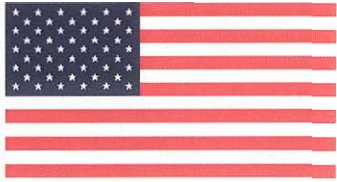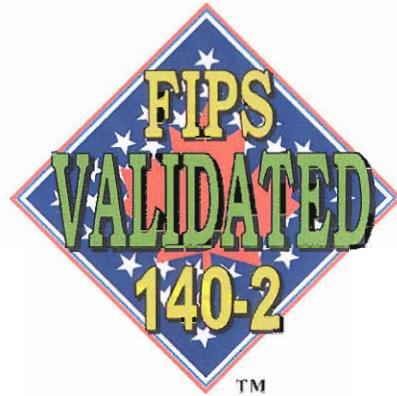# FIPS 140-2 Validation Certificate

The National Institute of Standards
and Technology of the United States
of America

The Communications Security
Establishment of the Government
of Canada

## Certificate No. 857

The National Institute of Standards and Technology, as the United States FIPS 140-2 Cryptographic Module Validation Authority; and the Communications Security Establishment, as the Canadian FIPS 140-2 Cryptographic Module Validation Authority; hereby validate the FIPS 140-2 testing results of the Cryptographic Module identified as:

## Tumbleweed Security Kernel *by* Tumbleweed Communications Corp.

in accordance with the Derived Test Requirements for FIPS 140-2, Security Requirements for Cryptographic Modules. FIPS 140-2 specifies the security requirements that are to be satisfied by a cryptographic module utilized within a security system protecting *Sensitive Information* (United States) or *Protected Information* (Canada) within computer and telecommunications systems (including voice systems).

Products which use the above identified cryptographic module may be labeled as complying with the requirements of FIPS 140-2 so long as the product, throughout its life cycle, continues to use the validated version of the cryptographic module as specified in this certificate. The validation report contains additional details concerning test results. No reliability test has been performed and no warranty of the products by both agencies is either expressed or implied.

This certificate includes details on the scope of conformance and validation authority signatures on the reverse.

FIPS 140-2 provides four increasing, qualitative levels of security: Level 1, Level 2, Level 3, and Level 4. These levels are intended to cover the wide range and potential applications and environments in which cryptographic modules may be employed. The security requirements cover eleven areas related to the secure design and implementation of a cryptographic module. The scope of conformance achieved by the cryptographic modules as tested in the product identified as:

**Tumbleweed Security Kernel *by* Tumbleweed Communications Corp.**
***(Software Version: 2.0; Software)***

and tested by the Cryptographic Module Testing accredited laboratory: is as follows:

***InfoGard Laboratories, Inc., NVLAP Lab Code 100432-0***
***CRYPTIK Version 6.0***

| | | | |
|---|---|---|---|
| *Cryptographic Module Specification:* | Level 1 | *Cryptographic Module Ports and Interfaces:* | Level 1 |
| *Roles, Services, and Authentication:* | Level 1 | *Finite State Model:* | Level 1 |
| *Physical Security:* (Multi-Chip Standalone) | Level N/A | *Cryptographic Key Management:* | Level 1 |
| *EMI/EMC:* | Level 1 | *Self-Tests:* | Level 1 |
| *Design Assurance:* | Level 1 | *Mitigation of Other Attacks:* | Level N/A |
| *Operational Environment:* | Level 1 | | |

*tested in the following configuration(s):* Windows 2003 Server SP2; SuSE Linux 9 Enterprise Server SP3; Windows XP SP2; SunOS 5.10; IBM AIX 5.2.0.0 (single-user mode)

The following FIPS approved Cryptographic Algorithms are used: **AES (Certs. #524 and #543); Triple-DES (Certs. #531 and #540); RSA (Certs. #237 and #244); ECDSA (Certs. #54 and #56); SHS (Certs. #597 and #608); RNG (Certs. #300 and #311); HMAC (Certs. #275 and #285)**

The cryptographic module also contains the following non-FIPS approved algorithms: **Diffie-Hellman (key agreement; key establishment methodology provides 80 or 112 bits of encryption strength); RSA (key wrapping; key establishment methodology provides 80 or 112 bits of encryption strength)**

*Overall Level Achieved: 1*

Signed on behalf of the Government of the United States

Signature: _William C Barker_

Dated: _26 October 2007_

Chief, Computer Security Division
National Institute of Standards and Technology

Signed on behalf of the Government of Canada

Signature: _Jho Causineau_

Dated: _24 October 2007_

A/Director, Industry Program Group
Communications Security Establishment