SEC NEWS DIGEST

Issue 2001-195 October 10, 2001

COMMISSION ANNOUNCEMENTS

SEC COMMISSIONER LAURA UNGER ANNOUNCES PLANS TO LEAVE COMMISSION

On October 9, Commissioner Laura S. Unger announced that she plans to leave the Commission this fall after almost a decade and a half of public service. Ms. Unger has not yet accepted a position in the private sector.

Since her appointment to the SEC, Ms. Unger has strongly advocated policies to promote market integrity, efficiency and investor protection, with a particular emphasis on the impact of technology on the securities industry. In November 1999, she authored a ground-breaking report on technology and retail brokerage, "Online Brokerage: Keeping Apace of Cyberspace."

While serving as Acting Chairman for the earlier half of this year, Ms. Unger led the Commission's efforts to improve the objectivity and independence of securities analysts. She also championed pending legislation to reduce securities transaction fees while raising SEC employee salaries to levels comparable to other financial regulatory agencies.

"It has been a great honor to serve on the Commission during the most dramatic period of technological innovation and transformation in the history of America's capital markets," Ms. Unger said. "I was privileged to return to the agency where I began my career, to serve as a Commissioner and later as Acting Chairman. Although it is particularly difficult to leave my colleagues on the Commission -- our new Chairman, Harvey Pitt and my fellow Commissioner, Isaac Hunt -- as well as the many dedicated and talented professionals who work tirelessly at the SEC on behalf of the investing public, it is time for me to seek new challenges in the private sector."

Harvey Pitt said, "I have known and admired Laura for many years. Long before she became a Commissioner, and long before I returned to the Commission, I was impressed with her judgment, intellect, dedication, a common sense. Over the past four years, Laura has served in stellar fashion, first as a member of the Commission, and then for half a year in the arduous and demanding role of Acting Chair. Her performance and

leadership are well-known and appreciated by all. Her stewardship of the Commission was extraordinary, especially her relentless efforts to achieve pay parity for the Commission's staff. Over the past few months, I have also had the privilege and pleasure of becoming Laura's colleague. Watching her up close makes it clear to me that she is an exceptional public servant. I will miss Laura, but wish her the best in her post-Commission professional endeavors."

Commissioner Isaac Hunt said, "I am very sad that apparently I will be losing Commissioner Laura Unger as a colleague in the not too distant future. However, I am confident I will not be losing her as a friend. In serving with Laura over the past four years, I have been impressed with Laura's judgment, intellect, dedication, and common sense – as Chairman Pitt noted – and, not the least of which, her good humor. Laura served as an excellent Commissioner and a superb Acting Chair. I wish her every success in her future endeavors."

Ms. Unger was sworn in as Commissioner on November 5th, 1997. On February 12, 2001, President Bush designated her Acting Chairman, a position she held until August 3rd.

Before her appointment to the Commission, Ms. Unger served as Securities Counsel to the United States Senate Committee on Banking, Housing and Urban development where she advised the Chairman, Senator Alfonse M. D'Amato. Previously, Ms. Unger was an attorney with the SEC's Enforcement Division.

Ms. Unger lives in Washington, D.C., with her husband Peter Unger, a securities attorney, and their daughters, Simone and Natalie. (Press Rel. 2001-109)

COMMISSION MEETINGS

CHANGE IN THE MEETING: ADDITIONAL ITEMS

The following items were added to the closed meeting held on Tuesday, October 9, at 10:00 a.m.: Institution and settlement of injunctive actions; Settlement of administrative proceedings of an enforcement nature; and A formal order.

CLOSED MEETING - WEDNESDAY, OCTOBER 10, 2001 - 2:00 P.M.

The subject matter of the closed meeting to be held on Wednesday, October 10, is: Report on an examination.

<u>CLOSED MEETINGS</u> - <u>TUESDAY, OCTOBER 16 AND THURSDAY OCTOBER 18, 2001 – 10:00 A.M.</u>

The subject matter of the closed meeting scheduled for Tuesday, October 16, will be: Opinions.

The subject matter of the closed meeting scheduled for Thursday, October 18, will be: Institution and settlement of injunctive actions; Institution and settlement of administrative proceedings of an enforcement nature; and Formal orders.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: The Office of the Secretary at (202) 942-7070.

INVESTMENT COMPANY ACT RELEASES

NATIONWIDE LIFE INSURANCE COMPANY, ET AL.

