SEC NEWS DIGEST

Issue 99-207

October 27, 1999

COMMISSION ANNOUNCEMENTS

TESTIMONY OF CHAIRMAN LEVITT

Chairman Arthur Levitt testified before the Senate Subcommittee on Securities today at 10:00 am in room 538 of the Dirksen Senate Office Building. The subject of Chairman Levitt's testimony was Market Structure Issues Currently Facing the Commission.

ENFORCEMENT PROCEEDINGS

NOTICE OF PROPOSED PLAN AND OPPORTUNITY FOR COMMENT BY THIRD PARTIES IN THE MATTER OF JOSEPH BARBATO

The Commission announced that on October 26 it gave notice that, pursuant to Rule 612 of the Rules of Practice of the Securities and Exchange Commission, 17 C.F.R. Section 201.612, the Division of Enforcement has filed its proposed Plan for the Distribution of Disgorged Funds (Distribution Plan) for In the Matter of Joseph J. provides Distribution Plan The that representing profits in the form of commissions earned by Barbato from his fraudulent conduct, \$45,690.05 in prejudgment interest, and \$1,940.03 in postjudgment interest shall be paid to Barbato's customers who testified at the proceeding and were found by the Commission in its decision dated February 10, 1999, to have been A copy of the Distribution Plan may be obtained by submitting a written request to William H. Kuehnle, United States Securities and Exchange Commission, Mail Stop 8-8, 450 Fifth Street, N.W. Washington, D.C. 20549. All persons desiring to comment on the Distribution Plan may submit their views, in writing, no later than November 29, 1999, [30 days after publication] to the Office of the Secretary, United States Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549. (Rel. 33-7761; 34-42059; File No. 3-8575)

APPLICATION FOR REVIEW OF NASD'S DELISTING DETERMINATION DISMISSED

On October 25, the Commission dismissed an application for review of a decision of the National Association of Securities Dealers, Inc. (NASD) denying the request of HealthTech International Inc. (HealthTech) to continue listing its securities on the Nasdaq SmallCap Market.

The NASD denied HealthTech continued listing because of concerns regarding the accuracy of HealthTech's financial statements. NASD found, among other things, that HealthTech: (1) substantially overvalued pre-paid advertising credits that it reported as assets; (2) reported forgiveness of debt as extraordinary income when the debt forgiveness was not the result of an arms-length transaction; and (3) included as revenue a promissory note from a company that was in bankruptcy proceedings, rendering collection on the note The Commission concluded that HealthTech's financial statements contained material misstatements and that its press Moreover, the Commission found that releases were inaccurate. HealthTech was seriously delinquent in filing its periodic reports. The Commission therefore dismissed HealthTech's appeal of the NASD's delisting determination. (Rel. 34-42060; File No. 3-9667)

FINAL JUDGMENTS ENJOINING EDWARD BEAGAN AND CANCER MINUS, INC. FROM VIOLATING THE ANTIFRAUD PROVISIONS ENTERED IN SECURITIES FRAUD CASE

The Commission announced that on October 15 United States District Judge Richard M. Berman entered final judgments against Edward M. Beagan (Beagan) and Cancer Minus, Inc. (CMI). The Court entered these judgments after finding that Beagan and CMI violated Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934, and Rule 10b-5, the antifraud provisions, in connection with the sale of CMI common stock to investors.

The Court granted summary judgment against Beagan, and entered a default judgment against CMI because CMI failed to defend the action. In granting these motions, the Court found that Beagan, the president and chairman of CMI, disseminated materially misleading information about CMI and its purported cancer fighting products to investors. Specifically, Beagan told investors that CMI had developed an effective cancer drug and a diagnostic test, when no such drug or test existed. Beagan told investors that CMI was in negotiations with a major pharmaceutical company regarding licensing rights to CMI's products, when no such negotiations were occurring. Beagan also falsely stated that CMI would use investors' funds to develop and produce a cancer drug when, in fact, Beagan was using investors' funds to pay his personal expenses, among other things.

The Court granted the following relief against both defendants:

Beagan, age 56, of New York City, was permanently enjoined from violating the antifraud provisions of the federal securities laws. Beagan was ordered to disgorge his ill-gotten gains of \$324,700

together with pre-judgment interest of \$150,130. The Court also ordered Beagan to pay \$100,000 in civil penalties.

CMI, a New York corporation, was permanently enjoined from violating the antifraud provisions of the federal securities laws. CMI was ordered to disgorge its ill-gotten gains of \$324,700 together with pre-judgment interest of \$150,130, and to pay a \$100,000 civil penalty, on a joint and several basis with Beagan. For further information, see LR-14575, July 28, 1995. [SEC v. Edward M. Beagan and Cancer Minus, Inc., USDC, SDNY, No. 95-CIV-5631] (LR-16342)

INVESTMENT COMPANY ACT RELEASES

MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED

An order has been issued on an application filed by Merrill Lynch, Pierce, Fenner & Smith Incorporated (Merrill Lynch) exempting Exchangeable Preferred Trusts for which Merrill Lynch will serve as a principal underwriter (Trusts) from Sections 12(d)(1) and 14(a) of the Investment Company Act. The order permits other registered investment companies, and companies excepted from the definition of investment company under Sections 3(c)(1) and (c)(7) of the Act, to own a greater percentage of the total outstanding voting stock of the Trusts than that permitted by Section 12(d)(1) of the Act and exempts the Trusts from the initial net worth requirements of Section 14(a) of the Act. The order also amends a prior order. (Rel. IC-24111 - October 26)

J.P. MORGAN SECURITIES INC.

