SEC NEWS DIGEST

Issue 99-176

September 13, 1999

ENFORCEMENT PROCEEDINGS

PLAN OF DISGORGEMENT APPROVED

The Commission approved a plan of disgorgement and ordered distribution of disgorgement funds in connection with previously instituted and settled public administrative proceedings against Sharon Bartock. Notice of the plan was issued on January 22, 1999 (Rel. 33-7633). No comments were received. Pursuant to an order entered by the Commission on October 7, 1997, a payment of \$10,000 was made by Bartock to the Commission. This amount represents disgorgement and prejudgment interest paid by Bartock as a result of conduct described in the Order. Under the Plan of Disgorgement, the funds will be used to pay 13 investors to whom Bartock offered and sold the unregistered collateral trust bonds of C'est Lestial Waters, Inc. (Rels. 33-7738; 34-41853; File No. 3-9125)

LIBERTY MARKETING FRAUD ON THE ELDERLY PRELIMINARILY ENJOINED

The Commission announced that on September 9 Judge Jerry Buchmeyer, United States District Judge for the Northern District of Texas, granted the Commission's request for a preliminary injunction halting a fraudulent investment scheme targeting senior citizens that has been ongoing since 1992. The Commission's complaint charges that approximately 100 elderly individuals were fleeced of some \$2.5 million in the scheme. Under the guise of providing estate and financial planning services, the defendants solicited information about the senior citizens' assets and investments. Upon obtaining this information, the defendants encouraged the senior citizens to liquidate their legitimate investments, including bank certificates of deposit and other safe investments, and to invest the proceeds in phony promissory notes promising higher rates of return. In fact, according to the Commission's complaint, the issuers of the notes had no real business or did not exist and the defendants misappropriated, or stole, most of the elderly investors' funds.

In its complaint, filed August 30, 1999, the Commission alleges that the defendants, Davenport, Reeves, Russell and Roberts, violated antifraud and broker-dealer registration provisions of the federal securities laws. The Commission is seeking a permanent injunction restraining future violations of the federal securities laws; an order requiring disgorgement of all wrongfully obtained profits, with prejudgment interest; and civil penalties against each defendant. [SEC v. Gary Davenport, et al., USDC/ND/TX, Wichita Falls Division, 7:99-CV-185-R] (LR-16276)

CIVIL ACTION AGAINST MARIO IACOVIELLO

The Commission announced the filing on September 7 of a final judgment on consent against Mario J. Iacoviello of Vista, California in the United States District Court for the Southern District of New York. According to the Commission's complaint, filed on May 14, 1998, Iacoviello violated the antifraud provisions of the federal securities laws while employed as a registered representative with the San Diego branch office of La Jolla Securities Corp. by accepting undisclosed compensation for recommending and selling stock in RMS Titanic, Inc. to his clients.

Without admitting or denying the allegations in the Commission's complaint, Iacoviello consented to a permanent injunction against future violations of Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934, and Rule 10b-5 thereunder. Iacoviello also consented to pay disgorgement of \$30,325 plus prejudgment interest thereon of \$16,155.30, subject to a waiver of all but \$10,000 based upon Iacoviello's demonstrated inability to pay. [SEC v. Paul V. Montle, LS Capital Corporation, Paul V. Culotta, Carol C. Martino, CMA Noel, Ltd., Mario J. Iacoviello, Ilan Arbel and Europe American Capital Corporation, USDC, SDNY, 98 Civ. 3446, MP] (LR-16277)

INVESTMENT COMPANY ACT RELEASES

PARKSTONE ADVANTAGE FUND, ET AL.

