

SEC NEWS DIGEST

Issue 99-199

October 15, 1999

COMMISSION ANNOUNCEMENTS

CHAIRMAN LEVITT SUPPORTS AMENDMENTS TO FINANCIAL MODERNIZATION LEGISLATION

Chairman Levitt today sent the following letter to Sen. Phil Gramm, Rep. James Leach, and Rep. Thomas Bliley regarding the financial modernization legislation now being considered by the Congress. In his letter, Chairman Levitt praised securities amendments to be offered by Senator Gramm that significantly strengthen investor protections contained in the pending Glass Steagall reform legislation.

Text of the letter:

As you know, the Securities and Exchange Commission has long supported financial modernization legislation that provides the protections of the securities laws to all investors. I believe that the changes to the securities laws contained in the proposed amendments to the Chairman's Mark that we agreed upon today will significantly strengthen the investor protections of the bill.

With the approval of those amendments, which I understand you are distributing now, I enthusiastically support the securities provisions contained in the Mark.

I appreciate your willingness to work with us on these provisions to protect investors. (Press Rel. 99-133)

SEC TO HOST SMALL BUSINESS TOWN HALL MEETING IN ANCHORAGE, ALASKA

The Commission today announced that a small business town hall meeting will be held at 9:00 a.m. on Wednesday, November 10, 1999, at the Z.J. Loussac Library at 3600 Denali Street in Anchorage, Alaska. The small business town hall meetings, which are being conducted throughout the United States, convey basic information to small businesses about fundamental requirements that must be addressed when these businesses wish to raise capital through the public sale of securities. In addition, the Commission hopes to learn more about the concerns and problems facing small businesses

in raising capital so that programs can be designed to meet their needs, consistent with the protection of investors.

SEC Commissioner Isaac C. Hunt, Jr. is the featured speaker of the Anchorage Small Business Town Hall Meeting, which is being co-sponsored by The Anchorage Chamber of Commerce. Other speakers include Debby Sedwick, Commissioner for the Alaska Department of Community & Economic Development, Franklin T. Elder, Director for the Alaska Division of Banking, Securities and Corporations, Ron Veltkamp, Public Information Officer/Business Development Officer for the U.S. Small Business Administration Alaska District Office, and Charles Christy, Ace-Net Operator for the State of Alaska, among others. A question and answer period will follow the presentations.

For further information, contact Barbara Jacobs or Janice McGuirk in the Office of Small Business of the Division of Corporation Finance, at (202) 942-2950. Or, please send an e-mail message to the small business electronic mailbox at "e-prospectus@sec.gov".

There is no charge for the town hall meeting; however, we encourage you to make reservations by calling The Anchorage Chamber of Commerce at (907) 272-2401 or faxing your reservation to (907) 272-4117. (Press Rel. 99-134)

ENFORCEMENT PROCEEDINGS

COMMISSION SANCTIONS JAMES PASZTOR

The Commission has found that James J. Pasztor, a former branch manager and compliance officer of Voss & Co., Inc. (VCI) failed reasonably to supervise Sharon M. Graham, a VCI registered representative, who aided and abetted violations of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5. The Commission suspended Pasztor for three months from association in a supervisory or proprietary capacity with any broker or dealer.

This proceeding arose from a scheme conducted by John Broumas, a former director of James Madison, Limited. In 1989 and 1990 Broumas was experiencing substantial financial difficulties. Broumas began to engage in wash sales and matched trades that he directed through several accounts at different broker-dealers, including VCI. Graham handled Broumas' trades at VCI.

The Commission found that Pasztor was Graham's supervisor with respect to Broumas' trades. The Commission also found that, as Graham's supervisor, Pasztor was responsible for knowing the illegal nature of Broumas' trades. Pasztor's lack of understanding of the mechanics of wash trades and matched orders was unreasonable. It caused him to ignore the numerous red flags that Graham, by accepting Broumas' trades, was assisting illegal conduct. (Rel. 34-42008; File No. 3-8511)

FORMER SALOMON SMITH BARNEY INVESTMENT BANKING ASSOCIATE HELD ON CRIMINAL CHARGES IN INSIDER TRADING SCHEME

The U.S. Attorney's Office for the Southern District of New York announced on October 12 that Arjun Sekhri, a former investment banking associate at Salomon Smith Barney, Inc. in New York City, was presented on criminal charges including insider trading, money laundering, wire fraud, and conspiracy to commit wire fraud and securities fraud. Magistrate Judge Theodore H. Katz ordered Sekhri detained pending trial. Sekhri fled the United States around the time civil and criminal charges were filed against him in 1998. He was arrested in Australia and subsequently waived extradition.

The charges against Sekhri arise out of an insider trading scheme in which Sekhri misappropriated inside information from Salomon. On April 1, 1998, the Securities and Exchange Commission filed an insider trading case involving Sekhri and others in the U.S. District Court for the Southern District of New York. The SEC's amended complaint, filed on May 19, 1998, charges Sekhri and certain other individuals to whom Sekhri supplied confidential information with illegal insider trading. The SEC alleges that, from September 1997 through January 1998, Sekhri, Amolak Sehgal, Pratima Rajan, Fuad Dow, Gordon W. Cochrane, Martin L. Thifault, Rohina Sharma, and Sharad Kapoor engaged in a highly profitable insider trading scheme by collectively purchasing call options and/or common stock shortly before six major corporate announcements. The defendants reaped total profits of at least \$1.8 million from their illegal securities transactions.

The SEC alleges that Sekhri, the source of the inside information, was an investment banking associate at Salomon at the time of the trading. Salomon provided investment banking services in each of the relevant corporate transactions and Sekhri worked specifically on at least one of those transactions. Sekhri tipped Dow, Sekhri's former college roommate. Dow then tipped Cochrane and Thifault, all three of whom collectively purchased common stock and/or call options on the stock of MCI Communications Corp., Brooks Fiber Properties, Inc., Carson Pirie Scott & Co., Inc., Central and South West Corp., and Southern New England Telecommunications Corp. in advance of six different public announcements of significant mergers involving these companies. The complaint alleges that Sekhri also tipped Sehgal, his father-in-law, in advance of at least four of these announcements; Rajan, his friend, in advance of at least three of these announcements; Kapoor, his friend and then a broker at Merrill Lynch, Pierce, Fenner & Smith, Inc. in San Jose, California, in advance of six of these announcements; and Sharma, Kapoor's wife, in advance of at least four of these announcements. The complaint also seeks disgorgement from relief defendants Mahendar and Sharda Sekhri, Arjun Sekhri's parents, of assets transferred to them by the defendants.