A notice has been issued giving interested persons until October 30 to request a hearing on an application filed by Nationwide Life Insurance Company, the Nationwide Variable Account, and Nationwide Investment Services Corporation for an order under Section 26(c) of the Investment Company Act to permit the substitution of shares of the Prestige Balanced Fund – Class A with shares of the Nationwide Separate Account Trust – JP Morgan NSAT Balanced Fund, and shares of the Prestige International Fund – Class A with shares of the Templeton Foreign Fund – Class A, currently held in the Nationwide Variable Account. (Rel. IC-25206 – October 5)

HOLDING COMPANY ACT RELEASES

AMEREN CORPORATION

An order has been issued authorizing Ameren Corporation (Ameren), a registered holding company, to issue and sell, directly or indirectly, short-term debt, long-term debt, common stock, preferred stock, other preferred securities, and equity-linked securities. Ameren was also authorized to provide credit support on behalf or for the benefit of its direct and indirect nonutility subsidiaries and enter into hedging transactions with respect to existing indebtedness and anticipatory debt issuances. In addition, certain subsidiaries of Ameren, organized to facilitate the issuance and sale of long-term debt or equity securities, were authorized to transfer the proceeds derived from the sale of securities to Ameren and any of its subsidiaries. (Rel. 35-27449)

CONECTIV CORPORATION, ET AL.

A notice has been issued giving interested rersons until October 30 to request a hearing on a proposal by Conectiv Corporation (Conectiv), a registered holding company under

the Act, and six Conectiv subsidiaries that provide energy-related services, ATS Operating Services Inc., Atlantic Generation Inc., Atlantic Jersey Thermal Systems Inc., Conectiv Energy Supply Inc., Conectiv Operating Services Company, and Conectiv Thermal Systems Inc., (collectively, Applicants). Applicants, on behalf of any current or future subsidiaries that offer energy-related services, request authority to engage outside the United States in certain energy-related activities, specifically the brokering and marketing of electricity, natural gas and other energy commodities (Energy Marketing); energy management services (Energy Management Services); and engineering, consulting and other technical support services with respect to energy-related businesses (Consulting Services). Applicants request authority to engage in Energy Marketing in Canada and Energy Management Services and Consulting Services anywhere outside the United States. Applicants also request that the Commission reserve jurisdiction over the provision of other energy-related services outside the United States and Canada pending completion of the record. (Rel. 35-27451)

SELF-REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED SEVENTEENTH AMENDMENT TO THE ITS PLAN

The Commission approved a proposed amendment to the ITS Plan (File No. 4-208) submitted under Rule 11Aa3-2 of the Securities Exchange Act relating to Regional Computer Interface, the implementation of a 30-second commitment expiration, and the principal place of business of the <u>Boston Stock Exchange</u>. Publication of the order is expected in the <u>Federal Register</u> during the week of October 8. (Rel. 34-44903)

ACCELERATED APPROVAL OF PROPOSED - JLE CHANGE

The Commission granted accelerated approval to a proposed rule change (SR-NASD-2001-73) filed by the <u>National Association of Securities Dealers</u>, through its subsidiary, The Nasdaq Stock Market, Inc., under Rule 19b-4 of the Exchange Act relating to the listing and trading of Performance Leveraged Upside Securities. Publication of the order is expected in the Federal Register during the week of October 8. (Rel. 34-44913)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

- F-6 VIVENDI ENVIRONNEMENT /ADR/ 100,000,000 (\$5,000,000)

 DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-13972 OCT. 01) (BR. 99)
 - NEW ISSUE)
 - S-B CHILE REPUBLIC OF, GENERAL CONSUL OF THE REPUBLIC OF CHILE,
 886 UNITED NATIONS PLAZA SUITE 601, NEW YORK, NY 10017 (000) 000-0000 2,000,000,000 (\$2,000,000,000) FOREIGN GOVERNMENT AND AGENCY DEBT.

(FILE

333-13974 - OCT. 01) (BR. 3)

- S-8 INTASYS CORP, 1 PLACE VILLE MARIE SUITE 2001, MONTREAL, ONTARIO CANADA L4Z I, A6 (514) 874-0888 520,000 (\$930,800) FOREIGN COMMON STOCK. (FILE 333-13980 OCT. 02) (BR. 7)
- S-8 TRI COUNTY FINANCIAL CORP /MD/, 3035 LEONARDTOWN RD, P O BOX 38, WALDORF, MD 20601 (301) 645-5601 11,624 (\$276,444) COMMON STOCK.