An order has been issued pursuant to Section 6(c) of the Investment Company Act exempting Parkstone Advantage Fund (Fund) and National City Investment Management Company from the provisions of Section 9(a), 13(a), 15(a) and 15(b) of the Act, and Rules 6e-2(b)(15) and 6e-3(T)(b)(15) thereunder to the extent necessary to permit shares of the Fund to be sold to and held by separate accounts funding variable annuity and variable life insurance contracts issued by both affiliated and unaffiliated life insurance companies, and qualified pension and retirement plans outside the separate account context. (Rel. IC-24004 - September 9)

VISION GROUP OF FUNDS, INC. AND MANUFACTURERS AND TRADERS TRUST COMPANY

A notice has been issued giving interested persons until October 4, 1999, to request a hearing on an application filed by Vision Group of Funds, Inc. (Vision) and Manufacturers and Traders Trust Company

for an order exempting applicants from Section 17(a) of the Investment Company Act. The order would permit a series of Vision to acquire all of the assets, subject to the liabilities, of two other series of Vision. Because of certain affiliations, applicants may not rely on Rule 17a-8 under the Act. (Rel. IC-24005 - September 9)

THE TRAVELERS INSURANCE COMPANY, ET AL.

A notice has been issued giving interested persons until October 1, 1999, to request a hearing on an application filed by The Travelers Insurance Company, The Travelers Life and Annuity Company, The Travelers Fund BD III for Variable Annuities, The Travelers Fund BD IV for Variable Annuities, and Tower Square Securities, Inc. (collectively Applicants). Applicants seek an order pursuant to Section 6(c) of the Investment Company Act granting exemptions from Sections 2(a)(32), 22(c), 27(i)(2)(A) of the Act and Rule 22c-1 thereunder, to the extent necessary to assess a cancellation charge against contract owners enrolled in a principal protection feature who surrender or partially withdraw from the contract before the principal protection feature's expiration date. (Rel. IC-24006 - September 10)

SELF-REGULATORY ORGANIZATIONS

ACCELERATED APPROVAL AND NOTICE OF AMENDMENT

The Commission approved a proposed rule change filed by the <u>Chicago Board Options Exchange</u> relating to the operation of CBOE's Retail Automated Execution System (RAES). The Commission also noticed and approved on an accelerated basis Amendment No. 3 to that filing, which updates and clarifies the language of the rules relating to RAES. Publication is expected in the <u>Federal Register</u> during the week of September 6. (Rel. 34-41821)

