# SEC NEWS DIGEST

Issue 2001-136

C.2

July 16, 2001

## COMMISSION ANNOUNCEMENTS

## MEMORIAL SERVICE FOR FORMER COMMISSIONER PAUL CAREY

Securities and Exchange Commission Acting Chairman Laura S. Unger announced today that the SEC will host a memorial service for former Commissioner Paul R. Carey at 10:00 a.m. on Thursday, July 26, 2001. The service will be held in the William O. Douglas Room of the SEC Headquarters building, located at 450 Fifth Street, NW, Washington DC. The service is open to all those wishing to attend. (Press Rel. 2001-70)

# STEVE CRIMMINS, DEPUTY CHIEF LITIGATION COUNSEL IN THE DIVISION OF ENFORCEMENT, LEAVES COMMISSION AFTER FOURTEEN YEARS OF SERVICE

Stephen J. Crimmins, Deputy Chief Litigation Counsel in the Division of Enforcement of the Securities and Exchange Commission, departed the Commission on Friday, July 13, 2001, after fourteen years of service to become a partner in the securities litigation practice group of Holland & Knight LLP in Washington, D.C., and New York City.

Mr. Crimmins, 52, became Deputy Chief Litigation Counsel in 1993, after serving for six years as a trial attorney in the Division of Enforcement. During that time, he co-managed the Division's Trial Unit over a period of tremendous growth and change, and served as one of the Division's senior executives. He litigated and tried numerous cases charging fraud and other violations of the federal securities laws, and played a key role in the Commission's enforcement actions against a number of well-known entities, including Blinder Robinson & Co., Thomas James Associates, Prudential Securities Incorporated, BT Securities Corporation, and KPMG LLP.

Richard H. Walker, the SEC's outgoing Director of Enforcement, said, "Steve has been an Enforcement Division treasure for many years. He has litigated many significant Commission actions and provided a steady hand in helping to oversee the Division's litigation program. His good cheer, dedication, and deep knowledge have made him an invaluable resource and a beloved figure throughout all of the SEC's divisions and regional offices. He will be greatly missed."

The SEC Enforcement Division's Chief Litigation Counsel, David L. Kornblau, added, "Legions of SEC litigators and staff attorneys have long turned to Steve for insightful, creative, practical, and prompt advice. His integrity, decency, and selfless devotion to public service are legendary. I am grateful to him for his friendship and superb counsel, particularly during the last seven months when he has been my partner in leading the Trial Unit."

Mr. Crimmins said, "It has been a privilege to work with the exceptional people who make up the SEC's Enforcement Division. Their enthusiasm, expertise, and dedication to protecting investors have made this job truly a joy for all of my fourteen years here. Likewise, the new initiatives launched by Commission and Division leadership during my tenure have been exciting and professionally satisfying for me."

Before joining the Commission, Mr. Crimmins practiced law with Lord, Day & Lord in New York City and with Bingham, Dana & Gould in Boston. He received his law degree from Columbia University in 1973, and was Articles Editor of the Columbia Journal of Law & Social Problems. A native of Brooklyn, he received his undergraduate degree from Fordham University in 1970. (Press Rel. 2001-71)

## **ENFORCEMENT PROCEEDINGS**

## SEC OBTAINS FINAL JUDGMENT AGAINST PAUL MONTLE INCLUDING A FIVE-YEAR BAR ON MONTLE SERVING AS AN OFFICER OR DIRECTOR OF ANY PUBLIC COMPANY, OVER \$360,000 IN DISGORGEMENT AND INTEREST, AND A \$50,000 CIVIL PENALTY

The Commission announced that on July 12, the Honorable Milton Pollack of the United States District Court for the Southern District of New York entered a final judgment against Paul J. Montle (Montle). Following a four-day bench trial in May of this year, the Court entered a judgment against Montle on all of the Commission's claims against him.

#### The judgment

- permanently enjoins Montle from future violations of Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934; Section 13(b)(5) of the Exchange Act; and Section 13(b)(2) of the Exchange Act;
- bars Montle for five years from serving as an officer or director of any public company;
- bars Montle for five years from participating in the sale of securities under Regulations S and D of the Securities Act;

- orders Montle to disgorge his ill-gotten gains of \$187,459.25 and prejudgment interest of \$177,633; and
- orders Montle to pay a \$50,000 civil penalty.

