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SEC NEWS DIGEST

Issue 2001-203

October 22, 2001

COMMISSION ANNOUNCEMENTS

CHANGE IN THE MEETING: ADDITIONAL ITEM

The following item has been added to the open meeting scheduled for Thursday, October 25, 2001:

The Commission will consider extending the comment periods for the joint proposed rules relating to Customer Margin for Security Futures (File No. S7-16-01) and Applicability of CFTC and SEC Customer Protection, Recordkeeping, Reporting, and Bankruptcy Rules and the Securities Investor Protection Act of 1970 to Accounts Holding Security Futures Products (File No. S7-17-01). For further information, contact Jennifer Colihan at 202 942-0735.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

ENFORCEMENT PROCEEDINGS

COMMISSION AFFIRMS NASD DISCIPLINE AGAINST COMPLIANCE OFFICER FOR FAILURE TO COOPERATE WITH NASD INFORMATION REQUESTS

On October 19, the Commission affirmed the discipline imposed by the NASD on Robert Fitzpatrick, formerly the Compliance Officer of SFI Investments, Inc (SFI). Despite repeated NASD requests, it took Fitzpatrick more than five months to provide documents requested by the NASD in connection with an investigation of SFI. The NASD censured Fitzpatrick, fined him \$2500, and suspended him in all capacities for five business days. In affirming the NASD's sanctions, the Commission rejected Fitzpatrick's argument that the NASD's sanctions were excessive and oppressive. (Rel. 34-44956; File No. 3-9932r)

LEONARD GREER CONSENTS TO BAR FROM ASSOCIATION WITH ANY BROKER OR DEALER, BASED ON HIS ROLE IN MARKET MANIPULATION

On October 19, the Commission settled an administrative proceeding against Leonard B. Greer of Rye, New York, for engaging in a fraudulent market manipulation scheme. In the proceeding, previously instituted on July 24, 2001, Greer consented to the entry of an order that bars him from association with any broker or dealer.

The order contains the following findings, which were not admitted or denied by Greer. The Commission filed a Complaint against Greer charging that, from January 1994 through April 1994, Greer fraudulently manipulated the market price of the stock of AFGL International, Inc. from \$1 to \$7 per share (SEC v. Greer, et al., SDNY). The complaint alleged that Greer accomplished the manipulation by controlling the supply of AFGL stock that was available to the market; by accumulating over two-thirds of the freely-tradable AFGL stock in the proprietary account of Greer's firm, L.C. Wegard & Co.; by exerting price leadership to increase the market price of AFGL stock; and by generating retail demand for AFGL stock. The complaint further alleged that, during an intensive nine-day selling effort that began on April 20, 1994, Wegard, sold over 1 million shares of AFGL stock to its customers, at artificially inflated prices, netting a profit of approximately \$3.5 million.

Greer admitted the following findings also contained in the order. On June 5, 2001, in SEC v. Greer, et al., the U.S. District Court for the Southern District of New York issued an order enjoining Greer from violations of Section 17(a) of the Securities Act and Sections 10(b), 13(d)(1) and 13(d)(2) of the Exchange Act and Rules 13d-1 and 13d-2 promulgated thereunder. In 1998, the Commission previously suspended Greer for one year from association with any broker or dealer, barred him from participating in any offering of penny stock, and revoked the broker-dealer registration of Wegard, based on findings that they engaged in the fraudulent market manipulation of the registered common stock of Of Counsel Enterprises, Inc. (In the Matter of F.N. Wolf & Co., Inc., et al.). (Rel. 34-44959; File No. 3-10538)

DEFAULT JUDGMENT ENTERED AGAINST ROBERT GERWIN IN CONNECTION WITH PRIME BANK OFFERING

The Commission announced the entry of a default judgment in the United States District Court for the Middle District of Florida against Robert E. Gerwin of Cincinnati, Ohio. The Commission's complaint alleged that Gerwin acted as a sales agent for Earl A. Abbott, a Titusville, Florida businessman by selling \$300,000 of non-existent prime bank securities to investors. Gerwin, the Commission alleged, entered into a joint venture agreement promising the investors a weekly return of 16% over forty weeks, or 640%. The Commission alleged there were no bank debentures, no trading and that, as a result of Gerwin's actions, investors lost \$245,000.