COMMISSION ORDER ISSUED

The Commission issued an order directing the American Stock Exchange, the Chicago Board Options Exchange, the New York Stock Exchange, the Pacific Exchange, the Philadelphia Stock Exchange, the Securities Industry Automation Corp., and the Options Price Reporting Authority to act jointly in planning, developing, and discussing approaches and strategies with respect to options quote message traffic. (Rel. 34-41843)

WITHDRAWAL SOUGHT

A notice has been issued giving interested persons until September 30 to comment on the application of Local Financial Corporation to withdraw its Common Stock, \$.01 par value, from listing and registration on the <u>American Stock Exchange</u>. (Rel. 34-41846)

DELISTINGS GRANTED

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration Graham-Field Health Products, Inc., Common Stock, par value \$.025 per share. (Rel. 34-41850)

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration Holophane Corporation, Common Stock, \$.01 par value per share. (Rel. 34-41851)

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration Paragon Trade Brands, Inc., Common Stock, par value \$.01 per share. (Rel. 34-41852)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- F-3 ELAN CORP PLC, LINCOLN HOUSE LINCOLN PLACE, COUNTY WESTMEATH,
 DUBLIN 2 IRELAND, L2 (353) 709-4000 1,643,546,000 (\$862,532,941)
 STRAIGHT BONDS (FILE 333-10726 AUG 27) (BR 1)
- F-6 ORIX CORP /ADR/, CITIBANK NA, 111 WALL ST, NEW YORK, NY 10043 100,000 (\$5,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-10730 AUG 27) (BR 99)
- F-1 ORIX CORP, WORLD TRADE CENTER BLDG, 2 4 1 HAMAMATSU CHO MINATO KU, TOYKO 105 6135 JAPAN, MO 40,000,000,000 (\$357,686,773) FOREIGN COMMON STOCK. (FILE 333-10732 AUG. 27) (BR. 1)
- F-1 ORIX CORP, WORLD TRADE CENTER BLDG, 2 4 1 HAMAMATSU CHO MINATO KU, TOYKO 105 6135 JAPAN, M0 2,645,000 (\$265,029,000) FOREIGN COMMON STOCK. (FILE 333-10734 AUG. 27) (BR. 1)
- F-3 TVG TECHNOLOGIES LTD, 53 HA-IRUSISN ST, NES ZIONA ISRAEL, L3 2,807,729 (\$3,685,144.32) FOREIGN COMMON STOCK. (FILE 333-10736 AUG 30) (BR. 3)
- S-8 CYTOCLONAL PHARMACEUTICS INC /DE, 9000 HARRY HINES BLVD, STE 330, DALLAS, TX 75235 (214) 353-2922 750,000 (\$4,277,700) COMMON STOCK (FILE 333-86201 AUG 30) (BR. 1)
- 4 NEWS DIGEST, September 13, 1999

