SEC NEWS DIGEST

Issue 2001-27

February 8, 2001

ENFORCEMENT PROCEEDINGS

ISAAC HAGER, A FORMER OFFICER AND DIRECTOR OF POWER PHONE, INC., CONSENTS TO AN ORDER TO CEASE AND DESIST FROM CAUSING VIOLATIONS OF THE ANTIFRAUD PROVISIONS OF THE FEDERAL SECURITIES LAWS

On September 22, 2000, the Commission instituted public administrative proceedings pursuant to Section 8A of the Securities Act of 1933 (Securities Act) and Section 21C of the Securities Exchange Act of 1934 (Exchange Act) against Isaac Hager (Hager), a former officer and director of Power Phone, Inc. (Power Phone). The Commission found that the senior management of Power Phone orchestrated a fraudulent scheme to falsify and inflate the company's financial condition in filings with the Commission. Power Phone accomplished the fraudulent scheme by claiming in filings with the Commission to own two assets, consisting of software and artwork, even though Power Phone did not own either asset. These two assets, which comprised ninety-five percent of Power Phone's total assets, were falsely valued at \$4 million in Power Phone's audited financial statements for the fiscal year ended June 30, 1995. Power Phone included those false financial statements in an Annual Report on Form 10-K that was filed with the Commission in 1995, and a Form 10 registration statement that was filed with the Commission in 1996. As an officer and director of Power Phone. Hager signed and reviewed Power Phone's annual report and registration statement with knowledge that these documents would be filed with the Commission. Although Hager, one of only three officers of Power Phone, had never seen the software or the artwork, he failed to take any steps either to verify the existence of the assets or assure himself of the value of the assets which comprised ninety-five percent of Power Phone's balance sheet. Based on these facts, the Commission found that Hager caused Power Phone's violations of Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 promulgated thereunder.

Without admitting or denying the Commission's findings, Hager consented to the entry of the Commission's order which orders him to cease and desist from committing or causing any violation and any future violation of Section 17(a) of the Securities Act and Section 10(b) of the Exchange Act and Rule 10b-5 thereunder. See also, SEC v. Noah Steinberg et al, Civ. Action No. 99-6050 (E.D.N.Y.)(RJ) Lit. Rel. No. 16303 (September 28, 1999); In the Matter of Michael, Adest & Blumenkrantz, P.C., David Michael and Paul

Adest, Exch. Act Rel No. 41284; AAER Rel. No. 1125 (April 14, 1999). (Rels. 33-7948; 34-43935; AAE Rel. 1368; File No. 3-10317)

FINAL JUDGMENTS ENTERED IN INSIDER TRADING CASE, RECEIVER APPOINTED TO ADMINISTER PLAN FOR THE DISTRIBUTION OF DISGORGED FUNDS

The Commission announced that on August 21, 2000, Final Judgments of Permanent Injunction were entered against Alan M. Stricoff, Marvin Stone, Todd Stone and Daniel M. Porush in this insider trading case involving purchases of call options contracts for the securities of Caesars World Inc. prior to the December 19, 1994 public announcement of a tender offer for Caesars by ITT Corp. In addition, the Commission announced that Judge Chin has appointed David R. Kittay, of Kittay, Gold and Gershfeld, as receiver to administer a plan for the distribution of funds disgorged by the defendants.

Each defendant admitted the alleged violations and consented to the entry of the final judgments which enjoin them from violating Sections 10(b) and 14(e) of the Securities Exchange Act of 1934 and Rules 10b-5 and 14e-3 thereunder. In addition, the final judgment as to Todd Stone orders him to disgorge profits he and his tippees received and pay a civil penalty of \$187,000. The final judgments as to Alan M. Stricoff, Marvin Stone and Daniel M. Porush waive payment of disgorgement and impose no civil monetary penalties in view of these defendants' sworn statements concerning their financial condition. (As a result of their plea agreements in related criminal cases, Alan M. Stricoff, Todd Stone and Marvin Stone are subject to restitution orders that require that they pay their illegal profits to the SEC over an extended period of time. The Plan of Distribution covers these payments as well as funds disgorged by Jeffrey Stricoff pursuant to his earlier settlement in which he admitted the alleged violations and consented to the entry of a final judgment permanently enjoining him from violating Sections 10(b) and 14(e) of the Exchange Act and Rules 10b-5 and 14e-3 and ordering him to pay \$135,000 in disgorgement and penalties.) In addition, in follow-up administrative proceedings, Alan M. Stricoff and Porush consented to the entry of orders under Section 15(b) of the Exchange Act, barring them from association with any broker dealer See Litigation Release No. 15551, November 7, 1994.