An order has been issued on an application filed by J.P. Morgan Securities Inc. (J.P. Morgan) exempting Mandatory Enhanced Dividend Securities trusts for which J.P. Morgan will serve as a principal underwriter (Trusts) from Sections 12(d)(1) and 14(a) of the Investment Company Act, and exempting J.P. Morgan and the Trusts from Section 17(a) of the Act. The order permits other registered investment companies, and companies excepted from the definition of investment company under Sections 3(c)(1) and (c)(7) of the Act, to own a greater percentage of the total outstanding voting stock (Securities) of the Trusts than that permitted by Section 12(d)(1) of the Act. The order also exempts the Trusts from the initial net worth requirements of Section 14(a) of the Act and permits the Trusts to purchase U.S. Government securities from J.P Morgan at the time of a Trust's initial issuance of Securities. (Rel. IC-24112 - October 26)

HOLDING COMPANY ACT RELEASES

BANGOR HYDRO-ELECTRIC COMPANY, ET AL.

An order has been issued authorizing Bangor Hydro-Electric Company (Bangor Hydro-Electric), a public utility holding company exempt from registration under Section 3(a)(2) of the Act by Rule 2, and its wholly owned nonutility subsidiary, Penobscot Natural Gas Company (Penobscot Gas) (collectively, Applicants) to acquire through Penobscot Gas a 50% membership interest in Bangor Gas Company, LLC, a Maine limited liability company formed in 1997 to construct and operate a gas distribution system in the greater Bangor, Maine area. In addition, Applicants have been granted exemptions under Section 3(a)(1) of the Act exempting Bangor Hydro-Electric, Penobscot Gas and each of their subsidiary companies from all provisions of the Act, except Section 9(a)(2). (Rel. 35-27094)

SEMPRA ENERGY

An order has been issued authorizing Sempra Energy (Sempra), a public utility holding company exempt from registration under Section 3(a)(1) of the Act, and its wholly owned subsidiary, Bangor Pacific, Inc. (Bangor Pacific), (collectively, Applicants), to acquire through Bangor Pacific 50% of the membership interests of Bangor Gas Company, LLC (Bangor Gas), a Maine limited liability company formed in 1997 to construct and operate a gas distribution system in the greater Bangor, Maine area. In addition, Applicants have been granted an exemption under Section 3(a)(1) of the Act exempting Sempra, Bangor Pacific and each of their subsidiary companies from all provisions of the Act, except Section 9(a)(2). (Rel. 35-27095)

SELF-REGULATORY ORGANIZATIONS

PROPOSED RULE CHANGE

The <u>Pacific Exchange</u> filed a proposed rule change (SR-PCX-99-35) to increase the percentage of options issues that may be allocated to a Lead Market Maker from 10% to 15% of the issues traded on the PCX. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 1. (Rel. 34-42051)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

The Commission granted accelerated approval to a proposed rule change (SR-CHX-99-22) and Amendment Nos. 1, 2 and 3 filed by the <u>Chicago Stock Exchange</u> relating to listing standards for trust issued receipts. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 1. (Rel. 34-42056)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGES

A proposed rule change and Amendment No. 1 to the proposed rule change filed by the <u>National Association of Securities Dealers</u> delaying implementation of 90-second trade reporting as part of a pilot program extending the availability of certain Nasdaq services and facilities until 6:30 p.m. Eastern Time (SR-NASD-99-64) has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 1. (Rel. 34-42057)

A proposed rule change (SR-Phlx-99-43) filed by the <u>Philadelphia Stock Exchange</u> to assess a monthly fee to each seat owner for capital funding purposes has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the <u>Federal Register</u> during the week of November 1. (Rel. 34-42058)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-6 THOMSON MULTIMEDIA SA /ADR/, 60 WALL STREET, NEW YORK, NY 10260 50,000,000 (\$2,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-11024 OCT. 18) (BR. 99 NEW ISSUE)
- F-6 CITY TELECOM HK LTD /ADR/, ONE WALL STREET, NEW YORK, NY 10286 20,000,000 (\$1,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-11028 OCT. 18) (BR. 99 NEW ISSUE)
- F-8 CABRE EXPLORATION LTD, 1000 635 8TH AVE SW,
 CALGARY ALBERTA CANADA T2P 3M3, (303) 839-1705 477,200 (\$4,161,184)
 FOREIGN COMMON STOCK. (FILE 333-11032 OCT. 19) (BR. 4)
- S-8 BSQUARE CORP /WA, 3633 136TH PLACE S E SUITE 100, 425-519-5900, BELLEVUE, WA 98006 (425) 519-5900 - 7,078,425 (\$58,814,285) COMMON STOCK. (FILE 333-89333 - OCT. 20) (BR. 8)
- S-3 AVANT IMMUNOTHERAPEUTICS INC, 119 FOURTH AVE, NEEDHAM, MA 02194 (781) 433-0771 - 5,549,375 (\$10,591,187.50) COMMON STOCK (FILE 333-89341 - OCT. 20) (BR 1)

