

sec news digest

Issue 83-64

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U.S. SECURITIES AND
EXCHANGE COMMISSION

April 4, 1983

COMMISSION ANNOUNCEMENTS

REPORT TO CONGRESS ON THE IMPLEMENTATION OF THE MARKET OVERSIGHT AND SURVEILLANCE SYSTEM

The Commission has transmitted to Congress the Fifth Six-Month Report regarding Implementation of the Market Oversight and Surveillance System. The Report describes the continuing development of the MOSS project and the progress of the Intermarket Surveillance Group in its development of a self-regulatory intermarket surveillance program.

FOR FURTHER INFORMATION CONTACT: Edward Kwalwasser at (202) 272-2790

NOTICE OF FILING OF PROPOSED ALLOCATION PLAN

The Commission announced that pursuant to Rule 17d-2 under the Securities Exchange Act of 1934 the American, Midwest, New York, Pacific, Philadelphia and Chicago Board Options Exchanges and the National Association of Securities Dealers, Inc. have filed a plan with the Commission for the allocation of regulatory responsibilities pertaining to options-related sales practice matters. Persons wishing to submit written views, data and comments should file six copies thereof with George A. Fitzsimmons, Secretary, SEC, 450 Fifth Street, N.W., Washington, DC 20549, on or before May 16, 1983 and should refer to File No. S7-966. (Rel. 34-19644)

ADMINISTRATIVE PROCEEDINGS

ORDER GRANTING ACCOUNTANT'S APPLICATION FOR REINSTATEMENT

The Commission has determined to grant the application of Norman A. Weiner for reinstatement of the privilege of practicing before the Commission as an accountant. (Rel. 34-19645)

CIVIL PROCEEDINGS

IBI SECURITY SERVICE, INC. ENJOINED

The Commission announced that on March 21 the U.S. District Court for the District of Columbia entered a Final Judgment of Permanent Injunction against IBI Security Service, Inc. of Long Island City, New York, restraining and enjoining IBI from failing to file timely periodic reports and ordering it to comply with certain undertakings. IBI, consenting to the entry of the Court's Judgment, admitted that it had filed reports in an untimely manner on 18 separate occasions and had failed to file Notifications of Late Filing on 11 separate occasions. IBI has agreed to adopt certain procedures to assure timely filing of reports required to be filed under the Securities Exchange Act of 1934. (SEC v. IBI Security Service, Inc., USDC, DC, Civil Action No. 83-403). (LR-9945)

CRIMINAL PROCEEDINGS

ARTHUR MONTEIL PLEADS GUILTY IN SAXON INDUSTRIES FRAUD

The New York Regional Office and John S. Martin, Jr., U.S. Attorney for the Southern District of New York, announced that on March 3 Arthur Monteil pled guilty to a three-count Information which alleged that he and others filed and caused to be filed with the Commission false financial statements for Saxon Industries, Inc. in violations of Section 13(a) of the Securities Exchange Act of 1934 and Rule 13a-1 thereunder for the years 1979 and 1980.

Monteil also pled guilty to conspiracy to file false and misleading statements in Saxon's annual reports and financial statements, and to conspiracy to fraudulently induce banks to make, change or extend loans to Saxon in violation of Title 18, USC §1014. As a further part of the conspiracy and in order to conceal the scheme, after the filing of a petition pursuant to Chapter 11 of the Bankruptcy Code on or about April 15, 1982, the Information alleges that certain conspirators concealed and destroyed documents relating to the property and affairs of Saxon, in violation of Title 18 USC §152. (U.S. v. Arthur Monteil, 83 Cr. 107). (LR-9934)

INVESTMENT COMPANY ACT RELEASES

ALLIED CAPITAL CORPORATION

An order has been issued pursuant to Sections 17(b) and 17(d) of the Investment Company Act of 1940 and Rule 17d-1 thereunder on an application by Allied Capital Corporation and Allied Investment Corporation exempting their proposed transaction from the provisions of Sections 17(a)(1) and 17(d). (Rel. IC-13114 - Mar. 29)

CHANCELLOR TAX-FREE MONEY FUND, INC.

