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# SEC NEWS DIGEST

Issue 2001-129

July 5, 2001

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## ENFORCEMENT PROCEEDINGS

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### SEC SETTLES FRAUD CHARGES AGAINST SOFTWARE COMPANY CEO AND BARS AUDITOR FROM PRACTICE

The Commission announced today that it has settled proceedings previously instituted against Pakie V. Plastino, former Chairman and CEO of Secure Sign, Inc., of Mountlake Terrace, Washington (Secure Sign or the Company) and the Company's auditor, William L. Butcher.

The Commission instituted cease-and-desist proceedings against Plastino in September 2000, alleging that he fraudulently overvalued the assets of the Company – then known as YourBankOnline.com, and previously as Consolidated Data, Inc. – in public statements and Commission filings. According to the Division of Enforcement, Plastino drafted a press release issued by the Company in March 1999 in which the Company claimed to have purchased the rights to an Internet banking software program for \$10 million in cash and stock. This press release, which was followed by additional Company statements touting the software program, caused the Company's stock price to jump from less than \$1 to \$32 over a two-week period.

As alleged by the Division, the March 1999 press release greatly overstated the value of the software and misrepresented the Company's financial strength. The Company (which had less than \$200 in cash at the time) had no ability to make any substantial cash payments to acquire the software. In addition, the Division alleges, the Company stock exchanged for the software was worth far less than \$10 million. Moreover, the \$10 million value was unreasonable in light of the fact that the same software had been purchased for approximately \$400,000 in a separate transaction just a few months earlier.

The Division further alleges that Plastino also fraudulently inflated the value of the software in financial statements filed with the Commission in August 1999. Butcher, a certified public accountant based in Everett, Washington, audited those financial statements. The Division alleges that Butcher engaged in improper professional conduct in his audit of the Company's financial statements. Butcher failed to take reasonable steps to evaluate whether the software – an asset which by his own calculation accounted

for 99% of the Company's assets – was valued in conformity with generally accepted accounting principles.

In the order announced today, the Commission found that Plastino violated Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, and ordered him to cease and desist from committing or causing violations of those provisions. The Commission further ordered Plastino to disgorge \$72,750 in ill-gotten gains from a private transaction in which Plastino acquired real estate in exchange for fraudulently overvalued Company stock. In a separate order, the Commission found that Butcher engaged in improper professional conduct under Rule 102(e)(1)(ii) of the Commission's Rules of Practice, and denied him the privilege of appearing or practicing before the Commission as an accountant for a period of one year. The Commission accepted offers of settlement submitted by Plastino and Butcher in which they, without admitting or denying the Commission's findings, agreed to the entry of the respective orders.

The Commission previously settled fraud charges against Secure Sign on February 5, 2001. (Rel. 34-44510; AAE Rel. 1420; 34-44511; AAE Rel. 1421; File No. 3-10313)

## **SEC OBTAINS \$50,000 PENALTY AGAINST JACK SILVER FOR UNTIMELY AND MISLEADING BENEFICIAL OWNERSHIP REPORTS**

On July 5, the Commission filed a settled case in the United States District Court for the Southern District of New York against Jack S. Silver, an investor in New York City. The complaint charges Silver with filing untimely and misleading reports concerning his beneficial ownership of stock in TransAct Technologies, Inc., his unsuccessful efforts to negotiate an acquisition of TransAct, and his subsequent divestiture of his TransAct holdings. Without admitting or denying the Commission's allegations, Silver consented to entry of a final judgment that would enjoin him from violating Sections 10(b) and 13(d) of the Securities Exchange Act of 1934 and Rules 10b-5, 13d-1, and 13d-2 thereunder, and order him to pay a \$50,000 civil penalty.

In late March 1997, according to the Commission's complaint, Silver acquired more than 5% of the outstanding common stock of TransAct, a company based in Wallingford, Connecticut that trades on the Nasdaq National Market. The complaint alleges that Silver was approximately four months late in filing with the Commission a required statement on Schedule 13D to disclose his acquisition of TransAct stock, and that Silver's statement, when filed, failed to disclose several material facts that Silver was required by law to disclose, including his active and ongoing efforts to convince another company to acquire TransAct. The complaint further alleges that, after failing in his efforts to negotiate an acquisition of TransAct, Silver sold his entire position of more than 550,000 shares of TransAct in the open market between late August and late October 1997, but failed to make required disclosures of those sales until November 13, 1997, approximately 2 months late. According to the complaint, Silver's untimely public disclosure of his sales took on added significance because, during the period when Silver was selling his shares at prevailing market prices, *Business Week* published an "Inside

Wall Street” column which, based on interviews conducted months earlier, quoted Silver as predicting that TransAct was likely to be acquired at a premium over market price. Thus, the complaint alleges, Silver’s undisclosed sales occurred at a time when the news media were raising hopes of a potential acquisition of TransAct at a premium, which Silver knew would not occur. [SEC v. Jack S. Silver, No. 01 Civ. 6038 (Judge Pollack) USDC, SDNY] (LR-17059)

## **SEC OBTAINS FINAL JUDGMENT AGAINST BRYAN EGAN FOR FRAUDULENT PONZI SCHEME**

The Commission announced that on June 29, 2001, Judge Lloyd D. George of the United States District Court for the District of Nevada entered, by consent, a final judgment permanently enjoining Bryan J. Egan from future violations of the antifraud and registration provisions of the federal securities laws. Egan also consented to pay disgorgement of illegal profits, plus prejudgment interest, and a civil money penalty, in amounts and upon such terms as may be determined by agreement of the parties or by the Court upon motion by the Commission.

In its complaint filed on September 19, 2000, the Commission alleged that Egan operated a fraudulent Ponzi scheme in which he and BryCar Financial Corporation collected millions of dollars from hundreds of investors with guarantees that, among other things, their “risk free” investments in so-called “pre-IPO” stock and other securities would generate 500% returns. The SEC’s complaint also alleged that BryCar illegally offered and sold securities without being registered with the SEC as a broker-dealer. Egan is further alleged to have looted investor funds from BryCar on numerous occasions to support his lavish lifestyle.

Without admitting or denying the allegations contained in the complaint, Egan consented to the entry of the final judgment permanently enjoining him from future violations of the antifraud provisions of the Securities Act of 1933 (Section 17(a)), the Securities Exchange Act of 1934 (Section 10(b) and Rule 10b-5), and the Investment Advisers Act (Section 206(1) and (2)), and the registration provisions of the Securities Act of 1933 (Section 5(a) and (c)). [SEC v. BryCar Financial Corporation, et al., Civil Action No. CV-S-00-1125-LDG-LRL, D. Nev., LDG] (LR-17060)

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## **INVESTMENT COMPANY ACT RELEASES**

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### **MET INVESTORS SERIES TRUST, ET AL.**

An order has been issued on an application filed by Met Investors Series Trust (Trust) and Met Investors Advisory Corp. (Met Advisory) under Section 6(c) of the Investment Company Act to permit shares of any current or future series of the Trust and shares of any other investment company that is designed to fund insurance products and for which

Met Advisory or any of its affiliates may in the future serve as investment adviser, administrator, manager, principal underwriter or sponsor (together, the Funds) to be sold to and held by: (a) variable annuity and variable life insurance separate accounts of both affiliated and unaffiliated life insurance companies; (b) qualified pension and retirement plans outside the separate account context; and (c) the investment adviser of any Fund or any of the investment adviser's affiliates. (Rel. IC-25057 – July 3)