- S-4 METROMEDIA INTERNATIONAL GROUP INC, ONE MEADOWLANDS PLZ, STE 2210, EAST RUTHERFORD, NJ 07073 (404) 261-6190 - 37,946,789 (\$118,583,715 60) COMMON STOCK. (FILE 333-86203 - AUG. 31) (BR. 5)
- S-3 RENEX CORP, 2100 PONCE DE LEON BLVD SUITE 950, CORAL GABLES, FL 33134 (305) 448-2044 495,420 (\$2,695,085) COMMON STOCK. (FILE 333-86205 AUG 31) (BR. 1)
- S-8 LCC INTERNATIONAL INC, 7925 JONES BRANCH DR, STE 800, MCLEAN, VA 22102 (703) 531-6666 1,581,000 (\$11,319,960) COMMON STOCK (FILE 333-86207 AUG. 31) (BR. 7)
- S-8 FURRS BISHOPS INC, 3001 E PRESIDENT GEORGE BUSH HWY, SUITE 200, RICHARDSON, TX 75085 (972) -80-8-29 1,200,000 (\$900,000) COMMON STOCK (FILE 333-86209 AUG 31) (BR 5)
- S-8 PROCEPT INC, 840 MEMORIAL DR, CAMBRIDGE, MA 02139 (617) 491-1100 3,300,000 (\$4,537,500) COMMON STOCK (FILE 333-86211 AUG 31) (BR 1)
- S-3 INTERNATIONAL FIBERCOM INC, 3410 E UNIVERSITY, SUITE 180, PHOENIX, AZ 85034 (602) 941-1900 2,098,944 (\$15,479,712) COMMON STOCK (FILE 333-86213 AUG 31) (BR. 3)
- S-8 JABIL CIRCUIT INC, 10800 ROOSEVELT BLVD, ST PETERSBURG, FL 33716 (813) 577-9749 - 3,000,000 (\$117,000,000) COMMON STOCK (FILE 333-86217 - AUG 31) (BR 5)
- S-1 EMACHINES INC /DE/, 14350 MYFORD ROAD SUITE 100, IRVINE, CA 92606 (714) 481-2828 \$200,000,000 COMMON STOCK. (FILE 333-86219 AUG. 31) (NEW ISSUE)
- S-8 OLD KENT FINANCIAL CORP /MI/, ONE VANDENBERG CTR, 111 LYON ST NW, GRAND RAPIDS, MI 49503 (616) 771-5000 88,840 (\$3,500,855 60) COMMON STOCK. (FILE 333-86221 AUG 31) (BR. 7)
- S-8 HOLLYWOOD PARK INC/NEW/, 1050 SOUTH PRAIRIE AVE, INGLEWOOD, CA 90301 (310) 419-1500 1,097,500 (\$14,374,687.50) COMMON STOCK (FILE 333-86223 AUG 31) (RR 5)
- S-8 MONARCH MACHINE TOOL CO, 2600 KETTERING TOWER, PO BOX 668, DAYTON, OH 45423 (513) 492-4111 175,000 (\$1,093,750) COMMON STOCK. (FILE 333-86225 AUG. 31) (BR. 5)
- S-8 U S WEST INC /DE/, 1801 CALIFORNIA STREET, SUITE 390, DENVER, CO 80202 (303) 672-2700 7,000,000 (\$371,000,000) COMMON STOCK. (FILE 333-86227 AUG 31) (BR. 7)
- S-8 GENERAL DATACOMM INDUSTRIES INC, ROUTE 63, MIDDLEBURY, CT 06762 (203) 574-1118 600,000 (\$1,443,600) COMMON STOCK (FILE 333-86229 AUG. 31) (BR. 3)
- S-3 BOLDER TECHNOLOGIES CORP, 4403 TABLE MOUNTAIN PARKWAY, GOLDEN, CO 80403 (303) 215-7200 (FILE 333-86235 AUG 31) (BR. 9)
- S-3 SUN COMMUNITIES INC, 31700 MIDDLEBELT RD, STE 145, FARMINGTON HILLS, MI 48334 (248) 932-3100 \$2,769,488.75 COMMON STOCK. (FILE 333-86237 AUG. 31) (BR. 8)
- S-1 FINET COM INC, 3021 CITRUS CIRCLE STE 150, WALNUT CREEK, CA 94598 (925) 906-5800 1,434,615 (\$4,101,564) COMMON STOCK. (FILE 333-86239 AUG. 31) (BR. 7)
- S-8 SUPERIOR FINANCIAL CORP /AR/, 5000 ROGERS AVE, FORT SMITH, AR 72917 100,000 (\$1,205,000) COMMON STOCK. (FILE 333-86241 AUG. 31) (BR. 7)
- S-4 CP&L HOLDINGS INC, 411 FAYETTEVILLE STREET, RALEIGH, NC 27601 (919) 546-6463 159,700,000 (\$5,873,925,700) COMMON STOCK. (FILE 333-86243 AUG 31) (NEW ISSUE)
- S-3 NUMBER NINE VISUAL TECHNOLOGY CORP, 18 HARTWELL AVENUE, LEXINGTON, MA 02173 (617) 674-0009 1,000,000 (\$1,546,900) COMMON STOCK (FILE 333-86245 AUG. 31) (BR. 3)