The Court entered a Permanent Injunction prohibiting Gerwin from violating the securities registration, antifraud and broker-dealer registration provisions of the Securities Act and the Exchange Act. The Commission did not allege that Gerwin received any sales commission or profits and according the Commission did not seek disgorgement. However, the Commission sought imposition of civil monetary penalties against Gerwin and the Court entered an order requiring Gerwin to pay a monetary penalty of \$50,000. [SEC v. Earl A. Abbott, Richard L. Stalvey, Glenn Purdue, Kenneth C. Nunn and Thomas J. O'Keeffe, USDC, MDFL, Civil Action No. 6:01-CV-364-ORL-31-KRS] (LR-17198)

INVESTMENT COMPANY ACT RELEASES

ISHARES, INC., ET AL.

An order has been issued on an application filed by iShares, Inc., et al. permitting an open-end management investment company, whose portfolios will consist of the component securities of certain equity securities indices, to issue shares of limited redeemability that will trade in the secondary market at negotiated prices. The order also permits certain affiliated persons of the portfolios to deposit securities into, and receive securities from, the portfolios. The order also permits certain portfolios to pay redemption proceeds more than seven days after the tender of shares of the portfolios for redemption. (Rel. IC-25215 – October 18)

WNC HOUSING TAX CREDIT FUND VI, L.P., SERIES 9 AND SERIES 10, AND WNC & ASSOCIATES, INC.

A notice has been issued giving interested persons until November 9, 2001, to request a hearing on an application filed by WNC Housing Tax Credit Fund VI, L.P., Series 9 and Series 10 (Series), and WNC & Associates, Inc. for an order under Sections 6(c) and 6(e) of the Investment Company Act of 1940 (. . .) exempting each Series from all provisions of the Act, except Sections 37 through 53 of the Act and the rules and regulations under those Sections. The order would permit each Series to invest in limited partnerships that engage in the ownership and operation of apartment complexes for low and moderate income persons. (Rel. IC-25216 – October 19)

EVERGREEN SELECT FIXED INCOME TRUST, ET AL.

A notice has been issued giving interested persons until November 16, 2001, to request a hearing on an application filed by Evergreen Select Fixed Income Trust, et al. for an order under (1) Section 6(c) of the Investment Company Act granting an exemption from Sections 18(f) and 21(b) of the Act; (2) Section 12(d)(1)(J) of the Act granting an exemption from Section 12(d)(1) of the Act; (3) Sections 6(c) and 17(b) of the Act granting an exemption from Sections 17(a)(1) and 17(a)(3) of the Act; and (4) Section

17(d) of the Act and Rule 17d-1 under the Act. The order would permit certain registered open-end management investment companies to participate in a joint lending and borrowing facility. (Rel. IC-25217 – October 22)

HOLDING COMPANY ACT RELEASES

HOLYOKE WATER POWER COMPANY

A notice has been issued giving interested persons until November 13, 2001, to request a hearing on a proposal by Holyoke Water Power Company (HWP), an electric utility company subsidiary of Northeast Utilities, a registered public utility holding company, and Holyoke Power and Electric Company, a wholly owned subsidiary of HWP, to sell certain generation, distribution, and related assets as part of a litigation settlement agreement with the City of Holyoke Gas and Electric Department. (Rel. 35-27454)

NISOURCE INC., ET AL.

A notice has been issued giving interested persons until November 13, 2001, to request a hearing on a proposal by NiSource Inc. (NiSource), a registered holding company, its ten utility subsidiaries, and certain of its nonutility subsidiaries to establish and participate in a new money pool that will replace an existing money pool, and to authorize Columbia Gas of Maryland, Inc., a public utility subsidiary company of NiSource, to issue additional shares of its common stock and long-term debt securities to the Columbia Energy Group, a subsidiary registered holding company of NiSource. (Rel. 35-27454)

