

National Marine Fisheries Service
Office for Law Enforcement

ALASKA ENFORCEMENT DIVISION

QUARTERLY REPORT



Second Quarter FY 2004
January 1 - March 31, 2004

To report fisheries violations,
call our National Hotline at 1-800-853-1964.

<http://www.nmfs.noaa.gov/ole/Alaska/index.html>

ALASKA ENFORCEMENT DIVISION

**Quarterly Report
January 1 - March 31, 2004**

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SIGNIFICANT ACTIONS

A. Quarterly Milestone Activity

None

B. Major Cases

Two investigations continue concerning subsistence halibut being sold commercially. The cases are being worked with the U.S. Attorney's Office in Anchorage, Alaska. Subsistence regulations for halibut were implemented May, 2003. Since then, AED has issued five verbal warnings and three written warnings. There were three cases referred to GCAK and all received penalties of \$500, but were suspended with 5 years probation.

C. COPPS

Special Agents met with a plastics fabricator who recommended a device to improve non-lethal marine mammal deterrence. The plastics fabricator developed a nontoxic biodegradable gel product and cannon-type launcher that was used successfully deterring brown bears from fish hatcheries. The same technique could be applied to marine mammals as a safer, less harmful alternative to seal bombs. Discussions were held with Protected Resources Division to further explore the concept.

Enforcement Officers will participate in Great Alaska Sportsman Show to be held in Anchorage, Alaska. The crime prevention focus will be the protection and conservation of the Cook Inlet beluga whale stock. Other areas of outreach will be marine mammal viewing guidelines, and registration of marine mammal parts.

Special Agents participated in the 2004 ComFish Trade Show in Kodiak, Alaska. The crime prevention focus for was seabird avoidance measures. Other areas of crime prevention education were marine mammal viewing and sea lion protected areas.

A Special Agent met with representatives from the Alaska Sea Life Center and discussed the marine mammal stranding network and marine mammal current educational outreach in southeast Alaska.

Enforcement Officers participated in the Anchors Aweigh Boat Show, in Anchorage, Alaska. Crime prevention and community outreach focused on Cook Inlet beluga whale conservation and protection.

An Enforcement Officer presented a lesson plan that highlighted CDQ issues for the Bristol Bay Economical Development Corporation. A list of landing errors from 2003 was addressed at the meeting to reduce errors in 2004. The success of the presentation improved communication and regulatory compliance.

D. VMS

The total number of activated units in Alaska is now at approximately 560, the majority being Argos units, with 7 Thrane & Thrane units and 2 Orbcomm units. The Thrane & Thrane 3026M, 3036S, and 3022-D units, as well as the Orbcomm based Skymate & ESL Stellar 2500 units have been type approved and their approvals have been published in the Federal Register.

E. OTHER ITEMS OF INTEREST

A Special Agent met with representatives from the Canadian Department of Fisheries and Oceans, the Canadian Air Force and Navy, the US Coast Guard and the US Navy in Victoria, BC, Canada. The purpose of the meeting was to discuss and draft the 2004 High Seas Drift Net (HSDN) patrol schedule, protocols and procedures.

NUMBER OF CASES OPENED BY INVESTIGATION TYPE
FY03 and FY04

Investigation Type	FY03 2nd Quarter	FY03 Total	FY04 2nd Quarter	FY04 Total
American Fisheries Act	0	0	0	0
Documentation/Safety	23	33	1	4
ESA	11	60	9	13
Lacey Act	10	20	0	0
Magnuson Act	169	999	121	293
MMPA	10	72	3	11
North Pacific Halibut Act	1	61	2	12
Non-Fisheries Federal Regs	1	10	3	6
State Regulations	5	27	2	4
Other Fisheries Investigation	1	1	0	0
International Whaling Commission	0	0	0	0
TOTAL	234	1286	145	347

COMPLAINT ACTION
1/01/2004 - 3/31/2004

Investigation Type	No. Complaints Opened	No. Complaints Unfounded
Magnuson Act (MFCMA)	2	0
Marine Mammal (MMPA)	0	0
Endangered Species Act (ESA)	0	0
North Pacific Halibut Act	0	0
Documentation/Safety	0	0
Other (<i>list</i>) - State Regs	1	0
Other (<i>list</i>) - Non-Fisheries Regs	1	0
Other (<i>list</i>) - Other Federal Regs	0	0
Lacey Act	0	0
TOTAL	4	0

Number of Complaints Assigned CAR's	4
Number of Complaints Upgraded	0
Unfounded Complaints Assigned CAR's	0
Number of Complaints not Assigned CAR's	0
Number of Hotline Complaints	5

