SEC NEWS DIGEST

Issue 2001-93

C.2

May 14, 2001

COMMISSION ANNOUNCEMENTS

SEC ADOPTS NEW RULES ADDRESSING THE BANK EXCEPTIONS TO BROKER-DEALER REGISTRATION IN THE GRAMM-LEACH-BLILEY ACT

On May 11, the Securities and Exchange Commission announced that it adopted interim final rules that address the bank exceptions to broker-dealer registration in the Gramm-Leach-Bliley Act. These statutory provisions, which were effective as of May 12, 2001, replace the banks' long-standing full exception from broker-dealer registration with 15 functional exceptions. Banks that limit their securities activities to these 15 functions remain excepted from broker-dealer registration. Banks that engage in additional securities activities, however, either will need to register as broker-dealers or shift those additional activities to registered broker-dealers.

The interim final rules respond to questions raised in recent months by banks, securities firms, and other interested groups about the application of the broker-dealer exceptions. The Commission is soliciting comments on all aspects the interim final rules and will amend them as appropriate in response to comments received.

Some of the interim final rules address interpretive questions received by the Commission by defining key terms used in the 15 new exceptions. Other rules provide targeted exemptions that are consistent with the functional exceptions.

The Commission also adopted temporary exemptions that give banks additional time to comply with the new statutory requirements. These rules will extend the full exception for banks from broker-dealer registration until October 1, 2001. They also will give banks until January 1, 2002 before their compensation arrangements must meet the conditions of certain statutory exceptions from the definition of broker as well as the compensation provisions of the newly granted exemptions. In addition, the rules give banks a temporary exemption that protects them from rescission actions arising from a failure to correctly determine broker-dealer status.

Finally, the Commission is adopting a rule that will treat savings associations and other thrifts – which are not considered "banks" under the federal securities laws – the same as banks for broker-dealer registration purposes.

The text of the new interim final rules is available on the Commission's website at <u>http://www.sec.gov/rules/finrindx.htm</u>. (Press Rel. 2001-48)

ENFORCEMENT PROCEEDINGS

COMMISSION OBTAINS TRO AGAINST REED SLATKIN

On May 11, 2001, the Commission obtained a temporary restraining order and asset freeze against Reed E. Slatkin, a co-founder, former director and substantial shareholder of Earthlink, Inc., in federal district court in Los Angeles. The Commission alleges that Slatkin defrauded as many as 500 clients through his unregistered investment advisory business located in Santa Barbara, California.

From 1985 to April 2001, Slatkin managed at least \$230 million for about 500 clients through purported securities trading accounts in Switzerland. The Commission's complaint alleges that in February 2001, Slatkin misappropriated \$10 million in client funds that he had received purportedly to invest in a money market fund and misused the client's funds by using \$6.975 million to pay other clients and using over \$24,000 to pay personal expenses, including credit card bills, telephone and other utility bills, fees at two country clubs, and pool maintenance fees. The Commission further alleges that the Swiss trading accounts do not exist and that Slatkin was merely operating a fraudulent securities scheme.

The Commission's complaint alleges that Slatkin violated and is continuing to violate the antifraud provisions of Section 17(a) of the Securities Act of 1933, Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder, and Sections 206(1) and 206(2) of the Investment Advisers Act of 1940 and the investment adviser registration provisions of Section 203(a) of the Investment Advisers Act. In addition to the temporary restraining order and asset freeze, the court ordered Slatkin to not destroy documents and to produce an accounting. The Commission is also seeking a preliminary injunction, a permanent injunction, disgorgement, and civil penalties. [SEC v. Reed E. Slatkin, Civil Action No. 01-04823, C.D. Cal.] (LR-16998)

INVESTMENT COMPANY ACT RELEASES

TEMPLETON VARIABLE PRODUCT SERIES FUND

An order has been issued under Section 8(f) of the Investment Company Act declaring that Templeton Variable Product Series Fund has ceased to be an investment company. (Rel. IC-24977 – May 11, 2001)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