A notice has been issued giving interested persons until April 25 to request a hearing on the application of Chancellor Tax-Free Money Fund, Inc., an open-end, diversified, management investment company, requesting an order, pursuant to Section 6(c) of the Investment Company Act of 1940, granting an exemption from Section 12(d)(3) of the Act to the extent necessary to permit it to acquire rights to sell its portfolio securities to brokers or dealers and from the provisions of Section 2(a)(41) of the Act and Rules 2a-4 and 22c-1 thereunder, to the extent necessary to permit Applicant to value in the manner described in the application such rights acquired from banks, brokers or dealers. (Rel. IC-13115 - Mar. 29)

FIRST MIDWEST CAPITAL CORPORATION

An order has been issued on an application filed by First Midwest Capital Corporation (Applicant), a closed-end, non-diversified, management investment company registered under the Investment Company Act of 1940, and an "small business investment company," pursuant to Section 17(d) of the Act and Rule 17d-1 thereunder, to permit the participation of Applicant in additional financing of BetaCom Corporation, one of its portfolio companies. (Rel. IC-13116 - Mar. 29)

CARDINAL INCOME TRUST

A notice has been issued giving interested persons until April 25 to request a hearing on an application of Cardinal Income Trust, an open-end, diversified, management investment company, for an order pursuant to Section 8(f) of the Act declaring that it has ceased to be an investment company. (Rel. IC-13117 - Mar. 29)

THE GUARDIAN INSURANCE & ANNUITY COMPANY, INC.

An order has been issued on an application filed by The Guardian Insurance and Annuity Company, Inc., The Guardian Separate Account A, Guardian Investor Services Corporation and Value Line Securities, Inc., pursuant to Section 6(c) of the Investment Company Act of 1940, granting exemptions from Sections 2(a)(32); 2(a)(35), 22(c), 26(a), 26(a)(2)(C), 26(a)(2)(D), 27(c)(1), 27(c)(2); and 27(d) of the Act and Rule 22c-1 thereunder and, pursuant to Section 11 of the Act, approving the terms of certain offers of exchange. (Rel. IC-13118 - Mar. 29)

SEARS TAX-EXEMPT INVESTMENT TRUST

A notice has been issued giving interested persons until April 22 to request a hearing on an application of Sears Tax-Exempt Investment Trust and all subsequent and similar series of Trusts (Trusts), and their sponsor, Dean Witter Reynolds Inc., for an order, pursuant to Section 45(a) of the Act, granting confidential treatment to certain information filed with the registration statements for the Trusts. (Rel. IC-13119 - Mar. 29)

**PROTECTED INVESTORS OF AMERICA TRUST OF 1934;
MISSION FUND; AND TIMETRUST CERTIFICATES**

Notices have been issued giving interested persons until April 22 to request a hearing on a proposal by the Commission to declare by order on its own motion, pursuant to Section 8(f) of the Investment Company Act of 1940, that Protected Investors of America Trust of 1934; Mission Fund; and Timetrust Certificates, registered under the Act as unit investment trusts, have ceased to be investment companies. (Rel. IC-13120; IC-13121; and IC-13122 - Mar. 29)

HOLDING COMPANY ACT RELEASES

AMERICAN ELECTRIC POWER COMPANY, INC.

An order has been issued authorizing the provision of services, personnel, and resources, at cost, by Ohio Power Company, Columbus and Southern Ohio Electric Company, and Indiana & Michigan Electric Company, subsidiaries of American Electric Power Company, Inc. (AEP), to AEP Energy Services, Inc., a consulting subsidiary of AEP organized and financed to market, for profit, management, technical, operating and training services exclusively to non-affiliate companies. (Rel. 35-22895 - Mar. 28)

LISTING, DELISTING AND UNLISTED TRADING ACTIONS

DELISTING GRANTED

An order has been issued granting the application of the American Stock Exchange, Inc. to strike the common stock (\$1 par value) of Frank's Nursery & Crafts, Inc. from listing and registration thereon. (Rel. 34-19634)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The Commission has approved a proposed rule change filed under Rule 19b-4 by the Pacific Stock Exchange, Inc. (SR-PSE-83-3) to amend current PSE Options Floor Procedure Advice B-6 concerning a market maker's use of floor brokers to effect transactions for the market maker's account. (Rel. 34-19636)

TRUST INDENTURE ACT RELEASES

PAN AMERICAN WORLD AIRWAYS, INC.