Without admitting or denying the SEC's allegations, Dow, Cochrane, and Thifault settled the SEC's insider trading charges by consenting to the entry of final judgments requiring, among other things, payment of over \$1.9 million. On October 14, 1998, a final judgment

was entered against Cochrane, which (i) permanently enjoined Cochrane from violations of Sections 10(b) and 14(e) of the Securities Exchange Act of 1934 and Rules 10b-5 and 14e-3 thereunder; and (ii) required Cochrane to pay disgorgement of \$648,201.84 in trading profits and prejudgment interest. The judgment did not impose a civil penalty based on Cochrane's demonstrated inability to pay. On October 22, 1998, a final judgment was entered against Dow, which (i) permanently enjoined Dow from violations of Sections 10(b) and 14(e) of the Securities Exchange Act of 1934 and Rules 10b-5 and 14e-3 thereunder; and (ii) required Dow to pay disgorgement of \$489,214.78 in trading profits plus prejudgment interest. The judgment did not require Dow to pay disgorgement and prejudgment interest in excess of the funds in accounts that the SEC had froze, approximately \$469,000, or any civil penalty, based on Dow's inability to pay. On July 7, 1999, a final judgment was entered against Thifault, which (1) permanently enjoined Thifault from violations of Sections 10(b) and 14(e) of the Securities Exchange Act of 1934 and Rules 10b-5 and 14e-3 thereunder; and (2) required Thifault to pay disgorgement of approximately \$460,000 in trading profits and prejudgment interest, and approximately \$ 333,000 in civil penalties. The judgment did not impose additional amounts as civil penalties based on Thifault's demonstrated inability to pay.

Dow, Cochrane, and Thifault previously pled guilty to criminal charges of insider trading. Dow was sentenced to two years imprisonment and ordered to pay \$469,595 in restitution. Thifault was sentenced to five years' probation, to include one year of home confinement. Cochrane is awaiting sentencing. [SEC v. Arjun Sekhri, Amolak Sehgal, Pratima Rajan, Fuad Dow, Gordon W. Cochrane, Martin L. Thifault, Rohina Sharma, and Sharad Kapoor, defendants, and Mahendar Sekhri and Sharda Sekhri, relief defendants, Civil Action No. 98 Civ. 2320, SDNY, RPP; U.S. v. Arjun Sekhri, a/k/a "A.J.", 99 MAG. 1148, SDNY, MHD] (LR-16333)

INVESTMENT COMPANY ACT RELEASES

FRANKLIN GOLD FUND, ET AL.

An order has been issued on an application filed by Franklin Gold Fund, et al. granting an exemption from Sections 12(d) (1), 17(a) (1), 17(a) (3), 17(d), 18(f) and 21(b) of the Investment Company Act and Rule 17d-1 under the Act. The order permits certain registered management investment companies to participate in a joint lending and borrowing facility. (Rel. IC-24080 - October 13)

PACIFIC SELECT FUND, ET AL.

An order has been issued on an application filed by Pacific Select Fund, et al. for an exemption from Section 15(a) of the Investment Company Act and Rule 18f-2 under the Act. The order permits

applicants to enter into and materially amend investment subadvisory agreements without obtaining shareholder approval. (Rel. IC-24081 - October 13)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change (SR-PCX-99-34) filed by the Pacific Exchange relating to market maker and book charges has become immediately effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of October 11. (Rel. 34-41994)

APPROVAL OF PROPOSED RULE CHANGES

The Commission approved a proposed rule change and granted accelerated approval to amendments to the proposed rule change filed by the Chicago Board Options Exchange (SR-CBOE-99-29) to allow RAES orders to trade against orders in the Exchange's limit order book. (Rel. 34-41995)

The Commission approved a proposed rule change and amendment No. 1 and issued notice of filing and granted accelerated approval to Amendment No. 2 to a proposed rule change submitted by the New York Stock Exchange (SR-NYSE-98-47) to adopt Rule 440 I requiring records of compensation arrangements concerning floor brokerage. (Rel. 34-41996)

PROPOSED RULE CHANGES

The American Stock Exchange filed a proposed rule change (SR-Amex-98-33) to permit operation of a pilot program relating to Rule 462 (Minimum Margins) applicable to Portfolio Depositary Receipts and Index Fund Shares. Publication of the notice and order is expected in the Federal Register during the week October 18. (Rel. 34-41999)

The Chicago Stock Exchange filed a proposed rule change (SR-CHX-99-17) to modify the prerequisites to voluntary delisting from the Exchange. Publication of the proposal is expected in the Federal Register during the week of October 18. (Rel. 34-42001)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or

depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-1 THOMSON MULTIMEDIA, 46 QUAI A LE GALLO, 92100, BOULOGNEFRANCE, IO 00000
- \$70,000,000 FOREIGN COMMON STOCK. (FILE 333-10986 - OCT. 07) (BR 2)
- S-3 READERS DIGEST ASSOCIATION INC, READERS DIGEST ROAD, PLEASANTVILLE, NY
10570 (914) 238-1000 - 11,500,000 (\$324,156,250) COMMON STOCK. (FILE
333-88625 - OCT. 08) (BR. 5)
- S-8 CUTTER & BUCK INC, 2701 FIRST AVE, STE 500, SEATTLE, WA 98121
(206) 622-4191 - 150,000 (\$2,409,375) COMMON STOCK. (FILE 333-88627 -
OCT. 08) (BR. 2)
- S-1 NET VALUE HOLDINGS INC, TWO PENN CENTER PLAZA, SUITE 605, PHILADELPHIA,
PA 19102 (215) 564-9190 - 3,722,560 (\$16,286,200) COMMON STOCK. (FILE
333-88629 - OCT. 08) (BR. 9)
- S-8 EGLOBE INC, 1250 24TH STREET NW, SUITE 725, WASHINGTON, DC 20037
(303) 691-2115 - 1,500,000 (\$4,218,750) COMMON STOCK. (FILE 333-88633 -
OCT. 08) (BR. 8)
- S-8 DOT HILL SYSTEMS CORP, 6305 EL CAMINO REAL, CARLSBAD, CA 92009
(212) 989-4455 - 2,990,844 (\$24,066,826 30) COMMON STOCK. (FILE 333-88635
- OCT. 08) (BR. 3)
- N-2 KEMPER MUNICIPAL INCOME TRUST, 222 SOUTH RIVERSIDE PLAZA, CHICAGO, IL
60606 (312) 537-1569 - 10,000 (\$50,000,000) PREFERRED STOCK. (FILE
333-88637 - OCT. 08) (BR. 22)
- S-8 INTERNET BUSINESS INTERNATIONAL INC, 3900 BIRCH STREET, SUITE 111,
NEWPORT BEACH, CA 92660 (714) 858-8800 - 10,000,000 (\$10,000) COMMON STOCK
(FILE 333-88639 - OCT. 08) (BR. 4)
- S-8 VIRGINIA GAS CO, 200 EAST MAIN STREET, ABINGDON, VA 24210 (540) 676-2380
- 260,000 (\$1,072,500) COMMON STOCK. (FILE 333-88641 - OCT. 08) (BR 9)
- S-8 FIRST STERLING BANKS INC, 1200 BARRETT PARKWAY, KENNESAW, GA 30144
(770) 499-2265 - 100,000 (\$1,039,752.50) COMMON STOCK. (FILE 333-88645 -
OCT. 08) (BR. 7)
- S-8 AMERICA SERVICE GROUP INC /DE, 105 WESTPARK DR, STE 300, BRENTWOOD, TN
37027 (615) 373-3100 - 766,000 (\$10,512,750) COMMON STOCK. (FILE
333-88649 - OCT. 08) (BR. 1)
- S-3 GENENTECH INC, 1 DNA WAY, SOUTH SAN FRANCISCO, CA 94080 (415) 225-1000
- 22,000,000 (\$3,354,312,500) COMMON STOCK (FILE 333-88651 - OCT. 08)
(BR 1)
- S-8 LG&E ENERGY CORP, 220 W MAIN ST, P O BOX 32030, LOUISVILLE, KY 40232
(502) 627-2000 - 1,000,000 (\$21,141,000) COMMON STOCK. (FILE 333-88653 -
OCT. 08) (BR 2)
- S-8 BANKUNITED FINANCIAL CORP, 255 ALHAMBRA CIRCLE, CORAL GABLES, FL 33134
(305) 569-2000 - 62,500 (\$501,953) COMMON STOCK. (FILE 333-88655 -
OCT 08) (BR. 7)
- S-8 RANGE RESOURCES CORP, 500 THROCKMORTON ST, FORTH WORTH, TX 76102
(817) 870-2601 - 475,500 (\$1,902,000) COMMON STOCK. (FILE 333-88657 -
OCT. 08) (BR. 4)

S-8 DUALSTAR TECHNOLOGIES CORP, 11-30 47TH AVE, LONG ISLAND CITY, NY 11101
(718) 340-6655 - 1,300,000 (\$7,475,000) COMMON STOCK. (FILE 333-88659 -
OCT. 08) (BR. 6)

S-3 CHIEFTAIN INTERNATIONAL INC, 1201 TORONTO DOMINION TWR,
EDMONTON CENTRE, EDMONTON, ALBERTA, CANADA T5J 2Z1, A0 T5J 2 (403) 425-1950
(FILE 333-88661 - OCT. 08) (BR. 4)

S-8 WAVE SYSTEMS CORP, 480 PLEASANT ST, LEE, MA 01238 (413) 243-1600 -
435,000 (\$4,158,600) COMMON STOCK. (FILE 333-88665 - OCT 08) (BR. 3)

S-8 SBC COMMUNICATIONS INC, 175 E HOUSTON, ROOM 9-4, SAN ANTONIO, TX 78205
(210) 821-4105 - 70,037,122 (\$3,569,722,071) COMMON STOCK. (FILE
333-88667 - OCT. 08) (BR. 7)

S-8 ADC TELECOMMUNICATIONS INC, 12501 WHITEWATER DR., MINNETONKA, MN 55343
(612) 938-8080 - 2,427,495 (\$102,343,189.20) COMMON STOCK. (FILE
333-88669 - OCT 08) (BR. 7)

S-3 PROXYMED INC /FT LAUDERDALE/, 2555 DAVIE ROAD, SUITE 110,
FORT LAUDERDALE, FL 33317 (954) 473-1001 - 472,500 (\$5,640,468 75)
COMMON STOCK. (FILE 333-88671 - OCT. 08) (BR. 1)

S-8 LG&E ENERGY CORP, 220 W MAIN ST, P O BOX 32030, LOUISVILLE, KY 40232
(502) 627-2000 - 4,500,000 (\$96,345,000) COMMON STOCK (FILE 333-88673 -
OCT 08) (BR. 2)

S-8 GUITAR CENTER INC, 5155 CLARETON DR, AGOURA HILLS, CA 91301
(818) 735-8800 - 1,350,000 (\$12,865,500) COMMON STOCK. (FILE 333-88675 -
OCT 08) (BR. 2)

S-8 GUITAR CENTER INC, 5155 CLARETON DR, AGOURA HILLS, CA 91301
(818) 735-8800 - 250,505 (\$5,087,757) COMMON STOCK. (FILE 333-88677 -
OCT. 08) (BR. 2)

S-4 HOLLYWOOD CASINO SHREVEPORT, TWO GALLERIA TOWER SUITE 2200,
13455 NOEL ROAD, DALLAS, TX 75240 (972) 392-7777 - 150,000,000
(\$150,000,000) MORTGAGE BONDS. (FILE 333-88679 - OCT 08) (BR 8
- NEW ISSUE)