The <u>Stock Clearing Corporation of Philadelphia</u> filed a proposed rule change (SR-SCCP-2001-05) under Section 19(b)(3)(A) of the Securities Exchange Act. The proposed rule change, which became effective upon filing, amends SCCP's fee schedule by eliminating certain equity floor specialist fees, discounts and credits for certain transactions. Publication of the proposal is expected in the <u>Federal Register</u> during the week of May 14. (Rel. 34-44278)

DELISTINGS

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration the Common Stock, no par value, of Guest Supply, Inc., effective at the opening of business on May 14. (Rel. 34-44293)

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration the Common Stock, \$.01 par value, of Audio Visual Services Corporation, effective at the opening of business on May 14. (Rel. 34-44294)

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration the Banco Wiese Lin. tado American Depositary Shares (each representing four shares of common stock), effective at the opening of business on May 14. (Rel. 34-44295)

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration debt securities of The Coastal Corporation, effective at the opening of business on May 14. (Rel. 34-44297)

An order has been issued granting the application of the <u>New York Stock Exchange</u> to strike from listing and registration the 7.15% Debentures due April 15, 2028, of Flowers Industries, Inc., effective at the opening of business on May 14. (Rel. 34-44298)

WITHDRAWALS

An order has been issued granting the application of the <u>Philadelphia Stock Exchange</u> to withdraw certain underlying securities as option classes. effective at the opening of business on May 14. (Rel 34-44299)

A notice has been issued giving interested persons until June 1, 2001 to comment on the application of Home Security International, Inc., to withdraw its Common Stock, \$.001 par value from listing and registration on the <u>American Stock Exchange</u>. (Rel. 34-44300)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: cpublicinfo@sec.gov>. In most cases, this information is also available
on the Commission's website: <www.sec.gov>.

S-B URUGUAY REPUBLIC OF, 2021 L STREET N W, SUITE 201, WASHINGTON, DC 20036 (000) 000-0000 - 125,000,000 (\$125,000,000) STRAIGHT BONDS. (FILE 333-13434 - MAY. 01) (BR. 99)

F-6 SIDERCA SAIC /ADR/, MANUEL JORGE DEL CARRIL TECHINT INC, 420 5TH AVE 18TH FL, NEW YORK, NY 10018 (212) 376-6500 - 50,000,000 (\$2,500,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-13436 -MAY. 01) (NEW ISSUE)

F-10 INTRAWEST CORP, STE 800 200 BURRARD ST, VANCOUVER BRITISH CO, A1
00000
(206) 623-7580 - 125,000,000 (\$125,000,000) STRAIGHT BONDS. (FILE
333-13438 - MAY. 02) (BR. 5)

- S-8 RIVERDEEP GROUP LTD, APOLLO HOUSE TARA STREET, 8TH FLOOR DUBLIN 2, IRELAND (353-1) 670-, L2 00000 - 16,850,000 (\$60,744,250) FOREIGN COMMON STOCK. (FILE 333-13440 - MAY. 02) (BR. 3)
- S-11 HOLMES FINANCING NO 3 PLC, ABBEY HOUSE BAKER ST LONDON NW1 6XL, UNITED KINGDOM 7612-4000, UNITED KINGDOM, X0 00000 (011) 442-0 -2,333,000,000 (\$2,333,000,000) FOREIGN COMMON STOCK. (FILE 333-13444 -MAY. 03) (BR. 7 - NEW ISSUE)
- F-6 NOVARTIS LTD /ADR/, 60 WALL ST, NEW YORK, NY 10260 (212) 830-2413 -200,000,000 (\$10,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-13446 - MAY. 03) (BR. 99)

F-6 SHANGHAI INDUSTRIAL HOLDINGS LTD /ADR/, T.MOTHY F KEANEY, 4 NEWS DIGEST, May 14, 2001

101 BARCLAY STREET 22W, NEW YORK, NY 10286 (212) 815-2129 - 20,000,000 (\$1,000,000) DEPOSITARY RECEIPTS FOR COMMON STOCK. (FILE 333-13448 - MAY. 04) (NEW ISSUE)

S-8 ATI TECHNOLOGIES INC, 33 COMMERCE VALLEY DRIVE EAST, THORNHILL ONTARIO, CANADA L3T 7N6, E7 (905) 882-2600 - 6,000,000 (\$33,540,000)