The Court found that Montle's "violations involving fraud and deceit were numerous and ongoing," and that his "actions were knowing departures from the securities laws." The Court further found that Montle's testimony in his own defense "was without even the semblance of credibility" and "was infected by the same variety of distortion and outright falsehood that accompanied his deceptions in the arena of publicly held companies," and concluded that "there is strong evidence that [Montle] is likely to violate the securities laws in the future."

The Court further found that:

- Montle violated the federal securities laws regarding three public companies: Viral Testing Systems Corporation (VTS), which marketed an HIV diagnostic test called "Fluorognost"; Lone Star Casino Corporation (Lone Star), which sought to own and operate gambling casinos; and RMS Titanic, Inc. (Titanic), which attempted to salvage artifacts from the sunken Titanic ocean liner.
- As CEO and a director of VTS, Montle made material misrepresentations in two trade publications and a VTS press release regarding VTS's revenues and projected revenues and related matters. For example, in an article that appeared in <u>Today's Investor</u>, Montle overstated VTS Fluorognost revenues by more than double, projected grossly overstated future revenues, and falsely claimed that the American Red Cross had "endorsed" Fluorognost.
- As Chairman and CEO of Lone Star, Montle intentionally omitted from numerous public SEC filings that Lone Star had sold more than a million shares of its stock to foreign investors, thus concealing significant dilution. Montle also attempted to cover up this fraud by falsely altering Lone Star's Board of Director's minutes, omitting the stock sales from Lone Star's books and records, failing to disclose the sales to Lone Star's securities counsel, and creating sham stock purchase agreements.
- Montle also orchestrated a scheme to manipulate the stock of Titanic, causing it to open at an arbitrarily high price of \$5 per share, climb to \$11 within two months, and subsequently fall below \$5. Through this scheme, Montle obtained ill-gotten gains totaling at least \$187,459.25.

Montle, age 53, resides in Massachusetts. [SEC v. Paul J. Montle, et al., 98 Civ. 3446 (MP), U.S.D.C., S.D.N.Y.] (LR-17066)

## SEC BRINGS CHARGES AGAINST FRAUDULENT OFFERING OF STOCK IN PURPORTED WORKERS' COMPENSATION INSURANCE VENTURE

On July 13, the Commission announced that it charged William L. Brotherton (Brotherton), an unlicensed Colorado chiropractor, and the company he founded, International Business Consortium, Inc. (IBC), with perpetrating a fraudulent, unregistered offering of \$20 million in IBC stock over the internet. The complaint alleges that the offering began early in 2001 and continued into July, and that the defendants raised over \$300,000 from selling IBC stock to at least 180 investors. IBC holds itself out as a promising start-up preparing to offer discount workers' compensation insurance when, according to the allegations, it has deceived investors about, among other things, its true state of development and operations. The Commission alleges that IBC and Brotherton have misappropriated at least 25% of the offering proceeds for the personal benefit of Brotherton and certain of his relatives. The complaint, filed in the United States District Court for the District of Colorado, charges the defendants with violating Sections 5(a), 5(c) and 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934, and Rule 10b-5 thereunder.

The complaint names as defendants:

- **Brotherton**, age 60, who resides in Fort Collins, Colorado. Brotherton is, and was at the time of the events alleged herein, IBC's president, vice-president, secretary, treasurer and sole director.
- **IBC**, which is a Colorado corporation whose sole business address is Brotherton's residence. IBC is not and never has even registered with the Commission in any capacity.

Also on Friday, the Commission moved for an order granting emergency relief to halt the fraud including orders (a) temporarily restraining, and preliminarily enjoining, defendants from violating Sections 5(a), 5(c) and 17(a) of the Securities Act, and Sections 10(b) of the Exchange Act and Rule 10b-5 thereunder; (b) freezing defendants' assets; (c) directing defendants to provide verified accountings; and (d) prohibiting the destruction, alteration, or concealment of documents; and (e) providing that the Commission may take expedited discovery. The litigation is pending. [SEC v. William L. Brotherton and International Business Consortium, Inc., Civil Action No. 01-WM-1340, USDC D. Colo.] (LR-17067)

## INVESTMENT COMPANY ACT RELEASES

## MORGAN GRENFELL INVESTMENT TRUST, ET AL.

A notice has been issued giving interested persons until August 7, 2001, to request a hearing on an application filed by Morgan Grenfell Investment Trust, et al. for an order exempting applicants from Section 12(d)(1)(G)(i)(II) of the Investment Company Act. The order would permit funds of funds relying on Section 12(d)(1)(G) of the Act to invest in securities and other instruments. (Rel. IC-25063 – July 13)