S-8 ALLIED CAPITAL CORP, 1666 K ST NW STE 901, WASHINGTON, DC 20006
(202) 331-1112 - 400,000 (\$8,700,000) COMMON STOCK (FILE 333-88681 -
OCT. 08) (BR. 16)

S-8 NASHUA CORP, 44 FRANKLIN ST, PO BOX 2002, NASHUA, NH 03061
(603) 880-2323 - 600,000 (\$5,325,000) COMMON STOCK. (FILE 333-88683 -
OCT. 08) (BR. 4)

S-4 GRAND COURT LIFESTYLES INC, 2650 NORTH MILITARY TRAIL, STE 350,
BOCA RATON, FL 33431 (407) 997-0323 - 15,000,000 (\$15,000,000)
STRAIGHT BONDS (FILE 333-88685 - OCT 08) (BR 8)

S-1 VA LINUX SYSTEMS INC, 1382 BORDEAUX DR, SUNNYVALE, CA 94089
(408) 542-8000 - \$70,000,000 COMMON STOCK. (FILE 333-88687 - OCT. 08)
(BR. 3 - NEW ISSUE)

S-8 BERENS INDUSTRIES INC, 701 NORTH POST OAK ROAD, SUITE 350, HOUSTON, TX
77024 (713) 682-7400 - 66,750 (\$175,219) COMMON STOCK. (FILE 333-88689 -
OCT. 08) (BR. 9)

S-8 CLARIFY INC, 2125 ONEL DRIVE, SAN JOSE, CA 95134 (408) 965-7000 -
350,207 (\$14,128,951) COMMON STOCK. (FILE 333-88691 - OCT. 08) (BR 3)

S-8 CISCO SYSTEMS INC, 170 WEST TASMAN DRIVE, SAN JOSE, CA 95134
(408) 526-4000 - 415,352 (\$5,233,436) COMMON STOCK. (FILE 333-88695 -
OCT. 08) (BR. 3)

S-3 COLLAGENEX PHARMACEUTICALS INC, 41 UNIVERSITY DRIVE, NEWTON, PA 18940
(215) 579-7388 - 1,639,495 (\$33,225,897) COMMON STOCK. (FILE 333-88697 -
OCT. 08) (BR. 1)

S-8 CISCO SYSTEMS INC, 170 WEST TASMAN DRIVE, SAN JOSE, CA 95134
(408) 526-4000 - 963,659 (\$6,013,232.16) COMMON STOCK (FILE 333-88699 -

OCT. 08) (BR. 3)

- S-3 TITAN PHARMACEUTICALS INC, 400 OYSTER POINT BLVD, SUITE 505,
SAN FRANCISCO, CA 94080 (415) 244-4990 - 417,252 (\$4,224,677) COMMON STOCK.
417,252 (\$1,760,386) WARRANTS, OPTIONS OR RIGHTS. 516,083 (\$5,225,340)
COMMON STOCK (FILE 333-88701 - OCT. 08) (BR. 1)
- S-3 GERON CORPORATION, 230 CONSTITUTION DRIVE, MENLO PARK, CA 94025
(650) 473-7700 - 92,000 (\$971,520) COMMON STOCK. (FILE 333-88705 -
OCT. 08) (BR 1)
- S-3 PREMIERE TECHNOLOGIES INC, 3399 PEACHTREE RD NE, LENOX BLDG STE 400,
ATLANTA, GA 30326 (404) 262-8400 - 448,844 (\$2,608,906) COMMON STOCK.
(FILE 333-88707 - OCT. 08) (BR. 7)
- S-8 UNION PACIFIC CORP, 1416 DODGE STREET, MC 10015, OMAHA, NE 68179
(214) 743-5600 - 25,000,000 (\$25,000,000)
OTHER SECURITIES INCLUDING VOTING TRUST. (FILE 333-88709 - OCT. 08)
(BR. 5)
- S-8 CORPORATE OFFICE PROPERTIES TRUST, 401 CITY AVENUE, SUITE 615,
BALA CYNWYD, PA 19004 (610) 538-1800 - 2,850,623 (\$35,772,048)
COMMON STOCK. (FILE 333-88711 - OCT. 08) (BR. 8)
- S-3 MEDIAONE GROUP INC, 188 INVERNESS DRIVE WEST, ENGLEWOOD, CO 80112
(303) 793-6500 - \$5,000,000,000 STRAIGHT BONDS. (FILE 333-88713 - OCT. 08)
(BR 7)
- S-8 SPIEKER PROPERTIES INC, 2180 SAND HILL RD, STE 200, MENLO PARK, CA 94025
(650) 854-5600 - 2,963,325 (\$103,345,960) COMMON STOCK (FILE 333-88717 -
OCT 08) (BR 8)
- S-8 VISUAL NETWORKS INC, 2092 GAITHER RD, SUITE 220-I, ROCKVILLE, MD 20850
(301) 296-2300 - 410,620 (\$1,505,113.64) COMMON STOCK. (FILE 333-88719 -
OCT. 08) (BR. 3)
- S-8 NETIQ CORP, 5410 BETSY ROSS DR, SANTA CLARA, CA 95054 (408) 330-7000 -
4,428,114 (\$53,526,587.23) COMMON STOCK. (FILE 333-88721 - OCT. 08)
(BR 3)
- S-4 PROVIDENT FINANCIAL GROUP INC, ONE E FOURTH ST, CINCINNATI, OH 45202
(513) 579-2000 - 4,799,441 (\$164,552,256) COMMON STOCK. (FILE 333-88723 -
OCT 08) (BR. 7)
- S-8 INTERWOVEN INC, 1195 W FREMONT AVE, STE 2000, SUNNYVALE, CA 94087
(408) 774-2000 - 4,444,828 (\$63,727,240) COMMON STOCK (FILE 333-88725 -
OCT 08) (BR. 3)
- S-3 ELECTRO SCIENTIFIC INDUSTRIES INC, 13900 NW SCIENCE PARK DR, PORTLAND,
OR 97229 (503) 641-4141 - 509,250 (\$25,971,750) COMMON STOCK. (FILE
333-88727 - OCT. 08) (BR. 5)
- S-1 IMAGINON INC /DE/, 1313 LAUREL STREET, SUITE 1, SAN CARLOS, CA 94070
(650) 596-9300 - 2,959,458 (\$6,993,677) COMMON STOCK. (FILE 333-88729 -
OCT. 08) (BR 3)
- S-3 SUNGARD DATA SYSTEMS INC, 1285 DRUMMERS LN, STE 300, WAYNE, PA 19087
(610) 341-8700 - 2,182,761 (\$42,018,149) COMMON STOCK. (FILE 333-88733 -
OCT 08) (BR 3)
- S-4 MOTOROLA INC, 1303 E ALGONQUIN RD, SCHAUMBURG, IL 60196 (847) 576-5000
- 122,045,480 (\$234,629,496 40) COMMON STOCK. (FILE 333-88735 - OCT 08)
(BR 7)
- S-1 INFINITE TECHNOLOGY GROUP LTD, 77 JERICHO TURNPIKE, MINEOLA, NY 11501
(516) 877-1605 - 2,440,000 (\$28,463,200) COMMON STOCK. (FILE 333-88737 -
OCT 08) (NEW ISSUE)
- S-4 PHOTRONICS INC, 1061 INDIANTOWN RD, SUITE 318, JUPITER, FL 33477
(561) 745-1222 - 5,725,669 (\$96,664,609) COMMON STOCK (FILE 333-88739 -
OCT 08) (BR 5)