FOREIGN COMMON STOCK. (FILE 333-13-50 - MAY. 04) (BR. 3)

S-8 ADECCO SA, 100 REDWOOD SHORES PKWY, REDWOOD CITY, CA 94065 (415) 610-1000 - 500,000 (\$319,050,000) FOREIGN COMMON STOCK. (FILE 333-13458 - MAR. 07) (BR. 8)

S-3 NYFIX INC, 333 LUDLOW STREET, STAMFORD, CT 06902 (203) 425-8000 – 3,450,000 (\$86,181,000) COMMON STOCK. (FILE 333-60314 - MAY. 07) (BR. 3)

S-8 SPARTECH CORP, 120 S CENTRAL AVE, STE 1700, CLAYTON, M0 63105 (314) 721-4242 - 3,000,000 (\$54,330,000) COMMON STOCK. (FILE 333-60316

MAY. 07) (BR. 6)

- S-8 ELECTRONIC IDENTIFICATION INC, 1200 W PENDER ST SUITE 411, VANCOUVER BRITISH COLUMBIA, CANADA V6E 2S9, A1 00000 (310) 229-5722 -405,000 (\$81,000) COMMON STOCK. (FILE 333-60318 - MAY. 07) (BR. 9)
- S-8 ALLSTAR SYSTEMS INC, 6401 SOUTHWEST FREEWAY, HOUSTON, TX 77074 (713) 795-2000 - 445,000 (\$467,250) COMMON STOCK. (FILE 333-60320 -MAY. 07) (BR. 3)

S-8 MARKETCENTRAL NET CORP, 300 MERCER ST SUITE 26J, STE 46, NEW YORK, NY 10003 (949) 248-9561 - 850,000 (\$46,750) COMMON STOCK. (FILE 333-60322

MAY. 07) (BR. 9)

S-8 YES CLOTHING CO, 4695 MACARTHUR CT, STE 530, NEWPORT BEACH, CA 92660 (949) 833-2094 - 2,159,002 (\$461,758.88) COMMON STOCK. (FILE 333-60324

MAY. 07) (BR. 2)

SB-2 TEMPORARY FINANCIAL SERVICES INC, 422 W. RIVERSIDE, SUITE 1313, SPOKANE,

WA 99201 (509) 624-8055 - 4,000,000 (\$4,000,000) COMMON STOCK. (FILE 333-60326 - MAY. 07) (BR. 7 - NEW ISSUE)

S-8 RELIANT RESOURCES INC, RELIANT ENERGY INC, 1111 LOUISIANA STREET, HOUSTON, TX 77002 (713) 207-3000 - 16,000,000 (\$534,400,000) COMMON STOCK.

(FILE 333-60328 - MAY. 07) (BR. 2)

S-8 MDC HOLDINGS INC, 3600 S YOSEMITE ST STE 900, DENVER, CO 80237 (303) 773-1100 - 1,900,000 (\$50,521,000) COMMON STOCK. (FILE 333-60330

MAY. 07) (BR. 6)

S-8 BANK ONE CORP, 1 BANK ONE PLAZA, CHICAGO, IL 60670 (312) 732-4000 -5,000,000 (\$189,400,000) COMMON STOCK. (FILE 333-60332 - MAY. 07) (BR. 7)

S-8 BANK ONE CORP, 1 BANK ONE PLAZA, CHICAGO, IL 60670 (312) 732-4000 – 1,000,000 (\$37,880,000) COMMON STOCK. (FILE 333-60334 – MAY. 07) (BR. 7)

- S-8 BANK ONE CORP, 1 BANK ONE PLAZA, CHICAGO, IL 60670 (312) 732-4000 -10,000,000 (\$378,800,000) COMMON STOCK. (FILE 333-60336 - MAY. 07) (BR. 7)
- S-8 BANK ONE CORP, 1 BANK ONE PLAZA, CHICAGO, IL 60670 (312) 732-4000 -60,000,000 (\$2,272,800,000) COMMON STOCK. (FILE 333-60338 - MAY. 07) (BR. 7)