SELF-REGULATORY ORGANIZATIONS

AMENDMENTS TO PROPOSED RULE CHANGE

The National Association of Securities Dealers has filed Amendments 3, 4, and 5 to a proposed rule change (SR-NASD-00-02) amending the NASD Code of Arbitration rules relating to injunctive relief. Publication of the proposal is expected in the Federal Register during the week of October 22. (Rel. 34-44950)

ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

A proposed rule change filed by the Boston Stock Exchange (SR-BSE-2001-01) regarding the trading of Nasdaq securities on the floor of the Boston Stock Exchange, pursuant to unlisted trading privileges, has been granted accelerated approval under Section 19(b)(2) of the Securities Exchange Act of 1934. Publication of the proposal is expected in the Federal Register during the week of October 22. (Rel. 34-44952)

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change filed by the International Securities Exchange (SR-ISE-2001-18), to establish priority and order handling principles for complex orders, such as spreads, straddles, and other multi-legged transactions, under Section 19(b)(2) of the Securities Exchange Act of 1934. Publication of the order is expected in the Federal Register during the week of October 22. (Rel. 34-44955)

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change was filed by the National Securities Clearing Corporation to allow NSCC to add a new membership category to its rules (Data Services Only Member) (SR-NSCC-2001-14). The proposed rule change, which became effective upon filing, allows NSCC to allow Data Services Only Members to use certain non-clearing services available at NSCC. Publication of the proposal is expected in the Federal Register during the week of October 22. (Rel. 34-44960)

WITHDRAWALS SOUGHT

A notice has been issued giving interested persons until November 8, 2001, to comment on the application of Public Service Enterprise Group Inc. to withdraw its Common Stock, no par value, from listing and registration on the Philadelphia Stock Exchange. (Rel. 34-44948)

A notice has been issued giving interested persons until November 8, 2001, to comment on the application for withdrawal from listing and registration (DrugMax, Inc., Common Shares, par value \$.001) on the Boston Stock Exchange. (Rel. 34-44949)

DELISTING GRANTED

An order has been issued granting the application of the American Stock Exchange to strike from listing and registration the Common Stock, \$.001 par value, of Dairy Mart Convenience Stores, Inc., effective at the opening of business on October 22, 2001. (Rel. 34-44957)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount

of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- SB-2 VIRTUAL ACADEMICS COM INC, 6421 CONGRESS AVENUE, STE 201, BOCA RATON, FL 33487 (561) 994-4446 - 1,870,000 (\$1,683,000) COMMON STOCK. (FILE 333-71564 - OCT. 15) (BR. 9)
- S-8 ND HOLDINGS INC, 1 NORTH MAIN, MINOT, ND 58703 (701) 852-5292 - 33,000 (\$25,740) COMMON STOCK. (FILE 333-71566 - OCT. 15) (BR. 7)
- S-1 GAS & OIL TECHNOLOGY INC, 5775 LOS ANGELES AVENUE, SUITE 228, SIMI VALLEY, CA 93063 (805) 584-8000 - \$60,000,000 COMMON STOCK. (FILE 333-71574 - OCT. 15) (NEW ISSUE)
- S-8 EXOTICS COM INC, 209 RICHMOND ST., SUITE, EL SEGUNDO, CA 90245 (310) 205-6400 - 392,000 (\$23,520) COMMON STOCK. (FILE 333-71576 - OCT. 15) (BR. 9)
- S-8 ITRONICS INC, PO BOX 10725, BLDG C STE 23, RENO, NV 89510 (702) 689-7696 - 2,000,000 (\$360,000) COMMON STOCK. (FILE 333-71578 - OCT. 15) (BR. 8)
- SB-1 KUPPER PARKER COMMUNICATIONS INC, 401 BROADHOLLOW ROAD, MELVILLE, NY 11747 (516) 249-2121 - 1,183,050 (\$1,242,202.50) COMMON STOCK. (FILE 333-71580 - OCT. 15) (BR. 2)
- S-8 MARKETCENTRAL NET CORP, 300 MERCER ST SUITE 26J, STE 46, NEW YORK, NY 10003 (949) 248-9561 - 250,000 (\$15,000) COMMON STOCK. (FILE 333-71584 - OCT. 15) (BR. 9)
- S-8 TEKGRAF INC, 980 CORPORATE WOODS PARKWAY, VERNON HILLS, IL 60061 (404) 252-0201 - 650,000 (\$444,937) COMMON STOCK. (FILE 333-71586 - OCT. 15) (BR. 3)
- S-8 FRESH CHOICE INC, 485 COCHRANE CIRCLE, MORGAN HILL, CA 95037 (408) 776-0799 - 290,000 (\$568,400) COMMON STOCK. (FILE 333-71588 - OCT. 15) (BR. 5)
- S-8 INFINITY INC, 211 W 14TH ST, STE 260, CHANUTE, KS 66720 (316) 431-6200