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## SELF-REGULATORY ORGANIZATIONS

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### IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change (SR-NASD-2001-40) filed by the National Association of Securities Dealers that allows members to send a preferenced SelectNet non-liability order to a market maker if the order is entered at a price that is inferior to the displayed quote to which the preferenced order is directed has become effective under Section 19(b)(3)(A) of the Securities Exchange Act of 1934. Publication of the notice is expected in the Federal Register during the week of July 9. (Rel. 34-44506)

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## SECURITIES ACT REGISTRATIONS

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The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

S-3 CONVERGYS CORP, 201 EAST FOURTH STREET, CINCINNATI, OH 45202  
(513) 397-5364 - 7,666,360 (\$249,999,999.60) COMMON STOCK. (FILE  
333-63922 - JUN. 27) (BR. 3)

F-1 MERRILL LYNCH CANADA INC, BCE PLACE SUITE 400, 181 BAY STREET  
M5J2V8,  
ONTARIO CANADA, (416) 369-7478 - 100,000,000 (\$20,000,000) COMMON  
STOCK.  
(FILE 333-63924 - JUN. 27) (BR. 7 - NEW ISSUE)

S-8 UNIVERSAL HEALTH SERVICES INC, 367 S GULPH RD, KING OF PRUSSIA, PA  
19406  
(610) 768-3300 - 3,000,000 (\$130,776,233.10) COMMON STOCK. (FILE  
333-63926 - JUN. 27) (BR. 1)

S-8 ESCO TECHNOLOGIES INC, 8888 LADUE RD, SUITE 200, ST LOUIS, MO 63124  
(314) 213-7200 - 200,000 (\$5,790,000) COMMON STOCK. (FILE 333-63930 -  
JUN. 27) (BR. 5)

S-8 BIOMUNE SYSTEMS INC, 6502 SOUTH ARCHER ROAD, BEDFORD PARK, IL 60501  
(801) 466-3441 - 165,300 (\$130,587) COMMON STOCK. (FILE 333-63932 -  
JUN. 27) (BR. 1)

SB-2 AMERIVEST PROPERTIES INC, 1800 GLENARM PLACE, SUITE 500, DENVER,, CO  
80202 (303) 297-1800 - 2,300,000 (\$13,800,000) COMMON STOCK. (FILE  
333-63934 - JUN. 27) (BR. 8)

S-3 SEMOTUS SOLUTIONS INC, 1735 TECHNOLOGY WAY, STE 790, SAN JOSE, CA  
95125  
(408) 367-1700 - 737,036 (\$1,227,165) COMMON STOCK. (FILE 333-63938 -  
JUN. 27) (BR. 8)

S-8 FLORIDA PUBLIC UTILITIES CO, 401 S DIXIE HWY, PO BOX 3395,  
WEST PALM BEACH, FL 33401 (561) 832-2461 - 128,013 (\$2,151,899)  
COMMON STOCK. (FILE 333-63942 - JUN. 27) (BR. 2)

S-4 LONE STAR TECHNOLOGIES INC, 15660 N DALLAS PKWY, SUITE 500, DALLAS,  
TX  
75248 (972) 770-6401 - 150,000,000 (\$150,000,000) STRAIGHT BONDS.  
(FILE  
333-63944 - JUN. 27) (BR. 6)

S-8 NORTH AMERICAN DATACOM INC, BUILDING 1000 751 CR 489, IUKA, MI 38852  
(303) 792-0719 - 1,080,476 (\$205,290) COMMON STOCK. (FILE 333-63946 -  
JUN. 27) (BR. 7)

S-8 JANEX INTERNATIONAL INC, 2999 NORTH 44TH STREET, SUITE 225, PHOENIX,  
AZ  
85018 (602) 808-8765 - 2,075,000 (\$145,250) COMMON STOCK. (FILE 333-  
63948  
- JUN. 27) (BR. 5)

S-8 EPIMMUNE INC, 5820 NANCY RIDGE DRIVE, SAN DIEGO, CA 92121 ((85) 8) -  
860-  
- 300,000 (\$989,062.50) COMMON STOCK. (FILE 333-63950 - JUN. 27) (BR.  
1)

S-4 DOUBLECLICK INC, 450 W 33RD ST, 16TH FL, NEW YORK, NY 10001  
(212) 683-0001 - 3,019,208 (\$33,238,979) COMMON STOCK. (FILE 333-63952  
-  
JUN. 27) (BR. 2)

S-8 ALLIED RESEARCH CORP, 8000 TOWER<sup>S</sup> CRESCENT DR, SUITE 260, VIENNA, VA  
22182 (703) 847-5268 - 240,000 (\$2,122,800) COMMON STOCK. (FILE 333-  
63954  
- JUN. 27) (BR. 6)

N-2 LIBERTY ALL STAR GROWTH FUND INC /MD/, LIBERTY INVESTMENT SERVICES,  
INC,  
600 ATLANTIC AVE, BOSTON, MA 02210 (301) 986-5866 - 2,137,620  
(\$20,179,132) COMMON STOCK. (FILE 333-63956 - JUN. 27) (BR. 22)

S-8 CARDIAC PATHWAYS CORP, 955 BENECIA AVE, CARDIAC PATHWAYS CORP,  
SUNNYVALE, CA 94086 (408) 737-0505 - 500,000 (\$1,760,000) COMMON STOCK.  
(FILE 333-63960 - JUN. 27) (BR. 5)

S-3 PINNACLE SYSTEMS INC, 280 N BERNARDO AVE, MOUNTAIN VIEW, CA 94043  
(650) 237-1600 - 1,441,660 (\$7,294,799.60) COMMON STOCK. (FILE 333-  
63962 -  
JUN. 27) (BR. 5)

S-3 CEDAR INCOME FUND LTD /MD/, 44 SOUTH BAYLES AVENUE, PORT WASHINGTON,  
NY  
11050 (516) 767-6492 - 150,000 (\$525,000) COMMON STOCK. (FILE 333-  
63964 -  
JUN. 27) (BR. 8)

SB-2 INFORETECH WIRELESS TECHNOLOGY INC, 5500 152ND STREET, SUITE 214,  
VANCOUVER BRITISH COLUMBIA, A6 V3S-8 (604) 576-7442 - 14,980,000  
(\$4,643,800) COMMON STOCK. (FILE 333-63968 - JUN. 27) (BR. 9)

S-8 FONECASH INC, 90 PARK AVE, STE 1700, NEW YORK, NY 10016 - 800,000  
(\$80,000) COMMON STOCK. (FILE 333-63970 - JUN. 27) (BR. 3)

S-3 WASHINGTON MUTUAL INC, 1201 THIRD AVE, STE 1500, SEATTLE, WA 98101  
(206) 461-2000 - 8,713,925 (\$435,696,250) COMMON STOCK. (FILE 333-  
63976 -  
JUN. 27) (BR. 7)

S-3 LIBERTY PROPERTY TRUST, 65 VALLEY STREAM PKWY, STE 100, MALVERN, PA  
19355 (610) 648-1700 - 57,612 (\$1,679,390) COMMON STOCK. (FILE 333-  
63978 -  
JUN. 27) (BR. 8)