The disgorgement plan provides that the receiver distribute the disgorged funds on a pro rata basis to persons or entities, who on December 14 or December 16, 1994, were net sellers of the Caesars call options (strike prices of \$50 and expiration dates of January 1995), and who complete necessary claims forms. Potential claimants who have not been contacted by the receiver may obtain claim forms by contacting counsel to the Receiver, Judy Siegel, Esq. Kittay & Gershfeld, P.C., 81 Main Street, New York, 10601, (914) 686-1900. [SEC v. Alan M. Stricoff, et al., Civil Action No. 97 Civ. 8183, DC, SDNY] (LR-16890); (Alan Stricoff – Rel. 34-43936, File No. 3-10416; Daniel Porush - Rel. 34-43937, File No. 3-10417)

INVESTMENT COMPANY ACT RELEASES

BANKBOSTON CO-INVESTMENT PARTNERS (1999) L.P. AND FLEETBOSTON FINANCIAL CORPORATION

An order has been issued on an application filed by BankBoston Co-Investment Partners (1999) L.P and FleetBoston Financial Corporation under Sections 6(b) and 6(e) of the Investment Company Act in connection with the formation of limited partnerships and other investment vehicles (Partnerships) to be offered to certain key employees and qualified participants of FleetBoston Financial Corporation. Each Partnership will be an "employees' securities company" within the meaning of Section 2(a)(13) of the Act. (Rel. IC-24852 – February 6)

HILLVIEW INVESTMENT TRUST II AND HILLVIEW CAPITAL ADVISORS, LLC

A notice has been issued giving interested persons until March 5, 2001, to request a hearing on an application filed by Hillview Investment Trust II and Hillview Capital Advisors, LLC for an order granting an exemption from Section 15(a) of the Investment Company Act and Rule 18f-2 under the Act to permit the applicants to enter into and materially amend subadvisory agreements without shareholder approval. (Rel. IC-24853 – February 6)

NATIONWIDE MUTUAL FUNDS, ET AL.

A notice has been issued giving interested persons until February 27 to request a hearing on an application filed by Nationwide Mutual Funds, et al. for an exemption from Section 17(a) of the Investment Company Act. The order would permit a series of Nationwide Mutual Funds, an open-end management investment company, to acquire all of the assets and liabilities of a series of Principal Preservation Portfolios, Inc., an open-end management investment company. Because of certain affiliations, applicants may not rely on Rule 17a-8 under the Act. (Rel. IC-24855 – February 7)

SELF-REGULATORY ORGANIZATIONS

IMMEDIATE EFFECTIVENESS OF PROPOSED RULE CHANGE

A proposed rule change filed by the <u>Pacific Exchange</u> has become immediately effective (SR-PCX-01-06) relating to the dissemination of options quote size. Publication of the proposal is expected in the <u>Federal Register</u> during the week of February i2. (Rel. 34-43925)

WITHDRAWALS SOUGHT

A notice has been issued to inform the public of the withdrawal of the application filed by 3Dshopping com to withdraw its Common Stock, no par value, and Warrants to Purchase Common Stock from listing and registration on the <u>American Stock Exchange</u>. (Rel. 34-43933)

A notice has been issued giving interested persons until February 28 to comment on the application of Boulder Total Return Fund, Inc. to withdraw its Common Stock, \$.01 par value, from listing and registration on the <u>Pacific Exchange</u>. (Rel. 34-43934)

DELISTING GRANTED

An order has been issued granting the application of the <u>American Stock Exchange</u> to strike from listing and registration the Common Stock, \$ 0001 par value, of VDC Communications, Inc., effective at the opening of business on February 8, 2001 (Rel 34-43940)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933 The reported information appears as follows[•] Form, Name, Address and Phone Number (if available) of the issuer of the security, Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed, Assigned Branch, and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: cpublicinfo@sec>. In most cases, this information is also available on the Commission's website: <www.sec.gov>.

- S-8 WEB4BOATS COM INC, PO BOX 1028, LA JOLLA, CA 92038 (858) 551-8160 -1,100,000 (\$137,500) COMMON STOCK. (FILE 333-54750 - FEB. 01) (BR. 9)
- S-8 PHOENIX INTERNATIONAL INDUSTRIES INC /FL/, 501 SOUTH DIXIE HIGHWAY, WEST PALM BEACH, FL 33401 (561) 832-5208 - 5,000,000 (\$500,000) COMMON STOCK. (FILE 333-54752 - FEB. 01) (BR. 7)
- S-8 SIONIX CORP /UT/, 9272 JERONIMO RD, SUITE 108, IRVINE, CA 92618
 (949) 454-9283 900,000 (\$225,000) COMMON STOCK. (FILE 333-54760 FEB. 01) (BR. 5)

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- S-3 ASHLAND INC, 50 E. RIVERCENTER BOULEVARD, COVINGTON, KY 41012 (606) 815-3333 - 149,300 (\$4,936,231) COMMON STOCK. (FILE 333-54762 -FEB. 01) (BR. 6)
- S-3 EQUIFAX INC, 1600 PEACHTREE ST NW, P O BOX 4081, ATLANTA, GA 30302 (404) 885-8000 - 340,545 (\$9,886,022) COMMON STOCK. (FILE 333-54764 -FEB. 01) (BR. 2)
- S-8 ASHLAND INC, 50 E. RIVERCENTER BOULEVARD, COVINGTON, KY 41012
 (606) 815-3333 4,000,000 (\$146,280,000) COMMON STOCK. (FILE 33354766 -