- S-3 VERITAS DGC INC, 3701 KIRBY DR, STE 112, HOUSTON, TX 77098 (713) 512-8300 - 200,000,000 (\$200,000,000) COMMON STOCK. (FILE 333-86247 - AUG 31) (BR. 4)
- S-1 FIRST NATIONAL COMMUNITY BANCORP INC, 102 EAST DRINKER STREET, DUMORE, PA 18512 (717) 348-6438 75,000 (\$3,000,000) COMMON STOCK. (FILE 333-86249 AUG. 31) (BR 7)
- S-3 WAVERIDER COMMUNICATIONS INC, 255 CONSUMERS ROAD SUITE 500, TORONTO, ONTARIO, A6 00000 (416) 502-3200 \$7,500,000 COMMON STOCK (FILE 333-86251 AUG. 31) (BR. 7)
- S-8 CHICOS FAS INC, 11215 METRO PKWY, FT MYERS, FL 33912 (813) 433-5505 500,000 (\$10,531,250) COMMON STOCK (FILE 333-86253 AUG. 31) (BR. 2)
- S-8 INFORMATION MANAGEMENT ASSOCIATES INC, ONE CORPORATE DRIVE, SUITE 414, SHELTON, CT 06484 (203) 925-6800 1,300,000 (\$10,156,250) COMMON STOCK. (FILE 333-86255 AUG. 31) (BR 3)
- S-3 UNITEDGLOBALCOM INC, 4643 S ULSTER ST, STE 1300, DENVER, CO 80237 (303) 770-4001 8,500,000 (\$425,000,000) PREFERRED STOCK. 2,158,482 (\$158,648,427) COMMON STOCK (FILE 333-86257 AUG. 31) (BR. 7)
- S-8 ONEWORLD SYSTEMS INC, 1144 E ARQUES AVE, BLDG B, SUNNYVALE, CA 94086 (408) 523-1100 1,165,000 (\$4,881,350) COMMON STOCK (FILE 333-86259 AUG 31) (BR. 7)
- S-3 IDT CORP, 190 MAIN ST, HACKENSACK, NJ 07601 (201) 928-1000 1,101,702 (\$26,231,524) COMMON STOCK (FILE 333-86261 AUG 31) (BR. 3)
- S-3 PIEDMONT NATURAL GAS CO INC, 1915 REXFORD RD, CHARLOTTE, NC 28211 (704) 364-3120 2,000,000 (\$66,125,000) COMMON STOCK. (FILE 333-86263 AUG 31) (BR. 2)
- S-8 ECONNECT, 2500 VIA CABRILLO MARINA SUITE 112, SAN PEDRO, CA 90731 (310) 541-4393 1,083,000 (\$10,830) COMMON STOCK. (FILE 333-86265 AUG 31) (BR. 5)
- S-8 SUN MICROSYSTEMS INC, 901 SAN ANTONIO RD, PALO ALTO, CA 94303 (650) 960-1300 52,810 (\$2,107,119) COMMON STOCK. (FILE 333-86267 AUG 31) (BR. 3)
- S-4 AVIS RENT A CAR INC, 900 OLD COUNTRY ROAD, GARDEN CITY, NY 11530 (516) 222-3000 500,000,000 (\$500,000,000) STRAIGHT BONDS. (FILE 333-86269 AUG. 31) (BR 5)
- S-3 ENERGY BIOSYSTEMS CORP, 4200 RESEARACH FOREST DR, THE WOODLANDS, TX 77381 (713) 364-6100 4,874,943 (\$9,993,633) COMMON STOCK. (FILE 333-86271 AUG. 31) (BR 1)
- S-3 SYSCO CORP, 1390 ENCLAVE PKWY, HOUSTON, TX 77077 (281) 584-1390 1,255,508 (\$41,510,233.25) COMMON STOCK. (FILE 333-86273 AUG 31) (BR. 4)
- S-8 VIRTUAL GAMING ENTERPRISES INC, 2580 SEA SCAPE GLEN, SUITE 460, ESCONDIDO, CA 92026 (760) 510-0188 500,000 (\$2,750,000) COMMON STOCK. (FILE 333-86275 AUG. 31) (BR. 9)
- S-8 KENT ELECTRONICS CORP, 1111 GILLINGHAM LN, SUGAR LAND, TX 77478 (281) 243-4000 100,000 (\$1,636,000) COMMON STOCK. (FILE 333-86279 AUG. 31) (BR. 5)
- S-8 KENT ELECTRONICS CORP, 1111 GILLINGHAM LN, SUGAR LAND, TX 77478 (281) 243-4000 1,500,000 (\$19,980,000) COMMON STOCK. (FILE 333-86281 AUG 31) (BR 5)
- S-8 PICTURETEL CORP, 100 MINUTEMAN RD, ANDOVER, MA 01810 (508) 762-5000 500,000 (\$2,812,500) COMMON STOCK. (FILE 333-86283 AUG. 31) (BR. 7)
- S-8 PICTURETEL CORP, 100 MINUTEMAN RD, ANDOVER, MA 01810 (508) 762-5000 1,000,000 (\$5,625,000) COMMON STOCK (FILE 333-86285 AUG. 31) (BR. 7)