An order has been issued under the Trust Indenture Act of 1939 on an application of Pan American World Airways, Inc. (Pan Am) that the trusteeship of NCNB National Bank of North Carolina under three indentures of Pan Am is not so likely to involve a material conflict of interest as to make it necessary to disqualify NCNB from acting as trustee. (Rel. TI-814)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC pursuant to the Securities Act of 1933. The information noted below has been taken from the cover page and the facing sheet of the prospectus and registration statement and will appear as follows: Form, Name, address and phone number (if available) of the issuer of the security; Title and the number or face amount of the securities being offered; Name of the managing underwriter (if applicable); Whether the offering is a rights offering; File number and date filed; Assigned Branch; if the registration statement is a New Issue; and [S] denoting SHELF REGISTRATION pursuant to Rule 415.

- (S-15) NORSTAR BANCORP INC., 1450 Western Ave., Albany, NY 12203 (518) 447-4043 - \$10,582,500 of 12% non-assignable debentures, and 582,434 shares of common stock. (File 2-82646 - Mar. 25) (Br. 1)
- (S-18) THERMO MANAGEMENT ASSOCIATES, INC., 135 Stevens Ave., Little Falls, NJ 07424 - 25,000,000 units. Underwriter: Southeast Securities of Florida, Inc., 5 Marine View Plaza, Hoboken, NJ 07030 (201) 963-4470 or (800) 526-6057. (File 2-82649-NY - Mar. 25) (Br. 10 - New Issue)
- (S-1) TRANS LOUISIANA GAS COMPANY, INC., P.O. Box 4331, Lafayette, LA 70502 (318) 234-4782 - 430,347 shares of common stock. The company is engaged in the purchase, distribution and sale of natural gas. (File 2-82664 - Mar. 28) (Br. 8 - New Issue)
- (S-1) ENSTAR INDONESIA, INC., 5051 Westheimer, Houston, TX 77056 (713) 621-8710 - 1,327,721 shares of cumulative non-voting participating preferred stock, 1983 Series. The company is engaged in oil and gas exploration and development. (File 2-82678 - Mar. 28) (Br. 3 - New Issue)
- (S-14) CENTAUR SCIENCES, INC., 180 Harvard Ave., Stamford, CT 06902 (203) 324-5741 - 460,000 shares of common stock. (File 2-82681 - Mar. 28) (Br. 1 - New Issue)
- (S-1) HOME CENTERS OF AMERICA, INC., 100 Gill Rd., San Antonio, TX 78201 (512) 732-1522 - 800,000 units, 1,600,000 shares of common stock and 1,600,000 common stock purchase warrants. Underwriter: D.H. Blair & Co., Inc. The company intends to operate "warehouse"-type retail discount stores. (File 2-82684 - Mar. 28) (Br. 10 - New Issue)
- (S-1) THE MACNEAL-SCHWENDLER CORPORATION, 815 Colorado Blvd., Los Angeles, CA 90041 (213) 258-9111 - 1,200,000 shares of common stock. Underwriter: Prudential-Bache Securities. The company designs, produces and markets highly sophisticated, proprietary computer software products for use in computer-aided-engineering. (File 2-82719 - Mar. 30) (Br. 10 - New Issue)
- (S-8) CENTRAL BANCORPORATION, INC., 1515 Arapahoe St., Denver, CO 80292 (303) 893-3456 - 350,000 shares of common stock. (File 2-82726 - Mar. 30) (Br. 2)
- (S-3) FORD MOTOR CREDIT COMPANY, The American Rd., Dearborn, MI 48121 (313) 322-3000 - \$300 million of floating rate notes. Underwriters: Goldman, Sachs & Co. and Merrill Lynch White Weld Capital Markets Group. The company provides wholesale financing to and purchases retail installment sales contracts from franchised Ford vehicle dealers. (File 2-82744 - Mar. 30) (Br. 2) [S]
- (S-15) TEXAS COMMERCE BANCSHARES, INC., 600 Travis St., Houston, TX 77002 (713) 236-4865 - 100,000 shares of common stock. (File 2-82745 - Mar. 31) (Br. 1)
- (S-8) TELEPHONE AND DATA SYSTEMS, INC., 79 West Monroe St., Chicago, IL 60603 (312) 630-1900 - 70,000 shares of common stock. (File 2-82747 - Mar. 31) (Br. 8)
- (S-3) SHONEY'S, INC., 1727 Elm Hill Pike, Nashville, TN 37210 (615) 361-5201 - 750,000 shares of common stock. Underwriters: J. C. Bradford & Co. and Alex. Brown & Sons. The company is a diversified food service company. (File 2-82748 - Mar. 31) (Br. 4)
- (S-1) LIBERTY EQUIPMENT INVESTORS 1983-A, B, C, One Liberty Plaza, 165 Broadway, New York, NY 10080 (212) 766-6096 - 40,000 units of limited partnership interest and depositary receipts for certificates of partnership interest. Underwriter: Merrill Lynch White Weld Capital Markets Group. (File 2-82749 - Mar. 31) (Br. 5 - New Issue)
- (S-2) UTL CORPORATION, 4500 West Mockingbird Lane, Dallas, TX 75209 (214) 350-7601 - 600,000 shares of common stock. Underwriters: Hambrecht & Quist Incorporated and Robertson, Colman & Stephens. The company designs, develops, manufactures and markets electronic warfare systems and subsystems. (File 2-82750 - Mar. 31) (Br. 7)
- (S-1) TELESPHERE INTERNATIONAL, INC., 2211 York Rd., Suite 115, Oak Brook, IL 60521 (312) 655-2169 - 2,000,000 shares of common stock. Underwriter: Smith Barney, Harris Upham & Co. Incorporated. The company offers long-distance telephone service. (File 2-82752 - Mar. 31) (Br. 7)
- (S-14) MINERAL DEVELOPMENT, INC., Two First City Center, Suite 965, Midland, TX 79701 (915) 682-2273 - 5,691,076 shares of common stock. (File 2-82753 - Mar. 31) (Br. 5 - New Issue)