FEB. 01) (BR. 6)

N-2 PROSPECT STREET HIGH INCOME PORTFOLIO INC, 60 STATE STREET, BOSTON, MA 02109 (617) 742-3800 - 100 (\$1,000,000) PREFERRED STOCK. (FILE 333-

54770 -FEB. 01) (BR. 16)

- S-4 FINANCIAL INDUSTRIES CORP, THE AUSTIN CENTER, 701 BRAZOS 12TH FL, AUSTIN, TX 78701 (512) 404-5050 - 5,894,914 (\$60,605,613) COMMON STOCK. (FILE 333-54772 - FEB. 011 (BP. 1)
- S-8 CRAIG JENNY INC /DE, 445 MARINE VIEW AVE STE 300, DEL MAR, CA 92014
 (619) 259-7000 500,000 (\$656,250; COMMON STOCK. (FILE 333-54774 FEB. 01) (BR. 8;
- S-8 MERIDIAN MEDICAL TECHNOLOGIES INC, 10240 OLD COLUMBIA RD, STE 100, COLUMBIA, MD 21046 (410 309-6830 - 500,000 (\$6,140,750) COMMON STOCK. (FILE 333-54786 - FE3 = 1) (E1.5)
- S-8 MERIDIAN MEDICAL TECHNOLOGIES INC, 10240 OLD COLUMBIA RD, STE 100, COLUMBIA, MD 21046 (410, 309-0000 - 250,000 (\$3,070,375, COMMON STOCK. (FILE 333-54782 - FEB. +1) 'BR 5,
- S-8 HEALTH CARE FROPERTY INVESTORS INC, 4675 MACARTHUR COURT 9TH FL, SUITE 900, NEWPORT BEACH, CA 91660 (949) 221-0600 - 3,202,400 (\$102,419,809) COMMENT STOCK (FILE 333-54784 - FEB. 01) (BR. 8)
- S-8 HEALTH CARE PROPERTY INVESTORS INC, 4675 MACARTHUR COURT 9TH FL, SUITE 900, NEWPORT BEACH, CA 92660 (949) 221-0600 - 3,056,892 (\$87,135,483.70) COMMON STOCK. (FILE 333-54786 - FEB. 01) (BR. 8)
- S-8 BOSS HOLDINGS INC, 221 WEST FIRST STREET, STE 330, KEWANEE, IL 61443
 (309) 856-8068 425,000 (\$810,156.25) COMMON STOCK. (FILE 333-54788 FEB. 01) (BR. 2)
- S-3 XO COMMUNICATIONS INC, 11111 3UNSNET HILLS ROAD, RESTON, VA 22102
 (703) 547-2005 (FILE 33:-54790 FEB. 01) (BR. 7)

S-3 AVENUE ENTERTAINMENT GROUP INC /DE/, 1111 SANTA MONICA BLVD, SUITE
2110,
LOS ANGELES, CA 90025 _12, 315-1502 - 2,980,192 (\$1,877,421) COMMON

STOCK.

(FILE 333-54792 - FEB. 01) (BP. 5)

S-8 COASTAL FINANCIAL CORP /DE, 2619 NORTH OAK, MYRTLE BEACH, SC 29577
(843) 448-5151 - 577,500 (\$5,954,602) COMMON STOCK. (FILE 333-54794 FEB. 01) (BR. 7)

S-3 ARQULE INC, 19 PRESIDENTIAL WAY, WOBURN, MA 01801 (617) 395-4100 -3,106,622 (\$68,438,883) COMMON STOCK. (FILE 333-54796 - FEB. 01) (BR. 1)

S-4 BELLWETHER EXPLORATION CO, 1331 LAMAR, SUITE 1455, HOUSTON, TX 77010 (713) 495-3000 - 11,428,571 (\$67,885,711.74) COMMON STOCK. (FILE 333-54798 - FEB. 01) (BR. 4)

S-3 CHARTER MUNICIPAL MORTGAGE ACCEPTANCE CO, 625 MADISON AVENUE, NEW
YORK,

NY 10022 (212) 421-5333 - 2,416,891 (\$35,044,919.50) COMMON STOCK. (FILE

333-54802 - FEB. 01) (BR. 8)

S-3 DYNAGEN INC, 1000 WINTER STREET, SUITE 2700, WALTHAM, MA 02451
 ((78) 1)8-90-0 - 12,233,773 (\$3,339,920.03) COMMON STOCK. (FILE 33354804

- FEB. 01) (BR. 1)

S-3 HAIN CELESTIAL GROUP INC, 50 CHARLES LINDBERGH BLVD, UNIONDALE, NY
11553
(516) 237-6200 - 123,830 (\$4,082,675.10) COMMON STOCK. (FILE 333-54806)

FEB, 01) (BR, 4)

S-8 FAIRMARKET INC, 400 UNICORN PARK DR, WOBURN, MA 01801 (781) 935-7090
1,154,750 (\$2,526,016) COMMON STOCK. (FILE 333-54808 - FEB. 01) (BR.