AGENT/OFFICER HOURS BY PROGRAM
FY03 and FY04

Program	Program Codes	Hours			
		FY03 2nd Quarter	FY03 Total	FY04 2nd Quarter	FY04 Total
Magnuson Act					
IFQ	6793	2,332.0	10,571.8	1,380.5	3,199.5
Alaska EEZ General	679	5,622.0	16,971.3	4,039.3	7,817.0
BSA Groundfish	6791	0.0	11.0	0.0	0.0
GOA Groundfish	6792	40.0	109.0	32.5	35.5
MFCMA General	31	17.0	50.0	0.0	0.0
MFCMA Subjects	600/660	0.0	64.5	5.0	69.5
U.S. vessels fishing in Russia	299	0.0	0.0	0.0	0.0
Scallops	6795	0.0	0.0	0.0	0.0
CDQ	6794	0.0	0.0	0.0	0.0
Research Plan	677	0.0	0.0	0.0	0.0
Salmon - Alaska	674	0.0	0.0	0.0	0.0
Totals:		8,011.0	27,777.5	5,457.3	11,121.5
Lacey Act	20/21/22/23/400	336.5	965.0	371.5	655.0
Marine Mammal Protection Act	1	279.5	2,187.8	241.5	469.0
North Pacific Halibut Act	3	168.0	977.0	354.0	978.5
North Pacific Fisheries Act	7	0.0	1.0	0.0	0.0
Endangered Species Act	2/200-210	149.0	578.5	239.0	276.5
High Seas Salmon	11	0.0	138.0	0.0	0.0
High Seas Fish. Compliance Act	33	22.0	273.5	40.0	84.5
American Fisheries Act	16	1.5	4.5	41.0	41.0
Other Federal Regulations	10/12/30/32	1.5	156.0	17.0	28.5
State Regulations	24/25	41.5	136.5	9.5	22.0
General	36	7,587.3	31,527.4	8,253.0	14,039.8
Leave	38	1,871.5	10,365.0	3,414.8	6,934.3
Other - Sky Marshal Program	901	0.0	0.0	0.0	0.0
Other - Home Land Security	902	12.0	18.5	2.0	6.5
Other - Lacey Act Subjects	400	0.0	0.0	0.0	0.0
Other - MM Subjects	100/110	353.0	877.5	44.0	106.5
TOTAL		18,834.3	75,983.7	18,484.5	34,763.5

AGENT/OFFICER HOURS BY ACTIVITY
FY03 and FY04

Activity	Activity Codes	Hours			
		FY03 2nd Quarter	FY03 Total	FY04 2nd Quarter	FY04 Total
Investigations, Special Ops	02,03,04,05 20,21,22,23	4,808.0	10,731.3	3,057.0	6,568.8
Case Preparation	06	744.5	2,278.0	997.0	2,036.5
Complaint Response	07	165.5	652.3	170.0	278.5
Air Patrol	10/11	15.0	310.0	15.0	41.0
Surface Patrol	12-15	127.0	549.5	44.8	160.0
Inspection	17-19	317.5	848.5	359.8	457.5
VCP	30-34	568.5	1,031.5	570.0	972.0
General (Administrative, supervision, etc.)	40-48	7,057.8	18,417.5	5,466.3	10,430.8
Training	50-53	1,732.5	6,115.3	3,122.5	4,589.5
Leave	61-67	1,917.5	6,884.0	3,414.8	6,934.3
Maintenance	70-72	258.5	723.0	168.5	385.5
Travel	90	789.5	2,081.0	1,084.5	1,662.8
All Other	08	356.5	983.5	314.5	545.5
TOTAL		18,858.3	51,605.3	18,784.5	35,062.5