- S-3 ALEXION PHARMACEUTICALS INC, 25 SCIENCE PARK, STE 360, NEW HAVEN, CT 06511 (203) 776-1790 2,875,000 (\$37,914,062.50) COMMON STOCK (FILE 333-89343 OCT. 20) (BR. 1)
- S-3 AMERICAN TOWER CORP /MA/, 116 HUNTINGTON AVE, BOSTON, MA 02116 (617) 375-7500 725,500,000 (\$600,062,600) STRAIGHT BONDS. (FILE 333-89345 OCT. 20) (BR. 7)
- S-3 LUCENT TECHNOLOGIES INC. 600 MOUNTAIN AVE, MURRAY HILL, NJ 07974
 (908) 582-8500 16,771,596 (\$961,222,096) COMMON STOCK (FILE 333-89347 OCT. 20) (BR. 7)
- S-8 ESHARE TECHNOLOGIES INC/GA, 5051 PEACHTREE CORNERS CIRCLE, NORCROSS, GA 30092 (770) 239-4000 760,906 (\$1,760,263.42) COMMON STOCK. (FILE 333-89351 OCT. 20) (BR. 7)
- S-1 MERRILL LYNCH PIERCE FENNER & SMITH INC,
 NORTH TOWER WORLD FINANCIAL CENTER,
 NORTH TOWER WORLD FINANCIAL CENTER 5TH F, NEW YORK, NY 10281 (212) 449-6202
 100,000 (\$10,000,000) COMMON STOCK. (FILE 333-89355 OCT. 20) (BR. 8)
- S-1 CGA GROUP LTD, CRAIG APPIN HOUSE, 8 WESLEY ST, HAMILTON HM11 BERMUD, DO (441) 296-5144 562,618 (\$14,065,450) COMMON STOCK. (FILE 333-89359 OCT. 20) (BR. 1)
- S-8 MCLEODUSA INC, 6400 C ST SW, PO BOX 3177, CEDAR RAPIDS, IA 52406 (319) 364-0000 - 1,100,000 (\$43,312,500) COMMON STOCK. (FILE 333-89361 - OCT. 20) (BR. 7)
- S-3 CONSUMERS ENERGY CO, 212 W MICHIGAN AVE, JACKSON, MI 49201 (517) 788-0550 (FILE 333-89363 OCT. 20) (BR. 2)
- S-3 INKINE PHARMACEUTICAL CO INC, 1720 WALTON RD, SUITE 200, BLUE BELL, PA 19422 (610) 260-9350 - 3,069,229 (\$5,069,615 64) COMMON STOCK. (FILE 333-89365 - OCT. 20) (BR. 1)
- S-8 INTERNAP NETWORK SERVICES CORP/WA, TWO UNION SQUARE 601 UNION ST, SUITE 1000, SEATTLE, WA 98101 (206) 441-8800 12,407,828 (\$340,480,507) COMMON STOCK. (FILE 333-89369 OCT. 20) (BR. 8)
- S-3 CAMPBELL SOUP CO, CAMPBELL PL, CAMDEN, NJ 08103 (609) 342-4800 500,000,000 (\$500,000,000) STRAIGHT BONDS. (FILE 333-89373 OCT. 20) (BR. 4)
- S-8 FLEMING COMPANIES INC /OK/, 6301 WATERFORD BLVD, P O BOX 26647, OKLAHOMA CITY, OK 73126 (405) 840-7200 - 300,000 (\$2,812,500) COMMON STOCK. (FILE 333-89375 - OCT. 20) (BR. 4)
- S-8 CYGNUS INC /DE/, 400 PENOBSCOT DR, REDWOOD CITY, CA 94063 (650) 369-4300 350,000 (\$3,423,438) COMMON STOCK (FILE 333-89377 OCT 20) (BR 1)
- S-8 AXENT TECHNOLOGIES INC, 2400 RESEARCH BLVD, STE 200, ROCKVILLE, MD 20850 (301) 258-5403 1,300,000 (\$16,331,900) COMMON STOCK. (FILE 333-89379 OCT. 20) (BR. 3)
- S-8 WHITE MOUNTAINS INSURANCE GROUP LTD, 80 SOUTH MAIN STREET, HANOVER, NH 03755 (603) 643-1567 30,000 (\$3,622,500) COMMON STOCK (FILE 333-89381 OCT 20) (BR. 1)
- S-8 WHITE MOUNTAINS INSURANCE GROUP LTD, 80 SOUTH MAIN STREET, HANOVER, NH 03755 (603) 643-1567 14,000 (\$1,690,500) COMMON STOCK (FILE 333-89383 OCT. 20) (BR 1)
- S-8 WHITE MOUNTAINS INSURANCE GROUP LTD, 80 SOUTH MAIN STREET, HANOVER, NH 03755 (603) 643-1567 6,000 (\$724,500) COMMON STOCK. (FILE 333-89385 OCT. 20) (BR. 1)
- S-8 WHITE MOUNTAINS INSURANCE GROUP LTD, 80 SOUTH MAIN STREET, HANOVER, NH 03755 (603) 643-1567 150,000 (\$18,112,500) COMMON STOCK. (FILE 333-89387 OCT. 20) (BR. 1)