8)

S-4 MICROSOFT CORP, ONE MICROSOFT WAY #BLDG 8, NORTH OFFICE 2211, REDMOND,

WA 98052 (425) 882-8080 - 25,792,234 (\$1,727,273,737.66) COMMON STOCK. (FILE 333-54810 - FEB. 01) (BR. 3)

S-3 JAWZ INC, 12 CONCORDE GATE, SUITE 900 M3G 3N6, TORONTO ONTARIO, A0 T2T 0

(416) 444-2526 - 19,719,822 (\$12,324,888) COMMON STOCK. (FILE 333-54812 -

FEB. 01) (BR. 3)

SB-2 BANCAFFILIATED INC, 500 HARWOOD RD, BEDFORD, TX 76021 (817) 285-6195
265,500 (\$2,645,000) COMMON STOCK. (FILE 333-54814 - FEB. 01) (NEW
ISSUE)

S-3 SECURE COMPUTING CORP, ONE ALMADEN BLVD, STE 400, SAN JOSE, CA 95113
 (612) 628-2700 - 1,730,227 (\$18,167,384) COMMON STOCK. (FILE 333-54816

FEB. 01) (BR. 3)

- WIRELESS FACILITIES INC, 9805 SCRANTON RD, SUITE 100, SAN DIEGO, CA S-8 · 92121 (619) 824-2929 - 7,113,864 (\$280,360,275.01) COMMON STOCK. (FILE 333-54818 - FEB. 01) (BR. 8)
- TDT DEVELOPMENT INC, 1844 SW 16TH TERRACE, MIAMI, FL 33145 SB-2 (305) 860-9913 - 3,066,000 (\$306,600) COMMON STOCK. (FILE 333-54822 -FEB. 01; (NEW ISSUE)
- CROSSWORLDS SOFTWARE INC, 577 AIRPORT BLVD SUITE 800, 415-685-9966, S-8 BURLINGAME, CA 94010 (650) 685-9000 - 4,020,651 (\$21,314,034.48) COMMON STOCK. (FILE 333-54826 - FEB. 02) (BR. 3)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events

- Item 2 Acquisition or Disposition of Assets Item 3 Bankruptcy or Receivership Item 4 Changes in Registrant's Certifying Accountant Item 5 Other Materially Important Events Item 6 Resignations of Registrant's Directors Item 7 Financial Statements and Exhibits Item 8 Change in Fiscal Year

- Item 9. Regulation FD Disclosure

The following companies have filed 8-K reports for the date indicated and/or amendments to 8-K reports previously filed, responding to the item(s) of the form specified 8-K reports may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, NW, Washington, DC 20549 or at the following e-mail box address < publicinfo@sec gov> In most cases, this information is also available on the Commission's website <www.sec.gov>

NAME OF ISSUER	STATE CODE	12	 	TEM 5 6). 89	DATE	COMMENT
AAMES FINANCIAL CORP/DE	DE			х	Х		02/05/0)1
ADVANCED TECHNOLOGIES GROUE LT	NV				Х		01/17/0	1 AMEND
ADVANTA CORP	DE		•	Х			02/01/0)1
AMAZON NATURAL TREASURES INC	NV		:	Х			02/06/0)1
AMERICAS SENIOR FINANCIAL SERVICES	FL		Х				01/31/0)1
INC								
AMERISTAR CASINOS INC	NV	Х	:	X	Х		01/29/0)1
AMERITRANS CAPITAL CORP	DE		2	X			02/01/0)1
AMERIVEST PROPERTIES INC	MD					Х	02/05/0	1
AMF BOWLING INC	DE		2	X	Х		02/06/0)1
AMF BOWLING WORLDWIDE INC	DE		2	X	Х		02/06/0)1
APACHE MEDICAL SYSTEMS INC	DΕ		2	ĸ	Х		02/02/0)1
ARCH WIRELESS INC	DE		2	ĸ	Х		01/24/0	1
ARGOSY GAMING CC	ĽE		2	ĸ	Х		02/05/0	1
ATHEY PRODUCTS CORF	EL		2	<u>K</u>	Х		01/23/0	1
ATLANTIC TECHNOLOGY VENTURES INC	L'E		2	K	Х		01/31/0	1