CASES OPENED

1/01/2004 - 3/31/2004

Total Number of Cases Opened = 141

Case Number	Cnt	Type	Regulation	Description of Violation
AK030138A	1	FM/W	679.7(f)(1)	SUBMIT INACCURATE INFORMATION
AK031180A	1	FM/V	679.7(d)(5)	CDQ GROUP EXCEEDING A CDQ
AK032150B	1	FM/V	679.7(f)(2)	INTENTIONALLY SUBMIT FALSE INFORMATION ON IFQ CATCH RECEIPT
AK032150B	2	FM/V	679.42(c)(1)(ii)	IFQ PERMIT HOLDER NOT ON BOARD WHEN FISH WERE LANDED
AK033149A	1	FM/W	679.7(f)(1)	INACCURATE INFORMATION ON IFQ LANDING REPORT
AK033150A	1	FM/W	679.5(I)(2)(i)(A)	FAIL TO MAKE IFQ LANDING W/IN 6 HOURS OF ALL FISH LANDED
AK034070B	1	FM/V	679.5(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MIN PRIOR TO BRING FISH ON BOARD
AK034070C	1	FM/W	679.50(g)(1)(iv)	FAIL TO NOTIFY OBSERVER W/N 15 MIN PRIOR BRING FISH ON BOARD
AK034087B	1	FM/V	679.7(a)(13)(iv)	MISHANDLING PROHIBITED SPECIES
AK034122B	1	FM/W	679.5(g)(1)(vi)	FAIL TO NOTIFY OBSERVER 15 MIN PRIOR TO BRING FISH ON BOARD
AK034210A	1	FM/I	679.7(a)(13)(iv)	STRIPPING HALIBUT FROM THE HOOK
AK034211A	1	FM/I	679.7(a)(13)(iv)	STRIPPING HALIBUT FROM THE HOOK
AK040001A	1	DS/I	46CFR67.323	OPERATION WITH OUT DOCUMENTATION
AK040002A	1	FM/V	679.5(a)(14)(iv)(B)&(C);	FAILURE TO LOG INFORMATION IN A TIMELY MANNER
AK040002A	2	FM/V	679.24(a)	POT Buoys NOT PROPERLY MARKED
AK040003A	1	FM/W	679.5	MINOR LOG DISCREPANCIES
AK040004A	1	FM/W	679.5	CLERICAL ERRORS AND UNACCOUNTED FOR DAYS IN DFL
AK040005A	1	FM/X	679.5	MINOR LOGBOOK DISCREPANCIES
AK040006A	1	FM/V	679.7	FAILURE TO RECORD PTR/ CLERICAL ERRORS
AK040007A	1	FM/W	679.7(f)(1)	SUBMIT INACCURATE REPORT
AK040008A	1	FM/V	679.5	LOGBOOK ERRORS
AK040008A	2	FM/V	679.7(a)	NO PCOD ENDORSEMENT ON FFP
AK040008A	3	FM/V	679.5	FAIL TO SUBMIT LOGS ON TIME
AK040009A	1	FM/V	679.7	CLERICAL ERROR, NON SUBSTANTIATED OMISSION
AK040010A	1	FM/V	679.7	NO PCOD ENDORSE ON FFP/LOG ENTRY NOT MADE TIMELY/LOG ERRORS
AK040011A	1	FM/X	679.7(a)	NO FFP ONBOARD
AK040012A	1	FM/X	679.7(a)	NO FFP ONBOARD
AK040013A	1	FM/W	679.7(a)(10)	NUMEROUS LOG BOOK ERRORS AND OMISSIONS
AK040014A	1	FM/W	679.7(a)(10)	CLERICAL ERRORS, NON-SUBSTANTIATED OMISSIONS
AK040015A	1	FM/X	679.7	
AK040016A	1	FM/X	679.7(a)(10)	FAILURE TO SUBMIT LOGS IN A TIMELY MANNER
AK040017A	1	FM/V	679.7(b)(2)	EXCEED 300K LB. POLLOCK TRIP LIMIT
AK040018A	1	FM/V	679.28(f)	NO VMS TRANSMITTING WHILE ENDORSED FOR PCOD