S-3 DIAMOND OFFSHORE DRILLING INC, 15415 KATY FREEWAY, HOUSTON, TX 77094  
(713) 492-5300 - 460,000,000 (\$460,000,000) STRAIGHT BONDS. (FILE  
333-63980 - JUN. 27) (BR. 4)

S-8 IMPERIAL PETROLEUM INC, 3015 EAST SKELLY DRIVE, STE 350, TULSA, OK  
74105  
(812) 424-7948 - 5,000,000 (\$1,250,000) COMMON STOCK. (FILE 333-63982  
-  
JUN. 27) (BR. 4)

S-3 FLUOR CORP, ONE ENTERPRISE DR, ALISO VIEJO, CA 92656 (949) 349-2000  
-  
300,000,000 (\$300,000,000) STRAIGHT BONDS. (FILE 333-63984 - JUN. 27)  
(BR. 6)

S-8 FEDERAL REALTY INVESTMENT TRUST, 1626 EAST JEFFERSON STREET,  
ROCKVILLE,  
MD 20852 (301) 998-8100 - 25,000 (\$520,250) COMMON STOCK. (FILE 333-  
63986

- JUN. 27) (BR. 8)

S-4 MACDERMID INC, 245 FREIGHT ST, WATERBURY, CT 06702 (203) 575-5700 -  
301,500,000 (\$301,500,000) STRAIGHT BONDS. (FILE 333-63988 - JUN. 27)  
(BR. 2)

S-8 CADIZ INC, 100 WILSHIRE BLVD., SUITE 1600, SANTA MONICA, CA 90401  
(310) 899-4700 - 1,000,000 (\$9,680,000) COMMON STOCK. (FILE 333-63990

JUN. 27) (BR. 4)

S-3 PROLOGIS TRUST, 14100 EAST 35TH PLACE, AURORA, CO 80011 (303) 375-  
9292  
- 4,000,000 (\$86,620,000) COMMON STOCK. (FILE 333-63992 - JUN. 27)  
(BR. 8)

S-3 ARIZONA PUBLIC SERVICE CO, 400 N FIFTH ST, P O BOX 53999, PHOENIX,  
AZ  
85004 (602) 250-1000 - 500,000,000 (\$500,000,000) STRAIGHT BONDS.  
(FILE  
333-63994 - JUN. 27) (BR. 2)

S-3 ATMEL CORP, 2325 ORCHARD PKWY, SAN JOSE, CA 95131 (408) 441-0311 -  
511,500,000 (\$186,697,500) STRAIGHT BONDS. (FILE 333-63996 - JUN. 27)  
(BR. 5)

S-8 FOUR SEASONS HOTELS INC, 1165 LESLIE ST, TORONTO, ONTARIO CANADA, A6  
(416) 449-1750 - 250,000 (\$13,181,250) FOREIGN COMMON STOCK. (FILE  
333-13654 - JUN. 25) (BR. 8)

S-8 RIT TECHNOLOGIES LTD, 24 RAOUL WALLEMBERG ST, TEL AVIV 69719 ISRAE,  
L3  
- 1,385,099 (\$4,924,217.76) FOREIGN COMMON STOCK. (FILE 333-13656 -  
JUN. 26) (BR. 7)

S-8 PW EAGLE INC, 2430 METROPOLITAN CENTRE, 333 S SEVENTH ST,  
MINNEAPOLIS,  
MN 55402 (612) 371-9650 - 100,000 (\$665,000) COMMON STOCK. (FILE  
333-64002 - JUN. 28) (BR. 6)

S-8 EXFO ELECTRO OPTICAL ENGINEERING INC, 485 GODIN AVENUE,  
VANIER QUEBEC CANADA G1M 3G7, (418) 683-0211 - 5,588,225  
(\$95,279,236.25)  
FOREIGN COMMON STOCK. (FILE 333-64004 - JUN. 28) (BR. 5)

S-8 CLEVELAND CLIFFS INC, 1100 SUPERIOR AVE 18TH FLR, CLEVELAND, OH  
44114  
(216) 694-5700 - 50,000 (\$957,000) COMMON STOCK. (FILE 333-64008 -  
JUN. 28) (BR. 4)

S-3 VENDINGDATA CORP, 6830 SPENCER STREET, LAS VEGAS, NV 89119  
(702) 733-7195 - 27,769,770 (\$9,719,419.50) COMMON STOCK. (FILE 333-  
64012  
- JUN. 28) (BR. 2)

SB-2 PACIFIC WEBWORKS INC, 1760 FREMONT DR, SALT LAKE CITY, UT 84101  
(801) 578-9020 - 11,690,532 (\$4,033,233.54) COMMON STOCK. (FILE 333-  
64014  
- JUN. 28) (BR. 3)

S-8 AVID TECHNOLOGY INC, METROPOLITAN TECHNOLOGY PARK, ONE PARK WEST,  
TEWKSBURY, MA 01876 (978) 640-6789 - 1,000,000 (\$12,920,000) COMMON  
STOCK.  
(FILE 333-64016 - JUN. 28) (BR. 5)

S-3 FAMILY STEAK HOUSES OF FLORIDA INC, 2113 FLORIDA BLVD, STE A,  
NEPTUNE BEACH, FL 32266 (904) 249-4197 - 1,250,000 (\$1,325,000)  
COMMON STOCK. (FILE 333-64018 - JUN. 28) (BR. 5)

S-4 DELTA MUTUAL INC, 1730 RHODE ISLAND AVE, STE 812, WASHINGTON, DC  
20036  
(202) 408-1155 - 11,215,144 (\$12,336,658) COMMON STOCK. (FILE 333-  
64020 -  
JUN. 28) (BR. 8)

S-8 TICKETMASTER, 3701 WILSHIRE BLVD, STE 200, LOS ANGELES, CA 90010  
(626) 405-0050 - 4,000,000 (\$56,880,000) COMMON STOCK. (FILE 333-64022  
-  
JUN. 28) (BR. 5)

S-8 COPPER MOUNTAIN NETWORKS INC, 2470 EMBARCADERO WAY, PALO ALTO, CA  
94303  
(650) 687-3300 - 2,501,612 (\$7,605,128.89) COMMON STOCK. (FILE 333-  
64024 -  
JUN. 28) (BR. 7)

S-8 ALOTTAFUN INC, 141 N. MAIN STREET, SUITE 207, WEST BEND, WI 53095  
(414) -33-4-45 - 7,675,000 (\$789,250) COMMON STOCK. (FILE 333-64026 -  
JUN. 28) (BR. 5)

S-8 IDX SYSTEMS CORP, 1400 SHELBURNE RD, PO BOX 1070, SOUTH BURLINGTON,  
VT  
05403 (802) 862-1022 - 4,895,000 (\$79,540,350) COMMON STOCK. (FILE  
333-64028 - JUN. 28) (BR. 3)

S-8 DELPHI AUTOMOTIVE SYSTEMS CORP, 5725 DELPHI DRIVE, TROY, MI 48098  
(248) 447-1500 - 50,000 (\$774,000) COMMON STOCK. (FILE 333-64030 -  
JUN. 28) (BR. 5)