- S-8 SUN MICROSYSTEMS INC, 901 SAN ANTONIO RD, PALO ALTO, CA 94303 (650) 960-1300 - 1,360,187 (\$125,353,290) COMMON STOCK. (FILE 333-89391 - OCT. 20) (BR. 3)
- S-1 TELECORP PCS INC, 1010 N GLEBE ROAD, SUITE 800, ARLINGTON, VA 22201 (703) 236-1100 \$143,750,000 COMMON STOCK. (FILE 333-89393 OCT. 20) (BR. 7)
- S-8 CHARLOTTE RUSSE HOLDING INC, 4645 MORENA BLVD, SAN DIEGO, CA 92117 (858) 587-1500 2,924,600 (\$15,384,280) COMMON STOCK. (FILE 333-89395 OCT. 20) (BR. 2)
- S-8 LUMINANT WORLDWIDE CORP, 2665 VILLA CREEK DRIVE, SUITE 200, DALLAS, TX 75234 (972) 488-7202 7,249,031 (\$118,577,128.74) COMMON STOCK. (FILE 333-89397 OCT. 20) (BR. 3)
- S-3 PATINA OIL & GAS CORP, 1625 BROADWAY, STE 2000, DENVER, CO 80202 (303) 592-8500 230,000 (\$2,041,250) COMMON STOCK. 1,818,511 (\$42,472,147) PREFERRED STOCK (FILE 333-89399 OCT 20) (BR 4)
- S-3 ESOFT INC, 295 INTERLOCKEN BOULEVARD SUITE 500, BROOMFIELD, CO 80021 (303) 444-1600 1,249,058 (\$5,074,298) COMMON STOCK (FILE 333-89401 OCT. 20) (BR. 9)
- S-8 INFINITY BROADCASTING CORP /DE/, 40 WEST 57TH ST, NEW YORK, NY 10019 (212) 314-9200 2,000,000 (\$59,625,000) COMMON STOCK. (FILE 333-89403 OCT. 20) (BR. 7)
- S-8 BLACK BOX CORP, 1000 PARK DR, LAWRENCE, PA 15055 (412) 873-6788 2,200 (\$110,412.50) COMMON STOCK. (FILE 333-89405 OCT 20) (BR 3)
- S-3 CAMDEN NATIONAL CORP, TWO ELM ST, CAMDEN, ME 04843 (207) 236-8821 125,000 (\$2,343,750) COMMON STOCK. (FILE 333-89407 OCT. 20) (BR. 7)
- S-8 MULTEX COM INC, 33 MAIDEN LANE 5TH FLOOR, NEW YORK, NY 10038 (212) 859-9800 3,151,825 (\$39,163,958.75) COMMON STOCK (FILE 333-89411 OCT. 20) (BR. 3)
- S-1 MAXYGEN INC, 515 GALVESTON DRIVE, REDWOOD CITY, CA 94063 (650) 298-5300 \$80,500,000 COMMON STOCK. (FILE 333-89413 OCT 20)
- S-1 NATURAL HEALTH TRENDS CORP, 250 PARK AVENUE, STE 201, NEW YORK, NY 10117 (207) 772-7234 4,345,613 (\$9,016,532 50) COMMON STOCK (FILE 333-89419 OCT. 20) (BR 8)
- S-3 CHASE FUNDING INC, 300 TICE BOULEVARD, WOODCLIFF LAKE, NJ 07675
 (201) 782-9084 1,000,000 (\$1,000.000)

 PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 333-89425 OCT 20)
 (BR. 8)
- S-3 NEXTEL COMMUNICATIONS INC, 2001 EDMUND HALLEY DRIVE, RESTON, VA 20191 (703) 433-4000 28,750,000 (\$2,108,632,812) COMMON STOCK. (FILE 333-89429 OCT. 21) (BR. 7)
- S-4 CITATION CORP /AL/, 2 OFFICE PARK CIRCLE, STE 204, BIRMINGHAM, AL 35223 (205) 871-5731 236,504 (\$4,020,568) COMMON STOCK. (FILE 333-89431 OCT. 21) (BR. 6)
- S-4 TEXAS INSTRUMENTS INC, 8505 FOREST LANE, P O BOX 660199, DALLAS, TX 75266 (972) 995-3773 2,165,250 (\$19,978,030.90) COMMON STOCK (FILE 333-89433 OCT. 21) (BR. 5)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrent's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6 Resignations of Registrant's Directors.
- Item 7 Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9 Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address. cpublicinfo@sec.gov>. In most cases, this information is also available on the Commission's website:

NAME OF ISSUER	STATE CODE		-		1 NO. 5 7 8	9 DATE	COMMENT
ABN AMRO MORTGAGE CORP SERIES 1999-	DE			x		10/26/9	9
ADELPHIA BUSINESS SOLUTIONS INC	DE			X		10/25/9	9
ADELPHIA BUSINESS SOLUTIONS INC	DE			х	х	10/25/9	9
ADELPHIA COMMUNICATIONS CORP	DE			x	x	10/25/9	9
ADVANTA CORP	DE			х		10/25/9	9
ADVANTA CORP	DE			х	x	10/26/9	9
ALLIED HOLDINGS INC	GA			x	х	10/25/9	9
ALLSTATE CORP	DE			X		10/25/9	9
AM COMMUNICATIONS INC	DE		х		х	10/25/9	9 AMEND
AMALGAMATED ENTERTAINMENT INC	DE	хх		х	СΧ	10/07/9	9
AMERICAN EXPRESS CO	NY			х	x	10/25/9	9
AMERICAN VANGUARD CORP	DE			х	x	10/25/9	9
AMERICAS POWER PARTNERS INC	CO	x				12/31/9	9 AMEND
AMERICOM USA INC	DE	х			х	09/27/9	9 AMEND
ANADIGICS INC	DE			X		10/25/9	9
AQUAGENIX INC/DE	DE			x	x	10/20/9	8
ASSET SECURITIZATION CORP COM MOR P				x	х	10/25/9	9
S THR CERT SER 1999-C2				-			
ASSET SECURITIZATION CORP COM MOR P				x	х	10/26/9	9
S THR CERT SER 1999-C2							
ASTORIA FINANCIAL CORP	DE		-	x	x	10/25/9	9
ATLANTIC CITY ELECTRIC CO	NJ			х		10/26/9	9
BACOU USA INC	DE			x	x	10/18/9	9
BALLY TOTAL FITNESS HOLDING CORP	DE			x	x	10/26/9	9
BALTIC INTERNATIONAL USA INC	TX	х			x	10/06/9	9
BANC ONE ABS CORP	OH			х.	x	10/15/9	9
BANC ONE AUTO GRANTOR TRUST 1996-A	NY			х	x	10/15/9	9
BANC ONE AUTO GRANTOR TRUST 1997-B	NY			x	х	10/20/9	9
BANK ONE TEXAS NATIONAL ASSOCIATION				x	x	10/20/9	9
BANYAN STRATEGIC REALTY TRUST	MA			х	x	10/06/9	9
BEAR STEARNS COMMERCIAL MORTGAGE SE	DE	x				10/14/9	9
CURITIES INC							
BEAR STEARNS COMMERCIAL MORTGAGE SE	DE				x	10/15/9	9
CURITIES INC							
BEAR STEARNS COMMERCIAL MORTGAGE SE	DE	X				10/15/9	9
CURITIES INC							
BEAR STEARNS COMMERCIAL MORTGAGE SE	DE	X				10/21/9	9
CURITIES INC							
BENTLEY INTERNATIONAL INC	MO			х		10/26/9	9
BERKLEY W R CORP	DE			x		10/22/9	
BIG BUCK BREWERY & STEAKHOUSE INC	MI			X	х	10/08/9	
BLACK CREEK COMMUNICATIONS LP	DE		х	X	X	10/14/9	