S-3 TELETOUCH COMMUNICATIONS INC, 110 NORTH COLLEGE SUITE 200, TYLER, TX 75702 (903) 595-8800 - 600,000 (\$420,000) COMMON STOCK. (FILE 333-60340 -

MAY. 07) (BR. 7)

SB-2 INTERNET SERVICES & BUSINESS APPLICATIONS CORP, 12A/2, A-1170, STE 14, VIENNA AUSTRIA, CA 93101 (011) 431-4844 - 1,250,000 (\$2,500,000)

COMMON STOCK. (FILE 333-60342 - MAY. 07) (BR. 9)

S-8 NETWORK PLUS CORP, 234 COPELAND ST, QUINCY, MA 02169 (617) 786-4000 - 9,000,000 (\$29,700,000) COMMON STOCK. (FILE 333-60344 - MAY. 07) (BR. 7)

S-4 FIRST VIRGINIA BANKS INC, ONE FIRST VIRGINIA PLZ, 6400 ARLINGTON BLVD RM 300, FALLS CHURCH, VA 22042 (703) 241-3656 -2,454,901 (\$110,951,913) COMMON STOCK. (FILE 333-60346 - MAY. 07) (BR. 7)

S-3 CHOICE ONE COMMUNICATIONS INC, 100 CHESTNUT ST, SUITE 700, ROCHESTER, NY

14604 (716) 246-4231 - 300,000,000 (\$300,000,000) STRAIGHT BONDS. (FILE

333-60350 - MAY. 07) (BR. 7)

- S-3 RESIDENTIAL ACCREDIT LOANS INC, 8400 NORMADALE LAKE BLVD, STE 600, MINNEAPOLIS, MN 55437 (612) 832-7000 - 1,000,000 (\$1,000,000) PASS-THROUGH MORTGAGE-BACKED CERTIFICATE. (FILE 333-60352 - MAY. 07) (BR. 8)
- S-8 WEBSENSE INC, 10240 SORRENTO VALLEY RD, SAN DIEGO, CA 92121 (858) 320-8000 - 985,243 (\$12,217,014) COMMON STOCK. (FILE 333-60354 -MAY. 07) (BR. 8)

S-8 CV THERAPEUTICS INC, 3172 PORTER DR, PALO ALTO, CA 94304 (650) 812-0585

- 350,000 (\$15,435,000) COMMON STOCK. (FILE 333-60358 - MAY. 07) (BR.

S-3 NETZEE INC, 6190 POWERS FERRY RD, SUITE 400, ATLANTA, GA 30339 (770) 850-4000 - 320,000 (\$179,200) COMMON STOCK. (FILE 333-60360 -MAY. 07) (BR. 8)

SB-1 MORTGAGE PROFESSIONALS LEAD SOURCE INC, 3406 SOUTH HIGHLAND DRIVE, SALT LAKE CITY, UT 84106 (801) 243-6446 - 200,000 (\$200,000) COMMON STOCK.

(FILE 333-60362 - MAY. 07) (BR. 7 - NEW ISSUE)

S-8 FEDERAL REALTY INVESTMENT TRUST, 1626 EAST JEFFERSON STREET, ROCKVILLE,

MD 20852 (301) 998-8100 - 1,750,000 (\$34,422,500) COMMON STOCK. (FILE 333-60364 - MAY. 07) (BR. 8)

- S-8 BLUE RHINO CORP, 104 CAMBRIDGE PLAZA DRIVE, WINSTON SALEM, NC 27104 (336) 659-6900 - 300,000 (\$1,009,800) COMMON STOCK. (FILE 333-60366 -MAY. 07) (BR. 2)
- S-8 BLUE RHINO CORP, 104 CAMBRIDGE PLAZA DRIVE, WINSTON SALEM, NC 27104 (336) 659-6900 - 1,000,000 (\$2,557,840) COMMON STOCK. (FILE 333-60368

MAY. 07) (BR. 2)