S-8 DELPHI AUTOMOTIVE SYSTEMS CORP, 5725 DELPHI DRIVE, TROY, MI 48098  
(248) 447-1500 - 25,821,760 (\$385,117,623) COMMON STOCK. (FILE 333-  
64032 -  
JUN. 28) (BR. 5)

S-8 WALKER INTERACTIVE SYSTEMS INC, MARATHON PLZ THREE NORTH, 303 SECOND  
ST,  
SAN FRANCISCO, CA 94107 (414) 495-8811 - 750,000 (\$495,000) COMMON  
STOCK.  
(FILE 333-64034 - JUN. 28) (BR. 3)



S-3 POOLED AUTO SECURITIES SHELF LLC, ONE FIRST UNION CTR, TW-9,  
CHARLOTTE,  
NC 28288 - 1,000,000 (\$1,000,000) EQUIPMENT TRUST CERTIFICATES. (FILE  
333-64036 - JUN. 28) (BR. 8)

S-3 PENTASTAR COMMUNICATIONS INC, 1660 WYNKOOP ST SUITE 1010, DENVER, CO  
80202 (303) 825-4400 - 156,313 (\$3,642,093) COMMON STOCK. (FILE 333-  
64038  
- JUN. 28) (BR. 5)

S-8 C COR NET CORP, 60 DECIBEL RD, STATE COLLEGE, PA 16801 (814) 238-  
2461 -  
950,000 (\$9,025,000) COMMON STOCK. (FILE 333-64040 - JUN. 28) (BR. 7)

S-8 AURORA FOODS INC /DE/, 1000 ST LOUIS UNION STATION, STE 300, ST  
LOUIS,  
MO 63103 (314) 632-0303 - 200,000 (\$1,069,000) COMMON STOCK. (FILE  
333-64042 - JUN. 28) (BR. 4)

S-8 VERMONT PURE HOLDINGS LTD/DE, RT 66 P O BOX C, CAAMOUNT INDUSTRIAL  
PARK,  
RANDOLPH, VT 05060 (802) 728-3600 - 2,293,335 (\$7,313,741) COMMON  
STOCK.  
(FILE 333-64044 - JUN. 28) (BR. 4)

S-8 PET QUARTERS INC, 720 EAST FRONT STREET, LONOKE, AR 72086 (501) 676-  
9222  
- 2,500,000 (\$650,000) COMMON STOCK. (FILE 333-64046 - JUN. 28) (BR.  
8)

S-8 PET QUARTERS INC, 720 EAST FRONT STREET, LONOKE, AR 72086 (501) 676-  
9222  
- 3,900,000 (\$1,014,000) COMMON STOCK. (FILE 333-64048 - JUN. 28) (BR.  
8)

S-8 FTI CONSULTING INC, 2021 RESEARCH DR, ANNAPOLIS, MD 21401 (410) 224-  
8770  
- 1,100,000 (\$20,625,000) COMMON STOCK. (FILE 333-64050 - JUN. 28)  
(BR. 8)

S-8 SCIOS INC, 820 W MAUDE AVE, SUNNYVALE, CA 94086 (408) 616-8200 -  
3,795,000 (\$83,490,000) COMMON STOCK. (FILE 333-64052 - JUN. 28) (BR.  
1)

S-8 WILD OATS MARKETS INC, 3375 MITCHELL LANE, BOULDER, CO 80301  
(303) 440-5220 - 730,000 (\$6,573,400) COMMON STOCK. (FILE 333-64054 -  
JUN. 28) (BR. 2)

S-8 ADVANCED BUSINESS SCIENCES INC/DE, 3345 N 107 ST, OMAHA, NE 68154  
(402) 498-2734 - 1,000,000 (\$915,000) COMMON STOCK. (FILE 333-64056 -  
JUN. 28) (BR. 4)

S-3 SPECTRX INC, 6025 A UNITY DRIVE, NORCROSS, GA 30071 (770) 242-8723 -  
2,274,760 (\$19,904,150) COMMON STOCK. (FILE 333-64058 - JUN. 28) (BR.  
5)

S-8 TECHNITROL INC, 1210 NORTHBROOK DR, SUITE 385, TREVOSE, PA 19053  
 (215) 355-2900 - 1,600,000 (\$33,696,000) COMMON STOCK. (FILE 333-64060  
 JUN. 28) (BR. 5)

S-8 HUMBOLDT BANCORP, 2440 SIXTH STREET, EUREKA, CA 95501 (707) 445-3233  
 250,000 (\$2,125,000) COMMON STOCK. (FILE 333-64062 - JUN. 28) (BR. 7)

S-8 TRIMERIS INC, 4727 UNIVERSITY DR STE 100, DURHAM, NC 27707  
 (919) 419-6050 - 750,000 (\$35,050,693) COMMON STOCK. (FILE 333-64064 -  
 JUN. 28) (BR. 1)

S-8 NETWORK 1 SECURITY SOLUTIONS INC, 1601 TRAPELO RD, RESERVOIR PLACE,  
 WALTHAM, MA 02451 (781) 522-3400 - 735,000 (\$1,258,432) COMMON STOCK.  
 (FILE 333-64066 - JUN. 28) (BR. 3)

S-8 TECHNITROL INC, 1210 NORTHBROOK DR, SUITE 385, TREVOSE, PA 19053  
 (215) 355-2900 - 1,000,000 (\$21,060,000) COMMON STOCK. (FILE 333-64068  
 JUN. 28) (BR. 5)

S-4 PLAYTEX PRODUCTS INC, PO BOX 7016, DOVER, DE 19903 (203) 341-4000 -  
 350,000,000 (\$350,000,000) STRAIGHT BONDS. (FILE 333-64070 - JUN. 28)  
 (BR. 2)

S-8 GENUS INC, 1139 KARLSTAD DRIVE, SUNNYVALE, CA 94089 (408) 747-7120 -  
 1,000,000 (\$4,073,075) COMMON STOCK. (FILE 333-64072 - JUN. 28) (BR.  
 5)

S-3 BB&T CORP, 200 WEST SECOND STREET, WINSTON-SALEM, NC 27101  
 (336) 733-2000 (FILE 333-64074 - JUN. 28) (BR. 7)

S-8 MONSANTO CO /NEW/, 800 N LINDBERGH BLVD, ST LOUIS, MO 63167  
 (314) 694-4296 - \$24,000,000 OTHER SECURITIES INCLUDING VOTING TRUST.  
 (FILE 333-64076 - JUN. 28) (BR. 2)

S-8 CERADYNE INC, 3169 RED HILL, COSTA MESA, CA 92626 (714) 549-0421 -  
 150,000 (\$1,165,500) COMMON STOCK. (FILE 333-64078 - JUN. 28) (BR. 6)

S-8 SOURCE INFORMATION MANAGEMENT CO, 11644 LILBURN PARK RD, ST LOUIS,  
 MO  
 63146 (314) 995-9040 - 1,850,000 (\$9,740,250) COMMON STOCK. (FILE  
 333-64080 - JUN. 28) (BR. 2)

S-8 SOURCE INFORMATION MANAGEMENT CO, 11644 LILBURN PARK RD, ST LOUIS,  
 MO  
 63146 (314) 995-9040 - 2,250,000 (\$11,846,250) COMMON STOCK. (FILE  
 333-64082 - JUN. 28) (BR. 2)

