# SEC NEWS DIGEST

Issue 2001-231

December 3, 2001

#### COMMISSION ANNOUNCEMENTS

### PUBLIC APPEARANCES OF SENIOR COMMISSION OFFICIALS - DECEMBER 2001

The following is a schedule for December 2001 of the public appearances of SEC officials, in Juding the Chairman, Commissioners, and senior staff members. For additional information on events hosted by groups other than the Commission, please call the contact numbers listed. As events are subject to change, please confirm them with the SEC's Office of Public Affairs or the sponsoring organizations.

When: Thursday, December 6

Who: David Martin, Director, Division of Sproration Finance, Robert Herdman, Chief

Accountant, Office of the Chief Accountant

What: American Institute of CPA's Annual SEC Conference

Where: Washington, DC

Contact: Cathy Brosnan, (201) 938-3568

When: Friday, December 7, 2001

Who: Stephen M. Cutler, Director, Division of Enforcement What: American Institute of CPA's Annual SEC Conference

Where: Washington, DC

Contact: Cathy Brosnan, (201) 938-3568

When: Friday, December 14, 2001

Who: Stephen M. Cutler, Director, Division of Enforcement

What: Glasser LegalWorks, 23rd Annual Institute on Proxy Statements, Annual

Meetings & Disclosure Documents

Where: New York, NY

Contact: Steve Seemer, 1-800-308-1700

When: Monday, December 17

Who: Robert Herdman, Chief Accountant, Office of the Chief Accountant

What: SEC Institute's National SEC Reporting Conference

Where: New York, NY

Contact: Doris Saxhleiner, (305) 529-1550

#### **COMMISSION MEETINGS**

Following is a schedule of Commission meetings, which will be conducted under provisions of the Government in the Sunshine Act. Meetings will be scheduled according to the requirements of agenda items under consideration.

Open meetings will be held in the Commission Meeting Room, Room 1C30, at the Commission's headquarters building, 450 Fifth Street, N.W., Washington, D.C. Visitors are welcome at all open meetings, insofar as space is available. Persons wishing to photograph or videotape Commission meetings must obtain permission in advance from the Secretary of the Commission. Persons wishing to tape record a Commission meeting should notify the Secretary's office 48 hours in advance of the meeting.

Any member of the public who requires auxiliary aids such as a sign language interpreter or material on tape to attend a public meeting should contact Rochelle Franks, Office of Administrative and Personnel Management, to make arrangements. Ms. Franks can be reached at TTY number (202) 942-9558. If you are calling from a non-TTY number, please call the Relay Service at 1-800-877-8339.

# CLOSED MEETING - TUESDAY, DECEMBER 11, 2001 - 10:00 A.M.

The subject matter of the closed meeting scheduled for Tuesday, December 11, 2001, will be: Institution and settlement of injunctive actions; Institution and settlement of administrative proceedings of an enforcement nature; Formal orders of investigation; and An adjudicatory matter.

## OPEN MEETING - THURSDAY, DECEMBER 13, 2001 - 10:00 A.M.

The subject matter of the open meeting scheduled for Thursday, December 13, 2001, will be:

The Commission will consider the Nasdaq Stock Market, Inc.'s request that the Commission interpret Section 28(e) of the Securities Exchange Act of 1934 to apply to riskless principal transactions in certain Nasdaq securities in light of recent amendments to Nasdaq's trade reporting rules.

For further information, please contact Catherine McGuire or Joseph Corcoran, Division of Market Regulation, at (202) 942-0073.

#### <u>CLOSED MEETING</u> – <u>THURSDAY, DECEMBER 13, 2001</u> – <u>FOLLOWING THE 10:00</u> <u>A.M. OPEN MEETING</u>

The subject matter of the closed meeting scheduled for Thursday, December 13, 2001, following the open meeting, will be: Institution and settlement of injunctive actions;

Institution and settlement of administrative proceedings of an enforcement nature; Formal orders of investigation; and Opinion.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