	STATE							
NAME OF ISSUER		1	2 3	3 4 	5 6 	7 8 9	DATE	COMMENT
BLACK CREEK MANAGEMENT LLC	DE			х	x	x	10/14/9	9
BOEING CO	DE				х	X	02/22/9	9
BRIGHT HORIZONS FAMILY SOLUTIONS IN	DE				X		10/20/9	9
BROTHERS GOURMET COFFEES INC	DE				x	x	08/18/9	9
BUFORD TELEVISION INC	TX			х	x	х	10/14/9	9
BUFORD TELEVISION INC OF FORT SMITH	TX			X	X	x	10/14/9	9
BUILDERS TRANSPORT INC	DE				X	x	10/20/9	9
CAITHNESS COSO FUNDING CORP	DE				x	х	10/18/9	9
CALLCOM 24 INC	TX			Х	x	x	10/14/9	9
CAMERA PLATFORMS INTERNATIONAL INC	DE	Х					12/31/9	9
CAPITAL RE CORP	DE				X	х	10/15/9	
CAPITAL SENIOR LIVING CORP	DE				Х	Х	10/19/9	
CAPITAL SENIOR LIVING CORP	DE				X	Х	10/19/9	
CAPITOL REVOLVING HOME EQUITY LOAN TRUST 1996-1	MD				X		10/20/9	
CAPITOL REVOLVING HOME EQUITY LOAN TRUST 1996-1	MD				Х		10/20/9	€
CARBIDE GRAPHITE GROUP INC /DE/	DE				X		10/22/9	9
CARNEGIE INTERNATIONAL CORP	CO					х	09/21/99	
CELADON GROUP INC	DE		X			х	10/25/9	
CELADON GROUP INC	DE		X			х	10/25/99	
CELLPOINT INC	NV			Х		X	08/19/99	
CHASE MORTGAGE FINANCE CORP	DE				X	X	10/26/99	
CITIGROUP INC	DE				X		10/26/99	
CLARIFY INC	DE				X	X	10/18/99	
CLASSIC BANCSHARES INC CLASSIC CABLE HOLDING INC	DE			v	X X	X	10/19/99	
CLASSIC CABLE HOLDING INC	DE				X	X X	10/14/99	
CLASSIC COMMUNICATIONS INC					X	X	10/14/99	
CLASSIC COMMUNICATIONS INC					X	X	10/14/99	
CLASSIC TELEPHONE INC	DΕ				X	x	10/14/99	
CMS ENERGY CORP	ΜI				x		10/25/99	
CNET INC /DE	DE				X		10/21/99	
COLUMBIA ENERGY GROUP	DE				х		10/24/99	
COLUMBIA HCA HEALTHCARE CORP/	DE				х	х	10/25/99)
COMMERCIAL MORTGAGE PASS THROUGH CE	DE				х	x	10/22/99	•
RTIFICATES SERIES 1999 C1 COMMERCIAL MORTGAGE PASS THROUGH CE	NC					х	10/18/99)
RTIFICATES SERIES 1999 C2								
COMMERCIAL MORTGAGE PASS THRU CERT SERIES 1999 C1	NY					x	10/15/99)
CONECTIV INC	DE				х		10/26/99)
CONSECO FINANCE SECURITIZATIONS COR	DE				X	X	10/15/99	•
CONSUMERS ENERGY CO	MI				х		10/25/99)
COOPERATIVE BANKSHARES INC	NC				х	х	10/21/99)
CORFACTS INC	NJ	:	x				10/18/99	
CORPORATE EXPRESS INC	CO				x	x	10/22/99)
CORPORATE VISION INC /OK	OK	X					10/25/99)
CORRECTIONAL CABLE TV INC	TX			Х	X	x	10/14/99)
COSO ENERGY DEVELOPERS	CA				x	x	10/18/99)
COSO FINANCE PARTNERS	CA	X				x	10/18/99)
COSO POWER DEVELOPERS	CA	X				X	10/18/99)
CRITICARE SYSTEMS INC /DE/	DE					X	10/05/99	AMEND
CROSSWALK COM	DE	3	X			X	10/25/99	AMEND
CROWN GROUP INC /TX/	TX			X		X	10/20/99	
CSX TRADE RECEIVABLES CORP	DE				X	Х	10/25/99	
DAUGHERTY RESOURCES INC					Х	X	10/08/99	
DCI TELECOMMUNICATIONS INC	co			X		Х	10/26/99	
DELMARVA POWER & LIGHT CO /DE/	DE				X		10/26/99	•