S-3 TWEETER HOME ENTERTAINMENT GROUP INC, 10 PEQUOT WAY, CANTON, MA  
 02021  
 (781) 830-3000 - 1,557,416 (\$46,800,350.80) COMMON STOCK. (FILE 333-  
 64084  
 - JUN. 28) (BR. 2)

- S-8 MONOGRAM PICTURES INC, 120 ST CROIX AVE, COCOA BEACH, FL 32931  
(321) 799-3842 - 240,000 (\$62,400) COMMON STOCK. (FILE 333-64086 -  
JUN. 28) (BR. 5)
- SB-2 MIGRATEC INC, 11494 LUNA ROAD, SUITE 100, DALLAS, TX 75234  
(972) -96-9-03 - 66,822,784 (\$3,724,067) COMMON STOCK. (FILE 333-64088  
JUN. 28) (BR. 5)
- S-3 FOSTER WHEELER LTD, PERRYVILLE CORPORATE PARK, SERVICE ROAD EST 173,  
CLINTON, NJ 08809 (908) 730-4270 - 210,000,000 (\$210,000,000)  
CONVERTIBLE DEBENTURES AND NOTES. (FILE 333-64090 - JUN. 28) (BR. 6)
- S-8 GENELABS TECHNOLOGIES INC /CA, 505 PENOBSCOT DR, REDWOOD CITY, CA  
94063  
(650) 396-9500 - 2,500,000 (\$4,525,000) COMMON STOCK. (FILE 333-64092  
JUN. 28) (BR. 1)
- S-8 CERADYNE INC, 3169 RED HILL, COSTA MESA, CA 92626 (714) 549-0421 -  
150,000 (\$1,165,500) COMMON STOCK. (FILE 333-64094 - JUN. 28) (BR. 6)
- S-4 SATX INC, 8351 ROSWELL RD, STE 374, ATLANTA, GA 30350 (813) 290-0911  
300,000 (\$405,000) COMMON STOCK. (FILE 333-64096 - JUN. 29) (BR. 9)
- S-4 SENIOR CARE INDUSTRIES INC, 410 BROADWAY, 2ND FLOOR, LAGUNA BEACH,  
CA  
92651 (949) 376-8575 - 300,000 (\$405,000) COMMON STOCK. (FILE 333-  
64098 -  
JUN. 29) (BR. 8)
- S-8 ELINE ENTERTAINMENT GROUP INC, 14919 LEBANON RD, OLD HICKORY, TN  
37138  
(604) 684-2933 - 250,000 (\$412,500) COMMON STOCK. (FILE 333-64100 -  
JUN. 29) (BR. 9)
- S-3 E TRADE GROUP INC, 4500 BOHANNON DRIVE, MENLO PARK, CA 94025  
(650) 842-2500 - 325,000,000 (\$325,000,000)  
CONVERTIBLE DEBENTURES AND NOTES. (FILE 333-64102 - JUN. 28) (BR. 7)
- S-8 ENZON INC, 20 KINGSBRIDGE RD, PISCATAWAY, NJ 08854 (732) 980-4500 -  
1,725,000 (\$101,890,750) COMMON STOCK. (FILE 333-64110 - JUN. 29) (BR.  
1)

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## RECENT 8K FILINGS

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Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.