## SIGNIFICANT NO-ACTION, EXEMPTIVE AND INTERPRETIVE LETTERS

The following is a list of significant no-action, exemptive and interpretative letters recently issued by the Division of Market Regulation. These letters express the view of the Division respecting novel or important questions arising under the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, and the Investment Advisers Act of 1940. Copies of these letters may be obtained by writing to the Public Reference Room, U.S. Securities and Exhange Commission, Washington, D.C. 20549, or by making a request in person at the Public Reference Room, 450 Fifth Street, N.W., Room 1024, Washington, D.C., stating the name of the subject company, the Act and the Section of the Act to which it relates and the public availability date.

Listed below are significant interpretive, exemptive or no-action letters issued by the Division of Market Regulation for the quarterly period April 1, 2001 through June 30, 2001.

#### COMPANY

#### ACT/SECT./RULE

DATE

1st Global, Inc.

1934 Act, Sections 15(a); 15(b) 05/07/01

Instinct Clearing Services, Inc. 1934 Act, Rules 10b-10(a); 10b-10e 06/07/01

Massachusetts Municipal Wholesale Electric Company	1934 Act, Rule 15c2-12 y	06/26/01
Securities Industry Association (SIA)	1934 Act, Rule 11Ac1-5	03/12/01
SIA	1934 Act, Rule 11Ac1-5	04/12/01
NASD Small Firms Advisory Board	1934 Act, Rule 11Ac1-5	06/22/01
Nasdaq	1934 Act, Rule 11Ac1-5	06/22/01
NYSE	1934 Act, Rule 11Ac1-5	06/22/01
Market Systems Inc.	1934 Act, Rule 11Ac: 5	06/22/01
First Union	1934 Act, Rule 11Ac1-6	06/22/01
Rule 10a-1; Exemptive Relief Under Short Sale Rule (Morgan Stanley VWAP letter)	Rule 10a-1	05/11/01
Singapore Telecommunications Ltd.	Rule 14e-5	05/15/01
Vanguard VIPERS Small-Cap Index Fund, Total Stock Market Index Fund & Extended Stock Market Index Fund	Section 11(d)(1), Rule 10a-1; 10b-10; 10b-17; 11d1-2; 14e-5; 15c1-5; 15c1-6; Regulation M, Rules 101, 102	05/21/01
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Regulation M, Rule 102

06/29/01

Regulation M, General Exemption of Puerto Rico Funds

## SELF-REGULATORY ORGANIZATIONS

## ACCELERATED APPROVAL OF PROPOSED RULE CHANGE

A proposed rule change (SR-PCX-2001-14) and Amendment Nos. 1 and 2 thereto filed by the <u>Pacific Exchange</u> relating to generic listing standards applicable to the listing and trading of Investment Company Units and Portfolio Depositary Receipts pursuant to Rule 19b-4(e) under the Securities Exchange Act has become effective, as amended, under Section 19(b)(2) of the Securities Exchange Act. Publication of the proposal is expected in the Federal Register during the week of July 16. (Rel. 34-44551)

## EXTENSIONS

The Commission approved an extension until August 20, 2001, to the Reporting Plan for Nasdaq/National Market Securities Traded on an Exchange on an Unlisted or Listed Basis (S7-24-89), submitted by the <u>National Association of Securities Dealers</u>, the <u>Pacific Exchange</u>, and the <u>Boston</u>, <u>Chicago</u>, <u>Cincinnati</u>, and <u>Philadelphia Stock Exchanges</u>. (Rel. 34-44552)

The Commission extended the Government Securities Clearing Corporation's temporary registration as a clearing agency through December 31, 2001. Publication of the notice and order is expected in the <u>Federal Register</u> during the week of July 16. (Rel. 34-44553)

## SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e7 NEWS DIGEST, July 16, 2001

mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-1 AT&T WIRELESS SERVICES INC, 7277 164TH AVENUE NE BUILDING 1, REDMOND, WA

98052 (425) 580-6000 - \$110,645,300 COMMON STOCK. (FILE 333-64756 - JUL. 09) (BR. 7)

S-8 SDC INTERNATIONAL INC \DE\, 2065 MONTGOMERY ST, FORT WORTH, TX 76107 (561) 882-9300 - 2,000,000 (\$1,420,000) COMMON STOCK. (FILE 333-64758