- 160,000 (\$1,232,640) COMMON STOCK. (FILE 333-71590 - OCT. 15) (BR. 4)
- S-3 IMPERIAL CREDIT INDUSTRIES INC, 23550 HAWTHORNE BLVD 1, STE 110, TORRANCE, CA 90505 (310) 373-1704 - 9,413,232 (\$3,577,028.16) COMMON STOCK. (FILE 333-71592 - OCT. 15) (BR. 7)
- S-3 BANKATLANTIC BANCORP INC, 1750 E SUNRISE BLVD, FORT LAUDERDALE, FL 33304 (954) 760-5000 (FILE 333-71594 - OCT. 15) (BR. 7)
- S-8 VECTOR GROUP LTD, 100 S E SECOND ST, MIAMI, FL 33131 (305) 579-8000 33,075 (\$502,409) COMMON STOCK. (FILE 333-71596 - OCT. 15) (BR. 4)
- S-8 VIRATA CORP, 2933 BUNKER HILL LN, STE 201, SANTA CLARA, CA 95054 (408) 566-1000 - 2,147,368 (\$4,655,392.75) COMMON STOCK. (FILE 333-71600 - OCT. 15) (BR. 5)
- S-8 VIRATA CORP, 2933 BUNKER HILL LN, STE 201, SANTA CLARA, CA 95054 (408) 566-1000 - 8,000,000 (\$74,480,000) COMMON STOCK. (FILE 333-71602 - OCT. 15) (BR. 5)
- S-8 PARALLAX ENTERTAINMENT INC, 14110 N DALLAS PARKWAY, SUITE 130, DALLAS, TX 75240 (972) 920-3 - 1,450,000 (\$1,667,500) COMMON STOCK. (FILE 333-71604 - OCT. 15) (BR. 2)
- S-8 CELL GENESYS INC, 342 LAKESIDE DR, FOSTER CITY, CA 94404 (650) 425-4400 - 51,876 (\$821,715.84) COMMON STOCK. (FILE 333-71606 - OCT. 15) (BR. 1)
- S-3 CELL GENESYS INC, 342 LAKESIDE DR, FOSTER CITY, CA 94404 (650) 425-4400 - 857,323 (\$13,579,996.32) COMMON STOCK. (FILE 333-71608 - OCT. 15) (BR. 1)
- S-8 WESTERN WATER CO, 102 WASHINGTON AVE, POINT RICHMOND, CA 94801 (619) 535-9282 - 1,789,006 (\$3,868,131) COMMON STOCK. (FILE 333-71616 - OCT. 15) (BR. 4)
- S-3 MICRON TECHNOLOGY INC, 8000 S FEDERAL WAY, PO BOX 6, BOISE, ID 83716 (208) 368-4000 - 29,069,767 (\$1,627,906,952) COMMON STOCK. (FILE 333-71620 - OCT. 15) (BR. 5)
- S-8 REMEDENT USA INC/AZ, 1220 BIRCH WAY, 602-596-8460, ESCONDIDO, CA 92027 (760) 781-3333 - 126,600 (\$18,990) COMMON STOCK. (FILE 333-71622 - OCT. 15) (BR. 5)

S-8 REMEDENT USA INC/AZ, 1220 BIRCH WAY, 602-596-8460, ESCONDIDO, CA
 92027 (760) 781-3333 - 5,000,000 (\$750,000) COMMON STOCK. (FILE 333-71626 -
 OCT. 15) (BR. 5)