S-8 SFX ENTERTAINMENT INC, 650 MADISON AVENUE, 16TH FLOOR, NEW YORK, NY
10022 (212) 838-3100 - 43,635 (\$1,434,500) COMMON STOCK. (FILE 333-88741 -
OCT. 08) (BR. 5)

S-8 SFX ENTERTAINMENT INC, 650 MADISON AVENUE, 16TH FLOOR, NEW YORK, NY
10022 (212) 838-3100 - 32,875 (\$147,937,500) COMMON STOCK (FILE
333-88743 - OCT. 08) (BR. 5)

S-8 APACHE MEDICAL SYSTEMS INC, 1650 TYSONS BLVD, MCLEAN, VA 22102
(703) 847-1400 - 500,000 (\$648,500) COMMON STOCK (FILE 333-88745 -
OCT. 08) (BR. 3)

S-8 APACHE MEDICAL SYSTEMS INC, 1650 TYSONS BLVD, MCLEAN, VA 22102
(703) 847-1400 - 120,000 (\$155,640) COMMON STOCK. (FILE 333-88747 -
OCT. 08) (BR. 3)

S-4 LYCOS INC, 400 2 TOTTEPOND ROAD, WALTHAM, MA 02451 (781) 370-2700 -
2,585,980 (\$4,200,328) COMMON STOCK (FILE 333-88749 - OCT 08) (BR 3)

S-1 MEDIA METRIX INC, 35 EAST 21ST ST , NEW YORK, NY 10010 (212) 460-7980 -
3,450,000 (\$203,388,195) COMMON STOCK (FILE 333-88751 - OCT 08) (BR. 8)

S-1 ALEC HOLDINGS INC, 510 L STREET, SUITE 500, ANCHORAGE, AK 99501
(907) 297-3000 - \$200,000,000 COMMON STOCK. (FILE 333-88753 - OCT. 08)
(BR 7)

S-3 ECHOSTAR COMMUNICATIONS CORP, 5701 SOUTH SANTA FE DRIVE, LITTLETON, CO
80120 (303) 723-1000 - 17,206,232 (\$1,547,485,491) COMMON STOCK. (FILE
333-88755 - OCT. 12) (BR. 7)