(FILE 333-70800 - OCT. 03) (BR. 7)

- S-3 GENERAL MOTORS CORP, 300 RENAISSANCE CTR, MAIL CODE: 482-C34-D71, DETROIT, MI 48265 (313) 556-5000 750,000,000 (\$187,500) COMMON STOCK. (FILE 333-70820 OCT. 03) (BR. 5)
- S-3 GOODRICH PETROLEUM CORP, 815 WALKER, SUITE 1040, HOUSTON, TX 77002 (713) 780-9494 10,103,727 (\$49,104,120) COMMON STOCK. (FILE 333-70840 OCT. 03) (BR. 4)
 - S-3 IDEXX LABORATORIES INC /DE, ONE IDEXX DR, WESTBROOK, ME 04092 (207) 856-0300 114,894 (\$2,619,583.20) COMMON STOCK. (FILE 333-70846 OCT. 03) (BR. 1)
 - S-8 TEREX CORP, 500 POST ROAD EAST, STE 320, WESTPORT, CT 06880 (203) 222-7170 88,400 (\$1,957,352) COMMON STOCK. (FILE 333-70856 OCT. 03) (BR. 5)
- S-8 CHARMING SHOPPES INC, 450 WINKS LANE, BENSALEM, PA 19020 (215) 245-9100
 3,000,000 (\$14,490,000) COMMON STOCK. (FILE 333-70862 OCT. 03) (BR. 2)
- S-3 MICREL INC, 1849 FORTUNE DR, SAN JOSE, CA 95131 (408) 944-0800 2,735,211 (\$52,734,868) COMMON STOCK. (FILE 333-70876 OCT. 03) (BR. 5)

- VERTEL CORP, 21300 VICTORY BLVD, #1200, WOODLAND HILLS, CA 91367 (818) 227-1400 - 13,700,437 (\$9,316,297) COMMON STOCK. (FILE 333-70896 OCT. 03) (BR. 3)
- AMERICAN PHARMACEUTICAL PARTNERS INC /CA/, 10866 WILSHIRE BLVD, S-1SUITE 1270, LOS ANGELES, CA 90024 - \$150,000,000 COMMON STOCK. (FILE 333-70900 - OCT. 03) (NEW ISSUE)
- CARDIODYNAMICS INTERNATIONAL CORP, 6175 NANCY RIDGE DRIVE, SUITE 300, SAN DIEGO, CA 92121 (619) 535-0202 - 2,000,000 (\$8,670,000) COMMON STOCK. (FILE 333-70902 - OCT. 03) (BR. 5)
 - RICHARDSON ELECTRONICS LTD/DE, 40W267 KESLINGER RD, LAFOX, IL 60147 (708) 208-2200 - 900,000 (\$7,434,000) COMMON STOCK. (FILE 333-70914 -OCT. 03) (BR. 5)
- CARDIODYNAMICS INTERNATIONAL CORP, 6175 NANCY RIDGE DRIVE, SUITE s-3 300, SAN DIEGO, CA 92121 (619) 535-0202 - 500,000 (\$2,167,500) COMMON STOCK. (FILE 333-70916 - OCT. 03) (BR. 5)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Account ant.
 Item 5. Other Materially Important Events.
 Item 6. Resignations of Registrant's Directors.
 Item 7. Financial Statements and Exhibits.
 Item 8. Change in Fiscal Year.
 Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE		K ITEM 4 5 6		DATE COMMENT
ABN AMRO MORTGAGE CORP SERIES 2001-	DE	Х		X	09/27/01
ABRAXAS PETROLEUM CORP	NV		X		10/09/01
ACKERLEY GROUP INC	DE		X	X	10/05/01
ALICO INC	FL		X		08/31/02

