*

#### ***New Institutional Members in Phase IV:***

*Awards will include a footnote indicating FDP Phase IV. Prior year revisions to these institutions would not reflect any reference to FDP.*

#### ***Emerging Research Institutions in Phase IV:***

*It is our understanding that Emerging Research Institutions benefit fully from FDP Terms. Therefore, all FY2003 awards to these institutions will include the FDP Phase IV footnote.*

### ***Outgoing Institutions from Phase III:***

*For outgoing institutions, the FDP Terms will continue to apply to all existing awards until that award expires. For instance, an award issued in FY2002 will continue to reference FDP Phase III Terms even on any revisions. However if a FY2003 award is issued for the same grant, it will not show any reference to FDP Terms.*



### ***National Science Foundation (NSF)***

#### ***Continuing Institutional Members from Phase III:***

*All new grants and funding increments on existing grants will incorporate by reference the FDP Phase IV Terms and Conditions dated October 1, 2002 and the NSF Agency Specific Requirements dated October 1, 2002.*

#### ***New Institutional Members in Phase IV:***

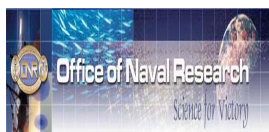
*All new grants and funding increments on existing grants will incorporate by reference the FDP Phase IV Terms and Conditions dated October 1, 2002 and the NSF Agency Specific Requirements dated October 1, 2002.*

#### ***Emerging Research Institutions in Phase IV:***

*All new grants and funding increments on existing grants will incorporate by reference the FDP Phase IV Terms and Conditions dated October 1, 2002 and the NSF Agency Specific Requirements dated October 1, 2002.*

#### ***Outgoing Institutions from Phase III:***

*All new grants and funding increments on existing grants to outgoing FDP institutions will incorporate by reference the NSF Grant General Conditions (GC-1) dated July 1, 2002. The latest version of the GC-1 is available on the NSF website at: [http://www.nsf.gov/home/grants/grants\\_gac.htm](http://www.nsf.gov/home/grants/grants_gac.htm)*



#### ***Office of Naval Research (ONR)***

*(New and renewal awards made after 9/30/02 (not for "optional" years of awards made prior to 10/1/02)*

#### ***Continuing Institutional Members from Phase III:***

*The Office of Naval Research (ONR) Federal Demonstration Partnership (FDP) Phase IV Agency Specific Requirements of October 2002 are applicable to all new and renewal awards effective on or after 1 October 2002.*

#### ***New Institutional Members in Phase IV:***

*The Office of Naval Research (ONR) Federal Demonstration Partnership (FDP) Phase IV Agency Specific Requirements of October 2002 are applicable to all new and renewal awards effective on or after 1 October 2002.*

#### ***Emerging Research Institutions in Phase IV:***

*The Office of Naval Research (ONR) Federal Demonstration Partnership (FDP) Phase IV Agency Specific Requirements of October 2002 are applicable to all new and renewal awards effective on or after 1 October 2002.*

#### ***Outgoing Institutions from Phase III:***

*Outgoing Institutions from Phase III will continue to utilize the current FDP terms and conditions as specified in the grant until expiration or until revised by issuance of a renewal award. The Office of Naval Research (ONR) Domestic Research Terms and Conditions are applicable to all new and renewal awards effective on or after 1 October 2002.*