

Futures Industry Registrants by Location as of September 30, 2007

Location	Floor Brokers	Floor Traders	Associated Persons*	FCMs**	Guaranteed IBs**	Non-Guar. IBs**	CTAs**	CPOs**	Principals*	Branches
Alabama	3	0	343	0	4	0	7	7	23	63
Alaska	1	0	41	0	1	0	0	1	2	9
Arizona	8	6	575	0	15	4	24	5	58	100
Arkansas	5	3	313	1	14	0	6	0	39	48
California	29	11	6,038	15	128	69	276	119	924	660
Colorado	4	1	859	0	26	7	51	22	134	153
Connecticut	98	13	1,423	6	5	9	101	93	367	125
Delaware	1	0	106	0	0	0	4	3	5	12
DC	0	0	123	0	0	3	7	6	21	6
Florida	60	7	3,500	15	87	74	147	73	491	498
Georgia	6	7	1,066	0	18	6	36	14	111	143
Hawaii	1	0	156	0	1	1	13	7	15	25
Idaho	1	0	129	0	8	0	3	2	17	32
Illinois	4,790	827	4,887	47	150	103	377	165	1,210	462
Indiana	80	15	602	0	30	2	21	12	88	113
Iowa	8	2	587	2	76	10	33	11	213	150
Kansas	74	5	470	0	35	4	15	1	117	91
Kentucky	1	1	255	0	3	2	6	3	17	49
Louisiana	0	1	360	0	5	1	6	2	19	76
Maine	1	0	96	0	1	0	4	2	8	22
Maryland	1	0	872	0	4	3	27	14	100	120
Massachusetts	7	2	1,144	2	5	6	69	41	213	119
Michigan	13	6	994	3	10	2	28	8	75	172
Minnesota	117	19	872	3	45	9	38	23	177	174
Mississippi	0	0	138	0	0	1	1	0	8	36
Missouri	60	9	735	2	40	9	23	10	138	106
Montana	5	0	82	0	7	0	3	2	9	19
Nebraska	0	0	460	1	60	5	16	7	131	127
Nevada	15	1	268	0	9	5	24	10	44	47
New Hampshire	3	1	109	0	2	2	6	3	15	27
New Jersey	841	146	3,778	7	12	22	146	74	575	260
New Mexico	2	1	135	0	5	1	7	5	15	26
New York	1,525	297	7,946	72	49	125	416	379	1,340	334

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North Carolina	2	1	823	2	14	3	31	18	86	180
North Dakota	0	1	103	0	14	0	2	1	24	36
Ohio	4	2	1,094	1	19	4	27	8	88	215
Oklahoma	1	1	335	0	14	1	11	4	36	57
Oregon	2	1	438	0	20	2	17	6	51	58
Pennsylvania	48	21	1,363	0	9	8	43	26	134	203
Rhode Island	1	2	87	0	0	0	3	2	13	11
South Carolina	1	1	341	0	4	0	8	4	18	67
South Dakota	0	0	184	0	28	3	2	0	55	45
Tennessee	4	2	689	0	24	9	27	10	127	97
Texas	14	8	3,061	2	73	29	145	77	435	408
Utah	1	0	345	3	2	2	11	8	43	44
Vermont	4	0	78	2	0	0	3	0	4	17
Virginia	2	0	1,029	3	12	7	40	20	202	141
Washington	2	2	729	0	11	2	22	8	71	118
West Virginia	0	0	73	0	0	0	1	0	2	18
Wisconsin	37	7	598	2	21	3	25	7	79	91
Wyoming	2	0	37	0	2	0	1	0	5	13
Total U.S.	7,885	1,430	50,869	191	1,122	558	2,360	1,323	8,192	6,223
Total Foreign	171	82	1,664	6	5	14	241	93	763	56
Total Registered	8,056	1,512	52,533	197	1,127	572	2,601	1,416	8,955	6,279

*Although associated persons and principals may be affiliated with more than one firm, they are counted once at a single location.

**A Firm registered in more than one category is counted in each category. The FCM column and the Non-Guaranteed IB column include 6 and 44 securities broker-dealers, respectively, that have "notice registered" to engage in security futures transactions.