Case Number	Cnt	Type	Regulation	Description of Violation
AK040019A	1	FM/V	679.28(f)	NO VMS TRANSMITTING WHILE ENDORSED FOR PCOD
AK040020A	1	FM/V	679.28(f)	NO VMS TRANSMITTING WHILE ENDORSED FOR PCOD
AK040021A	1	FM/X	679.7(a)(10)	MINOR CLERICAL ERRORS IN DFL
AK040022A	1	FM/X	679.7(a)(1)	
AK040023A	1	FM/V	679.7(a)(1)	NO PCOD ENDORSEMENT ON FFP
AK040024A	1	FM/W	679.7(a)(10)	CLERICAL ERRORS, NON SUBSTANTIVE OMISSIONS, INACTIVE PERIOD
AK040025A	1	FM/X	679.7	FAILURE TO PRODUCE VALID FFP
AK040026A	1	FM/V	679.7(a)(1)	IMPROPERLY ENDORSED FFP
AK040027A	1	FM/V	679.7(a)(1)	IMPROPERLY ENDORSED FFP
AK040028A	1	FM/V	679.50(c)(1)	NO OBSERVER WHILE FISHING GROUND FISH USING PTR GEAR IN RKCSA
AK040028A	2	FM/V	679.7(a)(10)	FAIL TO REC INFO IN LOGBOOKS SUBSTANTIVE AND NON-SUBSTANTIVE
AK040029A	1	FM/X	679.7	NOT UPDATING REQUIRED LOGBOOK
AK040030A	1	FM/V	600(m)	POSSESSION OF 20LB. BAG OF SHARK FINS
AK040030A	2	FM/V	679.7(a)(16)	EXCEED MRA OF ?
AK040030A	3	FM/V	679.7(a)(10)	FAILURE TO SUBMIT YELLOW COPIES OF DFL
AK040031A	1	FM/V	679.7(a)(10)	FAIL TO REPORT CHECK IN/ OUT OF COBLZ
AK040032A	1	FM/X	679.7(a)(10)	UNTIMELY SUBMISSION OF CHECK IN/ OUT REPORT
AK040033A	1	FM/X	679.7(a)(10)	FAILURE TO LOG REQUIRED INFORMATION
AK040034A	1	FM/V	679.7(F)(4)	IFQ HALIBUT OVERAGE
AK041001A	1	FM/I	679.7(a)(18)	FAILURE TO OPERATE VMS
AK041002A	1	ES/I	223.202(a)(2)(i)	TRANSIT THROUGH 3NM SSL NO TRANSIT ZONE
AK041003A	1	FM/V	679.7(a)(10)(iii)	FAIL TO SUBMIT REPORTS REQUIRED UNDER R&R
AK041004A	1	ES/V	223.202(a)(2)(i)	TRANSIT THROUGH 3NM SSL NO TRANSIT ZONE
AK041005A	1	FM/I	679.65(d)	PROCESS CRAB IN EXCESS ON CAP
AK041006A	1	FM/W	679.7(a)(10)(i)	FAILED TO SUBMIT SEVERAL PTRS
AK041007A	1	ES/V	223.202(a)(2)(i)	TRANSIT THROUGH 3NM SSL NO TRANSIT ZONE
AK041008A	1	FM/V	679.7(b)(2)	POLLOCK TRIP LIMIT OVERAGE
AK041009A	1	FM/V	679.7(b)(2)	POLLOCK TRIP LIMIT OVERAGE
AK041010A	1	FM/V	223.202(a)(2)(i)	TRANSIT THRU 3NM SSL NO TRANSIT ZONE
AK041011A	1	FM/I	679.7(k)(8)	EXCEED AFA CRAB CAP OF RED KING AND SNOW CRAB
AK041011A	2	FM/I	679.7(k)(8)	EXCEED AFA CRAB CAP OF RED KING, TANNER AND SNOW CRAB
AK041011A	3	FM/I	679.7(k)(8)	EXCEED AFA CRAB CAP OF RED KING AND SNOW CRAB
AK041011A	4	FM/I	679.7(k)(8)	EXCEED AFA CRAB CAP OF RED KING AND SNOW CRAB
AK041012A	1	FM/V	679.7(b)(2)	EXCEEDED POLLOCK TRIP LIMIT
AK041014A	1	FR/C	21USC841	POSSESSION OF A CONTROLLED SUBSTANCE WITH INTENT DISTRIBUTE
AK041015A	1	FM/V	679.7(b)(2)	EXCEEDED 300,000 GOA POLLOCK TRIP LIMIT