JUL. 09) (BR. 5)

S-8 GO ONLINE NETWORKS CORP, 5681 BEACH BLVD, SUITE 101/100, BUENA PARK, CA 90621 (303) 221-7376 - 2,045,000 (\$102,250) COMMON STOCK. (FILE 333-64760 - JUL. 09) (BR. 9)

S-8 LEARNINGSTAR CORP, 2 LOWER RAGSDALE DRIVE, SUITE 200, MONTEREY, CA 93940

(831) 333-2000 - 1,300,000 (\$3,276,000) COMMON STOCK. (FILE 333-64762

JUL. 09) (BR. 2)

S-8 LEARNINGSTAR CORP, 2 LOWER RAGSDALE DRIVE, SUITE 200, MONTEREY, CA
93940
(831) 333-2000 - 300,000 (\$756,000) COMMON STOCK. (FILE 333-64764 JUL. 09) (BR. 2)

S-8 LEARNINGSTAR CORP, 2 LOWER RAGSDALE DRIVE, SUITE 200, MONTEREY, CA
93940
(831) 333-2000 - 125,000 (\$315,000) COMMON STOCK. (FILE 333-64766 JUL. 09) (BR. 2)

- S-4 TITAN CORP, 3033 SCIENCE PARK RD, SAN DIEGO, CA 92121 (858) 552-9500
  2,879,659 (\$48,010,328) COMMON STOCK. (FILE 333-64768 JUL. 09) (BR.
  3)
- S-8 SIGNAL TECHNOLOGY CORP, 222 ROSEWOOD DR, DANVERS, MA 01923 (978) 774-2281 - 2,668,000 (\$27,266,960) COMMON STOCK. (FILE 333-64770

JUL. 09) (BR. 5)

S-8 UNITED BUSINESS MEDIA PLC, C/O UNITED BUSINESS MEDIA INC,
 32 UNION SQUARE EAST 5TH FLOOR, NEW YORK, NY 10003 (212) 358-6750 14,724,000 (\$129,513,500) FOREIGN COMMON STOCK. (FILE 333-64774 - JUL.

09)

(BR. 5)

S-2 CLEVELAND ELECTRIC ILLUMINATING CO, 76 SOUTH MAIN STREET, C/O FIRSTENERGY CORP, AKRON, OH 44308 (216) 622-9800 - 9,800,000 (\$245,000,∪00) STRAIGHT BONDS. (FILE 333-64776 - JUL. 09) (BR. 2)

UNITED BUSINESS MEDIA PLC, C/O UNITED BUSINESS MEDIA INC, S-8 32 UNION SQUARE EAST 5TH FLOOR, NEW YORK, NY 10003 (212) 358-6750 -7,734,071 (\$7,734,071.06) FOREIGN COMMON STOCK. (FILE 333-64778 - JUL.

09)

(BR. 5)

UNITED BUSINESS MEDIA PLC, C/O UNITED BUSINESS MEDIA INC, S-8 32 UNION SQUARE EAST 5TH FLOOR, NEW YORK, NY 10003 (212) 358-6750 -223,135 (\$1,720,370.90) COMMON STOCK. (FILE 333-64782 - JUL. 09) (BR. 5)

WHX CORP, 110 EAST 59TH ST, 30TH FL, NEW YORK, NY 10022 (212) 355-S-8 5200 - 1,750,000 (\$3,620,000) COMMON STOCK. (FILE 333-64784 - JUL. 09) (BR. 6)

NEW FRONTIER MEDIA INC /CO/, 5435 AIRPORT BLVD, SUITE 100, BOULDER, s-3 CO 80301 (303) 444-0632 - 1,675,283 (\$4,372,488.60) COMMON STOCK. (FILE

333-64786 - JUL. 09) (BR. 5)

APPLERA CORP, 301 MERRITT 7, NORWALK, CT 06851 (203) 840-2000 s-4 6,910,674 (\$249,000,207) COMMON STOCK. (FILE 333-64788 - JUL. 09) (BR. 5)

BLACK & DECKER CORP, 701 E JOPPA RD, TOWSON, MD 21286 (410) 716-3900 S-4

400,000,000 (\$400,000,000) STRAIGHT BONDS. (FILE 333-64790 - JUL. 09) (BR. 5)