NAME OF ISSUER	STATE CODE	1 2	2	3	4	5	6		8	DATE		MMENT
	VA									 12/10/9		
AMERICAN BIO MEDICA CORP	NY				Χ			Χ		10/04/0	01	
AMERICAN BIO MEDICA CORP AMERICAN INTERNATIONAL GROUP INC	DE					Χ				10/09/0	01	
AMERIMMUNE PHARMACEUTICALS INC	CO					Χ				09/24/0	01	
AMF BOWLING INC	DE					Χ		Χ		08/31/0	01	AMEND
AMF BOWLING WORLDWIDE INC	DE					Χ		Χ		08/31/0	01	AMEND
ARCHSTONE COMMUNITIES TRUST/	MD					Χ				10/05/0	01	
ARIAD PHARMACEUTICALS INC								Χ		10/09/0	01	
ASSET BACKED CERTIFICATES SERIES 20 01-2		Х								09/25/0	01	
ASSISTED LIVING CONCEPTS INC	NV					Χ		Χ		10/03/0		
ATHEROGENICS INC	GA					Χ				10/04/0	01	
AVALONBAY COMMUNITIES INC	MD					Χ		Χ	1	10/09/0	01	
AVID SPORTSWEAR & GOLF CORP							Χ			09/24/0	01	
AXIA GROUP INC/UT	NV				Χ			Χ		10/08/0	01	
AZTEC TECHNOLOGY PARTNERS INC /DE/	DE							Χ		10/05/0	01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2000 2	DE	Х								09/25/	01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2000-1	NY	X								09/25/0	01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2001-2	NY	Χ								09/25/0	01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2001-5	ИЧ	X								09/25/0	01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2001-6	DE	X								09/25/0	01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S-THRO CERT SERIES 2001-3	NY	Х		,						09/25/0	01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S-THRO CERT SERIES 2001-4	NY	Χ								09/25/0	01	•
BEAR STEARNS ARM TRUST MORTGAGE PAS S-THROUGH CERT SER 2001-1	ИУ	Χ								09/25/0	01	
BEAR STEARNS AS BCK SEC TR 2001-AC1 AS-BCK CER SER 2001-AC1	DE	Х								09/25/	01	
BEAR STEARNS ASSET BACKED SECURITIE S INC	DE					Х		Х		09/28/	01	
BEAR STEARNS ASSET BACKED SECURITIE S TRUST 2001-2	ИХ	Χ								09/25/	01	
BEECH CORP	NV					Χ				10/04/0	01	
BLIMPIE INTERNATIONAL INC	NJ					Χ		Χ		10/08/0	01	
BOGEN COMMUNICATIONS INTERNATIONAL INC	DE					X		Χ		09/27/	01	
BOWATER INC	DE	3	X					Χ		09/24/0	01	
BOWL AMERICA INC	MD					Х				10/08/0	01	
BRM HOLDINGS INC	DE					Х		Х		09/28/0		
BURLINGTON RESOURCES INC	DE					Х		Х		10/09/0		
CALPINE CORP	DE					Х		Х		10/02/0		
CANTRONICS CORP	DЦ				Х			2.		10/09/		
	DE				22	Х		Х		09/27/		
CANYON RESOURCES CORP						X		Х		10/05/		
CENTRAL VALLEY COMMUNITY BANCORP	CA									09/25/		
CHASE FUNDING INC	NY					X		X		09/25/		
CHASE MORTGAGE F'NANCE CORP	DE					Χ		Χ		03/23/	υТ	