STATE 8K ITEM NO. CODE 1 2 3 4 5 6 7 8 9 DATE COMMENT

AVIDYN INC	DE	Х			Х		01/22/01	
BANDAG INC	IA			Х	Х		02/05/01	
BAYLAKE CORF	WI			Х	Х		01/31/01	
BERKLEY W R CORF	ΡE			Х	Х		02/05/01	
BICO INC/PA	FA			X.			02/05/01	
BIOSHIELD TECHNOLOGIES INC	GJ.		Х				02/06/01	
BLUEFLY INC	Ν'n	Х			Х		02/05/01	
BLYTH INC	DE			Х	Х		02/01/01	
BOSTONFED BANCORP INC	DE			Х	Х		01/19/01	
CABLEVISION SYSTEMS CORP /NY	DE			Х	Х		01/31/01	
CALIPER TECHNOLOGIES CORP	DE			Х			02/05/01	
CBL & ASSOCIATES PROPERTIES INC	DE	Х			Х		01/31/01	
CENTEX CORP	NV			Х	х		02/01/01	
CENTRAL VALLEY COMMUNITY BANCORP	CA			Х	X		02/02/01	
CENTURY INDUSTRIES INC /DC/	DC			X			02/06/01	
CHART INDUSTRIES INC	DE			••	х	x	12/31/00	
CHELL GROUP CORP	NY			х	x	••	01/16/01	
CHESAPEAKE ENERGY CORP	OK					x	02/06/01	
CHILDRENS COMPREHENSIVE SERVICES IN							02/01/01	
C	11.					Λ	02/01/01	
CHINA VENTURES LTD			Х		X		01/25/01	
CITIZENS FIRST FINANCIAL (ORF	ΙF		Δ.	Х	7.		01/25/01	
COGNIGEN NETWORKS INC	L L C							
				X			04/30/00	AMEND
COMMSCOPE INC	L-E			Χ	Х		02/06/01	
COMMUNITY TRUST FINANCIAL SEFVICES CORPORATION	62			Z	X		02/01/01	
COMPUTER TASK GROUF INC	17.					Х	02/06/01	
CORZON INC	Π2.	ХХ		Х	ХХ		01/05/01	
CPI CORP	DΕ			Х			02/01/01	
CRITICAL PATH INC	22			Х	Х		02/06/01	
CWABS INC	ΓĿ			Х	Х		02/01/01	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2000-5	ĿΕ		1	Х	Х		11/30/00	
CWABS INC ASSET BACKED CERTIFICATES SERIES 2000-5	DE			Х	Х		11/30/00	
CYTOGEN CORP	DE			Х			02/05/01	
DAVITA INC	DE			Λ	х		02/05/01	
DECISIONLINK INC	DE			Х	X		01/16/01	
DEERE & CO	DE			Λ	~	v	02/06/01	
DIVERSIFIED PRODUCT INSPECTIONS INC	FL		v		v	Δ	01/29/01	
/TN/			Х		Х		01/29/01	
DOW CHEMICAL CO /DE/	DE			Х	Х		01/25/01	
		v		Λ	Λ		01/25/01	
DOW CHEMICAL CO /DE/	ĿΕ	X					02/05/01	
DOW CHEMICAL CO /DE/	DE	Х					02/06/01	
DVI RECEIVABLES CORP	DE				Х		12/31/00	
DVI RECEIVABLES CORP VIII	DE				Х		12/31/00	
DYNACARE INC				Х			01/16/01	
E COM TECHNOLOGIES CORP	NY		Х		Х		12/01/00	AMEND
EAST TEXAS FINANCIAL SERVICES INC	DE			Х	Х		02/05/01	
EASTMAN CHEMICAL CO	DE			Х	Х		02/05/01	
EBIX COM INC	ΡE			Х	Х		02/06/01	
EDISON INTERNATIONAL	C7			Х			02/01/01	
EL PASO ENERGY CORP/DE	DΕ			Х	Х		02/06/01	

NAME OF ISSUER

STATE 8K ITEM NO.