VERIZON GLOBAL FUNDING CORP /DE/, 501 CARP ROAD, SUITE 201, NULL, 'S-4 WILMINGTON, DE 19809 (302) 761-4200 - 5,000,000,000 (\$5,000,000,000) STRAIGHT BONDS. (FILE 333-64792 - JUL. 09) (BR. 37)

COMMERCIAL NET LEASE REALTY INC, 450 S ORANGE AVE, SUITE 900, S-8 ORLANDO, FL 32801 (407) 423-7348 - 2,900,000 (\$39,947,500) COMMON STOCK. (FILE

#### **RECENT 8K FILINGS**

Form 8-K is used by companies to file current reports on the following events:

333-64794 - JUL. 09) (BR. 8)

- Item 1. Changes in Control of Registrant. Item 2. Acquisition or Disposition of Assets. Item 3. Bankruptcy or Receivership. Item 4. Changes in Registrant's Certifying Accountant. Item 5. Other Materially Important Events. Item 6. Resignations of Registrant's Directors. Item 7. Financial Statements and Exhibits

- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: cublicity

NAME OF ISSUER				8K ITEM 3 4 5 6		DATE C	OMMENT
	DE					07/13/01	
						07/11/01	
ALPHANET SOLUTIONS INC	NJ			Х	Х	07/13/01	
AMERICAN BIOGENETIC SCIENCES INC	DE		Х		Х	06/29/01	
AMERICAN COLOR GRAPHICS INC	NY					07/13/01	
	DE					07/11/01	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2000-D	DE			Х	Х	07/09/01	
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2000-1	DE			х	Х	07/09/01	
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AMERISERV FINANCIAL INC /PA/		Х				07/12/01	
AMERIVEST PROPERTIES INC	MD				Х	06/26/01	
ANALYTICAL SURVEYS INC	CO			Х		07/13/01	
APPLIED DIGITAL SOLUTIONS INC	MO			Х		07/11/01	
ARCH WIRELESS COMMUNICATIONS INC	DE			Х		07/12/01	
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INC 2001-2								
INC 2001-2		NC	Х				06/25,	/01
BANK OF AMERICA 1999-10							06/25	
BANK OF AMERICA 1999-11			Х				06/25,	
BANK OF AMERICA 1999-11			Х					
BANK OF AMERICA 1999-3		NC	Х				06/25,	/01
BANK OF AMERICA 1999-4		DE	Х				06/25,	/01
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BANK OF AMERICA 1999-5			Δ	Х			07/10	
BAY VIEW CAPITAL CORP		DE						
BICO INC/PA		PA		Х			07/11	
BRANDYWINE REALTY TRUST		MD		Х	. Х		06/29	
CADMUS COMMUNICATIONS CORP/NEW		VA		Х	Х		07/13	/01
		DE		Х	X		07/12	/01
CALPINE CORP				X			07/13	
CARBO CERAMICS INC		DE	·	Δ			06/28	
CEDAR INCOME FUND LTD /MD/		MD	Х		Х			
CENDANT MORTGAGE CORP MORT PASS T	HR	DE		X	X		06/29	/01
OUGH CERT SERIES 2001-6								
		NY		Х	x x		06/28	/01
CHASE FUNDING INC				X			06/28	
CHASE FUNDING INC		NY			-		07/12	
CHINA GATEWAY HOLDINGS INC		DE		X				
CHOICE HOTELS INTERNATIONAL INC /	DE	DE		Χ	X X		07/12	VUT .

8K ITEM NO.