### ENFORCEMENT PROCEEDINGS

# FELIX BERRY BARRED FROM ASSOCIATION WITH INVESTMENT ADVISER

The Commission today barred Felix A. Berry, formerly an investment adviser in Chicago, Illinois, from association with any investment adviser. Berry consented to the bar, which was ordered pursuant to Section 203(f) of the Investment Advisers Act, and is based on his criminal conviction for mail fraud. Berry pled guilty to the criminal charge, which arose from his misappropriation of approximately \$856,000 from at least 200 investors who invested money through Berry's Financial Corporation, an unregistered investment adviser based in Chicago, Illinois and controlled exclusively by Berry. According to the indictment, Berry failed to invest the money entrusted to him, and instead used the funds to pay personal and business expenses. The U.S. District Court for the Northern District of Illinois sentenced Berry to eighteen months in prison, three years probation, and restitution in the amount of \$855,997. (Rel. IA-2000; File No. 3-10646)

### INVESTMENT COMPANY ACT RELEASES

# NOTICES OF DEREGISTRATIONS UNDER THE INVESTMENT COMPANY ACT

For the month of November, 2001, a notice has been issued giving interested persons until December 26, 2001, to request a hearing on any of the following applications for an order under Section 8(f) of the Investment Company Act declaring that the applicant has ceased to be an investment company:

Vanguard Preferred Stock Fund [File No. 811-2601]
Solanus Funds [File No. 811-10311]
The Baupost Fund [File No. 811-6138]
Pilgrim Government Securities Fund [File No. 811-4432]
Pilgrim Balance Sheet Opportunities Fund [File No. 811-4433]
Federated Exchange Fund, Ltd. [File No. 811-2626]
Fortress Utility Fund, Inc. [File No. 811-4530]

Fiduciary Management Associates [File No. 811-1897]

Equitable Government Securities Account, Inc. [File No. 811-3684]

Alliance Corporate Cash Reserves [File No. 811-3973]

Alliance Regent Sector Opportunity Fund, Inc. [File No. 811-7709]

Bullock Insured New York Tax Exempt Shares, Inc. [File No. 811-4360]

Equitable Capital High Yield Plus Fund [File No. 811-5814]

American Energy Resources Fund, Inc. [File No. 811-6326]

Alliance Developing Markets Fund, Inc. [File No. 811-8806]

Money Shares, Inc. [File No. 811-2780]

Value Trend Large Cap Fund [File No. 811-9041]

Tax Free Fund of Vermont, Inc. [File No. 811-6328]

Prudential Developing Markets Fund [File No. 811-8753]

IAI Retirement Funds, Inc. [File No. 811-08032]

(Rel. IC-25303 - November 30)

### LINDNER INVESTMENTS AND LINDNER ASSET MANAGEMENT, INC.

An order has been issued on an application filed by Lindner Investments and Lindner Asset Management, Inc., exempting applicants from Section 15(a) of the Investment Company Act and Rule 18f-2 under the Act. The order permits applicants to enter into and materially amend subadvisory agreements without shareholder approval. (Rel. IC-25304 – November 30)

### SELF-REGULATORY ORGANIZATIONS

#### PROPOSED RULE CHANGE

The <u>International Securities Exchange</u> filed a proposed rule change (SR-ISE-2001-33) to amend the original listing criteria in ISE Rule 502 for underlying securities. Publication of the notice is expected in the <u>Federal Register</u> during the week of December 3. (Rel. 34-45119)

#### SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- SB-2 BIOZHEM COSMECEUTICALS INC, 32238 PASEO ADELANTO, SUITE A, SAN JUAN CAPISTRANO, CA 92675 (714) 488-2183 24,928,767 (\$8,725,068) COMMON STOCK. (FILE 333-73964 NOV. 26) (BR. 2)
- S-8 DJ ORTHOPEDICS INC, 2985 SCOTT STREET, VISTA, CA 92083 (760) 727-1280 -8,208,653 (\$106,453,894.86) COMMON STOCK. (FILE 333-73966 - NOV. 26)
  - S-8 CENTREX INC, 8908 SOUTH YALE AVE, SUITE 409, TULSA, OK 74137 (918) 481-0167 3,260,000 (\$326,000) COMMON STOCK. (FILE 333-73972 NOV. 26) (BR. 5)
- SB-2 SILVER BOW ANTIQUE AVIATION, 83-888 AVENUE 51, PO BOX 1130, COACHELLA,