11 NEWS DIGEST, July 5, 2001

- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant.
- Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year.
- Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: <publicinfo@sec.gov>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
AAMES FINANCIAL CORP/DE	DE				X	X					05/31/01	
ACCLAIM ENTERTAINMENT INC	DE				X						06/18/01	
ACE SECURITIES CORP	DE				X	X					06/25/01	
AGENCY COM LTD	DE				X	X					06/26/01	
AGERE SYSTEMS INC	DE				X	X					06/29/01	
AGWAY INC	DE				X	X					07/01/01	
ALASKA AIR GROUP INC	DE								X		07/05/01	
ALLBERRY INC	DE				X						07/02/01	
AMERICAN ABSORBENTS NATURAL PRODUCT S INC	UT				X						07/02/01	
AMF BOWLING INC	DE					X					07/03/01	
AMF BOWLING WORLDWIDE INC	DE					X					07/03/01	
AMNIS SYSTEMS INC	DE		X					X			04/16/01	AMEND
AMORTIZING RESI COLLATERAL TR MORT PAS THRU CER SER 2001-BC1	DE	X									06/25/01	
AMORTIZING RESIDENTIAL COL TR MOR P AS THR CER SER 2001-BC2	DE	X									06/25/01	
APO HEALTH INC /NV/	NV	X	X		X	X	X	X	X		06/13/01	AMEND
APPLE SUITES INC	VA		X					X			06/15/01	
APPLIED EXTRUSION TECHNOLOGIES INC /DE	DE		X					X			06/19/01	
ARCH WIRELESS INC	DE					X					07/02/01	
ARMITEC INC	DE					X	X				12/29/00	AMEND
ASSET BACKED CERTIFICATES SERIES 20 01-2	DE	X									06/25/01	
ASSISTED LIVING CONCEPTS INC	NV					X	X				06/30/01	
AVANT IMMUNOTHERAPEUTICS INC	DE							X			12/01/00	AMEND
AVI BIOPHARMA INC	OR					X					06/20/01	
AXSYS TECHNOLOGIES INC	DE					X	X				07/03/01	
BALDWIN PIANO & ORGAN CO /DE/	DE					X	X				06/27/01	
BANC ONE FINANCIAL SERVICES HOME EQ UITY LOAN TRUST 1999-1	NY	X									06/25/01	
BARTON BEERS LTD	MD					X					07/02/01	
BARTON BRANDS LTD /DE/	DE					^					07/02/01	
BARTON BRANDS OF CALIFORNIA INC	CT					X					07/02/01	
BARTON BRANDS OF GEORGIA INC	GA					X					07/02/01	
BARTON CANADA LTD	DE					X					07/02/01	
BARTON DISTILLERS IMPORT CORP	NY					X					07/02/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT
	CODE	1	2	3	4	5	6	7	8	9		
BARTON FINANCIAL CORP	DE				X						07/02/01	
BARTON INC	DE				X						07/02/01	
BATAVIA WINE CELLARS INC	NY				X						07/02/01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2000 2	DE	X									06/25/01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2000-1	NY	X									06/25/01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S THROUGH CERT SER 2001-2	NY	X									06/25/01	
BEAR STEARNS ARM TRUST MORTGAGE PAS S-THROUGH CERT SER 2001-1	NY	X									06/25/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE C INC SERIES 2001-TOP2	NY	X									06/25/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE C INC SERIES 2001-TOP2	NY	X									06/25/01	
BEAR STEARNS COMMERCIAL MORTGAGE SE C INC SERIES 2001-TOP2	NY	X									06/25/01	
BETA OIL & GAS INC	NV								X		06/29/01	
BETHLEHEM STEEL CORP /DE/	DE				X						07/03/01	
BIFS TECHNOLOGIES CORP	FL		X				X				02/13/01	AMEND
BINDVIEW DEVELOPMENT CORP	TX				X		X				07/02/01	
BLOUNT INTERNATIONAL INC	DE				X		X				06/18/01	
BOSTON PRIVATE FINANCIAL HOLDINGS I NC	MA				X		X				06/27/01	
CANANDAIGUA B V	DE				X						07/02/01	
CANANDAIGUA EUROPE LTD	NY				X						07/02/01	
CANANDAIGUA LTD	NY				X						07/02/01	
CANANDAIGUA WINE CO INC /NY/	NY				X						07/02/01	
CAPITAL ONE MASTER TRUST	VA				X		X				06/27/01	
CAPSTEAD MORTGAGE CORP	MD				X		X				07/02/01	
CASELLA WASTE SYSTEMS INC	DE				X		X				03/07/01	
CELLEGY PHARMACEUTICALS INC	CA				X						06/15/01	
CENDANT CORP	DE				X		X				07/02/01	
CENTEX HOME EQUITY LOAN ASSET BACKE D CERTS SERIES 2001 A	DE				X		X				06/25/01	
CENTRUM INDUSTRIES INC	DE				X						06/19/01	
CIT GROUP INC	DE				X		X				06/01/01	AMEND
CITIZENS COMMUNICATIONS CO	DE				X		X				07/02/01	
CLICKACTION INC	DE	X			X		X				06/16/01	AMEND
CLOUD PEAK CORP	DE				X						07/02/01	
COAST DENTAL SERVICES INC	DE				X		X				06/14/01	
COMMERCIAL NET LEASE REALTY INC	DE				X		X				07/01/01	
CONDUCTUS INC	DE				X		X				06/13/01	
CONSTELLATION BRANDS INC	DE				X						07/02/01	
CONTINENTAL AIRLINES INC /DE/	DE				X		X				07/02/01	
CONVERA CORP	DE				X						01/07/01	
CONVERGENCE COMMUNICATIONS INC	NV				X						05/23/01	
CREDIT SUISSE FIRST BOSTON MORTGAGE SECURITIES CORP	DE							X			06/29/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT	
		1	2	3	4	5	6	7	8	9			
CREDIT SUISSE FIRST BOSTON MR SC CR MR BK PA TH CR SR 2001-4	DE					X	X					03/26/01	
CREDIT SUISSE FRST BOSTON MR SC CR MR BK PA TH CR SR 2001-1	DE					X	X					02/26/01	
CSB BANCORP INC /OH	OH					X	X					07/03/01	
CSFB ABS TRUST SERIES 2001-S13	DE					X	X					06/25/01	
CSFB MORTGAGE BACKED PASS THROUGH C ERTIFICATES SERIES 2001 9	DE					^	X					04/25/01	
CURIS INC	DE					X	X					06/29/01	
CURIS INC	DE					X	X					06/29/01	
CWBS INC	DE						X					06/29/01	
CWBS INC	DE						X					06/29/01	
CWBS INC	DE						X					06/29/01	
DAISYTEK INTERNATIONAL CORPORATION /DE/	DE					X	X					06/29/01	
DALLAS GOLD & SILVER EXCHANGE INC / NV/	NV					X	X					06/25/01	
DATRON SYSTEMS INC/DE	DE					X	X					06/25/01	
DCI TELECOMMUNICATIONS INC	CO					X						06/19/01	
DEERE & CO	DE						X					07/02/01	
DELTA FINANCIAL CORP	DE					X	X					07/03/01	
DELTA FUNDING CORP /DE/	NY					X	X					06/12/01	
DELTA FUNDING CORP HOME EQUITY LOAN ASSET BK CERT SER 2001-1	NY	X										06/15/01	
DELTA FUNDING CORP HOME EQUITY LOAN ASSET BK CERT SER 2001-1	NY	X										06/15/01	
DERBY CYCLE CORP	DE					X	X					07/02/01	
DIGI LINK TECHNOLOGIES INC/NJ/	DE				X							04/30/01	AMEND
DISCOVER CARD MASTER TRUST I	DE					X						06/29/01	
DOLE FOOD COMPANY INC	HI					X	X					07/02/01	
DUCK HEAD APPAREL CO INC	GA					X	X					06/26/01	
DUPONT E I DE NEMOURS & CO	DE					X						07/03/01	
EARTHGRAINS CO /DE/	DE					X						06/29/01	
EBANK COM INC	GA					X	X					07/02/01	
EDISON INTERNATIONAL	CA					X	X					06/28/01	
ELECTRIC LIGHTWAVE INC	DE					X	X					07/02/01	
ELITE TECHNOLOGIES INC /TX/	TX	X										07/03/01	
EMACHINES INC /DE/	DE					X	X					06/15/01	
EMPIRE OF CAROLINA INC	DE	X					X					06/29/01	
ENCOMPASS SERVICES CORP	TX							X				07/02/01	
ENTERGY CORP /DE/	DE						X	X				07/03/01	
ENTRUST INC	MD					X	X					07/02/01	
EOP OPERATING LTD PARTNERSHIP	DE	X				X	X					07/02/01	
EQUITY OFFICE PROPERTIES TRUST	MD	X				X	X					07/02/01	
EVANS BANCORP INC	NY					X	X					07/02/01	
FASHIONMALL COM INC	DE					X	X					07/02/01	
FEDERATED INVESTORS INC /PA/	PA	X					X					04/20/01	AMEND
FINANCIAL ASSET SEC CORP ASSET BACK ED CERTS SERIES 2001 FF1	NY	X										06/25/01	
FIRST VIRTUAL COMMUNICATIONS INC	DE	X					X					06/19/01	