NAME OF ISSUER	CODE	1	23			78		DATE	COMMENT
CHROMATICS COLOR SCIENCES INTERNATI ONAL INC	NY			2	K	X		07/03/	01
COLLINS & AIKMAN CORP	DE		Х			х		07/03/	01
COMTECH TELECOMMUNICATIONS CORP /DE	DE		X			Х		04/30/	01 AMEND
CONSECO FINANCE SECURITIZATIONS COR P	DE					Х		06/27/	01
CONTINENTAL AIRLINES INC /DE/						Х		06/26/	01 AMEND
CONTINENTAL AIRLINES INC /DE/	DE					Х		07/13/	01
COOPER COMPANIES INC	DE			2	K	Х		07/12/	
CORRECTIONAL PROPERTIES TRUST	MD		X	2	ζ	Х		06/28/	01
COUNTRYWIDE HOME LOAN TRUST HOME LO AN BAC NO SER 2001-HLV1	NY	Х						07/10/	
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CRESCENT OPERATING INC	DE					х	х	06/28/	01
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CWABS INC ASSET BACKED CERTIFICATES SERIES 2001-BC2	DE	Х			-			06/25/	01
CWABS INC ASSET BACKED CERTIFICATES SERIES 2001-1	DE	Х						06/25/	01
CWABS INC ASSET BACKED CERTIFICATES SERIES 2001-2	DE	Х						06/25/	01
CWMBS INC ALTERNATIVE LOAN TRUST 20	DE	Х						06/25/	01
01-1 CWMBS INC ALTERNATIVE LOAN TRUST 20 01-2	DE	Х						06/25/	01
CWMBS INC ALTERNATIVE LOAN TRUST 20 01-3	DE	х						06/25/	01
CWMBS INC ALTERNATIVE LOAN TRUST 20 01-4	DE	Х						06/25/	01
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 2001-11	DE	Х						06/25/	01
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 2001-12	DE	Х						06/25/0	01
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 2001-2	DE	Х						06/25/0	01
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 2001-6	DE	Х						06/25/0	01
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 2001-7	DE	Х						06/25/	01
CWMBS INC CHL MORTGAGE PASS THROUGH TRUST 2001-9	DE	Х						06/25/0	01
CWMBS INC MORT PASS THR CERT SER 20 01-10	DE	Х						06/25/0	01
CWMBS INC MORTGAGE PASS THRU CERTIF ICATES SERIES 2001-8	DE	Х						06/25/0	01
DIGEX INC/DE	DE	Х				X		07/01/0	11
DIGITAL RECORDERS INC	NC		х			X		06/28/0	
	II.C		*7			Δ		00/20/0	<i></i>

8K ITEM NO.

NAME OF ISSUER	CODE	1	2	34	5	6	7	89	DATE	CO	MMENT
DOLLAR CONTRAL COPP	TN							Х	07/13/	01	
DOLLAR GENERAL CORP	DE		x				х		07/12/	01	
DSTAGE COM INC	FL		22				x		07/13/ 07/12/ 05/15/	00	AMEND
EARTHFIRST TECHNOLOGIES INC	AR								07/06/	01	
ENTERGY ARKANSAS INC	TX				x				07/06/		
	LA								07/06/		
ENTERGI DOOIDIIGUI INO	MS										
ENTERGY MISSISSIPPI INC									07/06/		
	DE				X				07/13/		
ENTRADA NETWORKS INC	DE						Х		07/13/		
HOLL DUDIGL LINGLED	DE		Λ				x		04/03/		AMEND
ERESOURCE CAPITAL GROUP INC	DE						x		04/30/		
ESC MEDICAL SYSTEMS LTD FT VOLLA EUROPEAN CAFES INC	NV	v	v			x	••		06/20/		
		Δ	Λ			11					
FARMER MAC MORTGAGE SECURITIES CORP	DE										
FARMER MAC MORTGAGE SECURITIES CORP											
FARMER MAC MORTGAGE SECURITIES CORP	DE										
FARMER MAC MORTGAGE SECURITIES CORP	DE						Λ		06/25/		
FINANCIAL ASSET SEC CORP NEW SOUTH	DE	X							06/25/	ΟI	
HOME EQUITY TRUST 2001-1									06/25/	(01	
FIRST HORIZON MORTGAGE PASS THROUGH	ΤX	Х							06/25/	UL	
TRUST 2000 H									00/05	/ 0 1	
FIRST HORIZON MORTGAGE PASS THROUGH	ΤX	Х							06/25/	UI	
TRUST 2000 1									06/05	(01	
FIRST HORIZON MORTGAGE PASS THROUGH	NY	Х							06/25,	01	•
TRUST 2000 2									0.0.00	101	
FIRST HORIZON MORTGAGE PASS THROUGH	ΤX	Х							06/25,	01	
TRUST 2000 3									0 1 105	101	
FIRST HORIZON MORTGAGE PASS THROUGH	ΤX	Х							06/25,	01	
TRUST 2000 4											
FIRST HORIZON MORTGAGE PASS THROUGH	ΤX	Х							06/25,	/01	
TRUST 2000 5											
FIRST HORIZON MORTGAGE PASS THROUGH	ΤX	Х							06/25.	/01	
TRUST 2001-1											
FIRST HORIZON MORTGAGE PASS THROUGH	DE	Х							06/25	/01	
TRUST 2001-2											
FIRST HORIZON MORTGAGE PASS THROUGH	NY	Х							06/25	/01	
TRUST 2001-3											
FOREST OIL CORP	NY				Σ	X	Х		07/12		
FORTIS BENEFITS INSURANCE CO	MN		Х						07/01		
FORTRESS GROUP INC	DE		Х				Х		07/13		
FULTON FINANCIAL CORP	PA		Х				Х		07/12		
GASCO ENERGY INC	NV	·X			Σ	ζ	Х		07/13	/01	
GC COMPANIES INC	DE				Σ	ζ	Х		07/10	/01	
GENERAL GROWTH PROPERTIES INC	DE				Σ	ζ	Х		07/12	/01	
GLOBAL TELESYSTEMS INC	DE				Σ	ζ	Х		07/13	/01	
GROUP 1 SOFTWARE INC	DE		Х				Х		07/13	/01	AMEND
HARLEYSVILLE NATIONAL CORP	PA						Х		06/30	/01	
HARLEYSVILLE NATIONAL CORF HEALTHSTAR CORP /UT/	DE	x	Х				X		06/28	/01	
HEALTHSTAR CORP 7017 HUNTINGTON BANCSHARES INC/MD	MD		••		,	K	X				AMEND
					•				x 07/11		
HYATON ORGANICS INC	DE					<u>.</u>		,	07/02		
HYBRIDON INC											