S-8 SILICON GRAPHICS INC /CA/, 1600 AMPHITHEATRE PKWY, MOUNTAIN VIEW, CA
 94043 (650) 960-1980 - 8,971,805 (\$4,216,748) COMMON STOCK. (FILE
 333-71628 - OCT. 15) (BR. 3)

S-3 KB HOME, 10990 WILSHIRE BLVD, LOS ANGELES, CA 90024 (310) 231-4000
 (FILE 333-71630 - OCT. 15) (BR. 6)

S-3 TELEPHONE & DATA SYSTEMS INC /DE/, 30 NORTH LASALLE STREET,
 8401 GREENWAY BLVD, CHICAGO, IL 60602 (312) 630-1900 - 1,000,000,000
 (\$1,000,000,000) STRAIGHT BONDS. (FILE 333-71632 - OCT. 16) (BR. 7)

SB-2 THINKA WEIGHT LOSS CORP, 3110 EAST SUNSET ROAD, SUITE H1, LAS VEGAS,
 NV (800) 297-4450 - 12,892,300 (\$12,893) COMMON STOCK. (FILE 333-71634 -
 OCT. 15) (BR. 8)

S-3 KANEB PIPE LINE OPERATING PARTNERSHIP LP, 2435 NORTH CENTRAL
 EXPRESSWAY,
 RICHARDSON, TX 75080 (972) 699-4037 - 500,000,000 (\$500,000,000)
 STRAIGHT BONDS. (FILE 333-71638 - OCT. 16) (BR. 4)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
AEARO CORP	DE					X						10/19/01	
ALLIANT ENERGY CORP	WI					X						10/19/01	
ALTEON INC /DE	DE					X						10/18/01	
AMERICAN CLASSIC VOYAGES CO	DE			X					X			10/19/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
ARIZONA PUBLIC SERVICE CO	AZ				X	X					10/18/01	
ASTORIA FINANCIAL CORP	DE					X		X			10/19/01	
ATLANTIC COAST AIRLINES HOLDINGS IN C	DE				X						10/19/01	
BANC OF AMERICA COMMERCIAL MORTGAGE INC	DE				X	X					10/19/01	
BANK OF AMERICA MORTGAGE SECURITIES INC	DE				X	X					10/19/01	
BEAR STEARNS COMPANIES INC	DE					X					10/19/01	
BERT LOGIC INC	WA				X						10/17/01	
BROOKS AUTOMATION INC	DE		X				X				10/05/01	
C COR NET CORP	PA						X				08/04/01	AMEND
CAPITAL BEVERAGE CORP	DE	X	X		X	X	X		X		10/19/01	AMEND
CARBO CERAMICS INC	DE				X	X					10/11/01	
CARNIVAL CORP	DE				X	X					10/19/01	
CCB CORP					X						10/03/01	
CHALONE WINE GROUP LTD	CA				X						10/10/01	
CHEVRONTXACO CORP	DE						X				10/09/01	AMEND
CINEMASTAR LUXURY THEATERS INC	CA			X			X				10/05/01	
CITIBANK CREDIT CARD ISSUANCE TRUST	DE				X	X					10/19/01	
CITIBANK CREDIT CARD MASTER TRUST I	DE				X	X					10/19/01	
CITIBANK NEVADA NATIONAL ASSOCIATIO N	DE				X	X					10/19/01	
CITIBANK SOUTH DAKOTA N A	DE				X						10/17/01	
CITICORP	DE				X	X					10/16/01	
COCA COLA ENTERPRISES INC	DE				X	X					10/19/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	WA				X						10/19/01	
CROWN RESOURCES CORP	DE				X	X					10/17/01	
CYTYC CORP	DE				X						10/19/01	
DALEEN TECHNOLOGIES INC	OH				X						10/19/01	
DAVEY TREE EXPERT CO	DE				X	X					10/18/01	
DEPARTMENT 56 INC	DE				X						10/12/01	
DISC GRAPHICS INC /DE/	MD		X				X				10/19/01	AMEND
EATON VANCE CORP	DE				X						10/18/01	
EL PASO ENERGY PARTNERS LP	DE				X	X					10/18/01	
EL PASO ENERGY PARTNERS LP	DE					X					08/31/01	AMEND
ERESOURCE CAPITAL GROUP INC	DE				X	X					10/19/01	
ESC MERGER SUB INC	DE				X	X		X			09/30/01	
EXPRESS SCRIPTS INC	NJ				X						10/04/01	
FAIRMOUNT CHEMICAL CO INC							X		X		10/19/01	
FEDERAL AGRICULTURAL MORTGAGE CORP	CA		X				X				10/08/01	
FIRST COMMUNITY BANCORP /CA/	DE		X								10/22/01	
FLEET HOME EQUITY LOAN CORP	RI				X	X					10/17/01	
FLEETBOSTON FINANCIAL CORP	DE				X	X					10/11/01	
FORTUNE ENTERTAINMENT CORP /DE/	DE				X	X					09/30/01	
FRANKLIN RECEIVABLES LLC	DE				X						10/18/01	
GENERAL MOTORS CORP	NV				X	X					10/09/01	
GLOBAL BOULEVARD INTERNATIONAL INC	TX				X						10/19/01	
GUARANTY BANCSHARES INC /TX/	DE				X	X					10/18/01	
HALLIBURTON CO												