NAME OF ISSUER	STATE CODE		2				NO. 78	9	DATE	COMMENT
DELTA BEVERAGE GROUP INC	DΕ	• •			x		х		10/15/	99
DEUTSCHE FLOORPLAN RECEIVABLES L P	DE				x		x		09/30/	
DLJ COMMERCIAL MORT COMM PASS THR C ER SER 1999-CG2	DE						X		10/12/	
DLJ COMMERICAL MORT COMM MORT PASS THR CER SER 1999-CG1	DE						x		10/12/	99
DOCUMENTUM INC	DE			Х					10/22/	90
DUN & BRADSTREET CORP /DE/	מע			•	X				10/25/	
EGAMES INC	PA				x		x		09/28/	
EL PASO ENERGY CORP/DE	DE				x		_		10/26/	
ELCOM INTERNATIONAL INC	DE			x			x		10/19/	
ELECTRONIC BUSINESS SERVICES INC	$_{ m FL}$				Х		x		10/08/	
EPICOR SOFTWARE CORP	DE				X		x		10/21/	
EVTC INC	DE	X							01/15/	99
F&M BANCORP	MD				X		X		09/07/	99
FAIRCHILD CORP	DE		X				X		10/26/	99
FENWAY INTERNATIONAL INC	NV					X			09/30/	99
FIFTH THIRD BANK AUTO TRUST 1996-A	OH						X		10/26/	99
FIFTH THIRD BANK AUTO TRUST 1996-B	OH						Х		10/26/	99
FIRST ALLIANCE MORTGAGE LOAN TRUST 1999-1	NY				Х		Х		10/20/	99
FIRST ALLIANCE MORTGAGE LOAN TRUST 1999-2	CA				Х		X		10/20/	99
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC						Х		10/15/	99
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC						х		10/18/	99
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC	x							10/18/	99
FLORIDAFIRST BANCORP					x		x		10/19/	99
FRANKLIN SELECT REALTY TRUST	CA				Х		x		10/12/	
FREMONT FUNDING INC	DE						x		10/15/	99
FREQUENCY ELECTRONICS INC	DE				Х				10/20/	99
FRIEDE GOLDMAN INTERNATIONAL INC	MS				X		x		10/25/	99
FRIENDSHIP CABLE OF ARKANSAS INC	ΥX			X	X		X		10/14/	99
FRIENDSHIP CABLE OF TEXAS INC	TX			Х	Х		X		10/14/	99
FVNB CORP	ΤX				X		X		10/26/	99
GARY PLAYER DIRECT INC	DE				Х		X		10/14/	99
GLENBOROUGH REALTY TRUST INC	MD				X		Х		09/30/	
GMAC COMMERCIAL MORTGAGE SECURITIES INC	DE						X		10/15/	99
GMAC COMMERCIAL MORTGAGE SECURITIES INC	DE						x		10/15/	99
GRC INTERNATIONAL INC	DE				X				09/23/	99
GREEN TREE FINANCIAL CORP	DE				X		X		09/15/	99
GREEN TREE FINANCIAL CORP	DE				X		X		10/15/	99
GREEN TREE FINANCIAL CORP	DE				X		X		10/15/	99
GREEN TREE FINANCIAL CORP	DE				X		X		10/15/	99
GREEN TREE FINANCIAL CORP	DE				Х		Х		10/15/	99
GREEN TREE FINANCIAL CORP	DE				X		X		10/15/	99
GREEN TREE FINANCIAL CORP	DE				X		X		10/15/	
GREEN TREE FINANCIAL CORP	DE				Х		X		10/15/	
GREEN TREE FINANCIAL CORP	DE				X		X		10/15/	
GREEN TREE FINANCIAL CORP	DE				X		X		10/15/	
GREEN TREE FINANCIAL CORP	DE				X		X 		10/15/	
GREEN TREE FINANCIAL CORP	DE				Х		X		10/15/	
GREEN TREE FINANCIAL CORP	DE				X		Х		10/15/	
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN				Х		X		10/15/	99

STATE 8K ITEM NO.