Case Number	Cnt	Type	Regulation	Description of Violation
AK041016A	1	FM/V	679.7(a)(16)	EXCEEDED MRA FOR PACIFIC COD
AK041017A	1	FM/V	679.7(a)(16)	EXCEEDED MRA FOR PCOD AND SABLEFISH
AK041018A	1	FM/V	679.7(a)(16)	EXCEEDED MRA FOR PCOD AND SABLEFISH
AK041019A	1	FM/V	679.7(a)(16)	EXCEEDED MRA FOR PCOD
AK041020A	1	FM/W	679.7(a)(10)(iii)	SUBMIT INACCURATE REPORTS
AK041021A	1	ES/I	223.202(a)(2)(ii)	TRANSIT THROUGH SSL 3NM NO TRANSIT ZONE
AK041022A	1	ES/I	223.202(a)(2)(i)	TRANSIT THROUGH SSL 3NM NO TRANSIT ZONE
AK041023A	1	ES/I	223.202(a)(2)(i)	TRANSIT THROUGH SSL 3NM NO TRANSIT ZONE
AK041024A	1	ES/V	223.202(a)(2)(i)	TRANSIT THRU 3NM SSL NO TRANSIT ZONE
AK041024A	2	FM/I	679.7(i)(3)	FISH WITHOUT A VALID LLP
AK041025A	1	FM/V	679.7(g)(1)	IMPEDE INTIMIDATE INTERFERE W/ AN OBSERVER
AK041025A	2	FM/V	679.7(g)(2)	INTERFERE WITH, BIAS SAMPLING PROCEDURE OF AN OBSERVER
AK041025A	3	FM/I	679.50(g)(1)(v)	DENY OBSERVER ACCESS TO SPACES USED TO HOLD FISH
AK041025A	4	FM/V	679.7(g)(5)	HARASS OBSERVER, HOSTILE INTIMIDATING WORK ENVIRONMENT
AK041026A	1	FM/V	679.7(b)(2)	EXCEED 300K LB. POLLOCK TRIP LIMIT
AK041027A	1	FM/V	679.7(a)(16)	EXCEEDED MRA FOR SABLEFISH IN GOA
AK041029A	1	FM/V	679.7(a)(16)	EXCEED MRA FOR PCOD AND SABLEFISH
AK041030A	1	FM/V	679.7(a)(16)	EXCEED MRA FOR SABLEFISH
AK041031A	1	FM/V	679.7(a)(16)	EXCEEDED MRA FOR PCOD
AK041032A	1	FM/V	679.7(a)(16)	EXCEED MRA FOR SABLEFISH
AK041033A	1	FM/V	679.7(g)(6)	PROCESS FISH WITHOUT REQUIRED OBSERVER COVERAGE
AK041034A	1	FM/V	679.7(b)(2)	EXCEED 300K LB. POLLOCK TRIP LIMIT
AK041035A	1	FM/V	679.7(b)(2)	EXCEED 300K LB POLLOCK TRIP LIMIT
AK041038A	1	FM/V	679.7(b)(2)	EXCEED 300K LB POLLOCK TRIP LIMIT
AK041039A	1	FM/V	679.7(a)(16)	EXCEED MRA FOR PCOD
AK041041A	1	ES/V	223.202(a)(2)(i)	TRANSIT THROUGH SSL 3NM NO TRANSIT ZONE
AK042001A	1	FM/W	679.7(a)(10)(iii)	SUBMIT INACCURATE INFORMATION ON ANY REPORT
AK042002A	1	SR/I	5AAC01.010(d)	UNLAWFUL SALE OF SUBSISTENCE SHELLFISH
AK042003A	1	ES/I	216.11(b)	UNLAWFUL TAKE OF A MARINE MAMMAL (STELLAR SEA LION)
AK042004A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (DSR)
AK042005A	1	FM/V	679.7(a)(16)	ROCKFISH BYCATCH OVERAGE (DSR)
AK042006A	1	MM/I	216.11(b)	ILLEGAL TAKE OF A MARINE MAMMAL (SEA LION)
AK042007A	1	FH/I	300.66(j)	ALLOW SUBSISTENCE HALIBUT TO ENTER COMMERCE
AK042009A	1	SR/I	AS16.05.675	COMMERCIAL FISHING W/ OUT A PERMIT
AK042010A	1	FM/V	679.7(a)(16)	BYCATCH ROCKFISH OVERAGE
AK042011A	1	FM/V	679.7(f)(4)	IFQ HALIBUT OVERAGE
AK042012A	1	FM/V	679.7(f)(4)	IFQ SABLEFISH OVERAGE