NAME OF ISSUER	CODE	1	2	3 /	5 6	7 0	2 0	DATE	С	OMMENT
ENGLE HOMES INC /FL ENTROPIN INC ENZON INC EOG RESOURCES INC ESSEX BANCORP INC /NEW EXODUS COMMUNICATIONS INC	FL					2 2	 {	01/25	/01	
ENTROPIN INC	CC				Х			02/05	/01	
ENZON INC	DE				Х			02/06	/01	
EOG RESOURCES INC	DΕ						Z	. 02/06	/01	
ESSEX BANCORP INC /NEW	DΕ				Х			02/01	/01	
EXODUS COMMUNICATIONS INC	DΕ				Х		Σ	02/05	/01	
FAN ENERGY INC	NV			Х	Х	Х		02/05 02/01	/01	
FILM ROMAN INC	DE				Х			02/05		
FIRST UNION COMMERCIAL MORTGAGE SEC URITIES INC	NC	Х						01/18		
FLOWERS INDUSTRIES INC /GA	GA				Х	Х		02/01	/01	
FNB CORP/PA	FA				Х				/01	
FOOTSTAR INC	ΓE				Х	X		02/05		
FREEDOM SURF INC	1177				х			02/05		
FRIEDMAN BILLINGS RAMSEY GROUP INC	111				x x		x	: 01/30		
FRONTIER FINANCIAL CORP /WA/	WA				v	v	1	02/02		
FVC COM INC					X	A V				
GLACIER BANCORP INC										
									•	
GLACIER BANCORP INC	L'L 1 D				Х					
GRANT PRIDECO INC								02/05		
GREENPOINT HOME EQUITY LOAN TRUST 2 000-3 HANOVER COMPRESSOR CO / HARVEYS CASINO RESORTS					У.			01/16		
HANOVER COMPRESSOR CO /	ΞE					Х		02/05		
	\mathbb{N}^{+}				Х			02/01		
HIGH SPEED NET SOLUTIONS INC							Х	: 01/30		
HOCKEY CO	ĿΕ				Х			02/02	/01	
HRPT PROPERTIES TRUST	ML'					Х		12/15	/00	
HYPERBARIC SYSTEMS				Х		Х		12/31	/01	
INCYTE GENOMICS INC	Ε£					Z.		12/28	/00	AMEND
INGERSOLL RAND CO	14 7				Х	Х		02706	/01	
INGERSOLL RAND CO INPUT OUTPUT INC INSITE VISION INC	12					X.	Х	02/01	/01	
INSITE VISION INC	ΞE				Σ.	Х		02/06		
INTELEFILM CORP	211				Х	Ζ		02/05	/01	
INTERMOST CORP	JT		Х			X.		01/20	/01	
INTERNATIONAL RECTIFIER CORP /DE/	LΞ				Х			01/30	/01	
INTERNET GOLF ASSOCIATION INC	N' ′			Х				01/15		
INVERNESS MEDICAL TECHNOLOGY INC/DE					Х	Х				
ISOMET CORP	ЪJ				Х	X		02/01		
IVI CHECKMATE CORP	DE				x	x		02/03		
IVILLAGE INC	ĿΕ				x	x		02/05		
JANUS HOTELS & RESORTS INC	L'E				x	x		01/31		
JDS UNIPHASE CORP /CA/	DE				x	X		02/06		
JPS INDUSTRIES INC	DE			х	11	X		C1/29		
KEVCO INC	TX			Λ		X	v	02/05		
KEY COMPONENTS LLC						X	~			AMEND
	DE				v			02/03		AMEND
KEYCORP STUDENT LOAN TRUST 2000-B	DE				Х	X	v			
KEYSTONE PROPERTY TRUST	MD				v	X	X	02/06,		
LEHMAN ABS CORP	ΡE				X	Х		02/02/		
LEVEL 3 COMMUNICATIONS INC	Γ·E				Х			01/29,		
LIFE ENERGY & TECHNOLOGY HOLDINGS I	ΓĽ					Х		12/07,	100	AMEND
NC LNR PROPERTY CORP	IL						Х	'r, 'ro,	íu1	
LNR PROPERTY CORP	ĽΕ						Х	02/06,	/01	

STATE 8K ITEM NO

	STATE			8K	ITI	EM	NO.			
NAME OF ISSUER						6	78	9	DATE	COMMENT
	NV	Х			v				01/31/0	
LYONDELL CHEMICAL CO	DE				X		••		02/06/0	
MANCHESTER EQUIPMENT CO INC			v		Х		X		01/31/0	
MARVELL TECHNOLOGY GROUP LTD			X				X		01/21/0	
MARVELL TECHNOLOGY GROUP LTD	DU.		V		v		X		01/21/0	
MAXCOR FINANCIAL GROUP INC MEDI HUT CO INC	DE DE				X X		Х		01/26/0 01/29/0	
	IA				X		v		01/29/0	
MEREDITH CORP							X		01/31/0	
MERISTAR HOSPITALITY CORP MGI PHARMA INC					Х		X X		11/21/0	
MGI PHARMA INC MICROPAC INDUSTRIES INC	MN DE				х		~		01/10/0	
MICROFAC INDUSTRIES INC MICROSTRATEGY INC	DE				X				02/06/0	
MICROSIRATEGI INC MILEMARKER INTERNATIONAL INC	NY				X				02/06/0	
MILEMARKER INTERNATIONAL INC MONSANTO CO /NEW/	DE				Λ		х	v	02/06/0	
MORRISON MANAGEMENT SPECIALISTS IN					Х		^ X	Λ	02/06/0	
MUNICIPAL MORTGAGE & EQUITY LLC	DE				X		л Х		02/05/0	
MUNICIPAL MORIGAGE & EQUIII LLC MYTURN COM INC	DE DE				X		V			
NARA BANCORP INC	DE				X				01/31/0	
	DE				X		х		02/02/0	
NATION ENERGY INC		v			Λ		~		02/03/0	
NATION ENERGY INC NATIONAL FUEL GAS CO		A			Х				$\frac{12}{14}$	
NATIONAL FOEL GAS CO NATIONAL MERCANTILE BANCORP	1460				X		х		01/26/0	
NATIONAL MERCANTILL BANCORP NATIONAL PRESTO INDUSTRIES INC	 				A		A	v	02/05/0	
NATIONAL FRESTO INDUSTRIES INC.	ĿE				Х			Δ	02/05/0. 02/06/0.	
NAVIECH INC NETWORK SYSTEMS INTERNATIONAL INC				v	а. С				02/08/0 01/31/0	
NEVER MISS A CALL INC	1.	х	v				Х		01/31/0. 01/26/0.	
NEWPORT INTERNATIONAL GROUP INC		Λ	Λ		v		х Х			
					X				01/31/0:	
NEWPORT INTERNATIONAL GROUP INC NORFOLK SOUTHERN CORP	17 L 1, L,				X X		X		01/31/03	
NORTH VALLEY BANCORP	· •.				X		У. Х		02/06/0.	
NOVASTAR MORTGAGE FUNDING TRU., T SE					X		A X			
IES 1998-1	SK DE				Λ		~		05/26/98	0
NOVASTAR MORTGAGE FUNDING TRUST SE	ER DE				' X		X		06/25/98	3
IES 1998-1										
NOVASTAR MORTGAGE FUNDING TRUST SE	ER DE				Х		X		37/27/98	3
IES 1998-1										
NOVASTAR MORTGAGE FUNDING TRUST SE	ER DE				Х		Х		08/25/98	3
IES 1998-1										
NOVASTAR MORTGAGE FUNDING TRUST SE	ER DE				Х		Х		09/25/98	3
IES 1998-1										
NOVASTAR MORTGAGE FUNDING TRUST SE	ER DE				Х		Х		10/26/98	3
IES 1998-1	`									
NOVASTAR MORTGAGE FUNDING TRULT SE	ER L'E				Х	2	X		11/25/98	3
IES 1998-1										
NOVASTAR MORTGAGE FUNDING TRUST SE	IR LE				Х	2	x		12/28/98	3
IES 1998-1										
NOVASTAR MORTGAGE FUNDING TRUCT SE	IR DE				Х	2	x		09/25/98	3
IES 1998-2										
NOVASTAR MORTGAGE FUNDING TRUST SE	IR DE				Х	2	x		10/26/98	}
IES 1998-2						-				
NOVASTAR MORTGAGE FUNDING TRU T	IR DE				Х	2	K		11/25/98	}
IES 1998-2										