- S-8 PICTURETEL CORP, 100 MINUTEMAN RD, ANDOVER, MA 01810 (508) 762-5000 3,000,000 (\$16,875,000) COMMON STOCK. (FILE 333-86287 AUG 31) (BR 7)
- S-8 NABORS INDUSTRIES INC, 515 W GREEN RD STE 1200, HOUSTON, TX 77067 (281) 874-0035 500,000 (\$12,029,474 25) COMMON STOCK (FILE 333-86289 AUG. 31) (BR. 4)
- S-8 SPECTRASITE HOLDINGS INC, 8000 REGENCY PARKWAY, SUITE 570, CARY, NC 27511 (919) 468-0112 10,000,000 (\$133,633,000) COMMON STOCK (FILE 333-86291 AUG. 31) (BR 7)
- S-3 WINSTAR COMMUNICATIONS INC, 230 PARK AVE STE 2700, NEW YORK, NY 10169 (212) 584-4000 5,196,727 (\$319,024,902 19) COMMON STOCK. (FILE 333-86293 AUG 31) (BR 7)
- S-1 ASPECT MEDICAL SYSTEMS INC, TWO VISION DRIVE, NATICK, MA 01760 (508) 653-0603 \$40,250,000 COMMON STOCK (FILE 333-86295 AUG 31) (BR. 5)
- S-3 UTILICORP UNITED INC, 20 WEST NINTH STREET, 911 MAIN STE 3000, KANSAS CITY, MO 64105 (816) 421-6600 (FILE 333-86299 - AUG. 31) (BR 2)
- S-8 LEVEL 8 SYSTEMS INC, 8000 REGENCY PARKWAY, CARY, NC 27511 (212) 244-1234 1,200,000 (\$11,625,000) COMMON STOCK. (FILE 333-86303 SEP. 01) (BR 3)
- S-8 LEVEL 8 SYSTEMS INC, 8000 REGENCY PARKWAY, CARY, NC 27511 (212) 244-1234 65,000 (\$629,687.50) COMMON STOCK. (FILE 333-86305 SEP 01) (BR 3)
- S-8 LEVEL 8 SYSTEMS INC, 8000 REGENCY PARKWAY, CARY, NC 27511 (212) 244-1234 120,000 (\$1,162,500) COMMON STOCK (FILE 333-86307 SEP 01) (BR 3)
- S-8 LEVEL 8 SYSTEMS INC, 8000 REGENCY PARKWAY, CARY, NC 27511 (212) 244-1234 185,000 (\$1,792,188) COMMON STOCK (FILE 333-86309 SEP 01) (BR 3)
- S-8 DITECH CORP, 825 E MIDDLEFIELD RD, MOUNTAIN VIEW, CA 94043 (650) 623-1300 - 1,538,800 (\$30,239,384) COMMON STOCK (FILE 333-86311 - SEP 01) (BR 7)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 1 Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4 Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8 Change in Fiscal Year
- Item 9. Regulation S Offerings.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: cypublicinfo@sec.gov>. In most cases, this information is also available on the Commission's website