8K ITEM NO.

· · · · · · · · · · · · · · · · · · ·	STATE		8K	TTEM	NO	•			
NAME OF ISSUER	CODE	1 2	23	456	57	8	9	DATE	COMMENT
	DE								01
IKON RECEIVABLES LLC	DE	· · · · ·	ζ		Х			06/20/0	01
IKON RECEIVABLES LLC INFINIUM SOFTWARE INC	MA			Х				07/13/0	01
INFOWAVE SOFTWARE INC					Х		Х	07/12/0	01
INTERDENT INC	DE								DI AMEND
JP MORGAN CHASE COMMERCIAL MORTGAGE								07/13/0	
SECURITIES CORP									
KAISER VENTURES INC	DE			Х				07/11/0	01
KAISER VENTURES INC KATY INDUSTRIES INC	DE	Х			Х			06/28/0	$\overline{\mathbf{D}_1}$
KEVCO INC	ТX			Х	Х			06/28/0 07/05/0 06/25/0	01
LEHMAN T H & CO INC	DE			Х				06/25/0	01
MARINE DRILLING COMPANIES INC	TX			Х	Х			07/13/0	
MBNA AMERICA BANK NATIONAL ASSOCIAT	DE			X	х			06/30/0	
ION								,, -	-
MBNA AMERICA BK NAT ASSOC MBNA MAST				X	Х			06/30/0	01
ER CREDIT CARD TRUST II									-
MBNA CORP	MD			Х				06/30/0	)1
MBNA CREDIT CARD MASTER NOTE TRUST				х	х			06/30/0	
MCDONALDS CORP	DE			x	x			07/10/0	
MDI ENTERTAINMENT INC	DE			X X X	x			07/09/0	
	OR			x	x			07/13/0	
MESABI TRUST	NY			X	x			07/12/0	
METASOLV INC	DE			••	x		x	07/10/0	
MILLS CORP				Х	x			07/13/0	
MISSION RESOURCES CORP	DE	X						05/16/0	
MORGAN STANLEY DEAN WITTER CAPITAL		Х						06/25/0	
T INC TRUST 2001-NC1									-
NATIONAL PROCESSING INC	OH DE	Х			х			07/13/0	) 1
NAUTICA ENTERPRISES INC	DE				x			04/30/0	1 AMEND
NBG RADIO NETWORK INC	NV	· x						06/29/0	
NETBANK INC	GA	x			X			07/13/0	
NTL INC/DE/	DE			Х	x			07/13/0	
	DE				**			06/30/0	
PACIFIC SECURITY COMPANIES				Х					1 AMEND
PEOPLES BANCORP INC /GA/								07/13/0	
PEP BOYS MANNY MOE & JACK	PA			X				06/29/0	
PFSWEB INC	DE			23	11	Х		06/28/0	
PHARMACOPEIA INC	DE	х			х	Λ		06/29/0	
PLANET EARTH RECYCLING INC	NV			х	x				1 AMEND
POPULAR INC	PR			x	X			07/10/0	
PRIVATE BUSINESS INC	TN			X	X			07/13/0	
REDBACK NETWORKS INC	DE			x	X			07/13/0	
RIVERSIDE PARK ASSOCIATES LP	DE			x	11			07/06/0	
SAFESCIENCE INC	NV			<b>7</b> 1	Х				1 AMEND
SALOMON BROTHERS MORTGAGE SECURITIE	DE			Х	X				
S VII INC	טט			Δ	Λ			07/11/0	Ŧ
SANGSTAT MEDICAL CORP	חה			_	v			07/10/0	ч
SEARS CREDIT ACCOUNT MASTER TRUST I	DE			A V	X			07/10/0	
I	ΙL			Х	Х			07/16/0	T
	NTS 7	.,			ς,			00/00/0	1
SECURITY BIOMETRICS INC SENTRY BUILDERS CORP	NV	X			X			06/29/0	
STATUT DOTIDERS COKE	DE	Х			Х			06/30/0	1