- (S-14) WAVETEK CORPORATION, 9045 Balboa Ave., San Diego, CA 92123 (619) 279-2200 - 803,630 shares of common stock. (File 2-82754 - Mar. 31) (Br. 3)
- (S-2) CALIFORNIA REAL ESTATE INVESTMENT TRUST, 601 Montgomery St., Suite 800, San Francisco, CA 94111 (415) 433-1805 - 600,000 shares of beneficial interest. Underwriters: Drexel Burnham Lambert Incorporated and A. G. Edwards & Sons, Inc. The company is an equity real estate investment trust. (File 2-82757 - Mar. 31) (Br. 6)
- (S-3) APPLIED MATERIALS, INC., 3050 Bowers Ave., Santa Clara, CA 95051 (408) 727-5555 - 560,000 shares of common stock. Underwriter: L.F. Rothschild, Unterberg, Towbin. The company is in the business of improving the productivity of semiconductor manufacturing. (File 2-82758 - Mar. 31) (Br. 9)
- (S-8) SEARS, ROEBUCK AND CO., Sears Tower, Chicago, IL 60684 (312) 875-5766 - 12,000,000 common shares. (File 2-82759 - Mar. 31) (Br. 2)
- (S-1) LOGOS SCIENTIFIC, INC., 700 Sunset Rd., Henderson, NV 89106 (702) 565-1383 - 2,000,000 units. Underwriter: RLR Securities Group, Inc., 7539 West Oakland Park Blvd., Lauderhill, FL 33319. The company is engaged in the sale and distribution of clinical, laboratory diagnostic products. (File 2-82660 - Mar. 28) (Br. 8 - New Issue)
- (N-1) MERRILL LYNCH REAL ASSETS PLUS SERIES TRUST, 633 Third Ave., New York, NY 10017 (212) 692-2929 - an indefinite number of shares of beneficial interest. (File 2-82766 - Mar. 31) (Br. 17 - New Issue)
- (S-3) CENTRAL MAINE POWER COMPANY, Edison Dr., Augusta, ME 04336 (207) 623-3521 - \$60 million of general and refunding mortgage bonds, Series F, due 2013. Underwriters: Lehman Brothers Kuhn Loeb Incorporated and Kidder, Peabody & Co. Incorporated. The company is engaged in the generation, purchase, transmission, distribution and sale of electric energy. (File 2-82767 - Mar. 31) (Br. 7) [S]
- (S-1) CONTINENTAL AIRLINES CORPORATION, Capital Bank Plaza, 333 Clay St., Houston, TX 77002 (713) 658-9588 - 200,000 shares of common stock. (File 2-82770 - Mar. 31) (Br. 3) [S]
- (S-1) CHECK TECHNOLOGY CORPORATION, 7660 Washington Avenue South, Eden Prairie, MN 55344 (612) 941-9400 - 500,000 shares of common stock. Underwriter: Craig-Hallum, Inc. The company was formed to design, develop, manufacture and market a computer-controlled printing system. (File 2-82774 - Mar. 31) (Br. 10)
- (S-1) TELERATE, INC., One World Trade Center, New York, NY 10048 (212) 938-5200 - 4,000,000 shares of common stock. Underwriters: Goldman, Sachs & Co. and Rothchild Inc. The company operates a computerized information system. (File 2-82675 - Mar. 28) (Br. 9 - New Issue)
- (N-1) MASSACHUSETTS CAPITAL DEVELOPMENT FUND II, 200 Berkeley St., Boston, MA 02116 (617) 423-3500 - an indefinite number of shares of beneficial interest. (File 2-82779 - Mar. 31) (Br. 17 - New Issue)
- (S-6) NUVEEN TAX-EXEMPT BOND FUND, SERIES 260, 209 South La Salle St., Chicago, IL 60604 - an indefinite number of units. Depositor: John Nuveen & Co. Incorporated. (File 2-82782 - Mar. 31) (Br. 18 - New Issue)