NAME OF ISSUER		1					9	DATE	Cſ	OMMENT
AAMES FINANCIAL CORP/DE	DE				х	х		09/03/9	9	
ALBION BANC CORP	DE				х	X		08/30/9	9	
ALLEGHENY ENERGY INC	MID				X			09/09/9	9	
ALPNET INC	UT		X			Х		09/10/9	9	AMEND
AMERICREDIT CORP	TX				X	X		09/07/9	9	
AMX CORP	ΤX				X	X		09/03/9	9	
AVTEAM INC	FL				Х	X		09/01/9	9	
BEACON CAPITAL PARTNERS INC	MD				X	X		09/09/9	9	
BEAR STEARNS COMMERCIAL MORTGAGE SE CURITIES INC	DE	X						07/15/9	9	AMEND
BOLT TECHNOLOGY CORP	CT					X		04/20/9		AMEND
BOONTON ELECTRONICS CORP	NJ	X				Х		09/07/9		
CABOT INDUSTRIAL PROPERTIES LP	DE				Х	Х		09/03/9		
CABOT INDUSTRIAL TRUST	MD				Х	Х		09/03/9	9	
CASELLA WASTE SYSTEMS INC	DE				Х			09/10/9		
CATALYST INTERNATIONAL INC	DE				Х			08/31/9		
CHASE MORTGAGE FINANCE CORP	DE		Х			Х		08/26/9		
CITIZENS FIRST CORP	KY			Х				09/02/9		
CNB FINANCIAL CORP /NY/	NY		Х			Х		08/27/9		
COLLINS & AIKMAN FLOOR COVERINGS IN	DE					Х		06/28/9	9	AMEND
CONNING CORP	MO	Х				X		08/26/9	9	
CONSOLIDATED GRAPHICS INC /TX/	ХT				Х	Х		09/08/9	9	
COPLEY REALTY INCOME PARTNERS 3	MA		X			Х		08/27/9	9	
COPLEY REALTY INCOME PARTNERS 4	MA		X			X		08/27/9	9	
CREDIT CONCEPTS INC	OR	Х						09/01/9	9	
DAUPHIN TECHNOLOGY INC	IL	Х						08/19/9	9	
DCC COMPACT CLASSICS INC	CO			Х		X		08/19/9	9	AMEND
DEUCALION RESEARCH INC	ND	Х						09/30/9		
DEUTSCHE MORTGAGE & ASSET RECEIVING CORP SERIES 1998-C1	DE	Х						09/25/9	8	AMEND
DIALYSIS CORP OF AMERICA	FL				X			09/09/9	9	
DUKE WEEKS REALTY CORP	IN					Х		09/09/9		
EARTH SCIENCES INC	CO				X			09/02/9	9	
EBONLINEINC COM	NV			X		Х		09/03/9	9	
FIRST PLACE FINANCIAL CORP	MM				Х	Х		09/03/9		
FNB FINANCIAL SERVICES CORP	NC		X			Х		08/31/9		
FOREST GLADE INTERNATIONAL INC		Х						08/30/9		
GE CAPITAL MORTGAGE SERVICES INC	NJ		X			X		08/26/9		
GE CAPITAL MORTGAGE SERVICES INC	ŊJ		X			X		08/26/9		
GENERAL MAGIC INC	DE				X	X		09/09/9		
GLOBAL CROSSING HOLDINGS LTD					X	X		09/08/9		
GLOBAL CROSSING LTD					X	X		09/08/9		
GO2NET INC	DE		X			X		07/01/9		
GO2NET INC	DE		Х			X		07/01/9		AMEND
GULF STATES STEEL INC /AL/	AL			Х		X		09/02/9		
H&R BLOCK INC	MO					X		08/31/9		
HOST MARRIOTT SERVICES CORP	DE	X				х		08/27/9		
INSITUFORM TECHNOLOGIES INC	DE		X					09/07/9		
INSO CORP	DE					X		12/04/9		AMEND
INTELLIGENT LIFE CORP	FL		X			Х		08/27/99		
INTERNATIONAL INTEGRATION INC	DE					X 		06/21/99		
KING WORLD PRODUCTIONS INC	DE					X		09/07/9		
KROGER CO	OH					X		09/10/9		
KTI INC	NJ					X		08/28/9		AMEND
KTI INC	NJ					X		09/09/99		
LIFELINE SYSTEMS INC	MA					X		09/02/99		
MAGELLAN PETROLEUM CORP /DE/	DE				Х			09/10/99		
MAYTAG CORP	DE			Х				09/10/99		
METROMEDIA FIBER NETWORK INC	DE		X			X		09/08/99	,	