8K ITEM NO.

	STATE		8	βK	Τ.1	ΕM	NO.			
NAME OF ISSUER	CODE	1	2	3	4	5 (	578	9	DATE	COMMENT
SL GREEN REALTY CORP	MD						Х			1 AMEND
SOS STAFFING SERVICES INC	UT					Х			07/05/0	
SOUTH JERSEY GAS CO/NEW	NJ					Х	Х		07/10/0	
SPRINGHILL LAKE INVESTORS LTD PARTN ERSHIP	I. MD				Χ				07/06/0	)1
STILWELL FINANCIAL INC	DE		Х				Х		07/13/0	
STRUCTURED ASS MO INV INC WSH MUT M O L T MO P TH CE SE 01-1	1 DE	Х							06/25/0	)1
STRUCTURED ASSET SEC CORP MOR PASS THR CERT SER 2001-9	DE					Х	Х		06/29/0	)1
SUBURBAN PROPANE PARTNERS LP	DE					Х	Х		07/12/0	)1
SUMMIT LIFE CORP	OK				Х		Х		06/29/0	)1 AMEND
SYSTEM ENERGY RESOURCES INC	AR					х			07/06/0	)1
TALON AUTOMOTIVE GROUP INC	MI			Х			Х		06/29/0	01
TELSCAPE INTERNATIONAL INC	ТΧ			Х		Х	Х		07/09/0	01
TOWNE SERVICES INC	GA					Х	Х		07/13/0	01
TOYS R US INC	DE					Х		Х	07/13/0	)1
TRANS ENERGY INC	NV					Х			06/29/0	10
UNION NATIONAL FINANCIAL CORP / PA	PA	Х							06/30/0	01
UNITED AUTO GROUP INC	DE						Х	Х	07/12/0	01
UNITED COMMUNITY FINANCIAL CORP	OH		Х				Х		07/01/0	01
UNIVERSAL MEDIA HOLDINGS INC	DE		Х				Х		07/06/	01
US AIRWAYS GROUP INC	DE						Х	Х	07/13/	01
US AIRWAYS INC	DE						Х	Х	07/13/	10
US CONCRETE INC	DE					Х	Х		07/12/	01
USX CORP	DE						Х	Х	07/13/	
VIASOURCE COMMUNICATIONS INC	NJ					Х	Х		07/05/	01
VISIONGLOBAL CORP	NV					Х			07/13/	
VSOURCE INC	DE					Х	Х		07/12/	
W HOLDING CO INC	PR					Х	Х		07/11/	
WASHINGTON MUTUAL MOR SEC CORP MOR PAS THR SER 2001-6	DE						Х		06/28/	01
WASHINGTON MUTUAL MORTGAGE SEC COR MOR PAS THRU CERT 2001-7	P DE						Х		06/28/	01
WELLS FARGO MORTGAGE BACKED SECURI' IES 2001-15	r de					Х	Х		06/28/	01 .
WINTHROP GROWTH INVESTORS I LP	MA				Х				07/06/	01
WORLDWIDE PETROMOLY INC	CO						Х		07/09/	
WORLDWIDE VEB NETWORX CORP	DE								07/13/	
YAMAHA MOTOR RECEIVABLES CORP	DE				••	Х			07/16/	
21ST CENTURY TECHNOLOGIES INC	NV					X			06/25/	
2191 CENTURI LECHNOLOGIES INC	41 7									