- S-8 BROADWING INC, 201 E FOURTH ST 102 732, CINCINNATI, OH 45201
 (513) 397-9900 300,000 (\$7,672,500) COMMON STOCK. (FILE 333-60370 MAY. 07) (BR. 7)
- SB-2 AIR PACKAGING TECHNOLOGIES INC, 25620 RYE CANYON ROAD, VALENCIA, CA 91355 (661) 294-2222 - 6,050,000 (\$10,285,000) COMMON STOCK. (FILE 333-60372 - MAY. 07) (BR. 6)

S-3 PROLOGIS TRUST, 14100 EAST 35TH PLACE, AURORA, CO 80011 (303) 375-9292
- 668,452 (\$1,377,027.60) COMMON STOCK. (FILE 333-60374 - MAY. 07)
(BR. 8)

S-8 BROADWING INC, 201 E FOURTH ST 102 732, CINCINNATI, OH 45201
(513) 397-9900 - 10,000,000 (\$255,750,000) COMMON STOCK. (FILE 33360376 -

MAY. 07) (BR. 7)

- S-8 BROADWING INC, 201 E FOURTH ST 102 732, CINCINNATI, OH 45201
 (513) 397-9900 300,000 (\$7,672,500) COMMON STOCK. (FILE 333-60378 MAY. 07) (BR. 7)
- S-8 SAGENT TECHNOLOGY INC, 800 WEST EL CAMINO REAL, SUITE 300, MOUNTAIN VIEW, CA 94040 (650) 493-7100 - 5,506,423 (\$14,085,512) COMMON STOCK. (FILE 333-60380 - MAY. 07) (BR. 3)

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S-8 EMERGE INTERACTIVE INC, 10315 102ND TERRACE, SEBASTIAN, FL 32958 (561) 589-7331 - 1,500,000 (\$3,645,000) COMMON STOCK. (FILE 333-60382

MAY. 07) (BR. 8)

BROADWING INC, 201 E FOURTH ST 102 732, CINCINNATI, OH 45201 S-8 (513) 397-9900 - 300,000 (\$7,672,500) COMMON STOCK. (FILE 333-60384 -MAY. 07) (BR. 7)

LEAP WIRELESS INTERNATIONAL INC, 10307 PACIFIC CENTER COURT, SAN S-8 DIEGO, CA 92121 (858) 882-6000 - 2,500,000 (\$82,805,702) COMMON STOCK. (FILE

333-60386 - MAY. 07) (BR. 7)

HIGH SPEED ACCESS CORP, 1000 W ORMSBY AVE, SUITE 210, LOUISVILLE, KY s-3 40210 - 125,000 (\$126,250) COMMON STOCK. (FILE 333-60388 - MAY. 07) (BR. 3)

LEAP WIRELESS INTERNATIONAL INC, 10307 PACIFIC CENTER COURT, SAN s-3 DIEGO,

CA 92121 (858) 882-6000 - 373,367 (\$12,787,820) COMMON STOCK. (FILE 333-60390 - MAY. 07) (BR. 7)

- SENIOR HOUSING PROPERTIES TRUST, 400 CENTRE STREET, NEWTON, MA 02458 S = 3(617) 332-3990 (FILE 333-60392 - MAY. 07) (BR. 8)
- SEMTECH CORP, 652 MITCHELL RD, NEWBURY PARK, CA 91320 (805) 498-2111 S-8

4,200,000 (\$128,562,000) COMMON STOCK. (FILE 333-60396 - MAY. 08) (BR.

5)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- Item 1. Changes in Control of Registrant.
- Item 2. Acquisition or Disposition of Assets.
- Item 3. Bankruptcy or Receivership.
- Item 4. Changes in Registrant's Certifying Accountant. Item 5. Other Materially Important Events.
- Item 6. Resignations of Registrant's Directors.
- Item 7. Financial Statements and Exhibits.
- Item 8. Change in Fiscal Year. Item 9. Regulation FD Disclosure.

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified. 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: cublicinfo@sec.gov>. In most cases, this information is also available on the Commission's website:

STATE 8K ITEM NO.