NAME OF ISSUER	CODE					DATE	COMMENT
GREEN TREE FLOORPLAN RECIEVABLES MA	MIN			х	х	 10/15/	99
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN			х	x	10/15/	99
GREEN TREE FLOORPLAN RECIEVABLES MA STER TRUST	MN			х	х	10/15/	99
GROW BIZ INTERNATIONAL INC	MN			x	Х	10/21/	99
GS MORTGAGE SEC CORP II COMM MORT P A THRO CERT SER 1997-GL1	DE	X				10/13/	99
GS MORTGAGE SECURITIES CORP II	DE	X				10/18/	99
HALIFAX CORP	VA	X				10/26/	99
HALIFAX CORP	VA	X				10/26/	99
HELLER FINANCIAL COMMERCIAL MORT AS SET CORP SER 1999-PH-1	DE	X				10/15/	99
HERITAGE COMMERCE CORP	CA			Х		10/25/	99
HERITAGE PROPANE PARTNERS L P	DE			Х	Х	10/21/	99
HERTZ CORP	DE			Х	Х	10/21/	99
HOUSEHOLD AUTOMOTIVE TRUST III SERI ES 1999-1	DE				х	10/18/	99
HOUSEHOLD CONSUMER LOAN TRUST 1996-	DE				Х	10/15/	99
HOUSEHOLD CONSUMER LOAN TRUST 1996- 2	DE				Х	10/15/	99
HOUSEHOLD CONSUMER LOAN TRUST 1997-	DE				Х	10/15/	99
HOUSEHOLD CONSUMER LOAN TRUST 1997- 2	DE				Х	10/15/	99
HOUSEHOLD CREDIT CARD MASTER TRUST	DE				х	10/15/	99
HOUSEHOLD FINANCE CORP HOUSEHOLD AF F CRE CAR MAS TR I	DE				х	10/15/	99
HOUSEHOLD FINANCE CORP HOUSEHOLD CO NSUMER LN TRUST 1995-1 /	DE				Х	10/15/	99
HRPT PROPERTIES TRUST	MD	2	ζ.		Х	10/12/	99
ICHOR CORP	DE			Х		10/26/	99
IMPERIAL CREDIT COMMERCIAL MORTGAGE INVESTMENT CORP	MD			Х	Х	10/25/	99
INFORMATION HOLDINGS INC	DE				Х	08/12/	99 AMEND
INNOVATIVE GAMING CORP OF AMERICA	MN			x	Х	10/12/	99
INSITUFORM TECHNOLOGIES INC	DE	2	ζ.			10/21/	99
INSO CORP	DE			Х		10/19/	99
INTERACTIVE MAGIC INC /NC/	NC			x		10/21/	99
INTERNATIONAL ISOTOPES INC	TX			Х	Х	10/25/9	99
INTERNATIONAL TELECOMMUNICATION DAT A SYSTEMS INC	DE			х		10/26/	99
KINETIKS COM INC	DE	2	(Х	10/11/	99
LANVISION SYSTEMS INC	DE			х	Х	10/25/	99
LEGEND PROPERTIES INC	DE			Х	Х	10/15/9	99
LEINER HEALTH PRODUCTS INC	DE			Х	Х	10/25/9	99
LOIS/USA INC	DE		X		Х	10/20/9	9
LSI LOGIC CORP	DE			Х	Х	10/21/9	9
MACROMEDIA INC	DE				Х	09/30/9	99 AMEND
MARKWEST HYDROCARBON INC	DE			Х		10/25/9	9
MBNA AMERICA BANK NATIONAL ASSOCIAT	DE			Х	X	10/25/9	99
MBNA AMERICA BK NAT ASSOC MBNA MAST				Х	х	10/25/9	9
ER CREDIT CARD TRUST II							
MEAD CORP	OH			X		10/20/9	9
MEDICALCONTROL INC	DE			x	х	10/20/9	9
MERIDIAN HOLDINGS INC	co			x	х х	06/29/9	99 AMEND

NAME OF ISSUER		1 2	3 4	5 6		DATE	COMMENT
MERRILL LYNCH MORT INVEST INC MRT L N AS BCKD CRT SR 1999 CB2			••••	х		10/25/9	9
MERRILL LYNCH MORT INVEST INC MRT L N AS BCKD CRT SR 1999 CB4	DE			x	x	09/27/9	9
MERRILL LYNCH MORT INVST INC MOR LN ASSET BK CER SE 1999 NC1				x	x	08/20/9	9
MERRILL LYNCH MORT INVST INC MOR LN ASSET BK CERT SE 1999 H2	DE			x	x	09/27/9	9
MERRILL LYNCH MORTGAGE INVESTORS IN C	DE			x	x	10/22/9	9
METRO INFORMATION SERVICES INC	VA				X	08/13/9	9 AMEND
METROMEDIA FIBER NETWORK INC	DE	х			x	09/08/9	9 AMEND
METROTRANS CORP	GA		x			10/25/9	9
MICROAGE INC /DE/	DE			х	x	09/30/9	9
MIDAMERICAN ENERGY HOLDINGS CO /NEW /	IA			X	X	10/24/9	9
MMCA AUTO OWNER TRUST 1998-1	DE				Х	09/30/9	9
MOBIL CORP	DE			X	х	10/25/9	9
MONTANA POWER CO /MT/	MT			Х	x	10/26/9	9
MORGAN STANLEY CAPITAL I INC COM MO R PA TH CER SER 1999 CAMI				X	X	10/15/9	9
MORGAN STANLEY CAPITAL I INC COM MO R PA TH CER SER 1999-WF1	DE				X	10/15/9	9
MORGAN STANLEY CAPITAL I INC DEPOSI TOR FOR SER 1999-LIFE1	DE	х				10/15/9	9
MORGAN STANLEY CAPITAL I INC DEPOSI TOR FOR SERIES 1999-FNV1	DE				х	10/15/9	9
MORTGAGE CAPITAL FUNDING INC	DE	X				10/18/9	9
MOYCO TECHNOLOGIES INC	PA			X		10/20/9	9
MSX INTERNATIONAL INC	DE	х			x	09/20/9	9
NATIONSLINK FUNDING CORP COMM MORT PASS THR CER SER 1999 SL	DE	x				10/10/9	9
NATIONSLINK FUNDING CORP COMM MORT PASS THR CERT SER 1999-1	DE				X	10/20/9	9
NEUROMEDICAL SYSTEMS INC	DF			X		10/13/9	9
NEW CENTURY MORTGAGE SECURITIES INC	DE				x	10/26/9	9
NORTH FORK BANCORPORATION INC	DE			X	x	10/14/9	9
NORWEST ASSET SECURITIES CORP	DE			X	X	10/25/9	9
NORWEST ASSET SECURITIES CORP	DE			X	x	10/26/99	9
OHSL FINANCIAL CORP	DE			X	X	10/19/9	9
ONHEALTH NETWORK CO	WA			X		10/26/9	9
ONYX ACCEPTANCE FINANCIAL CORP	DE			Х	Х	10/19/9	9
OPT ONE MORT ACCEPT CORP LOAN TR AS SET BK CERT SER 1999-2	NY				X	10/26/9	9
OSHKOSH TRUCK CORP	WI			X	X	10/25/9	9
PAINEWEBBER MORTGAGE ACCEPTANCE COR	DE	х				10/15/9	9
PARACELSUS HEALTHCARE CORP	CA	Х				09/30/9	9
PENNSYLVANIA PHYSICIAN HEALTHCARE P LAN INC	PA			х		10/24/9	€
PEPSI COLA PUERTO RICO BOTTLING CO	DE	Х		x	X	10/15/99	9
PHYSICAL SPA & FITNESS INC	DE		х		X	11/03/98	AMEND
PILGRIM CAPITAL CORP	DE			x	x	10/25/99	9
PLAY BY PLAY TOYS & NOVELTIES INC	TX			x		10/22/99	9
PONCA HOLDINGS INC	DE		Х	X	X	10/14/99	9
PREMISYS COMMUNICATIONS INC	DE			X	X	10/20/9	€
PRIME RECEIVABLES CORP	DE				X	10/15/99	•
PROGENICS PHARMACEUTICALS INC	DE			X		10/26/99	•
PROMUS HOTEL CORP/DE/	DE			X	Х	10/26/99	
PROTECTIVE LIFE CORP	DE			X	Х	10/26/99	•