NAME OF ISSUER	STATE	8K ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
FLORIDA BANKS INC	FL					X	X					07/02/01	
FORD MOTOR CO	DE					X	X					07/03/01	
FORD MOTOR CREDIT CO	DE					X	X					07/03/01	
FOUNDATION REALTY FUND LTD	FL					X						07/03/01	
FRANCISCAN VINEYARDS INC	DE					X						07/02/01	
FUTURELINK CORP	DE					X						06/03/01	
GB&T BANCSHARES INC	GA		X						X			06/30/01	
GBC BANCORP	CA		X									03/31/01	
GENERAL ELECTRIC CAPITAL CORP	NY					X	X					07/02/01	
GENERAL MOTORS CORP	DE					X						07/03/01	
GENESISINTERMEDIA INC	DE					X	X		X			06/29/01	
GENOME THERAPEUTICS CORP	MA					X						06/27/01	
GLOBAL DATATEL INC	NV					X						06/29/01	
GMAC COMMERCIAL MORTGAGE SECURITIES INC	DE					X	X					06/26/01	
GMACM MORTGAGE LOAN TRUST MORT PASS THR CERT SER 2001-GH1	NY	X										06/25/01	
GMACM MORTGAGE LOAN TRUST MORT PASS THR CERT SERIES 2001-J1	NY	X										06/25/01	
GOODYS FAMILY CLOTHING INC /TN	TN								X			07/03/01	AMEND
GRANGE NATIONAL BANC CORP	PA					X	X					06/29/01	
GREENPOINT HOME EQUITY LOAN TRUST 2 000-3						X	X					06/15/01	
GTECH HOLDINGS CORP	DE								X	X		05/26/01	
GTM HOLDINGS INC	NV	X	X						X			06/12/01	
GULFMARK OFFSHORE INC	DE					X						07/02/01	
HAMPTON ROADS BANKSHARES INC	VA		X						X			06/30/01	
HANOVER DIRECT INC	DE					X						06/29/01	
HCA INC/TN	DE					X	X					07/01/01	
HENLEY HEALTHCARE INC	TX					X	X					06/15/01	
HOMESTAKE MINING CO /DE/	DE					X	X					06/24/01	
HORIZON PCS INC	DE					X	X					06/29/01	
HORIZON TELCOM INC	OH					X	X					06/29/01	
HOTJOBS COM LTD	DE					X	X					06/29/01	AMEND
HUDSON INVESTORS FUND INC	NJ	X	X		X		X	X				06/26/01	
HUDSON RIVER BANCORP INC	DE		X						X			04/20/01	AMEND
HUFFY CORP	OH					X						07/02/01	
HYPERCOM CORP	DE					X						06/05/01	AMEND
HYPERCOM CORP	DE					X	X					06/25/01	
ICN PHARMACEUTICALS INC	DE					X	X					07/02/01	
IDIAL NETWORKS INC	CO								X			07/03/01	
ILM I LEASE CORP	VA					X						06/15/01	
IMPAC SECURED ASSETS CORP MORT PASS THR CERT SER 2001-2	CA	X										06/25/01	
IMPAX LABORATORIES INC	DE					X						06/27/01	
INFORMATION MANAGEMENT ASSOCIATES I NC	CT					X	X					07/02/01	
INFORMIX CORP	DE								X			07/01/01	
INSIGHT HEALTH SERVICES CORP	DE					X	X					06/29/01	
INTEGRATED ENTERPRISES INC	DE					X						07/02/01	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
INTERACTIVE NETWORK INC /CA	CA					X	X					05/31/01	
INTERCEPT GROUP INC	GA					X	X					05/31/01	
INTERMEDIA COMMUNICATIONS INC	DE	X				X	X					07/01/01	
INTERNATIONAL ABSORBENTS INC						X		X				07/03/01	
IRWIN HOME EQUITY LN TR HM EQ LN-BK TERM NT SER 2001-1	NY	X										06/25/01	
I2 TECHNOLOGIES INC	DE					X	X					07/03/01	
J2 GLOBAL COMMUNICATIONS INC	DE					X	X					06/25/01	
KANSAS CITY SOUTHERN INDUSTRIES INC	DE					X	X					06/29/01	
KFX INC	DE					X						06/28/01	
KUSHNER LOCKE CO	CA					X	X					07/02/01	
LASON INC	DE					X						05/31/01	
LAUNCH MEDIA INC	DE					X	X					06/27/01	
LEHMAN ABS CORP	DE					X						06/01/01	
LEHMAN BROTHERS HOLDINGS INC	DE							X				07/03/01	
LIFECCELL CORP	DE					X						06/29/01	
LOTUS PACIFIC INC	DE					X	X					06/29/01	
LYON INVESTMENTS B V	DE					X	X					07/02/01	
M J LEWIS CORP	DE					X						07/02/01	
MAGNETEK INC	DE		X					X				06/15/01	
MATHSOFT INC	MA					X	X					06/15/01	
MATRIA HEALTHCARE INC	DE					X	X					07/03/01	
MEASUREMENT SPECIALTIES INC	NJ					X	X					07/03/01	
MEEMIC HOLDINGS INC	MI							X	X			07/02/01	
MELLON HOME EQUITY LINE OF CREDIT T R AS BA NOT SER 2000-1	NY	X										06/20/01	
MERRILL LYNCH DEPOSITOR INC PREFERR EDPLUS TRUST SERIES FRD 1	NY					X	X					06/29/01	
MERRILL LYNCH MORT INV INC MOR PAS THR CERT SER 2001-S1	NY	X										06/25/01	
MERRILL LYNCH MORT INV INC MORT PAS THR CER CDMC SER 2001-A1	NY	X										06/25/01	
METAWAVE COMMUNICATIONS CORP	DE					X	X					06/27/01	
METRICOM INC / DE	DE		X			X	X					06/26/01	
MID AMERICA APARTMENT COMMUNITIES I NC	TN								X			07/02/01	
MILACRON INC	DE						X	X	X			07/02/01	
MINNESOTA CORN PROCESSORS LLC	CO					X	X					07/02/01	
MMI PRODUCTS INC	DE					X						06/30/01	
MONARCH IMPORT CO	IL					X						07/02/01	
MORANZO INC	DE	X	X			X	X	X				07/02/01	
MORGAN STANLEY CAPITAL I INC HOME E Q LN AS BK CER SER 1999-2	NY	X										06/25/01	
MORTGAGE PASS-THROUGH CERTIFICATES SERIES 2001-8	DE	X										06/25/01	
MT VEEDER CORP	DE					X						07/02/01	
MYCOM GROUP INC /NV/	NV							X				04/17/01	AMEND
NBC CAPITAL CORP	MS					X						06/28/01	
NETRATINGS INC	DE					X						07/03/01	
NETRO CORP	CA					X	X					06/19/01	



NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
NETWORK COMMERCE INC	WA					X	X				06/18/01	
NEW WORLD COFFEE MANHATTAN BAGEL IN C	DE					X	X				06/19/01	
NOVASTAR MORTGAGE FUNDING TRUST SER IES 2001-1	DE	X									06/25/01	
NRG ENERGY INC	DE		X					X			06/22/01	
ONE WORLD ONLINE COM INC	NV									X	07/02/01	
OPEN PLAN SYSTEMS INC	VA					X	X				05/29/01	
OPENWAVE SYSTEMS INC	DE							X		X	07/02/01	
OPTION ONE MORTGAGE CORP ASSET BA CKED CERT SER 2001-1	DE	X									06/25/01	
OVERLAND DATA INC	CA		X					X			06/15/01	
PACIFIC AEROSPACE & ELECTRONICS INC	WA					X	X				06/28/01	
PARKWAY PROPERTIES INC	MD					X	X				06/30/01	
PARTS COM INC	NV					X					06/29/01	
PATHNET TELECOMMUNICATIONS INC	DE					X	X				06/20/01	
PAYSTAR COMMUNICATIONS CORP	NV							X			04/17/01	AMEND
PEERLESS SYSTEMS CORP	DE					X	X				04/27/01	
PENNSYLVANIA REAL ESTATE INVESTMENT TRUST	PA					X					07/03/01	
PEOPLES BANCSHARES INC	MA					X		X			07/02/01	
PEOPLES ENERGY CORP	IL		X								07/02/01	
PICCADILLY CAFETERIAS INC	LA					X	X				06/29/01	
PITNEY BOWES INC /DE/	DE					X					06/29/01	
PITNEY BOWES INC /DE/	DE					X					07/02/01	
PLAINS ALL AMERICAN PIPELINE LP	DE					X					06/29/01	
PLANET411 COM INC	DE							X			05/22/01	
PLANVISTA CORP	DE		X			X	X				07/03/01	
POLYPHENOLICS INC	NY					X					07/02/01	
POZEN INC /NC						X					06/26/01	
POZEN INC /NC						X					06/26/01	AMEND
PRO NET LINK CORP	NV	X									07/02/01	
PROXYMED INC /FT LAUDERDALE/	FL		X					X			05/01/01	AMEND
PSB BANCORP INC	PA		X					X			07/02/01	
PSS WORLD MEDICAL INC	FL					X	X				06/28/01	
QUAD SYSTEMS CORP /DE/	DE					X	X				05/31/01	
QUAD SYSTEMS CORP /DE/	DE					X		X			07/03/01	
QUAKER OATS CO	NJ					X					07/02/01	
QUEST DIAGNOSTICS INC	DE					X	X				06/27/01	
RECONDITIONED SYSTEMS INC	AZ	X									06/29/01	
RENT WAY INC	PA					X					06/25/01	
RENT WAY INC	PA					X					06/27/01	
RETROSPETTIVA INC						X	X				07/02/01	
RIDGEWOOD HOTELS INC	DE		X					X			05/31/00	
RIDGEWOOD HOTELS INC	DE		X					X			05/31/00	
ROBERTS TRADING CORP	NY					X					07/02/01	
RSTAR CORP	DE					X	X				07/02/01	
RUSSELL-STANLEY HOLDINGS INC	DE					X	X				06/15/01	
SAFLINK CORP	DE					X	X				07/02/01	
SCB COMPUTER TECHNOLOGY INC	TN		X					X			06/29/01	