NAME OF ISSUER	STATE CODE	1 2		456		9	DATE	CO	MMENT
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AAMES CAPITAL CORP	CA				х		04/25/		
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AAMES CAPITAL CORP	CA				Х		04/25/	01	
ACE SECURITIES CORP	DE			Х	х		05/03/	01	
AIRGAS INC	DE			х	х		05/10/	01	
AIRPLANES LTD	DE			х			05/11/	01	
AIRPLANES US TRUST	DE			х			05/11/	01	
ALLTRISTA CORP	IN			х	х		05/07/		
AMERICA FIRST TAX EXEMPT INVESTORS	DE			х			04/25/		
LP									
AMERICAN AIRLINES INC	DE			X	.,		05/11/		
AMERICAN GENERAL CORP /TX/	ΤX			Х	Х		05/11/		
AMERICAN INTERNATIONAL GROUP INC	DE			x	Х		05/11/		
AMERINET GROUP COM INC	DE			Х	Х		04/27/		
AMKOR TECHNOLOGY INC	DE			Х	Х		05/11/		
AMR CORP	DE			Х			05/11/	01	
APPLIED MOLECULAR EVOLUTION INC	DE			Х	Х		04/16/	01	
ASCENDANT SOLUTIONS INC	ΤX			Х	Х		05/11/	01	
ASPEN TECHNOLOGY INC /DE/	DE			х			05/08/	01	
ATCHISON CASTING CORP	KS			х	х		05/11/	01	
ATHEY PRODUCTS CORP	DE			х	Х		04/30/	01	
AVALONBAY COMMUNITIES INC	MD			X	x		05/11/		
BANCWEST CORP/HI	DE			x	X		05/07/		
BANK OF AMERICA MORT SEC INC MORT P				x	x		12/21/		
AS THRU CERT SER 2000-A	DE			Х	х		05/04/	01	
BEAR STEARNS COMPANIES INC	DΒ			X	X		05/10/		
BEAR STEARNS DEPOSITOR INC	M				Λ		05/10/		
BIO VASCULAR INC	MN			X	v		05/09/		
BOWLIN TRAVEL CENTERS INC	NV			X	Х				
BRIGHAM EXPLORATION CO	DE			Х			05/09/		
C COR NET CORP	PA		Х				04/27/		
CAPITAL ONE MASTER TRUST	VA			Х	Х		05/15/		
CBQ INC	CO		Х	Х	Х		03/27/		
CENTURY ALUMINUM CO	DE			Х	Х		04/02/		AMENI
CHARMING SHOPPES INC	PA					Х	03/13/		
CHESHIRE DISTRIBUTORS INC	CO	X	Х	2	Х		05/07/		
CNA SURETY CORP	DE			х	Х		05/11/	01/	
COMMON GOAL HEALTH CARE PARTICIPATI NG MORTGAGE FUND L P	DE			Х			03/30,	01	
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COUNTRYWIDE CREDIT INDUSTRIES INC CREDIT SUISSE FIRST BOSTON MR SC CR				х	Х		04/25,		
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R BK PA TH CR SR 2001-AR7	NT 4		х	х		05/08/01
CRONOS GROUP	N4		x	x		04/25/01