REGISTRATIONS EFFECTIVE

Mar. 22: Sentry Data, Inc., 2-81917-C; StarCom, Inc., 2-81400-D; World Wide Medical Technology, 2-81688-NY.

Mar. 23: Kaufman and Broad, Inc., 2-82360.

Mar. 24: Burr-Brown Corporation, 2-82045; Centel Corporation, 2-81973; The Columbia Gas System, Inc., 2-82496; Home Interstate Bancorp, 2-81814; Inco Limited, 2-82137; Lytton & Tolley, Inc., 2-81093; M/A-Com, Inc., 2-82359; Materials Research Corporation, 2-82475; Summa Medical Corporation, 2-82058; WTC International N.V., 2-82611.

Mar. 25: Cincinnati Milacron Holdings, Inc., 2-82571; First National State Bancorporation, 2-82296; Frontier Financial Corporation, 2-82420; Granger Associates, 2-82447; Home National Corporation, 2-81901; IVB Financial Corporation, 2-82056; Lincoln Bancshares, Inc., 2-82261; Masstor Systems Corporation, 2-81881; Mercantile Bancorporation Inc., 2-82545; Penns Woods Bancorp, Inc., 2-82468; Ribl Immunochem Research, Inc., 2-81599; Ross Exploration, Inc., 2-81134-NY; South Central Bell Telephone Company, 2-74860; Southmark Corporation, 2-82517; Status Game Corporation, 2-81538; URI Therm-X, Inc., 2-81576-NY; Union Electric Company, 2-82202; Unocal Corporation, 2-82234; WB Financial Corp., 2-81597.