S-3 COVAD COMMUNICATIONS GROUP INC, 2330 CENTRAL EXPRESSWAY, 300,
SANTA CLARA, CA 95050 - 14,950,000 (\$578,415,500) COMMON STOCK. (FILE
333-88757 - OCT 12) (BR 7)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant
- Item 2 Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors
- Item 7. Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year.
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N W , Washington, D C 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website <www sec gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ACTIVISION INC /NY	DE				X	X					10/13/99	
ADVANTAGE MEDIA GROUP INC /OK	OK	X	X			X					09/30/99	
AG HOLDINGS INC /WA	WA			X							07/24/99	
AIRPLANES LTD	DE				X						10/13/99	
AIRPLANES US TRUST	DE				X						10/13/99	
ALARIS MEDICAL INC	DE				X	X					10/13/99	
ALARIS MEDICAL SYSTEMS INC	DE				X	X					10/13/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ALEC HOLDINGS INC	DE				X	X					10/14/99	
ALPNET INC	UT	X				X					07/30/99	AMEND
ALTERNATIVE TECHNOLOGY RESOURCES IN C	DE				X	X					09/28/99	
AM COMMUNICATIONS INC	DE			X		X					10/11/99	
AMERICAN HOME PRODUCTS CORP	DE				X						10/11/99	
AMERICAN SKIING CO /ME	ME				X	X					10/06/99	
AMERICAN STANDARD COMPANIES INC	DE			X							10/07/99	
AMERICAN STANDARD INC	DE				X						10/07/99	
AMERICREDIT FINANCIAL SERVICES INC	DE				X	X					10/11/99	
AMERICREDIT FINANCIAL SERVICES INC	DE				X	X					10/15/99	
AMERISTEEL CORP	FL	X				X					09/27/99	
AMFM INC	DE				X	X					09/27/99	
AMFM INC	DE				X	X					10/12/99	
APACHE CORP	DE				X	X					10/05/99	
ARV ASSISTED LIVING INC	DE				X	X					09/30/99	
ASCHE TRANSPORTATION SERVICES INC	DE				X						09/24/99	
ASSOCIATES CORPORATION OF NORTH AME RICA	DE				X						10/14/99	
ASTA FUNDING INC	DE				X						09/30/99	
ASYMETRIX LEARNING SYSTEMS INC	WA				X	X					09/30/99	
AVERT INC	CO				X	X					10/12/99	
BARGO ENERGY CO	TX				X	X					10/06/99	
BARTON BEERS LTD	MD				X						10/12/99	
BARTON BRANDS LTD /DE/	DE				X						10/12/99	
BARTON BRANDS OF CALIFORNIA INC	CT				X						10/12/99	
BARTON BRANDS OF GEORGIA INC	GA				X						10/12/99	
BARTON DISTILLERS IMPORT CORP	NY				X						10/12/99	
BARTON FINANCIAL CORP	DE				X						10/12/99	
BARTON INC	DE				X						10/12/99	
BATAVIA WINE CELLARS INC	NY				X						10/12/99	
BAUSCH & LOMB INC	NY	X									09/25/99	
BEACON CAPITAL PARTNERS INC	MD				X	X					10/01/99	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					X					09/27/99	
BEAR STEARNS COMPANIES INC	DE				X						09/24/99	
BECTON DICKINSON & CO	NJ				X						10/13/99	
BELMONT BANCORP	OH				X	X					10/14/99	
BETA OIL & GAS INC	NV				X						10/14/99	
BION ENVIRONMENTAL TECHNOLOGIES INC	CO				X						10/13/99	
BOLDER TECHNOLOGIES CORP	DE				X	X					09/22/99	
BRT REALTY TRUST	MA				X	X					10/06/99	
BRUNSWICK CORP	DE	X									10/07/99	
BUCKEYE TECHNOLOGIES INC	DE		X								10/19/99	
BUILDERS TRANSPORT INC	DE				X	X					09/20/99	
C COR NET CORP	PA				X	X					09/17/99	AMEND
C COR NET CORP	PA				X	X					09/17/99	AMEND
CAL MAINE FOODS INC	DE		X			X					09/30/99	
CALIFORNIA COASTAL COMMUNITIES INC	DE				X	X					10/14/99	
CANADIAN DERIVATIVES CLEARING CORP					X	X					09/14/99	
CANANDAIGUA BRANDS INC	DE				X						10/12/99	
CANANDAIGUA EUROPE LTD	NY				X						10/12/99	
CANANDAIGUA LTD	NY				X						10/12/99	
CANANDAIGUA WINE CO INC /NY/	NY				X						10/12/99	
CAPITAL ONE FINANCIAL CORP	DE				X	X					10/14/99	
CAPITAL RE CORP	DE				X	X					10/10/99	
CAPSTAR BROADCASTING PARTNERS INC	DE				X	X					09/27/99	
CAPSTAR BROADCASTING PARTNERS INC	DE				X	X					10/12/99	
CAPSTAR COMMUNICATIONS INC	DE				X	X					09/27/99	AMEND
CAPSTAR RADIO BROADCASTING PARTNERS	DE				X	X					09/27/99	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT	
		CODE	1	2	3	4	5	6	7	8			9
CAPSTAR RADIO BROADCASTING PARTNERS INC	DE					X	X					10/12/99	
CARNEGIE INTERNATIONAL CORP	CO							X				09/21/99	AMEND
CD RADIO INC	DE					X	X					09/29/99	
CINCINNATI BELL INC /OH/	OH				X							10/15/99	
CITATION CORP /AL/	DE					X	X					10/12/99	
CLARION TECHNOLOGIES INC/DE/	DE		X					X				10/01/99	
CNET INC /DE	DE		X					X				07/29/99	AMEND
COLORADO MEDTECH INC	CO					X	X					10/14/99	
COMCAST CORP	PA					X	X					10/12/99	
COMMAND SECURITY CORP	NY			X								10/12/99	
COMMERCIAL FEDERAL CORP	NE					X	X					10/13/99	
COMMERCIAL MORTGAGE PASS THROUGH CE RT SER 1999-CG3	DE					X	X					06/16/99	
COMMUNITY NATIONAL BANCORPORATION	GA	X										10/12/99	
COMPUTER NETWORK TECHNOLOGY CORP	MN						X					10/13/99	
CONSOLIDATED CAPITAL INSTITUTIONAL PROPERTIES 2	CA					X	X					09/22/99	
CONTANGO OIL & GAS CO	NV						X					09/29/99	
CONTROLLED ENVIRONMENTAL AQUACULTUR E TECHNOLOGY INC	CO	X										10/08/99	
COOPER COMPANIES INC	DE					X	X					10/04/99	
CORNERSTONE REALTY INCOME TRUST INC	VA					X	X					10/01/99	
CORNING INC /NY	NY	X										09/30/99	
CORPORATE ASSET BACKED CORP	DE							X				10/01/99	
CPI CORP	DE	X										10/13/99	
CRIIMI MAE FINANCIAL CORP	MD						X					10/14/99	
CRITICARE SYSTEMS INC /DE/	DE					X						10/05/99	
DATA SYSTEMS & SOFTWARE INC	DE						X	X				10/12/99	
DESIGN AUTOMATION SYSTEMS INC	TX		X					X				07/30/99	AMEND
DEXTER CORP	CT						X					08/23/96	
DONALDSON LUFKIN & JENRETTE INC /NY /	DE						X					10/11/99	
DUSA PHARMACEUTICALS INC	NJ					X	X					10/14/99	
EARTHLINK NETWORK INC /DE/	DE						X	X				06/05/98	
EASTERN AIR LINES INC	DE			X								09/30/98	
EINSTEIN NOAH BAGEL CORP	DE					X						10/13/99	
ELECTRO SCIENTIFIC INDUSTRIES INC	OR							X				09/30/99	
ELECTRONIC DATA SYSTEMS CORP /DE/	DE					X	X					10/12/99	
EPL TECHNOLOGIES INC	CO					X	X					10/08/99	
ERLY INDUSTRIES INC	CA				X		X					08/09/99	AMEND
EVERCEL INC									X			10/06/99	
EVERFLOW EASTERN PARTNERS LP	DE					X						10/15/99	
FINGERHUT MASTER TRUST	DE						X					10/14/99	
FINGERHUT RECEIVABLES INC	DE						X					10/14/99	
FIRST CHARTER CORP /NC/	NC					X	X					10/12/99	
FIRST LEESPORT BANCORP INC	PA					X	X					10/01/99	
FITZGERALDS GAMING CORP	NV	X										09/29/99	
FLEET CREDIT CARD MASTER TRUST II	NY		X									10/15/99	