⁷ NEWS DIGEST, October 10, 2001

	STATE			8 K	т	TEM	N	.			
NAME OF ISSUER	CODE									DATE C	OMMENT
CHEVRONTEXACO CORP	DE									10/09/01	
CLEAR CHANNEL COMMUNICATIONS INC										10/09/01	
COMMUNITY BANCORP /VT										10/05/01	
COMPUDYNE CORP	NV									10/09/01	
COMPUTER TASK GROUP INC	NY								Х	10/08/01	
CONCEPT DIGITAL INC /DE/	DE .	Χ	Χ	3						09/26/01	
CONOCO INC /DE	DE						Χ		Х	10/09/01	
CONVERGENCE COMMUNICATIONS INC	NV					Χ				09/11/01	
CREDIT & ASSET REPACKAGING VEHICLE	DE					Х				10/01/01	
CORP										10/00/01	
CREDIT SUISSE FIRST BOSTON USA INC	DE					X				10/09/01	
CSX TRADE RECEIVABLES CORP	DE					X	Х			09/25/01	
CWABS INC MORTGAGE PASS THROUGH TRU ST 2001-J1	NY	Х								09/25/01	
DAIMLER BENZ VEHICLE OWNER TRUST 19	DE					Х	v			09/20/01	
98-A	DE					Λ	25			03/20/01	
DIAMONDCLUSTER INTERNATIONAL INC	DE					Х				10/09/01	
DIGIMARC CORP	DE					Χ	Х			10/08/01	
DIVERSIFIED CORPORATE RESOURCES INC	TX									10/03/01	
DOCUCON INCORPORATED	DE										
DOLLAR GENERAL CORP	TN									10/09/01	
DRKOOP COM INC	DE					Х				10/05/01	
	NV										
								Λ		09/30/01	
E TRADE BANK ARM TRUST MORTGAGE PAS S THR CERT SER 2001-1	DE	Χ								09/25/01	
ECOLOGICAL SERVICES INC	DE			1	X					08/08/01	
EDIETS COM INC	DE					Χ	Х			10/01/01	
EDISON MISSION ENERGY	CA					Х				10/08/01	
ELECSYS CORP	KS					X				10/09/01	
ENGLE HOMES INC /FL	FL			,		Λ	v			10/03/01	
				•						09/24/01	
ENSTAR INCOME GROWTH PROGRAM FIVE-A	GA					X	Λ			09/24/01	
ENSTAR INCOME GROWTH PROGRAM FIVE-B	GA					X	Χ			09/24/01	
LP ENSTAR INCOME GROWTH PROGRAM SIX A	GA					Х	Х			09/24/01	
L P	0.1									03,21,01	
ENSTAR INCOME PROGRAM II-1 LP	GΑ					Χ	Χ			09/24/01	
ENSTAR INCOME PROGRAM II-2 LP	GA					X	Χ			09/24/01	
ENSTAR INCOME PROGRAM IV-1 LP	GA					X	Χ			09/24/01	
ENSTAR INCOME PROGRAM IV-2 LP	GA					X	Χ			09/24/01	
ENSTAR INCOME PROGRAM IV-3 L P	GA					X	Χ			09/24/01	
ENSTAR INCOME PROGRAM 1984-1 LP	GA					Χ	Х			09/24/01	
ESCO TECHNOLOGIES INC	MO					Х	Χ			10/08/01	
FARADAY FINANCIAL INC	DE			,	X					08/08/01	
FEDERAL TRUST CORP	FL				X					09/28/01	
FINANCIAL ASSET SEC CORP ASSET BACK	NY	Χ		•						09/25/01	
ED CERTS SERIES 2001 FF1	1A T	Λ								09/23/UI	
	N13.7					v	v			10/08/01	
FINDWHAT COM INC	NV					X	X				
FIRST CHARTER CORP /NC/	NC					X	X			10/09/01	
FIRST MIDWEST BANCORP INC	DE					X	Χ			10/09/01	
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC SER 1997 C-1	ИС					X				07/18/01	