  CA 92236 (760) 398-9700 486,000 (\$72,900) COMMON STOCK. (FILE 333-73974

   NOV. 26) (BR. 9)
  - S-3 GRAND TOYS INTERNATIONAL INC, 1710 RTE TRANS CANADA HWY, HP9 1H7, DORVAL QUEBEC CANADA, A8 (914) 666-3200 1,850,758 (\$6,883,751.75) COMMON STOCK. (FILE 333-73978 NOV. 26) (BR. 2)
- S-8 APPLERA CORP, 301 MERRITT 7, NORWALK, CT 06851 (203) 840-2000 846,889 (\$24,561,124) COMMON STOCK. (FILE 333-73980 NOV. 26) (BR. 5)
- S-8 NETWORK APPLIANCE INC, 495 EAST JAVA DR, SUNNYVALE, CA 94089 (408) 822-6000 16,400,000 (\$244,278,000) COMMON STOCK. (FILE 333-73982 NOV. 26) (BR. 3)
- S-8 AMERIRESOURCE TECHNOLOGIES INC, 4445 SOUTH JONES BLVD SUITE 2, LAS VEGAS, NV 89103 (913) 859-9292 50,000,000 (\$290,000) COMMON STOCK.

  (FILE 333-73984 NOV. 26) (BR. 6)
- S-3 DIGITAL RIVER INC /DE, 9625 W 76TH STREET SUITE 150, EDEN PRAIRIE, MN
  55344 (952) 253-1234 927,939 (\$13,733,497) COMMON STOCK. (FILE 333-73986 NOV. 26) (BR. 3)
- SB-2 MAGNITUDE INFORMATION SYSTEMS INC, 401 ROUTE 24, CHESTER, NJ 07930 (908) 879-2722 11,693,288 (\$2,280,191.16) COMMON STOCK. (FILE 333-73992 NOV. 26) (BR. 3)
- 5 NEWS DIGEST, December 3, 2001

ASPEN TECHNOLOGY INC /DE/, TEN CANAL PARK, CAMBRIDGE, MA 02141 (617) 949-1000 - 562,455 (\$6,974,442) COMMON STOCK. (FILE 333-73998 -NOV. 26) (BR. 3)

#### **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

Item 1. Changes in Control of Registrant.

Item 1. Changes in Control of Registrant.

Item 2. Acquisition or Disposition of Assets.

Item 3. Bankruptcy or Receivership.

Item 4. Changes in Registrant's Certifying Accountant.

Item 5. Other Materially Important Events.

Item 6. Resignations of Registrant's Directors.

Item 7. Financial Statements and Exhibits.

Item 8. Change in Fiscal Year.

Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: publicinfo@sec.gov. In most cases, this information is also available on the Commission's website:

	STATE		8K	ITE	EM	NO			
NAME OF ISSUER	CODE	1 2	3 4	1 5	6	7 1	8 9	DATE	COMMENT
ACCESS HEALTH ALTERNATIVES INC	FL		Х					11/30/	01
ADVA INTERNATIONAL INC	DĒ			Χ				09/30/	01
ADVANCE AUTO PARTS INC	DE	X				Χ		11/30/	
AIRGATE PCS INC /DE/	DĒ	Χ				Χ		11/30/	
ALLIED HOLDINGS INC	GA			Χ		Χ		11/19/	
ALLSTATE CORP	DE			Χ		Χ		11/26/	
APOGEE ENTERPRISES INC	MN			X				11/12/	
ARCHSTONE COMMUNITIES TRUST/	MD			Χ		X		11/30/	
ARCHSTONE SMITH TRUST	MD			Χ				11/30/	
ARDENT COMMUNICATIONS INC	DE	X						11/19/	
ARISTOTLE CORP	DE			X		Χ		11/27/	
ASA INTERNATIONAL LTD	DE		2	X				11/29/	
ASTORIA FINANCIAL CORP	DE					Χ	X	11/30/	
BICO INC/PA	PA			Χ				11/30/	
BRENEX OIL CORP	UT		2	X				10/23/	01
BRISTOL MYERS SQUIBB CO	DE	X						11/15/	01
CALIBER LEARNING NETWORK INC	MD			Χ		Χ		11/21/	01
CALPINE CORP	DE			Χ		Χ		11/30/	01
CAMCO FINANCIAL CORP	DE	X				Χ		11/15/	01
CARBIDE GRAPHITE GROUP INC /DE/	DE			Χ		Χ		11/29/	01
CASA MUNRAS HOTEL PARTNERS L P	CA		2	Χ		Χ		11/30/	01
CASCADE NATURAL GAS CORP	WA					Χ		11/26/	01