Case Number	Cnt	Type	Regulation	Description of Violation
AK043001A	1	MM/V	18USC1159(a)	MISREPRESENTATION OF ALASKA NATIVE GOODS AND PRODUCTS
AK043002A	1	FM/I	679.7(g)(6)	FISH W/O OBSERVER COVERAGE REQUIRED UNDER SUBPART E
AK043003A	1	FM/W	679.7(a)(10)	FAIL TO COMPLY WITH R&R REQUIREMENTS
AK043003A	2	FR/V	46CFR 67.317	FAILURE TO RENEW CERTIFICATE OF DOCUMENTATION
AK043003A	3	FR/V	46CFR 67.323	OPERATION WITH OUT DOCUMENTATION
AK043004A	1	FM/W	679.5(1)(1)(iii)	FAIL TO SUBMIT ACCURATE INFORMATION ON IFQ PNOL
AK043004A	2	FM/W	679.5(a)(12)	ILLEGIBLE CORRECTIONS ON LOGBOOK ENTRIES
AK043005A	1	FM/W	679.4(b)(2)	FISH FOR HALIBUT W/O FFP AS REQ TO RETAIN BYCATCH GROUND FISH
AK043006A	1	FM/W	679.5(1)(1)(iii)	FAIL TO SUBMIT ACCURATE INFORMATION ON PNOL
AK043007A	1	FM/W	679.24(a)	FAIL TO MARK ALL H&L MARKER BUOYS
AK043007A	2	FM/W	679.7(f)(8)(i)	DISCARDING BYCATCH IN THE GOA
AK043007A	3	SR/W	AS16.05.520(a)	ANNUAL TAB NOT AFFIXED TO NUMBER PLATE
AK043008A	1	FM/W	679.24(a)	FAILED TO MARK ALL H&L MARKER BUOYS
AK043009A	1	FM/W	679.5(a)(12)	ILLEGIBLE CORRECTIONS TO LOGBOOK INFORMATION
AK043010A	1	FM/W	679.24(e)(3)	NO SEABIRD AVOIDANCE PLAN
AK043010A	2	FM/W	679.24(a)	FAILED TO MARK ALL H&L MARKER BUOYS
AK043011A	1	FM/W	679.7(f)(15)	PROCESS FISH ON VES W/UNUSED IFQ FROM QS ISSUED TO VES CAT C
AK043012A	1	FM/W	679.24(e)(3)	NO SEABIRD AVOIDANCE PLAN
AK043012A	2	FM/W	679.24(a)	FAILED TO MARK ALL H&L MARKER BUOYS
AK043012A	3	FM/W	679.7(f)(8)(i)	DISCARDING BYCATCH WHEN IFQ SPECIES ARE ON BOARD
AK043013A	1	FR/V	FEDERAL REGS	VIOLATION OF VISA STATUS
AK043014A	1	FM/W	679.7(e)(3)	NO SEABIRD AVOIDANCE GEAR
AK043014A	2	FM/W	679.7(a)(10)	ILLEGIBLE CORRECTIONS IN LOGBOOK
AK043015A	1	FM/W	679.24(e)(3)	NO SEABIRD AVOIDANCE PLAN
AK043016A	1	FM/W	679.24(a)	FAILED TO MARK ALL H&L MARKER BUOYS
AK043017A	1	FM/W	679.5(a)(12)	ILLEGIBLE CORRECTIONS TO LOGBOOK INFORMATION
AK043017A	2	FM/W	679.24(a)	FAILED TO MARK ALL H&L MARKER BUOYS
AK043017A	3	FR/W	46CFR 67.315	FAILURE TO PRODUCE ORIGINAL DOCUMENT CURRENTLY IN EFFECT
AK043018A	1	FR/V	FEDERAL REGS	VIOLATION OF VISA STATUS
AK043019A	1	FM/W	679.24(a)	FAILED TO MARK H&L MARKER BUOYS
AK043020A	1	FM/W	IPHC SEC13(2)	UNLAWFULLY POSSESS ON BOARD MUTILATED OR DISFIGURED HALIBUT
AK043021A	1	FM/W	679.7(a)(10)	NON-SUBSTANTIVE ERRORS AND OMISSIONS IN LOGBOOK
AK043021A	2	FM/W	679.24(e)(3)	SEABIRD AVOIDANCE PLAN NOT COMPLETED
AK043021A	3	SR/W	AS16.05.520	NO NUMBER PLATE
AK043021A	4	SR/W	AS16.05.510	VESSEL LICENSE NOT ONBOARD
AK043022A	1	FM/W	679.7(a)(1)	ORIGINAL VALID FFP NOT ON BOARD
AK043022A	2	FR/W	67.315	FAILURE TO PRODUCE ORIGINAL DOCUMENT CURRENTLY IN EFFECT