⁸ NEWS DIGEST, October 10, 2001

NAME OF ISSUER	STATE CODE	1	2				NO 7	9	DATE	CC	OMMENT
				 			 X	 	06/16/0		AMEND
FRESH BRANDS INC	AL						Λ		10/08/0		ANDIND
FRONTIER NATIONAL CORP GENESIS HEALTH VENTURES INC /PA	PA						Х		09/24/0		
	MA.						Λ		10/09/0		
GENOME THERAPEUTICS CORP	MA.		v	,			v		09/26/0		
GENZYME CORP	GA		Х						10/05/0		
GEORGIA PACIFIC CORP	NV		Λ				Λ		10/03/0		
GLOBAL AXCESS CORP	DE						Х		10/04/0		
GLOBAL MARINE INC		v			Λ		Λ		09/25/0		
GMACM MORTGAGE LOAN TRUST MORT PASS THR CERT SER 2001-GH1		Χ									
GOLDEN OPPORTUNITY DEVELOPMENT CORP	LA			Х			Х		10/08/0		
GREAT PLAINS ENERGY INC	MO				X				10/09/0		
GREATER BAY BANCORP	CA						X		10/08/0		
HCC INSURANCE HOLDINGS INC/DE/	DE					•			10/09/0		
HOMESTORE COM INC	DE						X		10/03/0		
HORIZON OFFSHORE INC	DE.						Χ				
HORMEL FOODS CORP /DE/	DE								09/25/0		
HUGO INTERNATIONAL TELECOM INC	DE			Χ					10/03/0		
ICO INC	TX				Х		Χ		10/05/0		
IMPAC SECURED ASSETS CORP MORT PASS THR CERT SER 2001-2	CA	Χ							09/25/0	01	
INCOME OPPORTUNITY REALTY INVESTORS INC /TX/	CA				X	,			09/27/0	01	
INDIANTOWN COGENERATION FUNDING COR	DE				X				10/09/0	01	
INDIANTOWN COGENERATION LP	DE				Х				10/09/0	01	
INKTOMI CORP	DE				Х				08/16/0		
INSCI STATEMENTS COM CORP	DE	Х			-				10/01/0		
INSIGHT HEALTH SERVICES CORP	DE				Х		Х		10/09/0		
INTERNATIONAL SPEEDWAY CORP									10/09/0		
IPRINT TECHNOLOGIES INC	DE						Х		09/24/0		
ISOLYSER CO INC /GA/	GA		Х		•	•	Х		03/09/0		AMEND
KNOWLEDGEBROKER INC	NV		23		Х		41		10/04/0		
	DE				41	•	У	У	10/08/0		
LABARGE INC	MO						X	21	08/31/0		AMEND
LABONE INC/	MD						X	v	10/08/0		MILLIAD
LASALLE HOTEL PROPERTIES							77		10/09/0		
LASALLE HOTEL PROPERTIES	MD				v		Х	Λ	10/05/0		
LCA VISION INC	DE				X		Λ		09/28/0		
LIFE SCIENCES INC	DE				Х	•	3.7				
LONG BEACH MORTGAGE LOAN TR 2001-3	DE		Χ				Χ		09/24/0	υŢ	
AS-BCKD CERT SER 2001-3	ъ'n				•		17		10/02/0	^ 1	
L90 INC	DĒ				X		X		10/02/0		
MAGICINC COM	DE				X		X		10/01/0		
MAGNUM HUNTER RESOURCES INC	NV	• •			X	•			09/17/0		
MALLON RESOURCES CORP	CO	X	.,		•		17				
MAXUM DEVELOPMENT INC	MA	X	X		X		X		09/24/		
MCMS INC /DE/	DE				X		Χ		10/08/0		
MEDIX RESOURCES INC	CO	_			X	•			10/04/0		
MELLON HOME EQUITY LINE OF CREDIT T R AS BA NOT SER 2000-1	NY	Χ							09/20/0		
MERRILL CORP	MN				X		Χ	Χ	10/09/	01	

NAME OF ISSUER	STATE CODE	1 2	2 3	3 4	5		7	8			СC	MMENT
MERRILL LYNCH DEPOSITOR INC PREFERR EDPLUS TRUST SERIES ATT-1										09/15/0	1	
MERRILL LYNCH DEPOSITOR INC PREFERR EDPLUS TRUST SERIES BLC 1	DE				X		Χ			09/15/0	1	
MERRILL LYNCH MORT INV INC MOR PAS THR CERT SER 2001-S1	NY	Χ								09/25/0	1	
MERRILL LYNCH MORT INV INC MORT PAS THR CER CDMC SER 2001-A1	NY	Χ								09/25/0	1	
MICROVISION INC	AW				X		Х			10/04/0	1	
MID-STATE BANCSHARES	CA	>	ζ.				Χ			09/28/0	1	
MIDAMERICAN ENERGY HOLDINGS CO /NEW /	IA	>	ζ				Χ			09/24/0	1	AMEND
MILEMARKER INTERNATIONAL INC	FL				Х					10/02/0	1	
MORGAN STANLEY DEAN WITTER CAPITAL	DE						Х			10/05/0		
I INC MORTGAGE PASS-THROUGH CERTIFICATES	DE				¥		Х			09/25/0	1	
SERIES 2000-7	DL				21	•	71					
MORTGAGE PASS-THROUGH CERTIFICATES SERIES 2001-8	DE	X								09/25/0	1	
MPEG SUPER SITE 'NC	CO	Χ			X		X			08/06/0	1	
MRV COMMUNICATIONS INC	DE						Χ			10/08/0	1	
NATIONAL AUTO CREDIT INC /DE	DE				X		Χ			09/26/0	1	
NATIONAL SERVICE INDUSTRIES INC	DE				X		Χ			10/09/0	1	
NAVISTAR FINANCIAL RETAIL RECEIVABL ES CORPORATION	DE						Χ			08/31/0	1	
NAVISTAR FINANCIAL SECURITIES CORP	DE						Χ			08/31/0	1	
NEON SYSTEMS INC	DE				X		Χ			09/07/0	1	
NEWFIELD EXPLORATION CO /DE/	DE				X					10/09/0	1	
NOCOPI TECHNOLOGIES INC/MD/	MD						Χ		Χ	10/09/0	1	
NORTHWEST NATURAL GAS CO	OR				X					10/05/0		
NOVASTAR MORTGAGE FUNDING TRUST SER IES 2001-1	DE	X								09/25/0	1	
OPTION ONE MORT ACCEP CORP ASSET BA CKED CERT SER 2001-1	DE	X								09/25/0	1	
OPTION ONE MORT LN TRUST ASSET BACK CERTS SER 2001-3	DE	Χ								09/25/0	1	
ORIENTAL FINANCIAL GROUP INC	PR			X			Χ			09/25/0	1	AMEND
OUTSOURCE INTERNATIONAL INC	${ t FL}$				X	2	Х			10/09/0	1	
OVERTURE SERVICES INC	DE				X	ζ.	Χ			10/09/0	1	
PACIFIC AEROSPACE & ELECTRONICS INC	WA				X		Х			09/30/0	1	
PENNEY J C CO INC	DE				X		Х			09/21/0	1	
PLUM CREEK TIMBER CO INC	DE	}	K	X	X	7	Χ	Χ		10/06/0	1	
POOLED AUTO SECURITIES SHELF LLC	DE				×	2	Χ			09/20/0	1	
PRINCETON REVIEW INC	DE	}	K				Χ			10/01/0	1	
PRIVATE BUSINESS INC	TN				X	2	X			10/08/0		
PROFIT RECOVERY GROUP INTERNATIONAL INC	GA				X		Χ			10/08/0	1	
PROLONG INTERNATIONAL CORP	NV			X			Χ			10/04/0	1	
PS BUSINESS PARKS INC/CA	CA						Χ		Χ	10/08/0	1	
PTEK HOLDINGS INC	GA			X	2		Х			10/02/0		
REAL ESTATE OPPORTUNITIES INC	СО	>	K							10/09/0		
REDMOND CAPITAL CORP				X						10/05/0	1	AMEND