STATE 8K ITEM NO.

NAME OF ISSUER	CODE		3 4 5 6			DATE	COMMENT
NOVASTAR MORTGAGE FUNDING TRUST SER IES 1998-2	DE		X	Х		12/28/9	8
NOVELL INC	DE		Х			02/06/0	1
NTN COMMUNICATIONS INC	ĐΞ		Х	X.		01/30/0	±
OMNICOM GROUP INC	ПХ		Х	Х		02/02/0	L L
ONE AMERICAN CORP	LA		X X X			02/05/0	1
ONYX SOFTWARE CORP/WA	WA		Х	Х		01/30/0	1
ONYX SOFTWARE CORP/WA	WA		Х	Х		02/06/0	1
OP TECH ENVIRONMENTAL SERVICES INC	DE		Х			01/31/0	1
OSHKOSH TRUCK CORP	WI			х	Х	02/05/0	1
PACIFIC WEBWORKS INC	NV		Х			01/31/0	1
PATTERSON ENERGY INC	DΕ		Х	Х		02/05/0	1
PEPSTCO INC	ИС		Х		Х	02/05/0	1
PHILLIPS PETROLEUM CO PINNACLE BUSINESS MANAGEMENT INC	ΓE		Х	Х		02/04/0	1
PINNACLE BUSINESS MANAGEMENT INC		Х		X		02/05/0	1
PRANDIUM INC	ĿΕ		Х	Х		01/30/0	1
PRIVATE BUSINESS INC			Х			02/01/0	1
PROMEDCO MANAGEMENT CO	DE		Х			02/01/0	1
PUMATECH INC	ĿΕ		Х			01/29/0	1
PUMATECH INC PUMATECH INC QINNET COM INC QUAD SYSTEMS CORP /DE/ RCN CORP /DE/ REII INC RENT WAY INC RENT WAY INC RNETHEALTH COM INC	LΞ		Х			01/30/0	1
QUAD SYSTEMS CORP /DE/	ΓE		Х			01/24/0	
RCN CORP /DE/	ΙE				Х	02/06/0	1
REII INC	ΓE	Х				12/31/0	
RENT WAY INC	ΕA		Х			02/05/0	1
RENT WAY INC	EA		Х			02/05/0	
RNETHEALTH COM INC	CO		х			02/05/0	
RSA SECURITY INC/DE/	ŀЕ		Х				
SALEOUTLET COM INC						02/02/0	
SALOMON BROTHERS MORT SEC VII INC M	ΞE			Х		01/25/0	
ORT PAS TH CE SER 2000-1							
SALTON INC	ιΞ		X	Х		02/06/0	1
SAXON ASSET SECURITIES CO	'A		Х			61/29/0	1
SCOTT TECHNOLOGIES INC			Z	Х		02/04/0	
SEAGATE SOFTWARE INFORMATION MANAGE				Х		02/05/0	
MENT GROUP HOLDINGS INC							
SELECT COMFORT CORP	MM	Х				02/05/0	1
SERVICE MERCHANDISE CO INC	$T\Pi$		х	х		02/01/0	
SILICON VALLEY GROUP INC	LΞ		X			01/08/0	
SIMON TRANSPORTATION SERVICES INC	IV.	х		••		09/30/0	
SITESTAR CORP	11.7	••		х		11/22/0	
SKREEM COM CORP	UT	х	Х	x		01/25/0	
SMITH INTERNATIONAL INC	DE	77	X	x		02/02/0	
SMTC CORP	DE	Х		x		11/22/0	
SOFTNET SYSTEMS INC	DE	**	х	21		02/05/0	
SOUTHERN CALIFORNIA EDISON CO	СA		x			02/01/0	
SOUTHERN NATURAL GAS CO	DE		X			02/06/0	
SOVEREIGN BANCORP INC	EA		X	Х		01/24/0	
SPARTAN STORES INC	1.I		Δ	X	x	02/05/0	
STATE STREET CORP	1.1 1	Х		Δ	17	112/06/0	
STC BROADCASTING INC	. D	X		х	Y	01/31/0	
		Δ	Х	X	Δ	02/05/0	
SUN BANCORP INC			У. Х.	и Х		0270370	
SYSCO CORP			2. X	х Х		0_/01/0	
SYSTEMAX INC	ιE		V	Λ		0_/01/0	Ŧ