CSX TRADE RECEIVABLES CORP	DE		X	X		05/07/01
DIGIMARC CORP	OR		x	x		05/11/01
DIMON INC	VA		Δ	Λ	x	05/10/01
DOLLAR GENERAL CORP	TN	х	х		~	02/27/01 AMEND
DONNELLY CORP	MI	x x	x x	v		05/11/01
EMPEROR PENGUIN INC	NY	~ ~	ΛΛ	X		05/07/01 AMEND
EMPIRE ENERGY CORP	UT	х		X		05/07/01 AMEND
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EMPIRE ENERGY CORP	UT	Х	v	x		05/11/01
ENGLISH LANGUAGE LEARNING & INSTRUC	DE		Х	Λ		05/11/01
TION SYSTEM INC				х		05/11/01
EQUITY ONE ABS INC	DE		х	x		05/09/01
FELCOR LODGING TRUST INC	DE	v	Λ	x		05/01/01
FINANCIAL INSTITUTIONS INC	NY	X		Λ		04/27/01
FIRST FARMERS & MERCHANTS CORP	TN	Х	х	х		05/10/01
FISHER COMMUNICATIONS INC	WA		x	x		05/11/01
FLEET HOME EQUITY LOAN CORP	DE			Δ	х	04/09/01 AMEND
FLORAN INTERNATIONAL INC	FL		Х			<pre>04/09/01 AMEND </pre>
FOREST OIL CORP	NY			х		<pre>< 05/11/01</pre>
FRONTLINE CAPITAL GROUP	DE		v	x	1	10/30/00
GE CAPITAL MORTGAGE SERVICES INC 20	NJ		Х	Λ		10/30/00
00-13 TRUST				v		05/08/01
GENZYME CORP	MA		Х	X		01/26/01
GLOBUS WIRELESS LTD	NV	Х	., .,	X		05/04/01
GSV INC	DE		XX	X		05/10/01
HARBORSIDE HEALTHCARE CORP	DE		X	X		
HCC INSURANCE HOLDINGS INC/DE/	DE		У	Х	4	X 05/10/01
HEARTLAND EXPRESS INC	NV		X			05/10/01
HFC REVOLVING CORP	DE		х			05/11/01 05/07/01
HISPANIC TELEVISION NETWORK INC	DE		х	.,		
HOLLYWOOD PARTNERS COM INC	DE		X	X		05/02/01
	STATE		K ITEM			
NAME OF ISSUER	CODE	123	3456	5 /	8	9 DATE COMMENT
			v			04/30/01
HORMEL FOODS CORP /DE/	DE		X	v		04/30/01
HORTON D R INC /DE/	DE		Х	X		04/20/01
HUDSON RIVER BANCORP INC	DE	Х		X X		04/20/01
IMPAC SECURED ASSETS CORP	CA		17			04/27/01
IMPERIAL SUGAR CO /NEW/	TX		Х	X		04/26/01
IMPRESO INC	DE	Х		X		03/09/01 AMEND
INDEPENDENT BANKSHARES INC	ΤX			, х		
INFORM WORLDWIDE HOLDINGS INC	CO			ζ.		05/10/01 05/10/01
INNOVATIVE GAMING CORP OF AMERICA	MN		X	X		05/10/01
INSILCO HOLDING CO	DE		X	X		
INSILCO TECHNOLOGIES INC	DE		Y.	X		05/10/01
INTEGRATED SECURITY SYSTEMS INC	DE		Х	Х		05/10/01
ISA INTERNATIONALE INC	DE		Х			12/31/00