NAME OF ISSUER	STATE					DATE	COMMENT
MID-STATE BANCSHARES	CA	X			X	08/31/	99
MORGAN J P & CO INC	DE			Х	x	09/08/	99
NEIMAN MARCUS FUNDING CORP	DE	Х				08/31/9	
NEW ENGLAND PENSION PROPERTIES V	MA	х			x	08/27/9	
NIAGARA BANCORP INC	DE	Х				08/30/9	
OCWEN ASSET INVESTMENT CORP	VA			Х	X	09/09/9	
OPTICARE HEALTH SYSTEMS INC	DE	.,			х		9 AMEND
PAINE WEBBER INCOME PROPERTIES FIVE	DE	Х			X	08/26/9	99
LTD PARTNERSHIP PAINEWEBBER MORTGAGE ACCEPTANCE COR PORATION IV	DE			x	x	09/08/9	9
PEACHES ENTERTAINMENT CORP	FL			Х		07/03/9	9
PERRIGO CO	MI			Х		09/09/9	9
PNC MORT SEC CORP MORT PASS THROUGH CERTIFICATES SER 1999-3	DE			Х	Х	09/01/9	9
PNC MORTGAGE SEC CORP MORT PASS THR CERT SER 1997-4	DE			x	X	09/01/9	9
PNC MORTGAGE SEC CORP MORT PASS THR CERT SER 1998-10	DE			X	X	09/01/9	9
PNC MORTGAGE SEC CORP MORT PASS THR CERT SER 1998-11	DE			x	x	09/01/9	9
PNC MORTGAGE SEC CORP MORT PASS THR CERT SER 1998-12	DE			x	x	09/01/9	9
PNC MORTGAGE SEC CORP MORT PASS THR CERT SER 1998-14	DE			х	х	09/01/9	9
PNC MORTGAGE SEC CORP MORT PASS THR	DE			х	x	09/01/9	9
CERT SER 1998-5 PNC MORTGAGE SEC CORP MORT PASS THR	DE			х	Х	09/01/9	9
CERT SER 1998-8 PNC MORTGAGE SEC CORP MORT PASS THR	DE			х	х	09/01/9	9
CERT SER 1998-9 PNC MORTGAGE SEC CORP MORT PASS THR	DE			х	х	09/01/9	9
CERT SER 1999-7 PNC MORTGAGE SEC CORP MORT PASS THR	DE			x	x	09/01/9	9
OUGH CERT SERIES 1999-2 PNC MORTGAGE SEC CORP MORT PASS THR	DE			х	x	09/01/9	9
OUGH CERT SERIES 1999-8 PNC MORTGAGE SECURITIES CORP MORT P	DE			x	x	09/01/9	9
ASS THRO CERT SER 1999-4 PNC MORTGAGE SECURITIES CORP MORT P	DE			x	х	09/01/9	
ASS THRO CERT SER 1999-5							
PNC MORTGAGE SECURITIES CORP MORT P ASS THRO CERT SER 1999-6	DE			Х	Х	09/01/9	
PNC MORTGAGE SECURITIES CORP 1999-1	DE			X	х	09/01/9	
PROFESSIONAL BANCORP INC	PA			X		09/09/9	
PROVIDENT BANK	OH			X	Х	09/10/9	
PSI ENERGY INC	IN	.,		X		09/10/9	
REPUBLIC TECHNOLOGIES INTERNATIONAL INC	DE	х		Х	Х	08/13/9	
RES CARE INC /KY/	KY	X			Х	06/28/9	
RSI HOLDINGS INC	NC		Х		Х	09/10/9	
SCI SYSTEMS INC	DE			X	v	08/30/9	
SEROLOGICALS CORP	DE			X	X	08/08/9	
SIMIONE CENTRAL HOLDINGS INC	DE			X		09/09/9	
SINGING MACHINE CO INC SOUTHWEST GAS CORP	DE CA			X X		09/09/9	
SOUTHWEST GAS CORP SOVEREIGN BANCORP INC	CA PA			X X	x	09/10/9 09/03/9	
ST JUDE MEDICAL INC	MN			X X	Λ	09/03/9	
STARNET COMMUNICATIONS INTERNATIONA L INC/ FA	DE		х	Λ	x	08/26/9	
SUPERVALU INC	DE	x			x	08/31/9	9

NAME OF ISSUER	STATE CODE			ITEM 5 6		DATE COMMENT
T&W FINANCIAL CORP	WA			x	х	07/01/99
TECHNICAL CHEMICALS & PRODUCTS INC	FL			X		09/10/99
TENNECO INC /DE	DE			X	x	08/20/99
TRIMERIS INC	DE			X	Х	09/07/99
UNION PLANTERS MORT FIN CORP MORT P	NY			X	x	08/25/99
ASS THRU CERT SE 1999-1						
URT INDUSTRIES INC	FL			X		07/03/99
VENTAS INC	DE			X	x	09/03/99
VERTICALNET INC	PA			X		09/10/99
VIANET TECHNOLOGIES INC	NV	Х				06/30/99 AMEND
VIRTUALFUND COM INC	MN			X		09/10/99 AMEND
WARRANTECH CORP	DE		Х			08/25/99 AMEND
WARRANTECH CORP	DE			Х		09/02/99