NAME OF ISSUER	STATE			8K ITEM 3 4 5 6		9	DATE	COMMENT
				X			11/30/0	1
CATERPILLAR INC	DE			X	Х		11/30/0	
CCC INFORMATION SERVICES GROUP INC	DE			X	Λ		11/30/0	
CELSION CORP	MD			X	v		11/25/0	
CENTEX HOME EQUITY LOAN ASSET BACKE D CERTS SERIES 2001 A	DE			Χ	X			
CHICAGO PIZZA & BREWERY INC	CA			X	X		11/26/0	
CITICORP MORTGAGE SECURITIES INC	DE		Χ				11/30/0	
CLEVELAND ELECTRIC ILLUMINATING CO	OH			X	X		11/30/0	
COMDISCO INC	DE		Χ		X		09/30/0	
CONSTELLATION 3D INC	FL			X			11/15/0	
CONTINENTAL AIRLINES INC /DE/	DE			X	Χ		11/28/0	1
CONTOUR ENERGY CO	DE			X			11/30/0	1
CORNELL COMPANIES INC	DE			X	X		11/26/0	1
CREDIT SUISSE FIRST BOSTON MORTGAGE	DE			X	Χ		11/30/0	1
SECURITIES CORP								
CREDIT SUISSE FR BS MOR SEC CP COM	DE	Χ					11/19/0	1
MOR PS TH CE SER 2001-CK1								
CWABS INC REVOLVING HOME EQ LOAN AS	DE			X	Χ		11/15/0	1
SET BKD NOTES SER 2001-B								
CWMBS INC	DE				Χ		11/29/0	1
CWMBS INC	DE				Χ		11/29/0	
CWMBS INC	DE				X		11/29/0	
CWMBS INC	DE				X		11/29/0	
CWMBS INC	DE				Х		11/29/0	
	DE				Х		11/29/0	
CWMBS INC CWMBS INC ASSET BACKED CERTIFICATES				Х	X		10/30/0	
	בוע			25	.71		10,50,0	_
SERIES 2001-4	שת			X	Χ		11/29/0	17
CYGNUS INC /DE/	DE TX			X	Х		11/20/0	
DOSKOCIL MANUFACTURING CO INC				X	X		11/19/0	
DYNEGY HOLDINGS INC	DE			X	X		11/19/0	
DYNEGY INC /IL/	IL				Χ̈́			1 AMEND
E-MEDSOFT COM	NV			V	Λ			
EASYLINK SERVICES CORP			3.7	X	V.		11/27/0	
EDWARDS J D & CO	CO		Χ		X			
EMACHINES INC /DE/	DE			X	X		11/19/0	
ENCORE ACQUISITION CO	DE			X	X		11/27/0	
EPRESENCE INC	MA			Χ	Χ		11/27/0	
EQUITY ONE INC	MD			X				1 AMEND
ESOFTBANK COM INC	NV			X	Χ		11/13/0	
EUPHONIX INC \CA\	CA			X	X		11/29/0	
EXTREME NETWORKS INC	DE			X	X		11/30/0	
FAY LESLIE CO INC	DE			X	X		11/20/0	
FGIC SECURITIES PURCHASE INC	DE			X	X		11/29/0	
FIRSTENERGY CORP	OH			X	Χ		11/30/0	
FIRSTFED FINANCIAL CORP	DE			X			11/30/0	
FOREST OIL CORP	NY			X	X		11/30/0	
FULTON FINANCIAL CORP	PA				X	Χ	11/30/0	
GERBER SCIENTIFIC INC	CT			X			10/31/0	
GLOBAL FOODS ONLINE INC	NV		Χ	X	Χ		11/16/0	)1