NAME OF ISSUER	STATE CODE	1 :	2	3	4	5 6	7 8		DATE C	
RENAISSANCERE HOLDINGS LTD						Х	Х		10/09/01	
RENT WAY INC	PA					Χ			10/09/01	
RESIDENTIAL ASSET SECURITIES CORP	DE						X		09/27/01	
RYANS FAMILY STEAKHOUSES INC	SC	2	X						10/03/01	
SANGSTAT MEDICAL CORP	DE					Χ	X		10/08/01	
SANTA FE INTERNATIONAL CORP/						X	X		10/08/01	
SAXON ASSET SECURITIES CO	VA					X	X		09/27/01	
SEARS CREDIT ACCOUNT MASTER TRUST I	IL					X	X		09/25/01	
I										
SECTOR COMMUNICATIONS INC	NV	2	X				Χ		08/02/01	
SELECT COMFORT CORP	MN							Χ	10/04/01	
SHARED TECHNOLOGIES CELLULAR INC	DE			Χ			X		09/28/01	
SILVERSTAR HOLDINGS LTD						N.	Χ		09/24/01	
SONIC JET PERFORMANCE	CO				Χ		X		10/08/01	
SOUTHWEST CAPITAL CORP	NM					X	X		09/26/01	
STERLING FINANCIAL CORP /WA/	AW					X	X		09/28/01	
STORAGE USA INC	TN					Χ	Χ		10/07/01	
STRUCTURED ASSET MORTGAGE INVESTMEN	DE	Χ							09/25/01	
TS INC										
STRUCTURED ASSET SEC CORP MOR PASS	DE	Χ							09/25/01	
THR CERT SER 2001-9										
STRUCTURED ASSET SEC CORP MORT PASS	NY	Χ							09/25/01	
THR CERT SER 2001-2										
STRUCTURED ASSET SEC CORP MORT PASS	DE	Χ							09/25/01	
THR CERT SER 2001-5										
STRUCTURED ASSET SECURITIES CORP MO	DE	Χ							09/25/01	
R PAS THR CERT SER 2001-6										
STRUCTURED ASSET SECURITIES CORP MO	DE	Χ							09/25/01	
RT PASS THR CERT SER 01 1										
SUMMIT BROKERAGE SERVICES INC / FL					Χ		X		10/04/01	
SUSA PARTNERSHIP LP	TN					Χ	Χ		10/07/01	
TELECORP PCS INC /VA/						Х	Χ		10/09/01	
TESSERACT GROUP INC	MN						Χ		10/01/01	
THACKERAY CORP	DE					X	Χ		10/03/01	
TIDEWATER INC	DE					X			10/08/01	
TIMEBEAT COM ENTERPRISES INC /					Χ		X			
TOR MINERALS INTERNATIONAL INC	DE					X	Χ		10/03/01	
TOREADOR RESOURCES CORP	DE					Χ	X		10/03/01	
TRANSCONTINENTAL REALTY INVESTORS I	NA .					Χ			09/27/01	
NC										
U S GOLD CORP	CO	Χ							09/17/01	
UNION PLANTERS MORTGAGE LOAN TRUST	DE	Χ							09/25/01	
SERIES 2001-UP1										
UNION PLANTERS MORTGAGE LOAN TRUST	DE	Χ							09/25/01	
SERIES 2001-UP2										
UNIVERSAL COMPRESSION HOLDINGS INC	DE					X			10/05/01	
UNIVERSAL COMPRESSION INC	TX					X		Χ	10/05/01	
VACATION OWNERSHIP MARKETING INC	DE				Χ		X		10/01/01	
VIDKID DISTRIBUTION INC	${ t FL}$	X :	X			X, X			10/09/01	
VIRGINIA COMMERCE BANCORP INC						X	X		10/09/00	
WELLS FARGO ASSET MORTGAGE BACK SEC URITIES 2001-19 TRUST	DE	Χ							09/25/01	