STATE 8K ITEM NO. NAME OF ISSUER CODE 1 2 3 4 5 6 7 8 9 DATE COMMENT OMS INC DE X 10/08/99 RAYOVAC CORP 08/09/99 AMEND RAYOVAC CORP 08/09/99 AMEND DE RELIASTAR FINANCIAL CORP х х 10/25/99 RESIDENTIAL ACCREDIT LOANS INC
RESIDENTIAL ACCREDIT LOANS INC DE х х 10/25/99 x x x x x x DE 10/26/99 RESIDENTIAL ASSET SECURITIES CORP DE 10/26/99 RESIDENTIAL FUNDING MORTGAGE SECURI DE 10/25/99 TIES I INC RESIDENTIAL FUNDING MORTGAGE SECURI DE Х 10/26/99 TIES I INC RESIDENTIAL FUNDING MORTGAGE SECURI DE Х Х 10/26/99 TIES II INC SAS CO OCWEN HOME EQ TR 1999 OFS1 A DE X X 10/25/99 SS BKD CERT SER 1999 OFS SAXON ASSET SECURITIES TR 1999-1 MO VA х х 10/25/99 R LN AS BKD CER SE 1999-1 SHERWIN WILLIAMS CO х х OH 10/25/99 SIMULA INC 10/25/99 AZ NY MD SIRCO INTERNATIONAL CORP 08/11/99 SL GREEN REALTY CORP 10/25/99 SOVEREIGN BANCORP INC X X 10/19/99 SPARTA FOODS INC MN X 10/13/99 SPEEDUS COM INC DE X 10/12/99 STAFF BUILDERS INC /DE/ DE X 10/20/99 X STARTEK INC DE 10/20/99 STERICYCLE INC DE х х 10/22/99 DE SUBMICRON SYSTEMS CORP Х Х 10/15/99 SUN HEALTHCARE GROUP INC DE Х 10/14/99 DE X SUPERTEL HOSPITALITY INC 10/26/99 х х SYSTEMSOFT CORP DE x x x x 10/12/99 TELEVISION ENTERPRISES INC DE 10/14/99 x . x x . . x TENGASCO INC TN 10/19/99 DE TEXACO INC 10/25/99 THOMAS & BETTS CORP TN THOR INDUSTRIES INC DE Х 10/25/99 х х TRACK N TRAIL INC DE 10/26/99 х х TRANSACTION NETWORK SERVICES INC DE 10/20/99 х х TRINITECH SYSTEMS INC NY 10/21/99 х х TWEETER HOME ENTERTAINMENT GROUP IN DE 10/25/99 UNILAB CORP /DE/ DE Х Х 11/05/98 AMEND UNILAB CORP /DE/ DE Х 11/05/98 AMEND x x x x x UNIMARK GROUP INC TX 10/11/99 UNION CARBIDE CORP /NEW/ NY 10/25/99 UNITED LEISURE CORP DE 10/21/99 UNIVERSAL CABLE COMMUNICATIONS INC DE хх х 10/14/99 UNIVERSAL CABLE HOLDINGS INC DE X X X 10/14/99 X X X 10/14/99 UNIVERSAL CABLE MIDWEST INC UNIVERSAL CABLE OF BEAVER OKLAHOMA DE X X X 10/14/99 VERITAS DGC INC DE х х 10/26/99 VISION TWENTY ONE INC FLX X 10/14/99 VOICESTREAM WIRELESS CORP WA Х 10/26/99 VORNADO REALTY LP DE X X 09/03/99 MD VORNADO REALTY TRUST x x 09/03/99 WASHINGTON REAL ESTATE INVESTMENT T MD х х 10/26/99 RUST WEATHERFORD INTERNATIONAL INC /NEW/ DE х х х х 10/22/99 WI WICOR INC

DΕ

хх х

10/22/99

10/14/99

WK COMMUNICATIONS INC

	STATE	8K ITE			
NAME OF ISSUER	CODE 1	2 3 4 5	6789	DATE COMMENT	
	• • • • • • • • •		•	•••••	
WT ACQUISITION CORP	DE	X X	x	10/14/99	
ZENITH NATIONAL INSURANCE CORP	DE	. X	X	10/25/99	