<sup>7</sup> NEWS DIGEST, December 3, 2001

NAME OF ISSUER	STATE CODE	1 2	8K ITEM 3 4 5 6	7 8			OMMENT
GMAC COMMERCIAL MORTGAGE SECURITIES		•		X		11/28/01	
GOLFGEAR	NV		X			11/27/01	
GREENPOINT CREDIT MAN HOUSING CONT TR PAS THR CERT SER 01 1	CA		X	X		09/30/01	
GREENPOINT CREDIT MAN HOUSING CONT TR PAS THR CERT SER 01 1	CA		X	X		10/31/01	
GREENWICH CAPITAL ACCEPTANCE INC	DE		X	X		11/28/01	
GUNTHER INTERNATIONAL LTD	DE		X	Χ		11/28/01	
HARLEY DAVIDSON CUSTOMER FUNDING CO	NV		X	Χ		11/15/01	
RP							
HARLEY DAVIDSON CUSTOMER FUNDING CO	NV		X	Χ		11/15/01	
HAVANA GROUP INC	DE		X	Χ		11/21/01	
HEWLETT PACKARD CO	DE		X	X		11/29/01	
HIGH SPEED NET SOLUTIONS INC			X	Χ		11/29/01	
HILFIGER TOMMY CORP			X	Χ		11/28/01	
HORIZON HEALTH CORP /DE/	DE		X			11/30/01	
HOUSEHOLD AUTO RECEIVABLES CORP	NV			Χ		11/19/01	
HOUSEHOLD AUTOMOTIVE TRUST SERIES 2 001-2				X		11/19/01	
HOUSEHOLD AUTOMOTIVE TRUST V SERIES 2000 2	DE			X		11/19/01	
HOUSEHOLD AUTOMOTIVE TRUST VI SERIE S 2000 3	NV			Χ		11/19/01	
HOUSEHOLD AUTOMOTIVE TRUST 2001-1	DE			Χ		11/19/01	
HUNTSMAN POLYMERS CORP	DE		X	X		11/30/01	
HYBRID NETWORKS INC	DE		X	••		12/03/01	
INCYTE GENOMICS INC	DE		X			11/21/01	
INSIGHTFUL CORP	DE		X	Χ		11/30/01	
INTEGRATED SECURITY SYSTEMS INC	DE		X	X		11/16/01	
INTELIDATA TECHNOLOGIES CORP	DE		X	Х		11/28/01	
INTERRUPTION TELEVISION INC	NV	Х		X		11/15/01	
IPCS INC	DE	X	Χ	Х		11/30/01	
J NET ENTERPRISES INC	NV		X	••		11/29/01	
JG INDUSTRIES INC/IL/	IL		X	Χ		11/30/01	
JOHNSON & JOHNSON	NJ		X	••		07/21/01	
JUSTWEBIT COM INC	NV	Х		Х		11/15/01	
KB HOME	DE	41	X	••		11/30/01	
KENTUCKY CENTRAL LIFE INSURANCE CO	KY		**	Χ		11/30/01	
KEYSTONE AUTOMOTIVE INDUSTRIES INC	CA		X	X		11/26/01	
KIDS STUFF INC	DE		X	Х		11/27/01	
KIMBERLY CLARK CORP	DE		21	21	Х	11/30/01	
KNOWLEDGE NETWORKS INC	טט	Χ				11/30/01	
LEXINGTON CORPORATE PROPERTIES TRUS	MD	X		Х		11/28/01	
LOEWEN GROUP INC			X	X		11/09/01	
LUCENT TECHNOLOGIES INC	DE		X	X		11/30/01	
	NV	Х		X		11/16/01	
MAJESTIC COMPANIES LTD MANATRON INC	MI	Λ	X	11		11/30/01	
, HAMAINON INC	177		21			11,00,01	•