NAME OF ISSUER	STATE	BK ITEM NO.									DATE	COMMENT	
	CODE	1	2	3	4	5	6	7	8	9			
SE GLOBAL EQUITIES CORP	MN					X						06/07/01	AMEND
SECURITY ASSOCIATES INTERNATIONAL I NC	DE						X					07/03/01	
SICKBAY HEALTH MEDIA INC	UT	X										06/26/01	
SIMON PROPERTY GROUP INC /DE/	DE		X					X				07/01/01	
SOLECTRON CORP	DE					X		X				07/03/01	
SOVEREIGN BANCORP INC	PA					X		X				09/18/89	AMEND
SPARTA SURGICAL CORP	DE					X						07/03/01	
SPEEDCOM WIRELESS CORP	DE					X		X				06/29/01	
STAFF LEASING INC	FL					X						07/01/01	
STAGE STORES INC	DE					X						06/29/01	
STAMPEDE WORLDWIDE INC	FL					X		X				07/02/01	
STEVENS POINT BEVERAGE CO	WI					X						07/02/01	
STONEPATH GROUP INC	DE								X			06/21/01	
STRUCTURED ASSET SEC CORP MORT PASS THR CERT SER 2001-2	NY	X										06/25/01	
STRUCTURED ASSET SEC CORP MORT PASS THR CERT SER 2001-5	DE	X										06/25/01	
STRUCTURED ASSET SECURITIES CORP	DE					X		X				06/28/01	
STRUCTURED ASSET SECURITIES CORP	DE					X		X				06/28/01	
STRUCTURED ASSET SECURITIES CORP	DE					X		X				06/29/01	
STRUCTURED ASSET SECURITIES CORP MO R PAS THR CER SR 2001-BC4	DE	X										06/25/01	
STRUCTURED ASSET SECURITIES CORP MO R PAS THR CERT SER 2001-6	DE	X										06/25/01	
STRUCTURED ASSET SECURITIES CORP MO RT PAS THR CE SE 2001-BC3	DE	X										06/25/01	
STRUCTURES ASSET MORTGAGE INV INC M OR PAS-THR CER SER 2001-3	NY											06/25/01	
SYMPHONIX DEVICES INC	DE					X		X				07/02/01	
TANGIBLE ASSET GALLERIES INC	NV		X					X				06/26/01	
TAPISTRON INTERNATIONAL INC	GA					X						07/02/01	
TECHSYS INC	NJ					X		X				07/02/01	
TELESPECTRUM WORLDWIDE INC	DE							X	X			06/29/01	
TERAFORCE TECHNOLOGY CORP	DE					X		X				06/26/01	
TRANSKARYOTIC THERAPIES INC	DE					X		X				07/02/01	
U S VISION INC						X		X				07/02/01	
UNION PLANTERS MORTGAGE LOAN TRUST SERIES 2001-UP1	DE	X										06/25/01	
UNION PLANTERS MORTGAGE LOAN TRUST SERIES 2001-UP1	DE	X										06/25/01	
UNION PLANTERS MORTGAGE LOAN TRUST SERIES 2001-UP1	DE	X										06/25/01	
UNIVERSAL HEALTH SERVICES INC	DE					X		X				07/03/01	
US AIRWAYS GROUP INC	DE					X		X				07/02/01	
US AIRWAYS INC	DE					X		X				07/02/01	
USA TECHNOLOGIES INC	PA					X						06/28/01	
USURF AMERICA INC	NV	X										06/29/01	
USX CORP	DE					X		X				07/02/01	
VALUECLICK INC/CA	DE					X		X				07/02/01	

NAME OF ISSUER	STATE CODE	8K ITEM NO.									DATE	COMMENT
		1	2	3	4	5	6	7	8	9		
VECTOR GROUP LTD	DE					X	X				07/02/01	
VENDINGDATA CORP	NV					X	X				06/26/01	AMEND
VIACOM INC	DE					X	X				06/22/01	
VIALINK CO	DE									X	07/02/01	
VIDEO NETWORK COMMUNICATIONS INC	DE				X		X				06/26/01	
VISIONICS CORP	DE						v	X			07/02/01	
VOICEFLASH NETWORKS INC	FL		X					X			06/29/01	
VSOURCE INC	DE		X					X			06/22/01	
WASTE CONVERSION SYSTEMS INC	NV				X	X	X	X			05/15/01	
WELLS FARGO ASSET SEC CORP MOR PASS THR CERT SER 2001-13	DE	X									06/25/01	
WELLS FARGO ASSET SEC CORP MOR PASS THR CERT SER 2001-4	NY	X									06/25/01	
WELLS FARGO ASSET SEC CORP MOR PASS THR CERT SER 2001-5	NY	X									06/25/01	
WELLS FARGO ASSET SEC CORP MOR PASS THR CERT SER 2001-6	DE	X									06/25/01	
WELLS FARGO ASSET SEC CORP MOR PASS THR CERT SER 2001-7	DE	X									06/25/01	
WELLS FARGO ASSET SEC CORP MOR PS T HR CER SER 2001-10	NY	X									06/25/01	
WELLS FARGO ASSET SEC CORP MORT PAS S THR CERT SER 2001-11	DE	X									06/25/01	
WELLS FARGO ASSET SEC CORP MORT PAS S THR CERT SER 2001-12	DE	X									06/25/01	
WELLS FARGO ASSET SEC CORP MORT PAS S THR CERT SER 2001-9	NY	X									06/25/01	
WELLS FARGO ASSET SECURITIES CORP	DE					X		X			07/03/01	
WELLS FARGO ASSET SECURITIES CORP M OR PAS THR CER SE 2001-01	NY	X									06/25/01	
WELLS FARGO ASSET SECURITIES CORP M OR PAS THR CER SE 2001-02	NY	X									06/25/01	
WELLS FARGO ASSET SECURITIES CORP M OR PAS THR CER SE 2001-03	NY	X									06/25/01	
WESTPOINT STEVENS INC	DE					X		X			06/29/01	
WNC HOUSING TAX CREDIT FUND VI LP S ERIES 7	CA							X			04/16/01	AMEND
WOMEN FIRST HEALTHCARE INC	DE		X					X			06/29/01	
XTRANA INC	DE					X					06/21/01	
ZAMBA CORP	DE					X		X			06/29/01	
ZIASUN TECHNOLOGIES INC	NV		X					X			06/27/01	