REGISTRATIONS WITHDRAWN

Mar. 11: Ultrasonix Research, Inc., 2-75719-NY.
 Mar. 22: Compulaser, Inc., 2-74448 (abandoned).
 Mar. 23: Falmouth Bancorporation, 2-66607.
 Mar. 24: Care Corporation, 2-81703; Ruti Equities, Inc., 2-77324.

ACQUISITIONS OF SECURITIES

Companies and individuals must report to the Commission within ten days on Schedule 13D if after the acquisition of equity securities of a public company their beneficial interest therein exceeds five percent. Persons eligible to use the short form (Schedule 13G) may in lieu of filing a Schedule 13D file a Schedule 13G within 45 days after the end of the calendar year in which the person became subject to Section 13(d)(1). Companies and individuals making a tender offer must have on file at the time the tender offer commences a Schedule 14D-1.

Below is a list of recent filings of Schedules 13D and 14D, which includes the following information: Column 1 - the company purchased (top), and the name of the purchaser; Column 2 - the type of security purchased; Column 3 - the type of form filed; Column 4 - the date the transaction occurred; Column 5 - the current number of shares (in 000's) owned (top) and the current percent owned; Column 6 - the CUSIP number (top) and the prior percent owned; and Column 7 - the status of the filing, i.e., new, update, or revision.

	FORM	EVENT DATE	SHRS(000)/ %OWNED	CUSIP/ PRIOR%	FILING STATUS
ALAMEDA BANCORPORATION TRAVERS CHARLES T	COM 13D	9/14/78	35 11.1	01074510 0.0	NEW
ALLIS-CHALMERS CORPORATION BASS BROTHERS ENTERP, INC ET AL	\$5.875 CUM CONV PFD ET AL 13D	2/18/83	43 3.6	01964520 11.0	UPDATE
APACHE OIL & GAS PROGRAMS APACHE PETROLEUM CO	LIM PART INT 14D-1	3/ 2/83	0 N/A	03746290 N/A	NEW
BAKER MICHAEL CORP BAKER MARILOU ET AL	COM 13D	2/16/83	62 6.9	05714910 0.0	NEW
BAKER MICHAEL CORP BAKER MICHAEL III	COM 13D	2/16/83	190 21.1	05714910 0.0	NEW
BAKER MICHAEL CORP BAKER MICHAEL CORP PENSION TRS	COM 13D	2/16/83	77 8.6	05714910 0.0	NEW
CEDAR POINT INC MUNGER ROBERT L JR	COM 13D	3/19/83	345 9.9	15050110 9.6	UPDATE
CLARY CORP CLARY JOHN G	COM 13D	2/ 8/83	226 12.8	18272010 11.4	UPDATE
DELTA PETE & ENERGY CORP HYMEL RAY J	COM 13D	8/12/82	443 11.0	24779010 0.0	NEW
DOW JONES & CO INC OTTAWAY JAMES H JR	COM 13D	1/27/83	3,396 5.3	26056110 5.3	UPDATE
EAGLE CLOTHES INC APRIL MORTIMER	COMMON SHARES 13D	10/19/82	2,148 43.0	26946110 21.5	NEW
EAGLE CLOTHES INC BLUMENFELD MARVIN	COMMON SHARES 13D	10/19/82	2,147 43.0	26946110 21.5	NEW
EAGLE CLOTHES INC FELDESMAN WALTER	COMMON SHARES 13D	10/19/82	2,177 43.6	26946110 43.6	NEW
EAGLE CLOTHES INC KUHN LOUIS TRUSTEE	COMMON SHARES 13D	10/19/82	1,074 21.5	26946110 21.5	NEW
EAGLE CLOTHES INC KUHN ROBERT TRUSTEE	COMMON SHARES 13D	10/19/82	1,074 21.5	26946110 21.5	NEW

ACQUISITION REPORTS CONT.