NAME OF ISSUER	CODE	1	2		7 8	9	DATE CO	
MARLTON TECHNOLOGIES INC	NJ			X	X		11/20/01	
MEADOW VALLEY CORP	NV			X	X		11/30/01	
MERRILL LYNCH & CO INC	DE			X	X		11/30/01	
MINIMO SERVICES INTERNATIONAL CORP/	UT		Χ	X X	X		11/15/01	
MORGAN STANLEY ABS CAPITAL I INC	DE		Χ		Χ		11/08/01	
MORGAN STANLEY DEAN WITTER CAP I IN C DEP FOR SER 2001-TOP1	DE	Χ					11/15/01	
MORGAN STANLEY DEAN WITTER CAPITAL I INC	DE .			X			11/28/01	
MORGAN STANLEY DEAN WITTER CAPITAL I TRUST 2001-PPM	DE	Χ					11/15/01	
MORTGAGE PASS-THROUGH CERTIFICATES SERIES 2000-7	DE			X	X ,		10/25/01	,
MORTGAGE PASS-THROUGH CERTIFICATES SERIES 2000-7	DE			X	Χ		11/26/01	
MOTOR CARGO INDUSTRIES INC	UT	Χ			Χ		11/30/01	
NATIONAL PRESTO INDUSTRIES INC							11/30/01	
NET 1 L P	DE				X		11/28/01	
NET 2 L P	DE		Х		X		11/28/01	
NET Z L F	GA			X	X		11/18/01	
NEWPORT NEWS SHIPBUILDING INC	DE .	Х					11/29/01	
	DE DE	21		X	Χ		11/29/01	
NRG ENERGY INC	DE			X	2.1		11/27/01	
OM GROUP INC	VA				Χ		11/26/01	
OPEN PLAN SYSTEMS INC	DE			X			11/30/01	
OSTEOTECH INC PACIFIC GAS & ELECTRIC CO				X			11/30/01	
	CA			X			11/30/01	
PG&E CORP	DE			X			11/30/01	
PG&E NATIONAL ENERGY GROUP INC	DE			X	Χ		11/20/01	
POOLED AUTO SECURITIES SHELF LLC	NM			X	21		11/30/01	
PUBLIC SERVICE CO OF NEW MEXICO				X			11/30/01	
QUANTA SERVICES INC	DE						11/29/01	
QUIZNOS CORP	CO				Х		11/20/01	
REVLON CONSUMER PRODUCTS CORP				X X			11/30/01	
REVLON INC /DE/	DE			X	Х		11/15/01	
REVOLVING HOME EQUITY LOAN ASSET BA CKED NOTES SERIES 2001-A								
ROADWAY CORP	DE			X	Χ		11/30/01	
ROYAL FINANCE INC	FL			Х			10/12/01	
SAXON ASSET SECURITIES TRUST 2001-3				X			10/25/01	
SCHOLASTIC CORP	DE				X	Х	11/29/01	
SCILABS HOLDINGS INC	DE			X			11/19/01	
STRUCTURED ASSET MORTGAGE INVESTMENTS INC	I DE			X	Х		11/28/01	
STRUCTURED ASSET SECURITIES CORP	DE			X	X		11/29/01	
SUNGARD DATA SYSTEMS INC	DE		Х		X		11/15/01	
SURGE COMPONENTS INC	NY			X			11/29/01	
TCF FINANCIAL CORP	DE			X	X		11/30/01	
TEPPCO PARTNERS LP	DE			X	Χ		09/30/01	
TIMKEN CO	OH			X			11/28/01	
TOLEDO EDISON CO	ОН			Χ	Χ		11/30/01	-

<sup>9</sup> NEWS DIGEST, December 3, 2001

	STATE		8K	I.	EM	N	ο.			
NAME OF ISSUER	CODE	1 2	3	4 5	5 6	7	8	9	DATE	COMMENT
TOYOTA AUTO FINANCE RECEIVABLES LLC	DE			2	ζ	Х			11/15/0	1
TOYOTA AUTO FINANCE RECEIVABLES LLC	DE			2	ζ.	Χ			11/15/0	1
TOYOTA AUTO LEASE TRUST 1998 C	CA			2	ζ	Χ			11/26/0	1
TOYOTA AUTO LEASE TRUST 1998-B	CA			2	7	Χ			11/26/0	1
TRANSOCEAN SEDCO FOREX INC	E9							Χ	11/30/0	1
TRI NATIONAL DEVELOPMENT CORP	WY				Χ				11/29/0	1
UNICAPITAL CORP /DE/	DE			2	7	Χ			11/16/0	1
UNION PACIFIC CORP	UT	Χ				Χ			11/30/0	1
UNITED DOMINION REALTY TRUST INC	VA					Χ		Χ	11/28/0	1
URBANFIND INC	DE			Χ					11/23/0	1
USAA FEDERAL SAVINGS BANK				2	ζ	Χ			11/25/0	1
VEECO INSTRUMENTS INC	DE					Χ			09/17/0	1 AMEND
WESTPORT RESOURCES CORP /NV/	NV			2	ζ	Χ			11/30/0	1
WHIRLPOOL CORP /DE/	DE			2	ζ				11/26/0	1
WHITEFORD PARTNERS L P	DE	X							11/30/0	1
WODFI LLC	FL			2	Σ				11/15/0	1
WORLD INTERNETWORKS INC	NV						Χ		11/28/0	1
WORLD OMNI AUTO RECEIVABLES LLC	DE			2	ζ.				10/31/0	1
XCEL ENERGY INC	MN			Σ	ζ.	Χ			11/29/0	1