Case Number	Cnt	Type	Regulation	Description of Violation
AK043023A	1	FM/V	679.7(a)(16)	PCOD BYCATCH OVERAGE
AK043024A	1	FH/I	IPHC SEC8(5)	UNLAWFUL DELIVERY OF 11KLB HALIBUT PRIOR TO IFQ SEASON START
AK043025A	1	FM/W	679.24(a)	FAILED TO MARK H7L MARKER BUOYS
AK043026A	1	FM/W	679.24(e)(3)	NO SEABIRD AVOIDANCE PLAN
AK043027A	1	FM/W	679.24(e)(3)	SEABIRD AVOIDANCE PLAN NOT FILLED OUT
AK043027A	2	FM/W	679.24(a)	FAILED TO MARK ALL H7L MARKER BUOYS
AK043028A	1	MM/I	216.23(b)(2)(iii)	UNLAWFULLY SOLD MARINE MAMMAL PARTS
AK043029A	1	FM/I	679.5(1)(3)(ii)	FAIL TO SUBMIT ACCURATE INO ON SHIPMENT REPORT
AK043030A	1	FM/V	679.7(a)(16)	EXCEED MRA OF GROUND FISH
AK043031A	1	FM/V	679.7(a)(16)	EXCEED MRA FOR GROUND FISH
AK044001A	1	FM/I	679.50(g)(1)(iii)(C)	FAIL TO ENSURE COMMUNICATION EQUIP IS OPERATIONAL
AK044002A	1	FM/V	679.22(a)(3)	FISH RKCSA WITH NPT GEAR
AK044003A	1	FM/I	679.7(k)(1)(vi)(a)	FAIL TO WEIGH CATCH ON AN APPROVED SCALE
AK044004A	1	FM/V	679.7(g)(1)	IMPEDE, INTIMIDATE, INTERFERE WITH AN OBSERVER
AK044004A	2	FM/V	679.7(g)(2)	INTERFERE WITH, BIAS SAMPLING PROCEDURE OF AN OBSERVER
AK044004A	3	FM/I	679.50(g)(1)(v)	DENY OBSERVER ACCESS TO SPACES USED TO HOLD FISH
AK044004A	4	FM/V	679.7(g)(5)	HARASS AN OBSERVER/ HOSTILE, INTIMIDATING WORK ENVIRONMENT
AK044005A	1	FM/W	679.50(g)(1)(iii)(b)(2)	FAIL TO OBTAIN AND INSTALL NMF'S ATLAS DATA ENTRY SOFTW
AK044006A	1	FM/W	679.50(g)(2)(iii)(B)(2)	FAILED TO OBTAIN/ INSTALL NMF'S ATLAS DATA ENTRY SOFTWA
AK044007A	1	FM/W	679.50(g)(2)(iii)(B)(2)	FAIL TO OBTAIN AND INSTALL NMF'S ATLAS DATA ENTRY SOFTW
AK044008A	1	FM/I	679.50(i)(20)(v)	FAIL TO PAY OBSERVER SALARY IN ACCORD WITH TERMS OF CONTRACT
AK044009A	1	FM/I	679.50(g)(1)(ii)(A)	FAIL TO MAINTAIN SAFE CONDITIONS
AK044009A	2	FM/I	679.59(g)(1)(vi)	FAIL TO NOTIFY OBS W/IN 15 MIN OF BRINGING FISH ON BOARD
AK044009A	3	FM/I	679.7(g)(2)	BIAS OBSERVER SAMPLING PROCEDURES
AK044009A	4	FM/I	679.7(g)(4)	REFUSING TO PROVIDE REASONABLE ASSISTANCE
AK044009A	5	FM/I	679.79(a)(2)	CONDUCT FISHING CONTRARY TO CLOSURE
AK044009A	6	FM/I	679.5(a)(14)(iv)(B)(1)	FAIL TO RECORD INFO ON DCPL W/IN 2 HOURS OF GEAR RETIRE
AK044010A	1	FM/I	679.7(g)(1)	INTIMIDATE AN OBSERVER
AK044010A	2	FM/I	679.50(g)(1)(vi)	FAIL TO NOTIFY OBS W/IN 15 MIN PRIOR TO BRING FISH ON BOARD
AK044011A	1	FM/I	679.7(g)(1)	INTIMIDATE AN OBSERVER
AK044012A	1	FM/I	679.7(g)(1)	INTIMIDATE AN OBSERVER
AK044013A	1	FM/I	679.7(g)(1)	SEXUALLY HARASS AN OBSERVER
AK044014A	1	FM/I	679.7(a)(13)(iv)	STRIPPING HALIBUT FROM THE HOOK
AK044014A	2	FM/I	679.21(b)(2)(ii)	FAIL TO RTN PROHIB SPECIES TO SEA W/IN A MINIMUM OF INJURY
AK044015A	1	FM/I	679.7(a)(13)(iii)	STRIPPING HALIBUT FROM THE HOOK
AK044016A	1	FM/I	679.7(g)(1)	INTIMIDATE AN OBSERVER
AK044017A	1	FM/I	679.50(j)(2)(ii)(D)	ENGAGING IN ILLEGAL ACTIVITY THAT REFLECTS NEG ON OBSERVER

Case Number	Cnt	Type	Regulation	Description of Violation
AK044017A	2	FM/I	679.50(j)(2)(ii)(D)(1)	VIOLATING THE OBSERVER ALCOHOL POLICY EST BY OBS PROGRAM
AK044018A	1	FM/I	679.5(a)(14)(iv)(b)(1)	FAIL TO RECORD INFO ON DFL W/IN 2 HOURS OF GEAR RETRIEVAL

CATCH SEIZURES

1/01/2004 - 3/31/2004

Total Number of Catch Seizures = 3

Case Number	Date of Seizure	Vessel	Description of Seizure	Value of Seizure
AK040034A	30-MAR-04	STELLA	PROCEEDS FROM SALE OF IFQ HALIBUT	3,137.20
AK042011A	18-MAR-04	KETA	PROCEEDS FROM SALE OF IFQ HALIBUT	1,833.47
AK042012A	22-MAR-04	ALLYSEN MORIAH	PROCEEDS FROM SALE OF IFQ HALIBUT	698.90
Total:				\$5,669.57