GEN HYDROCARBONS 1980PROGRAM LIM PART INT RESOURCE EQUITY INC	14D-1	2/24/83	0 N/A	37007690 N/A	NEW
GIANT PORTLAND & MASONRY CEM CDM WOODCOTT RENE R ET AL	13D	3/16/83	241 13.1	37453210 8.1	UPDATE
GREAT AMERN CORP WILSON HUEY J	CDM PAR \$2.50 13D	3/14/83	473 21.3	38983220 54.0	NEW
GREAT AMERN MGMT & INVT CONTINENTAL ILLINDIS CRP ET AL	CDM 13D	2/17/83	313 4.2	38989320 4.2	UPDATE
GREAT AMERN MGMT & INVT CONTINENTAL ILLINOIS CRP ET AL	CDM 13D	2/17/83	313 4.2	38989320 4.2	UPDATE
INDIANA FINANCIAL INVESTORS HICKORY FURNITURE CO ET AL	CDM 13D	3/15/83	492 42.7	45470910 41.3	UPDATE
INDIANA FINANCIAL INVESTORS WISCONSIN R. E. INVT TR ET AL	CDM 13D	3/15/83	235 20.4	45470910 16.9	UPDATE
KENTUCKY TENN CLAY CO RANCHERS EXPL & DEVELPMT CO	CDM 14D-1	3/29/83	75 5.7	49163810 2.6	UPDATE
LAND RES CORP SECURITY SVGS & LN ASSN	CDM 13D	4/15/83	1,599 63.6	51466910 0.0	NEW
MANAGEMENT ASSISTANCE INC CONTINENTAL TELECOM INC	CDM PAR \$0.40 13D	3/28/83	0 0.0	56167150 15.5	UPDATE
DIL CITY PETE INC CENTRAL PETROLEUM INC	CDM 13D	3/ 1/83	2,192 28.3	67786010 23.9	UPDATE
DIL CITY PETE INC MOCO RESOURCES INC ET AL	CDM 13D	3/ 1/83	2,490 26.6	67786010 26.3	UPDATE
DIL CITY PETE INC MOSEER EDNA L	CDM 13D	3/ 1/83	570 7.4	67786010 7.4	UPDATE
DIL CITY PETE INC SILVER PETROLEUM CORP	CDM 13D	3/ 1/83	2,935 37.9	67786010 24.7	UPDATE
RSR CORP GOTTESMAN & CO INC ET AL	CDM 13D	3/29/83	95 5.6	74972810 7.9	UPDATE
REAL ESTATE INVT TR AMER UNICORP CANADA & MANN GEORGE	SH BEN INT 13D	3/21/83	173 10.6	75589310 9.8	UPDATE
SAVOY INDUSTRIES INC PAGE MILL GROUP II ET AL	CDM 13D	8/ 3/82	3,885 40.9	80536310 43.6	UPDATE
SOUTHEAST BKG CORP PEARCE M LEE M D	CDM 13D	3/29/83	1,150 7.1	84133810 6.7	UPDATE
THREE DIMENSIONAL CIRC INC FIALKOV HERMAN	CDM 13D	3/16/83	2,410 48.6	88554010 8.5	UPDATE
TOWLE MFG CO ANCHOR HOCKING CORP	CDM 13D	11/20/82	801 16.6	89200810 0.0	RYSION
WEATHERFORD INTL INC MOSES ROBERT K JR	CDM 13D	2/ 8/83	1,057 12.1	94707610 0.0	NEW
WELLCO ENTERPRISES INC HICKORY FURNITURE CO ET AL	CDM 13D	3/16/83	147 34.9	94947610 34.7	UPDATE
UNR CORP CARTER WILLIAM R	CDM 13D	8/ 6/82	5 5.9	90305090 0.0	NEW
YORK RESEARCH CORP COMRAUSTION EQUIPMENT ASSOC	COMMON STOCK 13D	2/17/83	150 18.3	98704810 0.0	NEW

EXEMPT FILINGS PURSUANT TO REGULATIONS A AND F

The following Offering Statements (Form 1-A) and Notifications (Form 1-F) have been filed with the SEC pursuant to Regulation A and Regulation F, respectively, under the Securities Act of 1933. The information noted below has been taken from the offering statement or notification and will appear as follows: Form; name, address and phone number (if available) of the issuer of the security; title and the number or face amount of the securities being offered; name of the managing underwriter (if applicable); jurisdictions in which the offering is proposed to be made indicated by the two letter abbreviation for each state; and file number and the date filed.