FLYCAST COMMUNICATIONS CORP	DE		X					X				09/29/99	
FORD CREDIT AUTO LOAN MASTER TRUST	MI					X	X					09/30/99	
FORD CREDIT AUTO RECEIVABLES TWO L P	DE					X	X					09/30/99	
FORTIS BENEFITS INSURANCE CO	MN	X										10/01/99	
FRANKLIN RESOURCES INC	DE					X						09/30/99	
GART SPORTS CO	DE					X	X					10/06/99	
GENCORP INC	OH		X					X				10/01/99	
GENERAL MOTORS ACCEPTANCE CORP	DE	X										09/30/99	
GENERAL MOTORS CORP	DE					X						10/13/99	
GEOWORKS /CA/	DE					X						09/20/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
GOLDEN STAR RESOURCES LTD				X					X		09/30/99	
GOOD TIMES RESTAURANTS INC	NV					X					09/23/99	
GRACE DEVELOPMENT INC	CO	X	X					X			09/28/99	
HAMPSHIRE GROUP LTD	DE				X						10/14/99	
HARBOR BANKSHARES CORP	MD		X								09/14/99	
HASBRO INC	RI					X		X			10/14/99	
HAWKS INDUSTRIES INC	WY	X	X								06/10/99	AMEND
HCIA INC	MD					X		X			08/11/99	
HEADLANDS MORTGAGE SECURITIES INC	DE		X					X			09/29/99	
HEALTHSTAR CORP /UT/	DE					X					09/23/99	
HELLER FINANCIAL INC	DE					X		X			10/06/99	
HEMAGEN DIAGNOSTICS INC	DE	X									09/30/99	AMEND
HOME BANCORP/IN	IN							X			10/13/99	
HUGHES ELECTRONICS CORP	DE					X					10/13/99	
HYPERDYNAMICS CORP	DE							X			09/30/99	
ICON INCOME FUND EIGHT /DE	DE	X									10/14/99	
IKON RECEIVABLES LLC	DE					X		X			10/01/99	
INLAND RETAIL REAL ESTATE TRUST INC	MD		X			X		X			09/30/99	
INSO CORP	DE							X			09/30/99	
INTEL CORP	DE	X									10/12/99	
INTERNATIONAL NETWORK SERVICES	DE					X					10/13/99	
INTERNATIONAL PAPER CO /NEW/	NY					X		X			10/12/99	
INTERNET STOCK MARKET RESOURCES INC	DE					X		X			10/14/99	
IXC COMMUNICATIONS INC	DE					X					10/13/99	
IXNET INC	DE					X		X			09/24/99	
KENETECH CORP	DE					X					10/13/99	
KEYSTONE PROPERTY TRUST	MD					X		X			10/13/99	
KIRBY CORP	NV	X									09/14/99	
LADD FURNITURE INC	NC					X		X			10/13/99	
LAILAW GLOBAL CORP	DE							X			07/01/99	AMEND
LAMAUR CORP	DE		X								09/30/99	
LIFE FINANCIAL CORP	DE					X		X			10/07/99	
LONE STAR INDUSTRIES INC	DE	X									10/01/99	
LORAL ORION INC	DE					X					09/28/99	
LORAL SPACE & COMMUNICATIONS LTD	DO					X					09/28/99	
MACROMEDIA INC	DE		X					X			09/30/99	
MAHASKA INVESTMENT CO	IA		X								10/14/99	
MARKETING SPECIALISTS CORP	DE							X	X		10/06/99	
MARVEL ENTERPRISES INC	DE					X		X			10/08/99	
MBNA AMERICA BANK NATIONAL ASSOCIAT ION	DE					X		X			09/30/99	
MBNA AMERICA BK NAT ASSOC MBNA MAST ER CREDIT CARD TRUST			X					X			09/30/99	
MBNA AMERICA BK NAT ASSOC MBNA MAST ER CREDIT CARD TRUST II						X		X			09/30/99	
MBNA CORP	MD							X			09/30/99	
MELLON BANK NA MELLON BANK HOME EQU ITY LOAN TRUST 1996-1	NY					X		X			10/12/99	
MERRILL LYNCH MORT INVEST INC MRT L N AS BCKD CRT SR 1999 CB1	DE					X		X			09/27/99	
METAMOR WORLDWIDE INC	DE		X					X			09/30/99	
METROMEDIA FIBER NETWORK INC	DE		X					X			09/08/99	AMEND
METROMEDIA INTERNATIONAL GROUP INC	DE		X			X		X			09/30/99	
MICRON ELECTRONICS INC	MN					X					10/09/99	
MONARCH IMPORT CO	IL					X					10/12/99	
MORGAN STANLEY AIRCRAFT FINANCE	DE					X					10/14/99	
NATIONAL RURAL UTILITIES COOPERATIV E FINANCE CORP /DC/	DC							X			10/08/99	
NATIONAL STEEL CORP	DE					X		X			10/13/99	
NAVIDEC INC	CO					X		X			09/30/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
NBC CAPITAL CORP	MS						X				09/15/99	AMEND
NEIMAN MARCUS FUNDING CORP	DE					X					10/08/99	
NETRIX CORP	DE					X	X				09/30/99	
NEW CENTURY HOME EQUITY LOAN TRUST SERIES 1999-NCB	DE	X					X				09/28/99	
NEW WORLD COFFEE MANHATTAN BAGEL IN C	DE					X					09/29/99	
NORTHERN STATES POWER CO /MN/	MN					X					10/14/99	
NOVACARE INC	DE					X	X				09/21/99	
NOVELL INC	DE					X					09/30/99	
NRG ENERGY INC	DE					X					10/14/99	
OCWEN ASSET INVESTMENT CORP	VA	X					X				10/07/99	
OFFICE DEPOT INC	DE					X	X				10/14/99	
ONEOK INC /NEW/	OK					X	X				10/14/99	
OPENROUTE NETWORKS INC	MA					X	X				09/30/99	
OWENS CORNING	DE					X					06/14/99	
PAINÉ WEBBER GROUP INC	DE					X	X				07/13/99	
PAINÉ WEBBER INCOME PROPERTIES SIX LTD PARTNERSHIP	DE	X					X				09/30/99	
PALEX INC	DE					X	X				10/06/99	
PARTICIPATING DEVELOPMENT FUND 86	CT	X									10/01/99	
PENN LAUREL FINANCIAL CORP	PA					X	X				09/27/99	
PEOPLES BANK	CT					X	X				09/29/99	
PHELPS DODGE CORP	NY					X	X				10/13/99	
PLATINUM ENTERTAINMENT INC	DE	X									10/06/99	
PNC MORTGAGE SECURITIES CORP MORT P ASS THR CERT SER 1999-10	DE	X									10/14/99	
POLYPHENOLICS INC	NY					X					10/12/99	
POPULAR INC	PR					X	X				10/08/99	
PRICELINE COM INC	DE					X	X				10/13/99	
PRIMUS TELECOMMUNICATIONS GROUP INC	DE					X	X				10/13/99	
PROFESSIONAL BANCORP INC	PA					X	X				10/14/99	AMEND
PROGRESSIVE TELECOMMUNICATIONS CORP	NV	X	X				X				07/30/99	AMEND
PUBLIC SERVICE ELECTRIC & GAS CO	NJ					X	X				09/14/99	
PUBLIC SERVICE ENTERPRISE GROUP INC	NJ					X	X				09/14/99	
RARE MEDIUM GROUP INC	DE	X					X				10/12/99	
RECKSON SERVICES INDUSTRIES INC	DE					X	X				10/14/99	
REDDING BANCORP	CA					X					10/14/99	
REINSURANCE GROUP OF AMERICA INC	MO	X					X				09/29/99	
RESEARCH ENGINEERS INC	DE						X				09/14/99	AMEND
RESIDENTIAL ACCREDIT LOANS INC	DE					X	X				10/14/99	
RESIDENTIAL ASSET SECURITIES CORP	DE					X	X				10/14/99	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES I INC	DE					X	X				10/14/99	
RESIDENTIAL FUNDING MORTGAGE SECURI TIES II INC	DE					X	X				10/14/99	
ROBERTS TRADING CORP	NY					X					10/12/99	
ROWECOM INC	DE					X	X				10/13/99	
SAFE TECHNOLOGIES INTERNATIONAL INC	DE					X					10/13/99	
SALOMON SMITH BARNEY HOLDINGS INC	DE						X				10/11/99	
SB PARTNERS	NY	X									09/29/99	
SCM MICROSYSTEMS INC	DE					X	X				10/12/99	
SEARS CREDIT ACCOUNT MASTER TRUST I I	IL					X	X				10/15/99	
SENSAR CORP /NV/	NV					X					10/12/99	
SHAMPAN LAMPORT HOLDINGS LTD	WA					X					10/14/99	
SONUS PHARMACEUTICALS INC	DE					X	X				09/28/99	
SOUTH TEXAS DRILLING & EXPLORATION INC	TX	X					X				09/29/99	
SOUTHERN INVESTMENTS UK PLC		X					X				08/30/99	
SPALDING HOLDINGS CORP	DE					X	X				01/01/99	