10 NEWS DIGEST, May 14, 2001

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ISONICS CORP	CA			Х				04/30	/01	
JCC HOLDING CO	DE				Х		х	05/10		
KENT ELECTRONICS CORP	ТΧ			Х				05/11		
KROGER CO	OH			Х	X			05/11		
LABORATORY CORP OF AMERICA HOLDINGS	DE			Х	Х			05/10		
LEAPNET INC	DE			Х	Х			05/08		
LIFEMINDERS INC	DE			Х	Х			05/10		
LUMENON INNOVATIVE LIGHTWAVE TECHNO				x	x			05/09		
LOGY INC								00700	. 01	
MANITOWOC CO INC	WI	х			x			05/09	/01	
MELLON FINANCIAL CORP	PA	**		х	л			05/09		
MERCK & CO INC	NJ			x	х			05/11		
MERITAGE CORP	MD	х		Λ	Λ			• •		AMEND
MICROSEMI CORP	DE	Λ		х	х					
MIDWAY GAMES INC	DE			Λ	Λ	х		05/11,		
MINNESOTA MINING & MANUFACTURING CO				х	v	v		04/27,		
MSDW STRUCTURED ASSET CORP	DE			л	X			05/11,		
NATIONWIDE COMPANIES INC	DE	v	.,		X			04/24/		
NESCO INC/OK	017	Х	Х	••						AMEND
NETMEASURE TECHNOLOGY INC	OK			X	Х			05/08/		
NETTAXI INC				X				05/09/		
	NV			Х	Х			05/01/		
NPC HOLDINGS INC	NV		Х		Х					AMEND
NTELOS INC	VA					2		05/11/		
OCEAN ENERGY INC /TX/	ΤX			Х	Х			05/09/	'01	
OTR EXPRESS INC/KS	KS			Х				05/11/	01	
PACIFIC GATEWAY EXCHANGE INC	DE			Х	Х			05/10/	01	
PEOPLES BANCORP INC	OH			Х				06/30/	01	
PHILIP MORRIS COMPANIES INC	3.77						,	05/11/	0.1	
	VA				Х	2	<u>s</u>	03/II/	UΤ	
PHYSICIANS RESOURCE GROUP INC	VA DE			х	X X	2				
PHYSICIANS RESOURCE GROUP INC		8	ки		х			04/30/		
PHYSICIANS RESOURCE GROUP INC	DE		к іт	EM	X NO.			04/30/	01	OMMENT
PHYSICIANS RESOURCE GROUP INC	DE STATE	8 12	к іт	EM	X NO.			04/30/	01	OMMENT
PHYSICIANS RESOURCE GROUP INC	DE STATE		к іт	EM	X NO.			04/30/	01	OMMENT
PHYSICIANS RESOURCE GROUP INC	DE STATE		К II 34 	'ЕМ 5 	X NO. 67		9	04/30/ DATE	01 CC	OMMENT
PHYSICIANS RESOURCE GROUP INC NAME OF ISSUER	DE STATE CODE 		К IT 3 4 	'ЕМ 5 Х	X NO. 6 7 		9	04/30/ DATE 	01 CC	OMMENT
PHYSICIANS RESOURCE GROUP INC NAME OF ISSUER 	DE STATE CODE LA PA		К IT 3 4 	EM 5 X X	X NO. 6 7 X X		9	04/30/ DATE 05/11/ 05/09/	01 CC 01 01	OMMENT
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PHYSICIANS RESOURCE GROUP INC NAME OF ISSUER PICCADILLY CAFETERIAS INC PPL CORP PRIMA ENERGY CORP QUALITY PRODUCTS INC REINHOLD INDUSTRIES INC/DE/ RENT A CENTER INC DE REVOLVING HOME EQUITY LOAN ASSET BA	DE STATE CODE LA PA DE DE DE DE	12	К I1 3 4 Х	S S X X X X	X NO. 6 7 	8 9	9	04/30/ DATE 05/11/ 05/09/ 05/10/ 04/26/ 05/01/	01 CC 01 01 01 01 01 01 01	
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STRUCTURED ASSET SECURITIES CORP MO	DE		х	х	04/27/01
R PAS THR CERT SER 2001-6 STUDENT ADVANTAGE INC	DE		х		05/01/01 AMEND
	PA		x	х	04/30/01
STV GROUP INC	CA		x	x	05/04/01
TARANTELLA INC	DE		x	x	05/09/01
TMP WORLDWIDE INC	PA		x	x	05/10/01
TOTAL CONTAINMENT INC	DE	х	x	x	04/27/01
TRIAD HOSPITALS INC	DE	~	~		05/11/01
TRIMERIS INC	DE	х	~		05/06/01
TUMBLEWEED INC	NV	Λ	x	х	
USA DIGITAL INC	DE		Λ	X	03/25/01 AMEND
VITRIA TECHNOLOGY INC	NY		х	л	04/27/01
WARWICK VALLEY TELEPHONE CO	DE	х	X	x	
WD 40 CO	_	Λ	x	x	03/29/01
WELLS FARGO ASSET SEC CORP MOR PASS	DE		Λ	Λ	03/23/01
THR CERT SER 2001-7			х	х	04/27/01
WELLS FARGO ASSET SEC CORP MORT PAS	DE		л	Λ	04/2//01
S THR CERT SER 2001-11			x	x	04/07/01
WELLS FARGO ASSET SEC CORP MORT PAS	NY		X	A	04/0//01
S THR CERT SER 2001-9		0.7	T T T T T T T	NO	
	STATE		ITEM		9 DATE COMMENT
NAME OF ISSUER	CODE	123	5 4 5 1	578	9 DATE COMMENT
	r.7.7		х	х	05/10/01
WESTERN WIRELESS CORP	WA				
WORLDNET RESOURCES GROUP INC			X	X	
WORLDNET RESOURCES GROUP INC			х	X	
4-D NEUROIMAGING	CA		Δ	Х	04/26/01

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