CASES FORWARDED TO GENERAL COUNSEL FOR FURTHER ACTION

1/01/2004 - 3/31/2004

Total Number of Cases Forwarded to GC = 19

Case Number	Cnt	Regulation	Description of Violation	Seized Property
AK030123A	1	679.5	FAILURE TO SUBMIT 2ND QTR DCPL	
AK031023A	1	679.7(i)(2)	FISH FOR LLP GROUND FISH W/O VALID LICENSE	
AK031139A	1	223.202(a)(2)(i)	TRANSIT THROUGH SSL 3NM NO TRANSIT ZONE	
AK031151A	1	223.202(a)(2)(i)	TRANSIT THROUGH SSL 3NM NO TRANSIT ZONE	
AK031172A	1	223.202(a)(2)(i)	TRANSIT THROUGH SSL 3NM NO TRANSIT ZONE	
AK031180A	1	679.7(d)(5)	CDQ GROUP EXCEEDING A CDQ	
AK032150A	1	679.5(a)(2)(i)(A) &	INTENTIONALLY SUBMITTED FALSE INFORMATION ON CATCH RECEIPT	
AK032150A	2	IPHC Section 16(1)	FAILURE TO KEEP AN ACCURATE IPHC LOGBOOK	
AK032150A	3	IPHC Section 24(13)	POSSESSION OF SPORT CAUGHT HALIBUT ON A COMMERCIAL VESSEL	
AK032150B	1	679.7(f)(2)	INTENTIONALLY SUBMIT FALSE INFORMATION ON IFQ CATCH RECEIPT	
AK032150B	2	679.42(c)(1)(ii)	IFQ PERMIT HOLDER NOT ON BOARD WHEN FISH WERE LANDED	
AK033063A	1	216.11(b)	UNLAWFUL TAKE OF A MARINE MAMMAL (FEEDING)	
AK033105A	1	300.4(b)	SUBMIT FALSE OR INACCURATE INFORMATION	
AK033118A	1	679.1(d)(1)	NONCOMPLIANCE W/ U.S. COMMERCIAL FISHING REGS (SABLEFISH)	
AK033147A	1	223.202(a)	TRANSIT THROUGH 3NM SSL NO TRANSIT ZONE	
AK034149A	1	679.7(g)(1)	INTIMIDATING AN OBSERVER	
AK034149A	2	679.7(a)(14)(iv)(B)(FAIL TO RECORD SUBSTANTIVE INFO INTO DFL W/IN 2 HOURS	
AK034149A	3	679.7(a)(10)(iii)	SUBMIT INACCURATE INFORMATION	
AK035067A	1	679.7(a)(18)	FAIL TO COMPLY WITH VMS REQUIREMENTS IN 679.28(F)	
AK035111A	1	679.5(l)(2)(i)(D)	IFQ CARD HOLDER LEFT LANDING SITE PRIOR TO LANDING REPORT	
AK035111A	2	679.5(L)(2)(i)(A)	FAIL TO MAKE IFQ LANDING W/IN 6 HRS AFTER ALL FISH LANDED	
AK035111A	3	679.42(a)(5)(ii)(D)	VESSEL LOA EXCEEDS PERMIT ALLOWABLE LOA	
AK035125A	1	679.7(d)(5)	CDQ POLLOCK OVERAGE	
AK035126A	1	679.7(d)(5)	EXCEEDING CDQ/ GROUP ALLOCATIONS	
AK040002A	1	679.5(a)(14)(iv)(B)& (C); 679.7(a)(10)	FAILURE TO LOG INFORMATION IN A TIMELY MANNER	
AK040002A	2	679.24(a)	POT BUOYS NOT PROPERLY MARKED	
AK040008A	1	679.5	LOGBOOK ERRORS	
AK040008A	2	679.7(a)	NO PCOD ENDORSEMENT ON FFP	
AK040008A	3	679.5	FAIL TO SUBMIT LOGS ON TIME	

OTHER CASE ACTIONS

1/01/2004 - 3/31/2004

Number of Cases	Action	Summary Settlement Amount	NOVA Amount	Final Penalty	Forfeited Proceeds and/or Property
6	Declined by ENF				
9	Dismissed by ENF no violation				
3	Closed by ENF due to lack of evidence				
0	Closed by ENF due to lack of resources				
6	Transferred to another Region or agency				
32	Verbal Warning Issued				
1	Case Closed by COPPS Letter				
20	Written warning issued by ENF				
1	Written warning issued by GC				
1	Written warning (FIX-IT) issued by GC				
7	Written warning (FIX-IT) issued by USCG				
4	Property forfeited or abandoned				\$3,410.33
3	Summary Settlement issued by field or USCG	\$34,928.38			
29	Summary settlement paid				
1	Case Merged				
3	Intel only				
1	Dismissed by GC				
0	Sent to GC				
205	NOVA issued		\$178,854.00		
10	Settlement agreement signed by GC			\$54,304.00	
3	All Settlement Conditions Satisfied				
1	NOVA, NOPS/NIDP issued				
1	Referred to USA for criminal prosecution				
347	Total				