NAME OF ISSUER	STATE CODE	8K ITEM NO.								DATE	COMMENT	
		1	2	3	4	5	6	7	8			9
STARMEDIA NETWORK INC	DE		X					X			09/29/99	
STEARNS & LEHMAN INC	OH		X					X			10/01/99	
STEVENS POINT BEVERAGE CO	WI					X					10/12/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					09/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					09/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					09/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					09/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					09/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					09/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					09/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					09/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					09/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					09/25/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					09/27/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					09/28/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					09/28/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					09/28/99	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					X					10/01/99	
SUFFOLK BANCORP	NY					X		X			10/13/99	
SUPERGEN INC	CA							X			08/12/99	AMEND
SYMBOLLON CORP	DE					X					10/13/99	
SYMMETRICOM INC	CA		X					X			09/30/99	
TELESCIENCES INC /DE/	DE	X									09/30/99	
TENFOLD CORP /UT	DE					X		X			09/30/99	
TRAVELNOWCOM INC	FL	X	X	X	X	X	X	X	X		07/23/99	AMEND
TRICON GLOBAL RESTAURANTS INC	NC		X								10/12/99	
TURBODYNE TECHNOLOGIES INC	DE					X					10/01/99	
U S SHELTER CORP /DE/	DE					X		X			09/30/99	
UNIFIED WESTERN GROCERS INC	CA	X				X		X			09/29/99	
UNIFIED WESTERN GROCERS INC	CA	X				X		X	X		09/29/99	
UNION BANKSHARES CORP	VA					X		X			09/27/99	AMEND
UNION PLANTERS MORT FIN CORP MORT P ASS THRU CERT SE 1999-1	NY					X		X			09/25/99	
UNITED MAGAZINE CO	OH					X					10/13/99	
UNITED SHIELDS CORP/OH/	CO		X					X			09/29/99	
USAA FEDERAL SAVINGS BANK			X								09/30/99	
USX CORP	DE					X		X			10/13/99	
VIKING DISTILLERY INC	GA					X					10/12/99	
VILLAGE FINANCIAL CORP	NJ					X		X			10/01/99	
VISIONGLOBAL CORP	NV					X		X			10/13/99	
VISTA GOLD CORP						X					10/14/99	
WEBHIRE INC	DE					X					10/13/99	
WESTERN RESOURCES INC /KS	KS					X					10/14/99	
WORLD CALLNET INC	DE	X	X					X			10/14/99	
XOMED SURGICAL PRODUCTS INC	DE		X					X			10/04/99	
YAMAHA MOTOR RECEIVABLES CORP	DE					X					10/15/99	
ZINDART LTD			X					X			07/28/99	AMEND
ZIXIT CORP	TX					X		X			10/13/99	
3DFX INTERACTIVE INC	CA					X		X			10/07/99	