STATE 8K ITEM NO.

NAME OF ISSUER	STATE CODE	1	2			ιτει 5 θ		9	DATE	COMMENT
magny EDIEC INC			~ -					 		
TASTY FRIES INC TEPPCO PARTNERS LP	NV DE				Х	х	Х		01/30/0	
THERMO CARDIOSYSTEMS INC	DE MA					x X	X		01/31/0	
	ъv. Ъv7					X.	X		02/00/0	_
TOWER AUTOMOTIVE INC						X	x		01/31/0	
	ОН					Х			02/01/0	
TRANSPORTATION COMPONENTS INC	ĿЕ					Х	х		01/26/0	
TRIPLE S PLASTICS INC	MI					Х	Х		02/03/0	1
U S PAWN INC	CO					Х	Х		02/01/0	1
U S WIRELESS DATA INC	CO		Х				Х		11/16/0) AMEND
UAL CORP /DE/	DE							Х	02/05/0	
UNION CARBIDE CORP /NEW/	IJХ					Х	Х		02/06/0	
UNITED AUTO GROUP INC	DE						Х	Х	02/05/0	
UNITED LEISURE CORP	ĿΕ				Х				01/29/0	
UPROAR INC	ĿΕ					Х			02/05/0	
USDATA CORP	DE					Х			01/09/0	
USDATA CORP	I∙E					X 			02/06/0	
UTI ENERGY CORP	L'E					Х	X		02/05/0	
VALUECLICK INC/CA	LE		Х				Х		11/20/00	
VALUESTAR CORP VENTAS INC	20					• 7	X		02/05/0	
VENTAS INC VENTAS INC	1'E . 3					X X	X X		01/30/03	
VENTAS INC VERSATECH INC	 7					X	Λ		02/06/0	
VIATEL INC	1					X			02/01/01	
VLASIC FOODS INTERNATIONAL INC				x		X	Х		01/29/01	
VOICE POWERED TECHNOLOGY INTERNATIO				11		X	X		02/05/0.	
NAL INC	•								02,00,01	•
WACHOVIA CORP/ NC	1.C					х	х		02/06/0.	
WADDELL & REED FINANCIAL INC	ΕĽ					X	X		02/05/03	
WAMEX HOLDINGS INC	L i				Х	Х			01/29/0	L
WATERS CORP /DE/	ΙE							Х	02/06/03	L
WATTS INDUSTRIES INC	ΙE					Х	Х		02/06/01	-
WEBSTER FINANCIAL CORP	L'E				'	Х	Х		02/02/01	_
WESTERN DIGITAL CORP	DE						Х	Х	02/02/01	
WESTMORELAND COAL CO	DE					Х			02/02/01	-
WESTVACO CORP	ĿΕ					Х	Х		02/06/01	-
WHIRLPOOL CORP /DE/	ΓE					Х	Х		01/31/01	-
WICKES INC	- 3					Х	Х		12/13/00	
WINTHROP CALIFORNIA INVESTORS LTD P ARTNERSHIP	LE				Х		Х		11/08/00)
WOLFPACK CORP	,LE				Х		Х		01/29/01	
WOLVERINE TUBE INC	ΞΞ					Х	Х		02/05/01	
WORLD HOMES INC	MM					Х			06/30/00	
WORLD WIDE VIDEO	CO					Х			02/06/01	
WORLDWIDE XCEED GROUP INC	ĿΕ					Х	Х		02/05/01	

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X X 11/08/00 AMEND X 01/17/01

X X 01/31/01 X 12/28/00 AMEND

XML GLOBAL TECHNOLOGIES INC

XCARE NET INC

YANG HOLDING CO

99 CENTS ONLY STORE