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
HAMILTON BANCORP INC	DE				X		X				10/10/01	AMEND
HAMPTON ROADS BANKSHARES INC	VA				X		X				10/19/01	
HEARTSOFT INC	DE				X						10/15/01	
HECLA MINING CO/DE/	DE				X		X				10/12/01	
HELLER FINANCIAL INC	DE				X		X				10/18/01	
IBERIABANK CORP	LA				X		X		X		10/15/01	
INDIANA GAS CO INC	IN				X		X				10/12/01	
INTERSIL CORP/DE	DE						X		X		10/19/01	
IRWIN FINANCIAL CORPORATION	IN				X		X				10/19/01	
ISTAR FINANCIAL INC	MD						X		X		10/19/01	
J P MORGAN CHASE & CO	DE				X						10/17/01	
JABIL CIRCUIT INC	DE				X						10/18/01	
JOHNSON & JOHNSON	NJ				X		X				10/16/01	
KING PHARMACEUTICALS INC	TN		X				X				08/08/01	AMEND
KOMAG INC /DE/	DE					X	X				10/19/01	
KRUG INTERNATIONAL CORP	OH		X				X				10/05/01	
LAMAR ADVERTISING CO/NEW	DE					X	X				10/17/01	
LEHMAN ABS CORP	DE					X	X				10/17/01	
LIGHTHOUSE FAST FERRY INC	NJ				X		X				10/16/01	
LML PAYMENT SYSTEMS INC	AL					X					08/17/01	
MARKEL CORP	VA					X	X				09/14/01	
MARSH SUPERMARKETS INC	IN		X				X				10/05/01	
MARTIN INDUSTRIES INC /DE/	DE						X		X		10/18/01	
MERCANTILE BANKSHARES CORP	MD					X	X				10/18/01	
MILACRON INC	DE						X		X		10/16/01	
MMCA AUTO OWNER TRUST 2001-3	DE					X	X				10/19/01	
MMCA AUTO RECEIVABLES TRUST	DE					X	X				10/19/01	
MSU DEVICES INC	DE				X		X				10/15/01	
NETCENTIVES INC	DE				X						10/09/01	
NEW WORLD PASTA CO	DE		X				X				07/30/01	AMEND
NEWPOWER HOLDINGS INC	DE					X	X				10/18/01	
NUEVO ENERGY CO	DE					X	X				10/19/01	
ONSITE ENERGY CORP	DE				X		X				10/15/01	
ONYX ACCEPTANCE OWNER TRUST 2001 C	DE					X					09/30/01	
ONYX ACCEPTANCE OWNER TRUST 2001-A	DE					X					09/30/01	
ONYX ACCEPTANCE OWNER TRUST 2001-B	DE					X					09/30/01	
OPEN PLAN SYSTEMS INC	VA		X			X	X				10/04/01	
PENNEY J C CO INC	DE					X	X				10/09/01	
PIXELWORKS INC	OR					X	X				10/16/01	
PLANET HOLLYWOOD INTERNATIONAL INC	DE					X					10/19/01	
POET HOLDINGS INC	DE					X	X				10/04/01	
POLYCOM INC	DE		X				X				10/12/01	
PPL MONTANA LLC	DE					X					10/01/01	
PROMOTIONS COM INC	DE					X					10/03/01	
PROVIDIAN MASTER TRUST						X					09/17/01	AMEND
PROVIDIAN MASTER TRUST							X				10/15/01	
PROVIDIAN NATIONAL BANK /NEW/						X					09/17/01	AMEND
PROVIDIAN NATIONAL BANK /NEW/							X				10/15/01	