NAME OF ISSUER	CODE	1 2		9 DATE COMMENT
WELLS FARGO ASSET MORTGAGE BACKED S ECURITIES 2001-20 TRUST				09/25/01
WELLS FARGO ASSET SEC CORP MOR PASS THR CERT SER 2001-13	DE	X		09/25/01
WELLS FARGO ASSET SEC CORP MOR PASS THR CERT SER 2001-18	DE			09/25/01
WELLS FARGO ASSET SEC CORP MOR PASS THR CERT SER 2001-4	NY	X		09/25/01
WELLS FARGO ASSET SEC CORP MOR PASS THR CERT SER 2001-5	NY	Х		09/25/01
WELLS FARGO ASSET SEC CORP MOR PASS THR CERT SER 2001-6	DE	X		09/25/01
WELLS FARGO ASSET SEC CORP MOR PASS THR CERT SER 2001-7	DE	Х		09/25/01
WELLS FARGO ASSET SEC CORP MOR PS T HR CER SER 2001-10	NY	Χ		09/25/01
WELLS FARGO ASSET SEC CORP MORT PAS S THR CERT SER 2001-11	DE	Χ		09/25/01
WELLS FARGO ASSET SEC CORP MORT PAS S THR CERT SER 2001-12	DE	Χ		09/25/01
WELLS FARGO ASSET SEC CORP MORT PAS S THR CERT SER 2001-9	NY	Χ		09/25/01
WELLS FARGO ASSET SECURITES CORP MO R BK SEC 2001-16 TRUST	DE	Χ		09/25/01
WELLS FARGO ASSET SECURITIES CORP M OR PAS THR CER SE 2001-01	ИХ	K		09/25/01
WELLS FARGO ASSET SECURITIES CORP M OR PAS THR CER SE 2001-02	NY	Х		09/25/01
WELLS FARGO ASSET SECURITIES CORP M OR PAS THR CER SE 2001-03	ИЧ	Χ		09/25/01
WELLS FARGO ASSET SECURITIES CORP M OR PAS THR CER SE 2001-17	DE	Χ		09/25/01
WELLS FARGO ASSET SECURITIES CORP M ORT PA TH CER SE 2001-14	DE	Χ		09/25/01
WELLS FARGO MORTGAGE BACKED SECURIT IES 2001-15	DE	Χ		09/25/01
WESTERN MILTIPLEX CORP	DE	Х	Х	03/22/01 AMEND
WESTPORT RESOURCES CORP /NV/	NV		X X X	09/20/01
WORLDWIDE FLIGHT SERVICES INC		Х	X	10/05/01
XPEDIAN INC	$_{ m FL}^{-}$		X X	
ZIASUN TECHNOLOGIES INC	DE FL NV		X	09/28/01