- (1-A) 1983 GALBRAITH LTD., 749 N. Garland St., Orlando, FL 32801 - 148 Units of Ltd Ptshp Interest - Underwriter: Galbraith Securities Co. Jurisdiction: FL (File 24A-2528 - Feb. 14)
- (1-A) TWENTIETH CENTURY FINANCIAL CORP., One West Armour Blvd., Kansas City, MO 64111 - \$1,500,000 Prin. Amt. Series F Sub Debs in \$500 amounts. - Jurisdiction: FL (File 24C-4188 - Feb. 14)
- (1-A) BISHORPRIC INC., 4955 Spring Grove Avenue, OH 45232 - 2,800 Interest Bearing Bonds in face amount of \$100 - Jurisdictions: KY, OH, IL, CA, GA (File 24C-4189 - Feb. 17)
- (1-A) ARAPAHOE BUSINESS PARK ASSOCIATES LTD., 828 17th Street, Denver, CO 80202 - 1,500,000 Units of Ltd Ptshp Interest. - Jurisdictions: AK, AZ, CO, ID, MT, NV, NM, OR, UT, WA, WY. (File 24D-3680 - Feb. 16)
- (1-A) INLAND RESOURCES INC., 60 South James Street, Kansas City, KS 66118 - 1,500,000 Units consisting of 10 shs com and 3 stock purchase wrts. Jurisdictions: NY, KS, NJ (File 24FW-1741 - Mar. 8)
- (1-A) ROSS SYSTEMS INC., 1860 Embarcadero Road, Palo Alto, CA 94303 - 823,323 shs ofrd to employees, officers and directors pursuant to 1979 Incentive Stock Option Plan. - Jurisdictions: CA, TX, NJ, DE, NY - (File 24LA-0227 - Feb. 9)
- (1-A) VISICORP, 2895 Zanker Road, San Jose, CA 95134 - 2,079,865 shs ofrd to employees, officers and directors pursuant to 1980 Stock Option Plan. - Jurisdictions: CA, NY, TX, MA, CT, VT, FRANCE (File 24LA-0228 - Feb. 11)
- (1-A) THE AT HOME LTD. PTSHP., C/O Bar-Woodward Productions - 226 W. 47th St., New York, NY 10036 - Pre-form Ltd Ptshp Interest in aggregate amount of \$450,000. (File 24 NY-8546 - Feb. 11)
- (1-A) SHANNON LTD., 7030 South 188th Street, Kent, WA 98032 1,200,000 shs at \$0.75 Underwriter: Securities Corp of Washington - Jurisdictions: WA (File 24S-2906 - Feb. 28)
- (1-F) NEW RAINBOW MINING CO., 637 Peyton Bldg., Spokane, WA 99201 - 1,004,645 shs of assessable stock. Jurisdictions: Not applicable - (File 94S-148- Feb. 28)
- (1-A) WILMINGTON SELF SERVICE STORAGE, LTD PTSHP., P.O. 2321, Greenville, SC 29602 - 8 Units of Ltd Ptshp Interest. - Jurisdictions: NC, SC (File 24A-2529 - Mar. 7)
- (1-A) BANK CORPORATION OF GEORGIA, 200 North Camellia Blvd., Fort Valley, GA 31030 - A merger: Acquisition of Bank by Bank Corp. of Ga. enabling Holding Co. to consolidate the operations of its two banking subsidiaries. - Jurisdictions: AL, FL, GA, MO - (File 24A-2530 - Mar. 8)