QUINTILES TRANSNATIONAL CORP	NC					X	X				10/17/01	
RARE MEDIUM GROUP INC	DE					X	X				10/19/01	
RAYTHEON CO/	DE					X	X				10/19/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
REDWOOD EMPIRE BANCORP	CA					X					09/30/01	
RESIDENTIAL ASSET MORT PROD GMACM M ORT PT CER SER 2001-J2	DE					·	X				05/25/01	
RESIDENTIAL ASSET MORT PRODUCTS GMA CM MORT PT CER SE 2001-J4						X	X				09/25/01	
RESIDENTIAL ASSET MORTGAGE PRODUCTS GMACM MOR PT CE SE 01 J3						X	X				07/25/01	
RNETHEALTH COM INC	CO					X					10/19/01	
RYDER VEHICLE LEASE TRUST 1999-A	DE					X	X				10/11/01	
SANGSTAT MEDICAL CORP	DE					X					10/22/01	
SCIENCE APPLICATIONS INTERNATIONAL CORP	DE										10/19/01	
SEMICONDUCTOR LASER INTERNATIONAL C ORP	DE			X							09/30/01	
SMITHFIELD FOODS INC	VA					X	X				10/16/01	
SONEX RESEARCH INC	MD					X					10/19/01	
SONICWALL INC	CA					X	X				10/18/01	
SOUTHERN INDIANA GAS & ELECTRIC CO	IN					X	X				10/12/01	
SOVEREIGN BANCORP INC	PA					X	X				10/18/01	
STEELTON BANCORP INC	PA					X	X				10/19/01	
STRUCTURED ASSET MORTGAGE INVESTMEN TS INC	DE					·	X				10/17/01	
SUBURBAN PROPANE PARTNERS LP	DE					X	X				10/11/01	
SUNGARD DATA SYSTEMS INC	DE					X	X				10/18/01	
SYCAMORE NETWORKS INC	DE					X	X				10/18/01	
TAB PRODUCTS CO	PA						X		X		10/19/01	
TASTY BAKING CO	CO					X	X				10/10/01	
TELECOMMUNICATION PRODUCTS INC	MA					X	X				10/18/01	
TERADYNE INC	DE					X					10/19/01	
THOMAS GROUP INC	DE						X		X		10/18/01	
TIDEL TECHNOLOGIES INC						X					10/16/01	
TIMEBEAT COM ENTERPRISES INC /						X					10/17/01	
VALLEY NATIONAL BANCORP	NJ					X	X				10/19/01	
VECTREN UTILITY HOLDINGS INC	IN					X					10/19/01	
VIROPHARMA INC	DE					X	X				10/19/01	
VISTEON CORP	DE					X	X				10/10/01	
VIZACOM INC	DE					X	X				10/19/01	
VORNADO REALTY TRUST	MD					X	X				10/19/01	
WAUSAU MOSINEE PAPER MILLS CORP	WI					X					10/19/01	
WENDYS INTERNATIONAL INC	OH					X	X				10/18/01	
WERNER ENTERPRISES INC	NE					X	X				10/16/01	
WFN CREDIT CO LLC	DE					X	X				10/15/01	
WHIRLPOOL CORP /DE/	DE					X	X				10/19/01	
WICHITA DEVELOPMENT CORP	NV			X			X	X			10/17/01	
WILD OATS MARKETS INC	DE						X				10/19/01	
WORLD FINANCIAL NETWORK CREDIT CARD MASTER TRUST	NY						X	X			10/15/01	
ZENITH NATIONAL INSURANCE CORP	DE						X	X			10/18/01	